

# Configurable Dependency Tree Extraction from CCG Derivations

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## Abstract

We revisit the problem of extracting dependency structures from the derivation structures of Combinatory Categorical Grammar (CCG). Previous approaches are often restricted to a narrow subset of CCG or support only one flavor of dependency tree. Our approach is more general and easily configurable, so that multiple styles of dependency tree can be obtained. In an initial case study, we show promising results for converting English, German, Italian, and Dutch CCG derivations from the Parallel Meaning Bank into (unlabeled) UD-style dependency trees.

## 1 Introduction

In a world of heterogeneous linguistically annotated resources, the need often arises to convert annotations from one format into another. The purpose may be to extract features, make data available as input for tools that were not designed for it, or to compare heterogeneous tool outputs on an equal footing. For example, sentences annotated with derivations of Combinatory Categorical Grammar (CCG) (Steedman, 2001) must often be converted to dependency graphs or trees. Figure 1 shows an example.

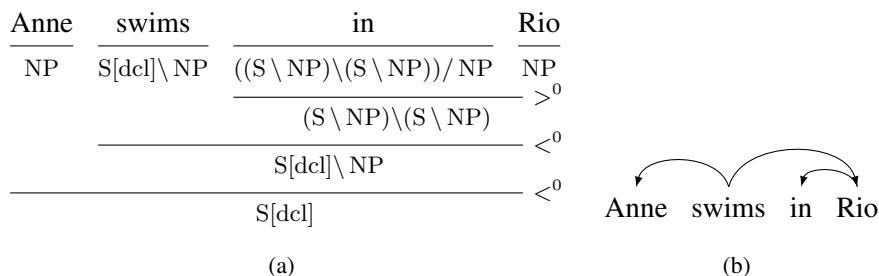


Figure 1: A CCG derivation and corresponding unlabeled UD dependency tree

Clark et al. (2002) define a conversion from derivations to dependency graphs (containing both local and long-range dependencies) by annotating every lexical category that occurs in the English CCGbank (Hockenmaier and Steedman, 2007), specifying the bilexical dependency (or dependencies) that each argument category gives rise to. This annotated inventory of categories and variations thereof have since widely been used to train and evaluate CCG parsers, e.g., Clark and Curran (2007), Zhang and Clark (2011), Lewis and Steedman (2014), Stanojević and Steedman (2019). The obvious drawback of this approach is that each category has to be annotated manually, and adapting the scheme to other languages, other flavors of CCG, or other flavors of dependency graph, is thus labor-intensive.

A simple alternative is to just extract a dependency for each argument category, with the word corresponding to the argument as the dependent, and the word corresponding to the result category as the head. This is, e.g., used for parser training and evaluation for English by Lewis and Steedman (2014) and for English and Japanese by Yoshikawa et al. (2017). Koller and Kuhlmann (2009) define a similar algorithm operating on derivations rather than lexical categories, which however only supports pure

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first-order CCGs. The main drawback is that only one flavor of dependency tree is supported, in which modifiers and function words such as determiners end up as heads of what they modify due to the way CCG uses functional categories for them. Bisk and Hockenmaier (2013) use a simple algorithm with some tricks to accommodate a variety of different dependency treebank formats, but it only supports a heavily simplified version of CCG with two basic categories, S and N.

In this paper we present a novel approach to extracting dependencies from CCG derivations. It is similar to Clark et al.’s approach in that the lexical categories, augmented with dependency information for each argument category, serve as the basis for the extraction. However, we do not annotate each possible lexical category manually, but rather define a limited and mostly language-independent set of rules to do so automatically, which can be adapted to different dependency flavors easily. Like the other approaches mentioned, we limit ourselves to local dependencies. As a result, the resulting structures are guaranteed to be trees. As a case study, we experiment with converting English, German, Italian, and Dutch derivations from the Parallel Meaning Bank (Abzianidze et al., 2017) to (unlabeled) dependency trees compatible with the annotation guidelines of Basic Universal Dependencies (UD) (Nivre et al., 2016).

## 2 CCG-to-Dependency Conversion by Argument Category Augmentation

In a CCG derivation, each word is assigned a syntactic *category* that encodes its combinatory potential in terms of syntactic arguments. For example, an English transitive verb like *sees* in *Mary sees John* has the category  $(S \setminus NP)/NP$ , meaning that it combines with an (object) NP to the right and then with a (subject) NP on the left, and that the resulting constituent is a sentence (S):

(1) Mary sees John  
 NP (S \ NP)/NP NP

Categories with slashes (i.e., with arguments) are called *functional*, others are called *basic*. We call the basic category that remains after stripping all arguments from a functional category its *top category*, e.g., S is the top category of  $(S \setminus NP)/NP$ .

The first step in our conversion algorithm is to augment each basic category with a pair of variables  $X, D$ , where  $X$  represents its identity and  $D$  will later be bound to a marker (F or A) that indicates the direction of the dependency:

(2) Mary sees John  
 NP<sub>A,V</sub> (S<sub>B,W</sub> \ NP<sub>C,X</sub>)/NP<sub>D,Y</sub> NP<sub>E,Z</sub>

We then unify argument categories of functors with the categories of their arguments (this can be done by traversing the derivation):

(3) Mary sees John  
 NP<sub>A,V</sub> (S<sub>B,W</sub> \ NP<sub>A,V</sub>)/NP<sub>D,Y</sub> NP<sub>D,Y</sub>

We now bind the identity variable of the top category of each word to its token number:

(4) Mary sees John  
 NP<sub>1,V</sub> (S<sub>2,W</sub> \ NP<sub>1,V</sub>)/NP<sub>3,Y</sub> NP<sub>3,Y</sub>

In the next step, each argument category gets a dependency direction marker. In our example, there are two argument categories, NP<sub>1,V</sub> and NP<sub>3,Y</sub>, both arguments of the functor category  $(S_{2,W} \setminus NP_{1,V})/NP_{3,Y}$ . In this case, the functor-argument relation of CCG corresponds exactly to the head-dependent relation of most dependency grammars. We indicate this by binding the top category of every argument category to F, meaning that the functor is the head:

(5) Mary sees John  
 NP<sub>1,F</sub> (S<sub>2,W</sub> \ NP<sub>1,F</sub>)/NP<sub>3,F</sub> NP<sub>3,F</sub>

But the functor is not always the head. Consider the following example:

Remaining category	Head word	New dependency
	3	
$((S_{3,U} \setminus NP_{1,F}) \setminus (S_{2,A} \setminus NP_{E,X})) / NP_{4,A}$	4	$\langle 4, 3 \rangle$
$(S_{3,U} \setminus NP_{1,F}) \setminus (S_{2,A} \setminus NP_{E,X})$	2	$\langle 2, 4 \rangle$
$S_{3,U} \setminus NP_{1,F}$	2	$\langle 2, 1 \rangle$
$S_{3,U}$	2	

Table 1: Conversion of the category of *in* in (7) into dependencies

(6)	Anne	swims	in	Rio
	$NP_{1,R}$	$S_{2,S} \setminus NP_{E,X}$	$((S_{3,U} \setminus NP_{1,R}) \setminus (S_{2,S} \setminus NP_{E,X})) / NP_{4,Y}$	$NP_{4,Y}$

Under this CCG analysis, the preposition *in* is a functor that takes two arguments: first, the NP *Rio*, and second, the VP that it modifies (*swims*). However, in a UD tree, *in* would not be the head of either *Rio* or *swims*. Instead, the preposition should become a dependent of its object (*Rio*), which in turn becomes a dependent of the verb that it modifies (*swims*). Thus, the dependency direction markers of the arguments of *in* are bound to A, indicating that the argument is the head. The other argument categories are still marked F:

(7)	Anne	swims	in	Rio
	$NP_{1,F}$	$S_{2,A} \setminus NP_{E,X}$	$((S_{3,U} \setminus NP_{1,F}) \setminus (S_{2,A} \setminus NP_{E,X})) / NP_{4,A}$	$NP_{4,A}$

Unfortunately, it is not obvious from this representation between which pairs of words dependencies exist. For example, the presence of  $NP_{1,F}$  as an argument category in the category of *in* might suggest that *Anne* depends on *in* when it should depend on *swims*. To correctly convert categories into sets of dependencies, we need to process arguments from outermost to innermost and take into account that the head word we need to attach dependents to changes every time we encounter an A-type dependency.

The process is illustrated in Table 1 for *in*. We start out with word 3 (*in*) itself as the head word, but already the first argument is A-type, so the argument head, word 4, becomes the new head word, and we generate a dependency from it to the former head, word 3. The second argument is A-type as well, so the argument head, word 2, becomes the new head word, and we generate a dependency from it to the former head, word 4. The third argument is F-type, so we generate a dependency from the current head, word 2, to the argument head, word 1. Word 2 remains the final head associated with *in*. Note that when we speak of “argument heads”, we mean the final heads associated with argument categories (more properly: with the words whose categories have the same top category), found by recursively processing them.

### 3 Identifying Modifiers and Function Words

Which arguments to mark with F, and which with A, depends on the style of dependency tree one wishes to obtain. Marking every argument with F would yield very modifier-centric and function-word-centric trees due to CCG’s treatment of adjectives, adverbs, adpositions, conjunctions, etc., as functors. Most dependency grammars, however, prefer a modifiee-centric, and to varying degrees also content-word-centric, style. Universal Dependencies (Nivre et al., 2016) is an example of an especially content-word-centric style, treating function words as dependents of content words whenever possible. SUD (Gerdes et al., 2018) is a variant of UD that differs mainly in being less content-word-centric. Non-UD treebanks show considerable variation as to which function words they treat as heads and which as dependents, cf. Gelling et al. (2012).

Our solution is to mark arguments with F by default, but to define a list of rules that identify modifier and function word categories whose outermost argument category should be marked with A. We group the rules into different modules (one for modifiers, one for determiners, etc.) that can be turned on and off individually, so various dependency styles are supported. We base our rules on the CCG category inventory of the Parallel Meaning Bank (PMB) (Abzianidze et al., 2017), a large quadrilingual corpus of sentences annotated with CCG derivations. Our rule inventory is shown in Table 2, where  $X, Y$  match

Module	Symbols	Semtags	Category
Coordinating conjunctions		NIL QUE GRP COO	$(X \setminus X) / X$
Modifiers			$X   X$
Adjective copulas	be		$(S[X] \setminus NP)   (S[adj] \setminus NP)$ $(S[q] / (S[adj] \setminus NP)) / NP *$
Noun copulas	be		$(S[X] \setminus NP)   NP$ $(S[q] / NP) / NP *$
Adposition copulas	be		$(S[X] \setminus NP)   PP$ $(S[q] / PP) / NP *$
Auxiliaries and modals		NOW PST FUT PRG PFT NEC POS NIL	$(S[X] \setminus NP)   (S[Y] \setminus NP)$ $(S[q] / (S[X] \setminus NP)) / NP *$
Adpositions			$PP   NP$ $(X   X)   Y$
Determiners			$NP / N$ $NP / (N / PP)$
Possessive suffix			$(NP / (N / PP)) \setminus NP$
Subordinating conjunctions			$(S   S)   S[X]$ $(S   S)   (S   NP)$ $((S \setminus NP)   (S \setminus NP))   S[X]$ $((S \setminus NP)   (S \setminus NP))   (S[X]   NP)$ $((S / NP)   (S / NP))   S[X]$ $((S / NP)   (S / NP))   (S[X]   NP)$
Complementizers			$S[em] / S[dcl]$ $(S[to] \setminus NP) / (S[b] \setminus NP)$
Relativizers			$(N \setminus N) / (S[dcl]   NP)$ $(NP \setminus NP) / (S[dcl]   NP)$ $((N \setminus N) / (S[dcl]   NP)) / N$ $((NP \setminus NP) / (S[dcl]   NP)) / N$
Fronted <i>w/h</i> -words			$S[wq] / (S[q] / (S[adj] / NP))$ $S[wq] / X$ $(S[wq] / X) / Y$

Table 2: Simplified rule inventory

anything and  $|$  matches both  $/$  and  $\setminus$ .

Not in all cases are categories sufficient for identifying function words, so we use the PMB annotations of symbols (language-independent generalization of lemmas) and semantic tags in some rules. Copulas, auxiliaries, and modals in verb-first (question) sentences have the problem that our algorithm attaches them to the subject, rather than the complement, due to the inverted order of arguments. We mark them specially and reattach them automatically in postprocessing. The affected categories are marked with an asterisk. The rule inventory shown here is simplified for space reasons. The full inventory is released with our data and code.

#### 4 Case Study: Converting the PMB to UD-style Dependencies<sup>1</sup>

To initially develop and test our conversion algorithm, we annotated parts 00 (for development) and 01 (for testing) of the Parallel Meaning Bank, version 3.0.0. We only used sentences whose annotation status was marked “gold”. One trained annotator annotated the sentences with dependency trees following the Basic UD guidelines, but without labels, indicating only the head for each word. We then converted the PMB CCG derivations to dependency trees using the algorithm presented above, with all modules turned on, and computed the unlabeled attachment score when comparing to the manual annotation. The results, shown in Table 3, are consistently above 90%, suggesting that our conversion basically works, although some discrepancies remain.

We performed a manual error analysis to see what kinds of discrepancies were encountered. In a few cases, the discrepancies have nothing to do with our algorithm but are the result of plain annotation errors in the PMB or attachment ambiguity that the PMB’s annotators and our annotator resolved differently. Unlike UD, the PMB has no strict rules for attaching punctuation, so it sometimes ends up modifying a different constituent from the one that the UD guidelines mandate. There are various relatively rare

<sup>1</sup>Our data and code is available at <https://github.com/texttheater/pmb2tsv>.

	Development				Testing			
	English	German	Italian	Dutch	English	German	Italian	Dutch
<b>Statistics</b>								
Sentences	383	100	73	37	208	35	27	13
Mean length	6.0	5.53	5.1	5.7	6.4	5.4	5.6	5.1
<b>Scores</b>								
UAS	.982	.993	.936	.921	.977	.937	.976	1
<b>Error analysis</b>								
PMB annotation error	1	1						
Attachment ambiguity	3				2	1		
Punctuation	4				1			
Tag question	2							
Embedded question					1			
Date					1			
Subordinating conjunction					1			
Possessive suffix					1	1		
Copula/auxiliary/modal				1	1		1	
Expletive nominal			2	1				
Question verb		3	1					
Inverted copula	1							
Pseudo-copula		1	1	2				
Non-local dependencies	4							

Table 3: Evaluation results and error analysis

categories and constructions that are not yet correctly identified by our rule inventory (sometimes due to arguably inconsistent annotation in the PMB), such as English tag questions, embedded questions, certain date expressions, certain subordinating conjunctions, certain instances of the German and English possessive suffix, certain copulas, auxiliaries, and modals, certain expletive nominals, certain verbs in questions, inverted copulas that have their subject on the right rather than the left, and “pseudo-copulas” where the PMB tags the verb like a copula in constructions like Dutch *gelijk hebben* (“to be right”) or German *Hunger haben* (“to be hungry”). Finally, there are some dependencies that our algorithm cannot extract correctly because they are mediated non-locally via third categories in the PMB annotation. For example, here, the existential *is* mediates the dependency between *person* and *ginger* [PMB 00/0055]:

- (8) There is no person cutting some ginger  
NP[thr] ((S[decl]\ NP)/(S[ng]\ NP))/ NP NP / N N (S[ng]\ NP)/ NP NP / N N

And here, the emphatic *how* mediates the dependency between *slow* and *are* [PMB 00/0778]:

- (9) How slow you are  
(S[decl]/(S[decl]/S[adj]\ NP))/S[adj]\ NP S[adj]\ NP NP (S[decl]\ NP)/(S[adj]\ NP)

## 5 Conclusions

We have presented a general and configurable algorithm to convert CCG derivations into dependency trees, together with a rule inventory for the Parallel Meaning Bank, achieving promising accuracy for conversion to unlabeled Basic UD on English, German, Italian, and Dutch. Compared to the method of Clark et al. (2002), the need for manually marking up all lexical category types in a CCG treebank is reduced because we only have to list the categories of modifiers and function words. However, if our approach was to be extended to dependency schemes with nonlocal dependencies, such as Enhanced UD (Schuster and Manning, 2016), or if labeled dependencies were desired, markup of content words would be required as well. And as our error analysis shows, at least in the PMB, there is a long tail of categories that cannot be discovered by looking at a small sample. Still, the modularity of our approach enables it to support different dependency treebank formats. The work has many possible applications, including feature extraction from CCG parses and cross-framework and cross-language parser evaluation.

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