ArgLegalSumm: Improving Abstractive Summarization of Legal Documents with Argument Mining

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Abstract

A challenging task when generating summaries of legal documents is the ability to address their argumentative nature. We introduce a simple technique to capture the argumentative structure of legal documents by integrating argument role labeling into the summarization process. Experiments with pretrained language models show that our proposed approach improves performance over strong baselines.

1 Introduction

Abstractive summarization has made great progress by leveraging large pretrained language models such as BART (Lewis et al., 2020), T5 (Raffel et al., 2020), Pegasus (Zhang et al., 2020), and Longformer (Beltagy et al., 2020). These models leverage large scale datasets such as CNN-DailyMail (Hermann et al., 2015), PubMed (Cohan et al., 2018), and New York Times (Sandhaus, 2008). Unlike news and scientific texts, which contain specific formatting such as topic sentences and abstracts, legal cases contain implicit argument structure spreading across long texts (Xu et al., 2021). Current abstractive summarization models do not take into account the argumentative structure of the text, which poses a challenge towards effective abstractive summarization of legal documents.

In this work, we bridge the gap between prior research focusing on summarizing legal documents through extracting argument roles of legal text (Grover et al., 2003; Xu et al., 2021; Saravanan and Ravindran, 2010), and prior research focused on producing abstractive summaries of legal text (Feijo and Moreira, 2019; Bajaj et al., 2021). Our work proposes a technique that blends argument role mining and abstractive summarization, which hasn’t been explored extensively in the literature.

Figure 1 describes the main flow of our approach, which decomposes the summarization process into two tasks. First, each sentence in the document is assigned an argument role by using an independent model. Then, the predicted roles are blended with the original document’s sentences and fed into a sequence to sequence-based abstractive summarizer.

Our contributions are as follows: (a) We propose a simple technique to create an argument-aware neural abstractive summarizer. (b) We show the effectiveness of this technique in improving legal document summarization. (c) We make our code 1 and argument role annotations freely available2.

2 Related Work

Legal Document Summarization. Prior research has mainly focused on extractive techniques (Galgani et al., 2015; Anand and Wagh, 2019; Jain et al., 2021), exploiting features such as the document structure and prior knowledge of legal terms to extract salient sentences that represent the summary of the legal text. Recent research has also shifted gears to abstractive techniques due to their superiority to extractive methods on automatic measures such as ROUGE (Feijo and Moreira, 2019). These abstractive techniques benefited from neural models such as pointer generator networks (See et al., 2017) (utilized in legal public opinion summarization (Huang et al., 2020)) and transformer-based se-

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1https://github.com/EngSalem/arglegalsumm
2The data was obtained through an agreement with the Canadian Legal Information Institute (CanLII) (https://www.canlii.org/en/)

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quence to sequence encoder-decoder architectures such as BART (Lewis et al., 2020) and Longformer (Beltagy et al., 2020) (employed to summarize long legal documents (Moro and Ragazzi, 2022)). However, the current abstractive approaches ignore the argumentative structure of the legal text. In our work, we combine both the rich argumentative structure of legal documents and state-of-the-art abstractive summarization models.

**Argument Mining.** Argument mining aims to represent the argumentative structure of a text in a graph structure that contains the argument roles and their relationship to each other. Constructing the graphs usually involves several steps: extracting argument units, classifying the argument roles of the units, and detecting the relationship between different argument roles. Recently, contextualized embeddings were employed to improve argument role labeling (Reimers et al., 2019; Elaraby and Litman, 2021). In many domains, argument roles are classified into *claims, major claims*, and *premises* as proposed in Stab and Gurevych (2014). Alternatively, Xu et al. (2021) proposed to classify the argument roles in legal documents to *Issues, Reasons*, and *Conclusions* which fits the legal text structure. We use the same set of legal argument role labels in our work, and use contextualized embeddings to automatically predict them.

**Argument Mining and Summarization.** Prior research integrating argument mining and summarization has mainly focused on extracting chunks of text that contain *key argument units* (Barker et al.; Bar-Haim et al., 2020; Friedman et al., 2021). Combining argument mining and abstractive summarization has received less attention in the literature. Recently, Fabbri et al. (2021) proposed a dialogue summarization dataset with argument information. In their work, the authors included argument information in abstractive summarization by linearizing the argument graph to a textual format, which is used to train an *encoder-decoder* summarization model. However, their proposed approach didn’t improve over *encoder-decoder* baselines. We propose an alternative method that relies on argument roles only, which shows higher improvements over *encoder-decoder* baselines.

### 3 Dataset and Methods

#### 3.1 Dataset

**Texts.** Our dataset is composed of 1262 legal cases

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[Figure 2: Argumentative sentence % in dataset.](#)

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and summary pairs, obtained through an agreement with the Canadian Legal Information Institute. We split these pairs into training (1006 pairs, about 80%), validation (132 pairs, about 10%) and testing (124 pairs, about 10%) datasets.

**Document Lengths.** The maximum length of our input documents is 26k words, which motivates us to include *encoder-decoder* architectures like Longformer that can encode long documents.

**Argument Role Annotations.** The dataset was annotated prior to our study, using the IRC taxonomy of three legal argument roles described in Xu et al. (2021): *Issues* (legal questions which a court addressed in the document), *Reasons* (pieces of text which indicate why the court reached the specific conclusions), and *Conclusions* (court’s decisions for the corresponding issues). Figure 2 shows the distribution of the percentage of sentences annotated with an argumentative role across the articles and reference human summaries. We can see that while only a small percent of the sentences in the original articles are annotated as argument units, argumentative units dominate the reference summaries. Thus, we hypothesize that augmenting the summarization model with argument roles in the input text should improve the generated summaries.

### 3.2 Methods

**Special Tokens Approach.** We designate special marker tokens to distinguish between different argument roles. In prior research, DeYoung et al. (2021) used markers such as `<evidence>`, `/evidence>` to highlight evidence sentences in summarizing medical scientific documents, while Khalifa et al. (2021) used `<neg>`, `/neg>` to mark negation phrases in dialogue summarization. However, we explore the impact of changing token granularity by exper-
He also found “on the strong balance of probabilities,” that the late Mrs. Scott intended to make an inter vivos gift to Ms. Akerley.  

Mr. Comeau appeals, arguing that the probate court judge erred:

Table 1: Different special tokens for argument roles.

<table>
<thead>
<tr>
<th>Argument Role</th>
<th>Token</th>
</tr>
</thead>
<tbody>
<tr>
<td>Issue</td>
<td>&lt;IRC&gt;</td>
</tr>
<tr>
<td>Reason</td>
<td>&lt;/IRC&gt;</td>
</tr>
<tr>
<td>Conclusion</td>
<td>&lt;/IRC&gt;</td>
</tr>
<tr>
<td>Argumentation</td>
<td>&lt;/IRC&gt;</td>
</tr>
</tbody>
</table>

Sentence-level Argument Role Mining. Our data’s argument role annotation is at the sentence level, thus, we perform sentence-level classification experiments using the same data splits employed in summarization to detect argument roles. We experiment with several contextualized embedding-based techniques, namely BERT (Devlin et al., 2019), RoBERTa (Liu et al., 2019), and legalBERT (Zheng et al., 2021). We employ these models to predict sentences’ argument roles (issues, reasons, conclusions, or non-argumentative). Figure 3 shows that legalBERT achieved the best classification results. We achieved a macro average F1 of 63.4% in argument role classification and 71% in binary classification using legalBERT. Thus, we rely on its predictions to integrate argument roles into summarization below.

4 Experiments and Results

Our experiments are conducted in two settings: assuming argument roles are manually labeled (which we refer to as oracle) versus predicting argument role labels (referred to as predicted).

4.1 Baselines

We compare our proposed argument-aware summarization method to two sets of baselines:

- Extractive Baseline. We employ the unsupervised method of Miller (2019). The model leverages BERT embeddings and k-means to extract salient sentences based on their proximity to cluster centroids.

- Abstractive Baselines. Vanilla BART-Large refers to finetuning BART-large on our dataset. For Vanilla LED-base, similarly to BART, the model is finetuned using Longformer-base checkpoint.

4.2 Results and Discussion

Table 2 evaluates the results of the different summarization models using Rouge-1, Rouge-2, and Rouge-L scores. We refer to BART and Longformer augmented with argument roles as arg-BART-Large and arg-LED-base, respectively. We use 2 markers to denote the use of binary special tokens (i.e.; <IRC>, </IRC>) and 6 markers to refer to the full set of argument role tokens. We include two markers sets to examine whether it’s necessary to include explicit argument roles or if it’s sufficient to highlight argumentative text only.

We first evaluate the models augmented with the manually labeled argument roles to examine whether adding argument information has the potential to improve over the baselines. The oracle results in Table 2 show that arg-LED-base improves performance in terms of Rouge-1, Rouge-2, and Rouge-L (Lin, 2004) by approximately 1, 4, and 1.5 points, respectively, over the vanilla LED-base baseline when using the 6 markers. The 2 markers set showed marginal improvements on Rouge-1 and Rouge-L, but showed 4 Rouge-2 points improvement over the baseline. These results indicate...
Table 2: Summarization results on the test set. Best results **bolded**. Best results using predicted roles *italicized*.

<table>
<thead>
<tr>
<th>Setting</th>
<th>Experiment</th>
<th>Model</th>
<th>Rouge-1</th>
<th>Rouge-2</th>
<th>Rouge-L</th>
</tr>
</thead>
<tbody>
<tr>
<td>Baselines</td>
<td>Unsupervised Extractive BERT</td>
<td>37.71</td>
<td>14.77</td>
<td>36.41</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Vanilla BART-Large</td>
<td>47.93</td>
<td>22.34</td>
<td>44.74</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Vanilla LED-base</td>
<td>49.56</td>
<td>22.75</td>
<td>46.48</td>
<td></td>
</tr>
<tr>
<td>Oracle</td>
<td>arg-BART-Large</td>
<td>BART-Large + 2 markers</td>
<td>47.11</td>
<td>21.77</td>
<td>43.12</td>
</tr>
<tr>
<td></td>
<td></td>
<td>BART-Large + 6 markers</td>
<td>46.80</td>
<td>22.14</td>
<td>44.14</td>
</tr>
<tr>
<td></td>
<td>arg-LED-base</td>
<td>LED-base + 2 markers</td>
<td>49.64</td>
<td><strong>26.81</strong></td>
<td>46.70</td>
</tr>
<tr>
<td></td>
<td></td>
<td>LED-base + 6 markers</td>
<td><strong>50.53</strong></td>
<td>26.31</td>
<td><strong>47.90</strong></td>
</tr>
<tr>
<td>Predicted</td>
<td>arg-LED-base</td>
<td>LED-base + 2 markers</td>
<td>49.50</td>
<td>26.46</td>
<td>46.60</td>
</tr>
<tr>
<td></td>
<td></td>
<td>LED-base + 6 markers</td>
<td><strong>50.23</strong></td>
<td>26.29</td>
<td><strong>47.49</strong></td>
</tr>
</tbody>
</table>

Table 3: Comparing Longformer (LED) summaries with sentences labeled as argumentative in reference summary.

<table>
<thead>
<tr>
<th>Model</th>
<th>Rouge-1</th>
<th>Rouge-2</th>
<th>Rouge-L</th>
<th>Mean Summary Length</th>
</tr>
</thead>
<tbody>
<tr>
<td>Vanilla LED-base</td>
<td>48.25</td>
<td>21.60</td>
<td>44.88</td>
<td>267</td>
</tr>
<tr>
<td>arg-LED-base + 2 markers</td>
<td>50.43</td>
<td><strong>27.76</strong></td>
<td>47.05</td>
<td>156</td>
</tr>
<tr>
<td>arg-LED-base + 6 markers</td>
<td><strong>50.73</strong></td>
<td>27.29</td>
<td><strong>47.30</strong></td>
<td>174</td>
</tr>
</tbody>
</table>

Cate that representing argument roles using fine-grained labels is the most effective in improving LED model output. In contrast, **arg-BART-Large** didn’t show improvements over the vanilla BART-Large baseline. We hypothesize that this is due to the sparsity of the argumentative sentences in the input documents (recall Figure 2). Since Longformer can encode more words, it was likely able to capture more argument markers added to the input, increasing the model’s ability to grasp the argument structure of the legal text. To validate this hypothesis, we analyze the positions of each argument role across the input articles. Figure 4 shows that the argument roles are distributed across the article and not centered around a unique position. This aligns with our hypothesis that the Longformer’s encoding limit (blue dashed line) can cover significantly more roles when compared to the BART’s encoding limit (red dashed line).

Next, we evaluate the summarization using **predicted argument roles** obtained from our classifier (Section 3.2). We evaluate the Longformer summarization model only, since BART didn’t show oracle improvements. The last two rows of Table 2 (the predicted results) show that including predicted argument roles showed consistent improvements with the manually labeled ones (oracle). The results showed a minimal drop in Rouge scores ranging from 0.02 – 0.41 points when using the predicted argument roles both in the 6 markers and 2 markers cases, which indicates the effectiveness of our approach in practical scenarios.

Finally, to estimate the argumentativeness of the LED-based (oracle) summaries, we evaluate them against a summary containing only the sentences manually annotated as an IRC sentence in the reference summary. Table 3 shows that adding argument role markers increases the overlap between the generated summaries and the argumentative sentence subset from the reference summaries, suggesting that our proposed model’s gains are mainly obtained from an increase in argumentativeness of the generated summaries. The generated summaries from our **arg-LED-base** are lower in length compared to the baseline. We hypothesize that this is due to the focus on argument roles mainly, discarding some of the non-argumentative content.

5 Conclusion and Future Work

We proposed to utilize argument roles in the abstractive summarization of legal documents to accommodate their argumentative structure. Our experiments with state-of-the-art encoder-decoder models showed that including argument role information can improve the ROUGE scores of summarization models capable of handling long

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7See Appendix C for an illustrative example.
documents. Specifically, improved results were achieved using Longformer with input documents augmented with argument roles (highlighted using special marker tokens), and this finding was robust across two special token schemes. We also showed that using predicted argument roles showed consistent improvements to using the manually labeled ones. In future work, we plan to explore methods to unify argument mining and summarization to reduce the computational resources necessary to host two models.

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References


Emma Barker, Monica Paramita, Adam Funk, Emina Kurtic, Ahmet Aker, Jonathan Foster, Mark Hepple, and Robert Gaizauskas. What’s the issue here?: Task-based evaluation of reader comment summarization systems.


A Data statistics

A.1 Length statistics

Figure 5 shows the distribution of document and summary lengths. The summaries’ lengths are centered around a mean of 255 words, with a maximum length of 850 words. The 90th percentile of summary length is 490 words. Thus we chose the maximum length of generated summary in our hyperparameters to be set to 512 words. Unlike the summaries, the documents are more spread across the distribution. In our analysis, we found that the mean document length is 4180 words, while the maximum document length is 26235 words.

A.2 Argument role distribution

While they are essential to legal cases, argument roles represent a small percentage of the document. Figure 6 shows the high imbalance of the manually annotated argumentative versus non-argumentative sentences in our training set, which poses a challenge in building a sentence level classifier of argument roles. In our analysis we found that the non-argumentative sentences count is approximately 1000× the argumentative sentences, which we use to adjust class weights in our learning objective.

B Training details and hyperparameters

All experiments use the model implementations provided in the Huggingface library (Wolf et al., 2019). We initialize all our models with the same learning rate of $2e^{-5}$. We train both our summarization and argument role classification models for 10 epochs with early stopping with 3 epoch patience. For training summarization models, we set the maximum summary length to 512 words. We truncate the input length to 1024 words for the BART model while truncating the input length to 6144 words for the Longformer due to our GPU limitation. We pick our best model based on its ROUGE-2 (Lin, 2004) score on the validation set. For the classification models introduced in Section 3.2, due to the high imbalance of our argumentative labels, we introduce fixed class weights to our cross-entropy loss. For argumentative sentences, we modify the cross-entropy weight to be 1000 compared to 1 for non-argumentative sentences. We chose these weights based on label distribution in our training set described in Figure 6. Our best model is chosen based on the F1 score on the validation set.

C Effect of argument roles on generated summaries

Table 4 shows an example of generated summaries with adding special tokens and without the special tokens.

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8We use Quadro RTX 5000 which has 16 GB RAM.
The plaintiffs claim for crop damage caused by the defendant’s cattle entering the plaintiff’s canola field. The defendant denies he is responsible or negligent, but admits his cattle were in the field. HELD: Damages were awarded in the amount of $2,533.45. The court valued the loss at $3,052.36. It then deducted 2% attributed to wild animal damage, 5% for the plaintiffs failure to calculate actual yield from the rest of the crop, 5% that the plaintiffs would have paid in dockage and 5% for the cost of production. Civil liability for crop damage caused by cattle flows from the Stray Animals Act. A cattle owner is strictly liable for damages caused by his straying cattle. This liability may possibly even be absolute and only an act of god may serve as a defence. The issue here is the quantum of the damage. The fact that the defendant was refused access to the plaintiff’s property to repair the fence has no bearing on liability in this case. An independent adjuster assessed the damage at 557 bushels. The court found on the facts that the damage was caused exclusively by the defendant’s cattle on several occasions.

<table>
<thead>
<tr>
<th>Reference summary</th>
<th>Vanilla LED-base</th>
<th>arg-LED-base &quot;6 markers&quot;</th>
</tr>
</thead>
<tbody>
<tr>
<td>The plaintiffs claim for crop damage caused by the defendant’s cattle entering the plaintiff’s canola field. The defendant denies he is responsible or negligent, but admits his cattle were in the field. HELD: Damages were awarded in the amount of $2,533.45. The court valued the loss at $3,052.36. It then deducted 2% attributed to wild animal damage, 5% for the plaintiffs failure to calculate actual yield from the rest of the crop, 5% that the plaintiffs would have paid in dockage and 5% for the cost of production. Civil liability for crop damage caused by cattle flows from the Stray Animals Act. A cattle owner is strictly liable for damages caused by his straying cattle. This liability may possibly even be absolute and only an act of god may serve as a defence. The issue here is the quantum of the damage. The fact that the defendant was refused access to the plaintiff’s property to repair the fence has no bearing on liability in this case. An independent adjuster assessed the damage at 557 bushels. The court found on the facts that the damage was caused exclusively by the defendant’s cattle on several occasions.</td>
<td>The plaintiff’s claim against the defendant is for crop damage sustained as a result of the defendant’s cattle getting into the plaintiff’s canola field in late July 1999. The plaintiff admits that his cattle were in the Plaintiff’s crop on one occasion, but says that there is no evidence that their cattle are in the canola on any other occasion, and that such loss as was caused by his cattle is minimal. HELD: Judgment in the amount of $2,533.45 was granted in favour of the plaintiffs, together with pre-judgment interest from January 2000 and costs. Under both the present s.27 (1) and the pre-1977 statutes, the legal situation was the same – the cattle owner is strictly liable for damage caused by his straying cattle.</td>
<td></td>
</tr>
</tbody>
</table>

Table 4: Example of generated summaries with Vanilla LED-base and arg-LED-base versus reference summary.