# Multilingual SubEvent Relation Extraction: A Novel Dataset and Structure Induction Method

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#### Abstract

Subevent Relation Extraction (SRE) is a task in Information Extraction that aims to recognize spatial and temporal containment relations between event mentions in text. Recent methods have utilized pre-trained language models to represent input texts for SRE. However, a key issue in existing SRE methods is the employment of sequential order of words in texts to feed into representation learning methods, thus unable to explicitly focus on important context words and their interactions to enhance representations. In this work, we introduce a new method for SRE that learns to induce effective graph structures for input texts to boost representation learning. Our method features a word alignment framework with dependency paths and optimal transport to identify important context words to form effective graph structures for SRE. In addition, to enable SRE research on non-English languages, we present a new multilingual SRE dataset for five typologically different languages. Extensive experiments reveal the state-of-the-art performance for our method on different datasets and languages.

#### 1 Introduction

In Information Extraction (IE), events are defined as things that happen/occur (Pustejovsky et al., 2003) or changes of state of real world entities (Walker et al., 2006). Due to their complexity, a general event (i.e., superevent) can involve multiple other events with finer granularity (i.e., subevents) that can be altogether mentioned in text to present necessary details (e.g., a *war* can contain multiple *attacks*, which, in turn, can contain different bombing events). To this end, our paper studies the problem of subevent relation extraction (SRE): given two event mentions in a document, a model needs to predict if one even is a part/subsevent of the other one. Following previous work (Glavaš et al., 2014), our SRE problem requires that a subevent relation is only established if the subevent is both spatially and temporally contained in the superevent. Accordingly, SRE systems will need to effectively model document context to infer spatiotemporal evidences for subevent reasoning. Among others, SRE finds its important applications in summarization (Filatova and Hatzivassiloglou, 2004) and information retrieval (Glavaš and Šnajder, 2013).

To encode document context, existing models (Wang et al., 2020; Trong et al., 2022) have leveraged pre-trained language models, i.e., RoBERTa (Liu et al., 2019), to obtain representations for input documents for subevent prediction. However, an issue of existing SRE methods is that they only rely on the sequential format of documents (i.e., sequence of sentences/words) for representation learning. On the one hand, the sequential format does not provide mechanisms to highlight the most important context words or avoid irrelevant ones in input documents, potentially introducing noisy information in the representations for SRE. Further, due to the sequential nature of input texts, current SRE models cannot exploit effective structures/graphs that directly connect important context words to improve representation learning for SRE.

Motivated by recent works on relation extraction between entities (Zhang et al., 2018; Gupta et al., 2019; Sahu et al., 2019), one approach to improve sequential representation of input texts for SRE can be based on dependency trees of sentences (i.e., graph-based structures) where dependency paths (DP) between two input entity mentions have been shown to capture important context words. In particular, to adapt this idea to document level with multiple sentences, (Gupta et al., 2019) obtains dependency trees for each sentence whose roots are linked together to obtain connected dependency graphs for input documents. Afterward, the dependency graphs for documents are prune to preserve only the words along the dependency paths between two input mentions (called in-DP words)

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for representation learning. However, for our SRE problem, important context words for subevent prediction can also be distributed outside the dependency paths, thus necessitating further techniques to identify other important words and connect them with the in-DP words to form better graph structures to represent input texts for SRE. For example, in the input text "They implemented the proposal early last year. Following the plan, the performers collected data and developed frameworks to monitor human trafficking for the first step of the proposal.", "developed" is a subevent of the "implemented" event for which the DP is "implemented  $\rightarrow$  collected  $\rightarrow$  developed". However, the word "proposal", which is important to connect "implemented" and "developed" to the same target for subevent recognition, is not included in the DP in this case. For convenience, we use non-DP words to refer to the words that do not belong to the DPs between two input event mentions for SRE.

In previous work, in-DP words can be extended to find additional important context words for relation prediction by including non-DP words close to the DPs in the dependency graphs (Zhang et al., 2018) (i.e., based on syntactic distances). As such, this method does not consider contextual semantics of the words that can provide richer information for important word selection for SRE. To address this issue, we propose to leverage both syntactic and semantic evidences to determine the importance of a non-DP word for inclusion into the graph structure to represent input text for SRE. For syntactic information, we expect a word to be more important for subevent prediction if it is closer to the input event mentions in the dependency graphs. In addition, for semantic information, our intuition is to promote non-DP words that are more similar/related to in-DP words contextually to enhance the induced representations for SRE. However, combining syntactic and semantic similarities to compute overall importance scores to compare non-DP words is a non-trivial problem due to the different nature of the information. To this end, motivated by in-DP words as the anchors to induce graph structure representations for input texts, we propose to cast the problem of combining syntactic and semantic similarities to select important non-DP words into finding an optimal alignment between non-DP and in-DP words. A non-DP word is considered to be important for SRE and retained in the induced graph structures for input texts if it is aligned

with one of the in-DP words. In this way, our approach facilitates the application of Optimal Transport (OT) methods to effectively integrate syntactic and semantic information into a single joint optimization problem to obtain the optimal alignment for non-DP word selection for SRE. In particular, to adapt to the goal of aligning two groups of points based on their transportation costs and distributions in OT, we will leverage semantic similarity to obtain transportation costs while syntactic distances in dependency graphs will be used to compute the distributions for in-DP and non-DP words to perform word alignment for SRE. The resulting word alignment will then be used to select important non-DP words and construct graph structures to learn representations for subevent prediction.

We evaluate our method over HiEve (Glavaš et al., 2014) and Intellgience Community (IC) (Hovy et al., 2013), popular public datasets for SRE. However, an issue with prior datasets and methods for SRE is that they are only developed and evaluated over English data. As such, a critical question for the generalization of SRE methods to non-Enlgish languages has not been explored in the literature. To address this issue, we further present a new multilingual dataset for SRE (called mSubEvent) for five languages, i.e., English, Danish, Spanish, Turkish, and Urdu, to enable future research in multilingual learning for SRE. Our dataset follows the annotation guidelines in HiEve to make it consistent with prior SRE work, introducing a large SRE dataset with more than 46K event mentions and 3.9K subevent relations for model development. We conduct extensive experiments over HiEve and our new dataset mSubEvent to demonstrate the effectiveness of the proposed method with state-of-the-art performance for SRE. Our experiments cover both monolingual learning (i.e., training and test data are from the same language) and cross-lingual transfer learning evaluation (i.e., training and test data comes from different language), thus highlighting the generalization across languages of the proposed method for SRE. To our knowledge, this is the first work that explores multilingual data and cross-lingual learning for SRE. Finally, we will publicly release the new mSubEvent dataset to provide baselines and resources for future research in this area.

# 2 Model

Following prior work (Trong et al., 2022), we utilize pairwise classification to formulate SRE. Given a document  $D = [w_1, w_2, \ldots, w_n]$  (of nwords) with  $w_{e_1}$  and  $w_{e_2}$  as two input event mentions/triggers, a SRE model needs to classify the relation between  $w_{e_1}$  and  $w_{e_2}$  according to one of the three types for subevents, i.e., PARENT-CHILD, CHILD-PARENT, and NOREL. Here, the NOREL type is to indicate no subevent relation.

**Input Encoding**: In the first step, our model feeds the input document D into a pre-trained language model (PLM), i.e., RoBERTa (Liu et al., 2019), to obtain a representation vector  $v_i$  for each word  $w_i \in D$ . Here, we utilize the hidden vectors in the last transformer layer where vectors for the wordpieces in  $w_i$  are averaged to compute  $v_i$ . For convenience, let  $V = v_1, v_2, \ldots, v_n$  be the sequence of representation vectors for the words in D. Note that if the length of the input document exceeds the length limit in PLMs (i.e., 512 sub-tokens), we split the document into smaller segments to fit into the limit and run PLM over each segment separately to obtain the representations in V.

Structure Induction: As presented in the introduction, our method aims to transform the sequential format of D into a graph representation that can better capture important context and structures for representation learning for SRE. Motivated by the dependency path between  $w_{e_1}$  and  $w_{e_2}$  to capture important context for relation prediction (Zhang et al., 2018; Gupta et al., 2019), we first build a dependency graph T for D to initialize our graph construction process. In particular, we obtain dependency trees for the sentences in the document and connect the roots of the trees for consecutive sentences to create T. We leverage the Trankit toolkit (Nguyen et al., 2021) to generate dependency trees and ignore directions in the edges of the trees in the computation. As such, a property of the non-DP words in T is that they can involve both important and irrelevant context words for our subevent prediction problem (as demonstrated in the introduction). Accordingly, to compute an effective graph structure for D for SRE, our goal is to prune the dependency graph T so that only important context words are retained (i.e., removing irrelevant works). Using in-DP words in T as the anchor (i.e., presumably with important context), we aim to further select non-DP words that involve important context to perform the pruning of T for

SRE. To this end, we propose to cast the non-DP word selection problem into an alignment problem between non-DP and in-DP words in which a non-DP word is considered as important for subevent prediction if it is aligned with one in-DP word in the alignment (i.e., extending the anchor in-DP words). To compute the alignment between the words for SRE, we propose to model both syntactic and semantic similarities between non-DP and in-DP words where Optimal Transport (OT) (Peyre and Cuturi, 2019) is leveraged to facilitate the information combination for optimal alignment computation.

**Optimal Transport**: OT is an established method to find the optimal plan to transform one distribution to another. Given two distributions p(x) and q(y) over discrete domains  $\mathcal{X}$  and  $\mathcal{Y}$  (respectively), and the cost function  $C(x, y) : \mathcal{X} \times \mathcal{Y} \to \mathbb{R}_+$ to map  $\mathcal{X}$  into  $\mathcal{Y}$ , OT finds the optimal joint alignment/distribution  $\pi^*(x, y)$  (over  $\mathcal{X} \times \mathcal{Y}$ ) with marginals p(x) and q(y), i.e., the cheapest transportation from p(x) to q(y), by solving the following problem:

$$\pi^*(x,y) = \min_{\pi \in \Pi(x,y)} \sum_{\mathcal{Y}} \sum_{\mathcal{X}} \pi(x,y) C(x,y) dx dy$$
  
s.t.  $x \sim p(x)$  and  $y \sim q(y)$ , (1)

where  $\Pi(x, y)$  involves all joint distributions with marginals p(x) and q(y). Here, the distribution  $\pi^*(x, y)$  is a matrix whose entry (x, y) captures the probability of transforming the data point  $x \in$  $\mathcal{X}$  to  $y \in \mathcal{Y}$  for the conversion of p(x) to q(y). Note that to obtain a hard alignment between data points  $\mathcal{X}$  and  $\mathcal{Y}$ , we can align each row of  $\pi^*(x, y)$ with the column with the highest probability, i.e.,  $y^* = \operatorname{argmax}_{y \in \mathcal{Y}} \pi^*(x, y)$  for all  $x \in \mathcal{X}$ .

To adopt OT to solve our non-DP word selection problem, we propose to treat the in-DP words in T as the data points for domain  $\mathcal{Y}$  while the non-DP words will be used for domain  $\mathcal{X}$ . As such, OT facilitates the integration of syntactic and semantic similarities into the computation of optimal alignment between in-DP and non-DP words by leveraging these information to compute the transformation cost function C(x, y) and the probability distributions p(x) and p(y). In particular, to compute p(x) and q(y) for  $x \in \mathcal{X}$  and  $y \in \mathcal{Y}$ , we use syntactic distances of the words to the input event mentions. Formally, for each word  $w_i \in D$ , we obtain the lengths of the paths that connect  $w_i$ with the input event mentions  $w_{e_1}$  and  $w_{e_2}$  in the dependency graph T, i.e.,  $d_i^1$  and  $d_i^2$ , respectively. The syntactic importance of  $w_i$  for SRE is then determined by  $syn(w_i) = \max(d_i^1, d_i^2)$ . Afterward, the distributions p(x) and p(y) can be obtained by normalizing the syntactic importance scores (with softmax) for the words in the corresponding sets of  $\mathcal{X}$  and  $\mathcal{Y}$ . Next, for the transportation cost C(x, y), we leverage the contextual semantics for the words x and y, measured by the Euclidean distance between their representation vectors  $v_x$  and  $v_y$  (i.e., in V), i.e.,  $C(x, y) = ||v_x - v_y||$ .

In addition, to aid the selection of non-DP important words, we introduce an extra data point, called NIL, to the in-DP set  $\mathcal{Y}$  so non-DP words in  $\mathcal{X}$  aligned with NIL will be considered irrelevant and excluded from T for graph structure induction for SRE. As such, the representation for NIL is computed using average of the representation vectors of the in-DP words in  $\mathcal{Y}$  (i.e., to used for the transportation cost C(x, y)). Also, we utilize the average syntactic importance scores for the words in  $\mathcal{X}$  to serve as the syntactic score syn(NIL) for NIL (the distribution p(x) can be obtained accordingly). In this way, solving Equation 1 returns the optimal alignment  $\pi^*(x, y)$  that can provide hard alignment for the data points in  $\mathcal{X}$  and  $\mathcal{Y}^1$ . Let I be the subset of non-DP words in  $\mathcal{X}$  that are not aligned with NIL in  $\mathcal{Y}$  according to  $\pi^*(x, y)$ (i.e., irrelevant words). To this end, to prune the dependency graph T for SRE, we can eliminate the words in I from T to produce a new graph that only involves induced important context words for subevent prediction. However, as the resulting graph might be disconnected, we further retain the words in the paths between any word in I and the input event mentions (i.e.,  $w_{e_1}$  and  $w_{e_2}$ ), generating a new graph T' to serve as our induced graph structure to represent the input document for SRE.

In the next step, given the induced structure T', we feed it into a Graph Convolutional Network (GCN) (Kipf and Welling, 2017; Nguyen and Grishman, 2018) to learn richer representation vectors for the words in T'. The representation vectors from the PLM (i.e., in V) serve as the inputs for GCN. As such, the induced hidden vectors in the last layer of GCN are denoted by  $V' = v'_{i_1}, \ldots, v'_{i_{|T'|}}$ . Finally, we obtain an overall representation vector A for D for SRE via the concatenation:  $A = [v'_{e_1}, v'_{e_2}, \max\_\text{pool}(v'_{i_1}, \ldots, v'_{i_{|T'|}})]$  where  $v'_{e_1}$  and  $v'_{e_2}$  are the GCN-induced repre-

sentation vectors in V' for the input event mentions  $w_{e_1}$  and  $w_{e_2}$ . The representation A will then be sent into a feed-forward network FFwith softmax in the end to compute a distribution  $P(\cdot|D, w_{e_1}, w_{e_2}) = FF(A)$  over the possible subevent relations. The negative log-likehood function over  $P(\cdot|D, w_{e_1}, w_{e_2})$  will be used to train our SRE model in this work.

#### **3** Data Annotation

There exist several datasets with subevent relation annotation, including HiEve (Glavaš et al., 2014), IC (Hovy et al., 2013; Araki et al., 2014), and RED (O'Gorman et al., 2016). However, these datasets are only annotated for English data, thus unable to evaluate the generalization of models across multiple languages. To better evaluate the proposed model and enable future research on multilingual SRE, we introduce the first multilingual dataset (called mSubEvent) for SRE that provides human annotation for five typological different languages, i.e., English, Danish, Spanish, Turkish, and Urdu. The rest of this sections describes our annotation schema, data collection, and annotation efforts.

Annotation Scheme: A dataset for SRE needs to provide annotations for two tasks, i.e., event mention and subevent relation extraction. As such, we inherit the well-designed annotation guidelines from existing benchmark datasets for both tasks to be consistent with prior work. In particular, we employ the annotation guideline and definition for event mentions from the popular ACE-2005 dataset (Walker et al., 2006). As our dataset focuses on subevent relations, we only annotate event mention spans and do not provide event types to reduce annotation cost. We allow event mentions to span multiple consecutive words in a sentence to flexibly handle different languages. In addition, for subevent relation annotation, we follow the guidelines from HiEve (Glavaš et al., 2014), a popular dataset for SRE. Following recent work (Wang et al., 2020), our dataset assigns a relation label for each pair of annotated event mentions in a document using three labels, i.e., PARENT-CHILD, CHILD-PARENT, and NOREL.

**Data Collection & Preparation**: To enable public release of our dataset, we collect documents for annotation from Wikipeda of the five intended languages. In particular, we obtains document from five event-intensive topics/categories in Wikipedia, including aviation accidents, railway accidents, nat-

<sup>&</sup>lt;sup>1</sup>We employ the entropy-based approximation of OT and solve it with the Sinkhorn algorithm (Peyre and Cuturi, 2019).

Language	Event	Relation
English	0.92	0.96
Danish	0.68	0.83
Spanish	0.84	0.78
Turkish	0.69	0.66
Urdu	0.65	0.88
Average	0.75	0.82

Table 1: Kappa agreement scores.

Language	#Docs	#Events	#Rels	#Cross
English	438	8,732	841	8.7%
Danish	519	6,909	904	36.1%
Spanish	746	11,839	545	22.0%
Turkish	1,357	14,179	1,068	64.4%
Urdu	531	4,975	586	27.3%
Total	3,591	46,634	3,944	34.7%

Table 2: Statistics of our mSubEvent dataset. #Rels represents the number of subevent relations while #Cross indicate the percentage of subevent relations that involve event mentions in different sentences.

ural disasters, conflicts, and economic crisis. To do that, we exploit the category hierarchy in Wikipedia where a category involves a group of finer topic subcategories. Given the initial list of five categories, we crawl articles associated with the categories and their descendants (i.e., subcategories, subsubcategories) up to a hierarchy depth of 6. Here, by exploiting the interlinks across languages, we are able to retrieve Wikipedia articles in non-English languages for the chosen categories. In the next step, the crawled articles are then cleaned by removing markup elements (e.g., lists, tables, images). Finally, the articles are split into sentences and tokenized into words by Trankit (Nguyen et al., 2021), a multilingual NLP toolkit.

Annotating Wikipedia articles can be challenging and overwhelming as the articles tend to be long and the number of possible mention pairs grows quadratically with respect to the number of event mentions in a document. As such, to facilitate the annotators, we follow prior practices for event annotation (Mostafazadeh et al., 2016; Ebner et al., 2020) to split the cleaned articles into shorter chunks that contain five consecutive sentences (called documents in this work). In this way, the annotators only need to process a shorter document at a time to improve their attention and quality of annotated data.

Human Annotation: We hire annotators from

upwork.com, a global crowdsourcing platform. We only consider candidates who are native speakers in our target languages and fluent in English. These information are provided in the annotators' profile in the platform. The candidates are provided with annotation guidelines and instructions for annotation interface, i.e., based on the BRAT annotation tool in our case (Stenetorp et al., 2012). Afterward, the candidates are invited to perform a designed test for both event mention and subevent relation annotation. For each language, the top two candidates are chosen for the annotation job.

We divide our annotation task into two steps for event mention and subevent relation annotation. For each language, we annotate subevent relations over the outputs from event mention annotation (i.e., after event mention annotation has been completed and finalized for all documents). Given a sample of selected documents for a language, for each step, the two annotators for that language independently annotate event mentions/subevent relations for the documents. Each annotator will completely annotation one document at a time. Afterward, the annotation conflicts are presented to the annotators for further discussion and revision to produce the final version of annotated documents for the current task. This helps to achieve high agreement and consistency for our dataset.

Data Analysis: Table 1 shows our Kappa scores for annotation agreements of event mention and subevent relation annotation over five languages. Note that these scores are computed by comparing the independent annotations of the annotators over the documents (i.e., before the discussion to resolve conflicts). As can be seen, the scores are very close to either substantial or almost perfect agreement for all the tasks and languages, thus demonstrating high quality of our multilingual SRE dataset. We also find that non-English languages tend to have lower annotation agreement scores for both annotation tasks, thus highlighting the challenges of SRE for non-English languages that necessitate further research effort in this area. In addition, Table 2 show major statistics. The #Cross column in the table shows that all languages in our dataset involve event mentions in different sentences for the subevent relations (i.e., cross-sentence relation), thus necessitating document-level context modeling. Among the five languages, English has the smallest percentage for cross-sentence relation that further reveals the challenge of SRE for non-

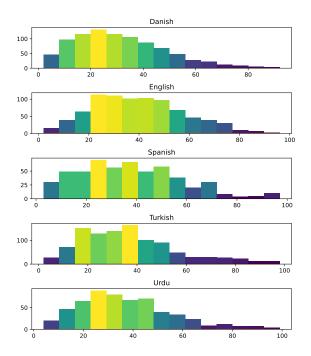


Figure 1: Distributions of distances between two event mentions with subevent relations. Distances are measured via the number of words.

English languages.

To provide more insight for our multilingual SRE dataset mSubEvent, Figure 1 shows the distributions of distances between two event mentions with subevent relations for five languages in mSubEvent. As can be seen, a majority of event mention pairs are 10 to 50 words away from each other in the documents, suggesting diverse levels of context information between event mentions that must be captured by SRE models for mSubEvent.

# 4 **Experiments**

Datasets & Hyper-Parameters: Similar to prior work (Wang et al., 2020, 2021; Trong et al., 2022), we evaluate our proposed model with optimal transport (called OT-SRE) on the popular datasets for SRE, i.e., HiEve (Glavaš et al., 2014) and Intelligence Community (IC) (Hovy et al., 2013). In particular, HiEve provides subevent and coreference relation annotation for events over 100 news articles using four relation labels, i.e., PARENT-CHILD, CHILD-PARENT (for subevents), COREF (for coreference), and NOREL (for no relation). To make it comparable, we utilize the same data split and setting as the current work with best-reported performance for HiEve (Wang et al., 2020; Trong et al., 2022), featuring 80 documents for training (2,423 subevent

relations and 0.4 probability for down-sampling of negative examples) and 20 documents for testing (817 subevent relations). For IC, it also annotates 100 news articles for four subevent and coreference relations as in HiEve. Following the same setting in the current state-of-the-art method for IC (Wang et al., 2021), we discard relations with implicit event mentions and compute transitive closure for both subevent relations and coreference to obtain annotation for all event mention pairs as in HiEve (Glavaš et al., 2014). Also, IC is divided into three portions with 60/20/20 documents for training/development/test data respectively.

In addition, we evaluate the SRE models on the new multilingual dataset mSubEvent to provide baselines for future research. Here, we randomly split the documents for each language in mSubEvent into three separate parts with a ratio of 3/1/1 for training, development, and test data (respectively). We will use mSubEvent to evaluate SRE models in both monolingual and cross-lingual transfer learning experiments.

We fine-tune the hyper-parameters for our OT-SRE model over English development data of mSubEvent and apply the selected values for all experiments for consistency. In particular, the selected hyper-parameters for our model include: 2 layers for the GCN and feed-forward (i.e., FF) models with 512 dimensions for the hidden vectors, 5e-5 for the learning rate with Adam optimizer, and 16 for the batch size. Finally, we utilize the the RoBERTabase model (Liu et al., 2019) to encode input texts for HiEve as in prior work (Wang et al., 2020; Trong et al., 2022). For mSubEvent, we use the multilingual pre-trained language models (base versions), i.e., mBERT (Devlin et al., 2019) and XLMR (Conneau et al., 2020), for multilingual text encoding.

**Baselines**: For HiEve, we compare our proposed SRE model with the following baselines using the same data setting: **StructLR** (Glavaš et al., 2014) with feature engineering, **TacoLM** (Zhou et al., 2020) with temporal common sense knowledge, **Joint** (Wang et al., 2020) with joint subevent and temporal relation extraction, **EventSeg** (Wang et al., 2021) with event-based text segmentation, and **SCS** (Trong et al., 2022) with selection of best context sentences for SRE. Similarly, for IC, we consider **Joint**, **EventSeg**, and **SCS** for the baselines. Note that **SCS** and **EventSeg** have the stateof-the-art (SOTA) performance for HiEve and IC

Model	F1 score					
Widder	PC	СР	Avg			
HiEve	HiEve					
StructLR (Glavaš et al., 2014)	52.2	63.4	57.7			
TacoLM (Zhou et al., 2020)	48.5	49.4	48.9			
Joint (Wang et al., 2020)	62.5	56.4	59.5			
EventSeg (Wang et al., 2021)	58.6	57.9	58.3			
SCS (Trong et al., 2022)	68.7	63.2	65.9			
OT-SRE (ours)	70.3	67.4	68.9			
IC						
(Araki et al., 2014)	-	-	26.2			
Joint (Wang et al., 2020)	42.1	49.5	45.8			
EventSeg (Wang et al., 2021)	44.6	51.6	48.1			
SCS (Trong et al., 2022)	47.5	51.8	49.7			
OT-SRE (ours)	48.9	52.6	50.8			

Table 3: Model performance on test data of HiEve and IC. We focus on the performance for PARENT-CHILD (PC), CHILD-PARENT (CP), and their micro-average to be consistent with prior evaluation for SRE (Trong et al., 2022; Wang et al., 2021).

(respectively) in the literature. We run the code for **SCS** (Trong et al., 2022) and **EventSeg** (Wang et al., 2021) from the original papers to obtain their performance for IC and HiEve (respectively) for completeness.

Performance Comparison: Table 3 presents the performance of the models on the test data of HiEve and IC. To be comparable with previous work (Glavaš et al., 2014; Trong et al., 2022), our model is trained for all the four relation labels in HiEve (i.e., including COREF); however, the performance for comparison is only measured according to the F1 scores of the subevent relations, i.e., PARENT-CHILD, CHILD-PARENT, and their micro-average. The most important observation from the table is that the proposed model OT-SRE significantly outperforms all the baseline models (p < 0.01) with substantial gaps for both HiEve and IC. In particular, for HiEve, OT-SRE is better than the prior SOTA method SCS by 3% over the average F1 score for subevent relations. OT-SRE is better than the prior SOTA methods for HiEve (i.e., SCS) and IC (i.e., EventSeg) by 3% and 2.7% (respectively) over the average F1 score for subevent relations. This results thus clearly demonstrate the effectiveness of our OT-based approach for graph structure induction to optimize representation learning for SRE.

**Multilingual Evaluation**: We further evaluate SRE models over multiple languages using the mSubEvent dataset. We focus on the best base-

Model	English	Danish	Spanish	Turkish	Urdu	
	mBERT					
PLM	36.5	30.2	23.6	39.0	34.1	
EventSeg	41.1	41.7	37.4	42.8	43.1	
SCS	46.8	45.9	40.6	44.0	50.1	
OT-SRE	49.3	48.9	42.1	50.1	52.2	
	XLMR					
PLM	40.1	33.1	34.9	41.9	45.2	
EventSeg	42.3	40.0	41.3	42.9	51.1	
SCS	48.1	41.8	43.2	45.1	51.6	
OT-SRE	49.5	50.0	42.7	52.2	52.4	

Table 4: Model performance (F1 scores) for monolin-gual settings in mSubEvent.

Model	Danish	Spanish	Turkish	Urdu		
	mBERT					
PLM	23.6	22.6	13.5	11.7		
EventSeg	29.0	32.2	16.5	16.4		
SCS	34.6	36.4	18.9	19.9		
OT-SRE	33.1	37.1	19.0	27.4		
XLMR						
PLM	25.1	25.4	17.4	18.4		
EventSeg	28.5	31.3	20.9	21.4		
SCS	41.2	33.7	19.3	22.5		
OT-SRE	42.8	34.4	22.6	26.0		

Table 5: Model performance (F1 scores) for crosslingual learning settings in mSubEvent using English as the source languages. The languages in each column indicates the target languages.

lines, i.e., EventSeg and SCS, in Table 3 in this experiment. In addition, for reference, we report the performance of the **PLM** model that directly uses the representation vectors learned by the multilingual PLMs (i.e., in V) to form the overall representations for subevent prediction, i.e., A = $[v_{e_1}, v_{e_2}, \max\_pool(v_1, \ldots, v_n)]$ . As such, we first explore monolingual learning settings where models are trained and tested on data of the same language. In particular, Table 4 shows the monolingual performance of the SRE models for five languages in mSubEvent when either mBERT or XLMR is used for multilingual text encoding. As can be seen, OT-SRE is also significantly better than all baseline models over different languages in mSubEvent, thus highlighting the ability to generalize to different languages of the OT-induced graph structures for SRE. Importantly, we find that the performance of the models over mSubEvent is still far from being satisfactory (i.e., much worse than that for HiEve). Future research will have ample opportunities to improve the performance on mSubEvent.

In addition, Table 5 investigates model performance in the cross-lingual transfer learning setting

ID	Model	СР	PC	Avg.
1	OT-SRE (full)	70.3	67.4	68.9
2	- OT	67.8	62.2	65.0
3	- Pruning	60.3	65.8	63.1
4	- GCN	64.3	67.6	66.0
5	- OT-GCN	63.7	57.1	60.4
6	- Syntax in OT	69.1	65.7	67.4
7	- Semantic in OT	65.3	66.8	66.1
8	- DP	69.1	67.2	68.2

Table 6: Ablation study on HiEve test data. We report the the performance for PARENT-CHILD (PC), CHILD-PARENT (CP), and their micro-average.

where models are trained over English training data (i.e., the source language) and directly evaluated on test data of other languages (i.e., the target languages). It is clear from the table that the crosslingual performance in Table 5 is inferior to the English monolingual performance in Table 4, thus emphasizing the challenge of cross-lingual knowledge transfer for subevent recognition for future work. Finally, Table 5 further demonstrates better ability to learn transferable representations across languages of OT-SRE to yield the best cross-lingual performance for SRE. We attribute this to the advantages of the induced graph structures to represent input texts in OT-SRE that can be more general across languages than the sequential text order in the baseline methods.

Ablation Study: We study the ablated models of OT-SRE to understand the contribution of the designed components in the our model. Table 6 reports the performance over test data of HiEve for the ablation study. In particular, lines 2 and 3 in the table indicate the baselines where the OT component is not included to induce the graph structure T' for input document. Instead, the DP between the event mentions (i.e., in line 2 with -OT) or the full dependency graph T (i.e., in line 3 with - Pruning) is leveraged as the graph structure for representation learning. As can be seen, both lines 2 and 3 lead to significantly worse performance for ST-SRE, thus demonstrating the importance of the OT component to induce optimal graph structures to represent input texts for SRE.

In addition, in lines 4 and 5, we study variants of OT-SRE that eliminates the GCN component. In particular, in line 4 with - **GCN**, we still employ the OT component to compute the graph structure T'; however, instead of using GCN-induced representations, the overall representation for prediction is computed over PLM-induced representations in V, i.e.,  $A = [v_{e_1}, v_{e_2}, \max\_pool(v_j|w_j \in T')]$ where the max-pooling is done for the words in the computed graph structure T'. For line 5 with - **OT-GCN**, both the OT and GCN components are removed from OT-SRE. The overall representation is thus also computed with the PLM-induced representations V, i.e.,  $A = [v_{e_1}, v_{e_2}, \max\_pool(v_j|w_j \in D)]$ , using a maxpooling operation over the entire input text D. It is clear from the table that GCN is helpful to learn better representations for SRE as removing it will significantly hurts the performance for OT-SRE in both lines 4 and 5.

Further, line 6 (- Syntax in OT) evaluates OT-SRE when syntactic information (i.e., the important scores  $syn(w_i)$ ) is not used to obtain the domain distributions p(x) and p(y) in the OT component. Instead, uniform distributions are leveraged for p(x) and p(y) in this case. Also, for line 7 (-Semantic in OT), this variant avoids semantic information with contextual representations in V to compute the transformation cost C(x, y) for OT. Instead, it employs a simple constant cost function C(x, y) = 1. As such, the superior performance of OT-SRE over these ablated models shows that both syntactic and semantic information are critical for the OT component to ensure the best performance for OT-SRE. Finally, in line 8 (i.e., - DP), our OT-SRE model only includes the two input event mentions/triggers in domain (Y). As such, domain  $\mathcal{X}$  for alignment in OT will contain all other words in D, including the words on the dependency path. The worse performance in line 8 shows that only using event mentions as the anchor for OT alignment is not optimal, necessitating dependency paths to provide better starting points to extend to effective graph structures for SRE.

**Case Study**: We perform a case study to analyze the examples in HiEve that can be successfully predicted by OT-SRE, but fail the baseline without OT (i.e., in line 2 of Table 6 to directly use DP for representation). A major observation in our analysis is that OT-SRE can find important context words beyond the DP to aid subevent prediction. For example, consider the sentence "Over 90 Palestinians and one Israeli soldier have been <u>killed</u> since Israel launched a massive offensive into the Gaza Strip on June 28. with "killed" and "offensive" as the event mentions. While the DP "killed  $\rightarrow$  launched  $\rightarrow$  offensive does not provide clear context information to recognize the subevent relation, our OT-SRE is able to align the DP with the word "since" to facilitate SRE. A similar example can be found in "No one has been arrested over Sunday's <u>attack</u> in Kabul and the Taliban have denied any involvement. Arsala Rahmani has been <u>killed</u> by enemies of Afghanistan. Both NATO and the US embassy in Kabul have also condemned the assassination." with the event mentions "attack" and "killed". The important context word "assassination" does not belong to the DP between the event mentions, but it is successfully included in the graph structure by OT-SRE for correct prediction.

## 5 Related Work

Early methods for SRE have exploited various contextual features for input texts (i.e., feature engineering) for machine learning models (Glavaš et al., 2014; Araki et al., 2014; Aldawsari and Finlayson, 2019). To alleviate feature engineering, recent works have explored deep learning models to induce representations for SRE from data, introducing joint inference with temporal relations (Wang et al., 2020; Zhou et al., 2020) and large PLMs (Yao et al., 2020; Wang et al., 2021; Trong et al., 2022). Existing datasets for SRE include HiEve (Glavaš et al., 2014), IC (Hovy et al., 2013; Araki et al., 2014), and RED (O'Gorman et al., 2016). However, none of such methods and datasets considers graph structure induction for input texts and multilingual learning for SRE as we do. Regarding related work on event-event relation extraction, we also note recent studies for other types of relations between events, including causal (Caselli and Vossen, 2017; Zuo et al., 2020; Man et al., 2022; Tran Phu and Nguyen, 2021), coreference (Nguyen et al., 2016; Choubey et al., 2020; Minh Tran et al., 2021; Phung et al., 2021), and temporal (Ning et al., 2017; Tran Phu et al., 2021) relations. Finally, optimal transport has also been recently used to solve NLP problems (Veyseh and Nguyen, 2022; Guzman-Nateras et al., 2022); however, none of previous work has employed OT for subevent relation extraction as we do.

# 6 Conclusion

We present a novel method for subevent relation extraction that leverages optimal transport to induce effective graph structures for input texts to improve representation learning. The graph structure representation is able to directly capture important context words and their connections to facilitate SRE. In addition, we introduce the first multilingual dataset for SRE that provides human annotation for five languages with high quality. Extensive experiments demonstrate the effectiveness of our method with state-of-the-art performance on different datasets and learning settings. Our new dataset also offers ample opportunities for future research. In the future, we plan to extend our method and dataset to other event-event relations.

## **Ethical Considerations**

In this work we present a dataset annotated over the publicly accessible articles of wikipedia.org. Complying with the discussion presented by Benton et al. (2017), research with human subject information is exempted from the required full Institutional Review Board (IRB) review if the data is already available from public sources (as with Wikipedia) or if the identity of the subjects cannot be recovered.

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