When Do Pre-Training Biases Propagate to Downstream Tasks?  
A Case Study in Text Summarization

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Abstract

Large language models (LLMs) are subject to sociocultural and other biases previously identified using intrinsic evaluations. However, when and how these intrinsic biases in pre-trained LM representations propagate to downstream, fine-tuned NLP tasks like summarization is not well understood. In this work, we investigate one type of bias—name-nationality bias—and trace it from the pre-training stage to a downstream summarization task across multiple summarization modeling choices. We show that these biases manifest themselves as hallucinations in summarization, leading to factually incorrect summaries. We also find that this propagation of biases is algorithm-dependent: more abstractive models allow biases to propagate more directly to downstream tasks as hallucinated facts. Building on these observations, we further analyze how changes to the adaptation method and fine-tuning data set affect name nationality biases and show that while they can reduce the overall rate of hallucinations, they do not change the types of biases that do appear.

1 Introduction

Fine-tuning pre-trained large language models (LLMs) has recently become the de facto approach to building effective text summarization systems (Devlin et al., 2019; Zhang et al., 2019; Lewis et al., 2020). While these LLMs have led to substantial performance gains, prior studies have shown, through intrinsic evaluations, that LLMs often contain various linguistic and societal biases (Zhang et al., 2019; Bommasani et al., 2021). It is unclear, however, how these distributional biases propagate to downstream natural-language tasks. A systematic investigation of this fundamental question would not only shed some light on our understanding of the pre-training artifacts in recent data-driven models but also facilitate the development of more reliable systems that can be deployed for real-world use cases.

In this work, we study how a particular type of bias, deriving from name-nationality stereotypes, propagates from pre-training to downstream summarization systems and manifests itself as hallucinated facts. Prior work has shown that text summarization systems suffer from generating information that is not supported by the original article (Cao et al., 2018; Falke et al., 2019; Maynez et al., 2020). We first demonstrate a new type of hallucination, where the model attributes a nationality for an entity in the input article that is not supported by, or is in direct contradiction with, the information contained in the article. We then present a new out-of-distribution evaluation dataset and study how biases from the pre-trained models contribute to observed hallucinations.

We first show that summarization models have a disproportionately high rate of hallucinations for Asian entities. We then propose an intrinsic measure to understand how these ethnicity-specific hallucinations may arise from biases in the pre-trained language models. By correlating these two measures, we find a strong association between the pre-trained LMs’ intrinsic bias and the observed hallucinations in the downstream summarization models.

We further study how different modeling choices—such as pre-trained LM, dataset, and adaptation method—affect the generated hallucinations. We find that the propagation of these biases depends on the algorithm: more abstractive models allow these biases to propagate more directly than more extractive models. Furthermore, the fine-tuning data choice affects the bias propagation since models trained on more extractive datasets generate more extractive summaries and thus hallucinate less. Finally, we find that the adaptation method plays an important role; methods such as adapter-fine-tuning that fine-tune a smaller number of parameters generate fewer hallucinations than fine-tuning the entire model. Surprisingly, while
Jung Lee is a well-known French writer who was born in Paris. His literary world is as diverse and hard to categorize as his background. He has lived in both urban and rural areas, deep in the mountains and in the seaside towns and has developed a wide range of interests from the tradition of Confucian culture to advertising.

Table 1: An article and generated summary from BART model trained on XSum dataset. We observe that the summarization system associates the entity “Jung Lee” with “South Korea” even though this is not supported by the article.

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2 Name-Nationality Hallucinations in Text Summarization

Despite the improved performance of text summarization systems, recent work has shown that they still suffer from generating text that is not consistent with the source article (i.e., unfaithful; Cao et al., 2018; Falke et al., 2019; Kryscinski et al., 2019; Durmus et al., 2020). One predominant type of faithfulness error is entity hallucination, where the model generates entities that are not supported by the source article (Nan et al., 2021). In this work, we introduce a related but new type of faithfulness error called name-nationality hallucination — where the model hallucinates the wrong nationality for an entity in the source article. Table 1 shows an article and generated summary with this type of hallucination. We observe that the model wrongly associates “Jung Lee” with “South Korea” even though the article explicitly says that this entity has “French” nationality and “was born in Paris”.

2.1 Wikipedia Name-Nationality Dataset

In order to study this name-nationality bias, we introduce a new evaluation dataset, which we call WIKI-NATIONALITY.1 We constructed this dataset in three main steps. (i) We compiled a list of entities (i.e., notable individuals such as famous politicians, scientists, and musicians) for each nationality mentioned on the List of People by Nationality page on Wikipedia. (ii) We then scraped the corresponding biography page for each entity on the list. 

(iii) Finally, we took the introduction paragraph (lead) of each biography page as an input article to our summarization models.

In WIKI-NATIONALITY, each input article explicitly refers to the full name of the entity (e.g., Antoine Richard), as well as their nationality (e.g., France/French). Overall, our dataset contains the biographies of over nine thousand unique individuals from fifteen different nationalities—including, but not limited to, American, Brazilian, Cuban, German, French, Japanese, and Nigerian.2

Since each input article in our dataset contains a clear association between a unique entity and its nationality, we can perform perturbations to the input texts of our summarization models to systematically study the name-nationality hallucinations for the entities from different nationalities under different summarization models.

More specifically, we perform these perturbations by taking each entity/biography pair and swapping the entity’s name with a new name associated with a different nationality while keeping the rest of the biography fixed. Figure 1 shows an example of a perturbed article and generated summary. The original article has the entity “Antoine Richard”. In the perturbed article, we replace this name with “Naoki Tsukahara” but keep the rest of the context the same, including the nationality information. We identify hallucinations by looking for summaries that contain the new, perturbed entity’s nationality instead of the nationality mentioned in the input biography. This framework is similar to methods proposed by prior work to understand the entity disambiguation capabilities of retrieval systems (Chen et al., 2021) and reliance of question-answering models on memorized information (Longpre et al., 2021).

1Dataset can be found at https://github.com/fladhak/pretraining_biases.

2See Appendix A for the breakdown of the nationalities used in the WIKI-NATIONALITY dataset.
Figure 1: Example perturbation. The entity "Antoine Richard" the original article is replaced with "Naoki Tsukahara" while keeping the rest of the article the same. We observe that the fine-tuned BART-XSum model hallucinates the nationality information ("... was born in Tokyo, Japan") in the generated summary. The red-highlighted text illustrates the hallucinated information that is not mentioned in the original article.

<table>
<thead>
<tr>
<th>Entity</th>
<th>Original Article</th>
<th>Perturbed Article</th>
<th>Generated Summary</th>
</tr>
</thead>
<tbody>
<tr>
<td>Antoine Richard</td>
<td>Naoki Tsukahara is a former athlete from France who mainly competed in the 100 metres. He was French 100 metre champion on 5 occasions, and also 200 metre winner in 1985. He also won the French 60 metres title 5 times as well.</td>
<td>Athlete Naoki Tsukahara was born in Tokyo, Japan to a Japanese father and French mother.</td>
<td>Figure 1: Example perturbation. The entity &quot;Antoine Richard&quot; the original article is replaced with &quot;Naoki Tsukahara&quot; while keeping the rest of the article the same. We observe that the fine-tuned BART-XSum model hallucinates the nationality information (&quot;... was born in Tokyo, Japan&quot;) in the generated summary. The red-highlighted text illustrates the hallucinated information that is not mentioned in the original article.</td>
</tr>
</tbody>
</table>

Table 2: Density and hallucination rate for BART and PEGASUS. Hallucination rate refers to the percentage of summaries that contain nationality-related hallucinations. Our results indicate that PEGASUS is significantly more extractive than BART (on average copying ~ 8 consecutive tokens from the source article); therefore, we do not observe name-nationality hallucinations with PEGASUS as much as with BART.

<table>
<thead>
<tr>
<th>Model</th>
<th>ROUGE-L</th>
<th>Density</th>
<th>American</th>
<th>European</th>
<th>Asian</th>
<th>African</th>
</tr>
</thead>
<tbody>
<tr>
<td>BART-XSum</td>
<td>36.38</td>
<td>2.04</td>
<td>2.83</td>
<td>13.08</td>
<td>27.10</td>
<td>3.66</td>
</tr>
<tr>
<td>PEGASUS-XSum</td>
<td>38.33</td>
<td>8.53</td>
<td>0.62</td>
<td>1.37</td>
<td>4.57</td>
<td>1.60</td>
</tr>
</tbody>
</table>

Table 2: Density and hallucination rate for BART and PEGASUS. Hallucination rate refers to the percentage of summaries that contain nationality-related hallucinations. Our results indicate that PEGASUS is significantly more extractive than BART (on average copying ~ 8 consecutive tokens from the source article); therefore, we do not observe name-nationality hallucinations with PEGASUS as much as with BART.

2.2 Experimental Setup
As described in Section 2.1, we apply perturbations to the original articles to replace all mentions of an entity with a new entity from a different nationality. We aim to understand factors that affect name-nationality hallucinations and analyze whether the frequency of these hallucinations differs for different nationalities. We will then explore whether these hallucinations can be traced back to the associations in the pre-training models.

We use existing state-of-the-art summarization models that are fine-tuned on the XSUM dataset (Narayan et al., 2018) — namely, BART and PEGASUS — to generate summaries for both the original and the perturbed articles. We select these two specific models because they generate summaries at varying extractiveness levels; summaries generated by BART are more abstractive compared to the summaries generated by PEGASUS. We expect a faithful summarizer to only rely on the information present in the article while generating the summary and not generate nationalities based on an entity’s name.

Hallucination rate. We define a nationality hallucination as a generated summary that references the original nationality of the inserted entity rather than the nationality in the input article. Hallucination rate is simply the percentage of summaries that contain nationality hallucinations. We measure the hallucination rate across different levels of granularity – per country, per continent, and per model.

2.3 Hallucination Results
Figure 2 shows the hallucination rate for each pair of countries, i.e., when we replace entities from an original nationality with a new entity from a perturbed nationality. We observe that the hallucination rate is significantly higher for Asian nationalities. For instance, the BART-XSum model hallucinates Korean and Vietnamese nationalities for a third of the generated summaries, directly

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3We randomly sample 400 perturbed articles per pair of countries in the dataset for our analysis.
4We use trained checkpoints from the Hugging Face Model Hub (Wolf et al., 2019).
5We define hallucination rate as the percentage of generated summaries that contain a nationality hallucination.
contradicting the context. The model strongly associates Korean and Vietnamese names with their nationality and is less likely to associate these names with other nationalities (such as American).

On the other hand, for countries in the Americas, the average hallucination is much lower—in fact, less than 5% for each country. Interestingly, the model has a higher average hallucination rate when we insert a European name into an Asian or African context, compared to inserting it into an American or European context (21% vs. 6% respectively).

Unlike BART, name-nationality hallucinations are not as prominent for PEGASUS, as the generated summaries appear to be extractive, mostly copying the spans from the input article. Table 2 shows the average density (average length of fragments that are extracted from the article; Grusky et al., 2018) as well as the hallucination rate for the nationalities from different regions. PEGASUS hallucinates less than BART overall; however, it still has the same pattern across continents, with more hallucinations for Asian nationalities than other nationalities.

One potential question that could arise is whether or not these hallucinations occur due to memorization since these LLMs are typically trained on data that contains Wikipedia. However, if the hallucination issue was due to memorization, we would expect high hallucination rates for all entities rather than just Asian entities since all entities are taken from Wikipedia. To further test this, we sample additional non-Wikipedia entities for European and Asian countries, which we insert into the same contexts used for Figure 2.6 We find that there is a similar biased pattern of hallucination, i.e. higher hallucination rates for Asian countries. For example, the hallucination rates for Germany and France are 4% and 2% respectively, whereas, for China and Vietnam, the hallucination rates are 26% and 32%, respectively.7

3 The Effect of Pre-Training Models

In Section 2.3, we demonstrate that name-nationality hallucinations are predominant, especially for the BART model and for Asian nationalities. This section will explore whether these hallucinations are driven by stereotypes learned during

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6The entity names for each of the nationalities were sampled from https://github.com/d4em0n/nationality-classify.

7The results can be seen in Appendix B, Figure 4.
pre-training. Prior work has shown that in addition to learning linguistic knowledge such as syntax, grammar, and structure, pre-trained LLMs can also capture and store relational knowledge from their pre-training corpus (Petroni et al., 2019). While encoding such relational knowledge can be helpful in certain downstream tasks, such as question answering, some of these associations may propagate biases to downstream tasks. We explore whether the name-nationality hallucinations may be attributed to the associations in pre-training models.

3.1 Intrinsic Evaluation

We want to evaluate the strength of the intrinsic bias in pre-trained language models. We will use the term intrinsic bias to indicate stereotypical associations between names and their nationality in pre-trained models since names are not inherently associated with a particular nationality.

Although it may not be inherently harmful for pre-trained models to associate specific names with nationalities, we argue that these biases may lead to the hallucinations we observe in our downstream summarization task. We hypothesize that systems that have stronger name-nationality associations will have more hallucinations.

We probe the LM for name-nationality pairs from our \textsc{Wiki-Nationality} dataset to see what nationality it would assign to the name. We use the following prompt:

- [Name] is a citizen of [MASK].

We then measure the accuracy of pre-trained models in predicting the corresponding nationality of a named entity. Given the input prompt, we compute the score for all possible countries. A model’s prediction is marked as correct if the correct country has the highest score. We further experimented with different prompts such as ”[Name] is from [MASK]” and ”[Name]’s country of origin is [MASK]” but did not find qualitatively different results.

3.2 Results

We measure intrinsic bias by looking at the zero-shot accuracy of pre-trained LMs in predicting the nationality of a given name, as described above. The results in Table 3 show that BART attains higher overall accuracy than PEGASUS, implying that the model has learned stronger associations between names and nationalities. Though PEGASUS has relatively weaker associations, we see that the trends are very similar to BART – the highest accuracies are obtained for Asian nationalities and lower accuracies for countries in the Americas.

Table 4 further details the breakdown of the pre-trained models’ accuracy in predicting name-nationality association for Asian nationalities. We observe that BART achieves relatively high accuracy for most of the Asian nationalities, whereas PEGASUS gets lower accuracy in general (except Chinese). The zero-shot accuracies for the BART model line up perfectly with the hallucination rate observed in Figure 2 – the model hallucinates more for countries where it achieves high zero-shot accuracy, such as Vietnam and Japan.

3.3 Correlation between Intrinsic Bias and Extrinsic Hallucinations

Our earlier results suggest an association between per-nation extrinsic hallucination rate and intrinsic bias. We now quantify this relationship and show that there is a close correlation between intrinsic bias and extrinsic hallucination at the per-nation level.

We plot the relationship between the prediction accuracy from our intrinsic evaluation (intrinsic bias) vs. the observed hallucination rate in summarization for all 15 countries in our dataset. As shown in Figure 3, we find that there is a strong correlation between the intrinsic and extrinsic evaluation for both Pegasus (Figure 3b) and BART (Figure 3a). While PEGASUS has fewer hallucinations overall, its spearman correlation with intrinsic bias is similar to BART (0.81 vs. 0.83 respectively).

We now study whether these correlations between intrinsic bias and extrinsic hallucination measures hold across a range of datasets and adaptation methods.

4 The Effect of Fine-Tuning Dataset and Adaptation Method

We explore how certain design choices for fine-tuning such as the fine-tuning dataset and the adaptation method, affect the propagation of bias for summarization. Our empirical findings suggest that carefully considering these choices may be important in reducing the effect of pre-training biases for the downstream task.

4.1 Changing Fine-Tuning Datasets

Our previous experiments show that BART has a strong intrinsic bias for zero-shot name-nationality
Table 3: Zero-shot accuracy for nationality prediction under the BART and PEGASUS models. The model accuracy is significantly higher for Asian nationalities.

<table>
<thead>
<tr>
<th></th>
<th>American</th>
<th>European</th>
<th>Asian</th>
<th>African</th>
</tr>
</thead>
<tbody>
<tr>
<td>BART</td>
<td>14.33</td>
<td>54.50</td>
<td>71.20</td>
<td>35.33</td>
</tr>
<tr>
<td>PEGASUS</td>
<td>12.33</td>
<td>18.50</td>
<td>44.00</td>
<td>15.67</td>
</tr>
</tbody>
</table>

(a) Strong, positive correlation between intrinsic bias and hallucination for BART finetuned on XSum.

(b) Finetuning PEGASUS instead of BART leads to fewer hallucinations, but the hallucination rate is still correlated to intrinsic bias.

(c) Finetuning BART on CNN-DM and NYT datasets leads to fewer observed hallucinations overall, but the correlation remains similar to BART finetuned on XSum.

Figure 3: Correlation of intrinsic bias vs. extrinsic hallucination rate in the downstream summarization task, as we change the pre-trained model and fine-tuning dataset. There is a strong, positive correlation across all settings.

Table 4: Accuracy breakdown for Asian nationalities.

<table>
<thead>
<tr>
<th></th>
<th>BART</th>
<th>PEGASUS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Japanese</td>
<td>89</td>
<td>45</td>
</tr>
<tr>
<td>Chinese</td>
<td>76</td>
<td>87</td>
</tr>
<tr>
<td>Korean</td>
<td>82</td>
<td>22</td>
</tr>
<tr>
<td>Vietnamese</td>
<td>92</td>
<td>54</td>
</tr>
</tbody>
</table>

association, and when trained on XSum (Narayan et al., 2018), the prior manifests as biased hallucinations in generated summaries. Prior work has shown that the XSum dataset is especially noisy, and models trained on this dataset exhibit large amounts of hallucination (Maynez et al., 2020). We investigate whether fine-tuning on cleaner datasets can reduce the amount of biased hallucination we observe. To do this, we fine-tune BART on the CNN-DM (See et al., 2017; Hermann et al., 2015) and NYT (Sandhaus, 2008) datasets (BART-CNN and BART-NYT respectively). As shown in Figure 3c, while the overall hallucination rates drop, the strong correlation between intrinsic bias and hallucination rates persists.

4.2 Changing Adaptation Methods

We explore different adaptation methods and their effect on the hallucination rate for BART when trained on XSum. Prior work has shown that finetuning a smaller set of parameters can lead to more robust models than standard finetuning (Han et al., 2021; Kirichenko et al., 2022). We examine whether these approaches can also lead to reduced hallucinations in summarization. In particular, we
Table 5 shows the results for how applying different adaptation methods changes the hallucination rate. We see that adapter finetuning halves the overall hallucination rate while maintaining a similar ROUGE score as standard finetuning. Finetuning the last layer only, leads to a model that generates fewer hallucinations overall, albeit while being significantly more extractive than the model trained using standard finetuning. Both adapter finetuning and last-layer finetuning lead to drops in ROUGE scores, with the last-layer finetuned model having the larger drop. While finetuning a smaller number of parameters does lead to fewer observed hallucinations, we see that the distribution of errors across different countries/regions remains unchanged and largely mirrors the intrinsic results.

5 Related Work

5.1 Measuring Bias in NLP Models.

Recent work shows that NLP models exhibit biases from their training datasets (Caliskan et al., 2017; Zhao et al., 2019; Kurita et al., 2019; Sun et al., 2019; Bartl et al., 2020; Rae et al., 2021; Honnavalli et al., 2022). Most of the prior work has focused on intrinsic evaluations of bias, i.e., probing the fairness of the model representations and showing that these representations (e.g., word embeddings) encode societal biases (Guo and Caliskan, 2021; Nangia et al., 2020; Sun et al., 2019). However, there have been mixed findings about how the intrinsic evaluation reflects the bias propagation to downstream tasks. While Jin et al. (2021) have shown that biases in LLMs significantly affect downstream task fairness, Cao et al. (2022) and Goldfarb-Tarrant et al. (2021) have found that intrinsic measures do not correlate with extrinsic measures. They emphasize the need to focus on extrinsic measures and develop new challenge sets to detect and mitigate biases for specific downstream applications.

Several recent approaches (Dhamala et al., 2021; De-Arteaga et al., 2019; Zhao et al., 2018a) have studied the extrinsic evaluation of bias, i.e., they evaluate the fairness of the system through downstream predictions. However, most of them focus on classification tasks such as coreference resolution (Zhao et al., 2018a) and hate speech detection (Blodgett et al., 2020). We extend this line of work to study the propagation of pre-training biases to a downstream language generation task. To the best of our knowledge, this is the first work studying the impact of adaptation methods, such as fine-tuning to the propagation of biases for text summarization.

Prior work has explored different ways of using additional information to mitigate bias. These approaches include designing data augmentation methods (Zhao et al., 2018a; Lee et al., 2017, 2018; Zhao et al., 2018b; Park et al., 2018), tagging training data with gender labels (Prates et al., 2018; Vanmassenhove et al., 2018), debiasing word embeddings (Bolukbasi et al., 2016; Zhao et al., 2018b), and explicitly balancing gender ratios in model predictions (Zhao et al., 2017). Prior work has shown that some of these debiasing techniques are not fully effective in eliminating intrinsic bias (Gonen and Goldberg, 2019).

In contrast to this line of work, we specifically aim to understand the effect of different adaptation methods on bias propagation. Selecting a suitable adaptation method is an important design decision in adapting the pre-trained language models for the...
task of interest. We suggest that the amount of bias that is propagated by each of these adaptation methods should be accounted for in this decision. For example, we find that simply adapting a smaller set of parameters (e.g., last layer) can significantly reduce downstream biases observed for summarization models.

5.2 Hallucinations in Text Summarization.

Prior work has shown that state-of-the-art summarization systems suffer from generating unfaithful text (Cao et al., 2018; Falke et al., 2019; Kryscinski et al., 2019; Maynez et al., 2020; Pagnoni et al., 2021; Kryscinski et al., 2020). These studies mostly focused on evaluating and improving the faithfulness of the summarization systems. Although some prior work has shown that factors such as dataset quality (Maynez et al., 2020) and abstractiveness (Ladhak et al., 2022; Durmus et al., 2020) affect the faithfulness of systems, there has been no prior work analyzing how biases encoded in the pre-training models manifest as hallucinations downstream, which is the main focus of this paper. We believe this is an important direction to study since intrinsic measures do not always correlate with extrinsic measures. Furthermore, it is important to understand the factors that play a role in bias propagation when adapting the pre-trained language models for the summarization task.

6 Discussion

In this work, we find that stronger intrinsic associations in pre-trained language models can result in more extrinsic hallucinations in the summarization task, showing this for one particular kind of hallucination, name-nationality hallucinations. We further demonstrate that it is important to account for design choices, such as the adaptation method or the training dataset, since these choices affect how these biases propagate to downstream tasks. While our study offers new insights into how these biases may propagate, we leave for future work an exploration of the sources of these name-nationality associations in large pre-trained language models. Several such sources should be investigated. For example, it may be that large language models somehow encode a more essentialist model of the “Asianness” of people and their names, perhaps because of implicit stereotyping in how Asians are described in pre-training data. Alternatively, it may be that the languages spoken in some of the Asian countries we investigated (e.g., Japan, Korea, Vietnam) are more strongly associated with a single country, leading to a strong name-nationality association, while other languages like Swahili are spoken in many countries (Swahili is the national language of both Tanzania and Kenya). Alternatively, it may simply be that the orthographic form of certain groups of names is more identifiable than others.

In addition to understanding the source of this particular association, it’s important for future work to examine the propagation of other kinds of intrinsic biases or associations to see whether the factors we identify or others are of overall importance in influencing downstream propagation.

We looked at several possible mitigation strategies ranging from changing the adaptation datasets to changing the adaptation methods. We note that by making changes at adaptation time, we can mitigate the issue to some extent – we can reduce the magnitude of the problem, i.e., the overall hallucination rates. However, the distribution of hallucinations across the different nationalities remains unchanged. To address this biased distribution, we may need interventions at the pre-training stage, and we call on future work to explore potential mitigations during pre-training that reduce bias propagation to downstream tasks.

7 Conclusion

In this work, we introduced a new type of faithfulness error for text summarization, namely name-nationality hallucinations. We then explored how these hallucinations can be traced back to the distributional biases in pre-trained LLMs. Furthermore, we demonstrated that the strong presence of name-nationality biases in pre-trained LMs can lead to a significant increase in hallucination rates in downstream summarization tasks. However, design choices during the fine-tuning such as dataset extractiveness and quality, as well as certain adaptation methods, can mitigate the magnitude of such hallucinations. Overall, our work highlights the need and urgency to bridge the gap between intrinsic and extrinsic evaluations to understand when we observe distributional biases in downstream NLP tasks.

8 Limitations

In this study, we only focus on one type of hallucination – name-nationality hallucination—and
aim to trace this hallucination back to biases encoded in the pre-training data. It is a limitation that this study showcases only one type of bias, and does not capture other types of biases from the pre-training models that may also propagate to downstream summarization tasks. Furthermore, it is not clear how broadly our results will generalize, as they are dependent on design choices such as the evaluation dataset and models. Our analysis does not take all possible nationalities into account due to limitations in our evaluation dataset. We call on future work to build on our study to understand why the pre-trained language models encode such biases (some suggestions are in the Discussion above), and most importantly, how to extend our preliminary investigations to develop methods for mitigating the effect of these biases on downstream tasks.

9 Ethical Considerations

9.1 Data Collection

Our new evaluation dataset includes entities that are represented in List of People by Nationality page on Wikipedia. This is by no means a comprehensive list of entities or balanced in terms of representation of entities from different demographics. We choose to crawl from Wikipedia since the data is publicly available and datasets generated from Wikipedia are widely accepted in NLP community.

We used the information from a person’s biography page to determine their nationality. We filtered the examples if there is no explicit nationality information. Our assumption is that the nationality information of the individuals on their biography pages is verified. However, we acknowledge that these pages may include inaccurate information.

9.2 Compute Power

Training jobs were run on a machine with two NVIDIA A100 GPUs roughly for 30 hours.

10 Acknowledgements

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References


A  Data Statistics

<table>
<thead>
<tr>
<th>Nationality</th>
<th># Examples</th>
</tr>
</thead>
<tbody>
<tr>
<td>American</td>
<td>994</td>
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<tr>
<td>Cuban</td>
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</tr>
<tr>
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<td>Tanzanian</td>
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</tr>
<tr>
<td>Ethiopian</td>
<td>247</td>
</tr>
</tbody>
</table>

Table 6: Number of entity per nationality.

B  Hallucination for Non-Wikipedia Entities

Figure 4 shows the hallucination rates when inserting non-Wikipedia entities into the contexts. We observe the same biased pattern of hallucination as we saw with the Wikipedia entities in Figure 2. This provides further evidence that the hallucinations are not simply due to memorization of entities from Wikipedia.
Figure 4: Hallucination rate for BART fine-tuned on XSUM for non-wikipedia entities. Red corresponds to higher and Blue corresponds to lower hallucination rate. Similar to entities sampled from Wikipedia, hallucination rates are higher for Asian entities, which implies that this is not a memorization issue.