Abstract

Disentangling the encodings of neural models is a fundamental aspect for improving interpretability, semantic control and downstream task performance in Natural Language Processing. Currently, most disentanglement methods are unsupervised or rely on synthetic datasets with known generative factors. We argue that recurrent syntactic and semantic regularities in textual data can be used to provide the models with both structural biases and generative factors. We leverage the semantic structures present in a representative and semantically dense category of sentence types, definitional sentences, for training a Variational Autoencoder to learn disentangled representations. Our experimental results show that the proposed model outperforms unsupervised baselines on several qualitative and quantitative benchmarks for disentanglement, and it also improves the results in the downstream task of definition modeling.

1 Introduction

Learning disentangled representations is a fundamental step towards enhancing the interpretability of the encodings in deep generative models, as well as improving their downstream performance and generalization ability. Disentangled representations aim to encode the fundamental structure of the data in a more explicit manner, where independent latent variables are embedded for each generative factor (Bengio et al., 2013).

Previous work in machine learning proposed to learn disentangled representations by modifying the ELBO objective of the Variational Autoencoders (VAE) (Kingma and Welling, 2014), within an unsupervised framework (Higgins et al., 2017; Kim and Mnih, 2018; Chen et al., 2018). On the other hand, a more recent line of work claims the benefits of supervision in disentanglement (Locatello et al., 2019) and it advocates the importance of designing frameworks able to exploit structures in the data for introducing inductive biases. In parallel, disentanglement approaches for NLP have been tackling text style transfer, and evaluating the results with extrinsic metrics, such as style transfer accuracy (Hu et al., 2017; John et al., 2019; Cheng et al., 2020).

While style transfer approaches investigate the ability to disentangle and control syntactic factors such as tense and gender, the aspect of understanding and disentangling the semantic structure in language is under-explored, but with recent attempts of separating syntactic and semantic latent spaces showing promising results (Chen et al., 2019; Bao et al., 2019). Furthermore, evaluating disentanglement is challenging, because it requires knowledge of generative factors, leading most approaches to train on synthetic datasets (Higgins et al., 2017; Zhang et al., 2021).

In this work, we argue that recurrent semantic structures at sentence level can be leveraged both as inductive biases for enhancing disentanglement (RQ1) but also for providing meaningful generative factors that can be employed for evaluating the degree of disentanglement (RQ2). We also inves-
tigate whether organizing the generative factors in
groups may facilitate learning and disentanglement
(RQ3). As a result, this work focuses on natural
language definitions, which are a textual resource
characterised by a principled structure in terms of
semantic roles, as demonstrated by previous work
which proposed the extraction of structural and se-

mantic patterns in this kind of data (Silva et al.,

Seeking to address the highlighted issues and an-
swer the research questions, we make the following
contributions, also depicted in Figure 1.

1) We design a supervised framework for en-
hancing disentanglement in language representa-
tions by conditioning on the information provided
by the semantic role labels (SRL) in natural lan-
guage definitions. We present two mechanisms for
injecting SRL biases into latent variables, firstly,
reconstructing both words and corresponding SRL
in a VAE, secondly, employing SRL information as
input variables for a Conditional VAE (Zhao et al.,
2017).

2) We propose a framework for evaluating the
disentanglement properties of the encodings on
non-synthetic textual datasets. Our evaluation
framework employs semantic role label groupings
as generative factors, enabling the measurement
of several contemporary quantitative metrics. The
results show that the proposed bias injection mech-
nisms are able to increase the degree of disen-
tanglement (separability) of the representations.

3) We demonstrate that models trained with our
disentanglement framework are able to outperform
contemporary baselines in the downstream task of
definition modeling (Noraset et al., 2017).

2 Disentangling framework

In this section we first describe the framework de-
dsigned for improving disentanglement in natural
language definitions with semantic role labels. Sec-

ondly, we present three models, shown in Figure 2
based on the Variational Autoencoder (VAE) (Bow-
man et al., 2016) architecture for achieving disen-
tanglement.

2.1 Disentangling definitions

Definition semantic roles Our framework is
based on natural language definitions, which are
a particular type of linguistic expression, charac-
terised by high abstraction, and specific phrasal
properties. Previous work in NLP for dictionary
definitions (Silva et al., 2018) has shown that there
are categories that can be consistently found in
most definitions. In fact, Silva et al. (2018) define
precise Semantic Role Labels (SRL) for phrases
representing definitions, under the name of Defini-
tion Semantic Roles (DSR).

The example from Silva et al., 2018 classifies
the semantic roles within "English poets who lived
in the lake district" as follows. "poets" as noun
category (supertype), "English" as quality of the
term (Differentia Quality), "who lived" as event
that the subject is involved with (differentia event),
and "in the lake district" as the location of the action
(Event location). The full DSRs proposed by Silva
et al. (2018) are reported in Table 9 in Appendix A.

2.2 Definition VAEs

Unsupervised VAE The first training framework
that we consider is the traditional variational au-
toencoder (VAE) for sentences (Bowman et al.,
2016), which operates in an unsupervised fash-
ion, as in Figure 2a. The unsupervised VAE
employs a multivariate gaussian prior distribu-
tion \( p(z) \) and generates a sentence \( x \) with a de-
coder network \( p_\theta(x|z) \). The joint distribu-
tion for the decoder is defined as \( p(z)p_\theta(x|z) \), which,
for a sequence of tokens \( x \) of length \( T \) result as
\( p_\theta(x|z) = \prod_{t=1}^{T} p_\theta(x_t|x_{<t},z) \). The VAE ob-
jective consists into maximizing the expectation of the
log-likelihood which is defined as \( E_{p(x)} \log p_\theta(x) \).
Due to the computational intractability of the such
expectation value, the variational distribution \( q_\theta \)
is employed to approximate \( p_\theta(z|x) \).
As a result, an evidence lower bound $\mathcal{L}_{\text{VAE}}$ (ELBO) where $\mathbb{E}_{p(x)}[\log p_{\theta}(x)] \geq \mathcal{L}_{\text{VAE}}$, is derived as follows:

$$
\mathcal{L}_{\text{Tokens}} = \mathbb{E}_{q_{\phi}(z|x)} \left[ \log p_{\theta}(x|z) \right] - \text{KL} q_{\phi}(z|x) || p(z)
$$

**DSR supervised VAE** The aim of this model is to inject the categorical structure of the definition semantic roles (DSR) into the latent variables, by factorizing them into the VAE auto-encoding objective function. In order to achieve this goal, we introduce the variable $r$ for semantic roles, and train the "DSR VAE", where both sentence and semantic roles are auto-encoded. The variable $r$ here operates just as $x$, with the corresponding label values. As a result, two separate losses are produced and added together for the final loss, as shown in Figure 2b. The ELBO for semantic roles is defined as follows:

$$
\mathcal{L}_{\text{Roles}} = \mathbb{E}_{q_{\phi}(z|r)} \left[ \log p_{\theta}(r|z) \right] - \text{KL} q_{\phi}(z|r) || p(z)
$$

The final loss is given by $\mathcal{L}_{\text{Tokens}} + \mathcal{L}_{\text{Roles}}$.

**Conditional VAE with SRL** For explicitly leveraging the definition semantic roles, we propose a supervision mechanism based on the Conditional VAE (CVAE) (Zhao et al., 2017), shown in Figure 2c. Similar to the previously described model, we instantiate a VAE framework, where $x$ is the variable for the tokens, and $r$ for the roles. We perform auto-encoding for both roles and tokens, and additionally, we condition the decoder network on the roles. The CVAE is trained to maximize the conditional log likelihood of $x$ given $r$, which involves an intractable marginalization over the latent variable $z$.

The ELBO is defined as:

$$
\mathcal{L}_{\text{CVAE}} = \mathbb{E}_{q_{\phi}(z|r,x)} \left[ \log p_{\theta}(x|z,r) \right] - \text{KL} q_{\phi}(z|x,r) || p(z|r)
$$

**Training** We consider LSTM-based VAE and Transformer-based VAE (Optimus (Li et al., 2020)) as baselines. The training process follows the variational autoencoding methodology (Kingma and Welling, 2014). First, tokenization is performed in the sentences and the roles. The Encoder network involves feeding both first into embedding layers, then into LSTM / Transformer layers. Subsequently, two vectors $\mu$ and $\sigma$ are sampled with two linear layers, and the vector $z$ is computed with the re-parameterization trick. Finally, the decoder network is built with the LSTM / Transformer layers and another embedding layer, which return the same dimension that was given as input.

3 Evaluation framework

We first present the evaluation framework that for measuring disentanglement, then describe and justify the generative factor setup used in the experiments.

3.1 DSR as generative factors

While early approaches for disentanglement in NLP have been proposed in the context of in style transfer applications (John et al., 2019; Cheng et al., 2020) and are assessed purely in terms of style transfer accuracy, evaluating the intrinsic properties of the latent encodings is fundamental for disentanglement, as mentioned in several machine learning approaches (Higgins et al., 2017; Kim and Mnih, 2018). Recently, Zhang et al. (2021) proposed a framework for computing several popular quantitative disentanglement metrics such as (Higgins et al., 2017; Kim and Mnih, 2018) testing it on synthetic datasets. The limitation in (Zhang et al., 2021) is that it works only with synthetic datasets.

In this work, we propose a method where semantic role labels, such as the ones provided in (Silva et al., 2018), are used as generative factors for evaluating the degree of disentanglement in the encodings. The framework, illustrated in Figure 3, considers multiple generative factors, where each factor is composed by a number of semantic roles (for example the factor "location" includes, origin-location, and event-location). In this way, the dataset can be seen as the result of a sampling.
of multiple generative factors, which is the same principle used when creating synthetic datasets for disentanglement. Once the generative factors are defined, the framework is enabled to compute a number of quantitative metrics for disentanglement, following the work from Zhang et al. (2021).

![Figure 3: Generative factors for definitions.](image)

### 3.2 Semantics and Syntax groups of DSR

In order to categorize the definition semantic roles (DSR), we consider their structural and semantic dimensions in terms of their contribution to either the meaning (e.g., quality, location) or the structure (e.g., main terms, modifiers) of the definition sentence. We first create two DSR groups with semantic and two based on syntax, to evaluate which one would better facilitate disentanglement. For both syntax and semantic, we then create one group with "supertype" DSR and one without it, in order to understand the impact of the supertype DSR. The importance of "supertype" is due to its contribution to both abstraction groups and its predominant presence on the datasets analyzed (≥ 97%).

**Group 1: Semantics with Supertype** Sets the factors in terms of their structural role in the definition sentence, including the SUPERTYPE DSR as a single factor. The ORIGIN-LOCATION DSR is omitted due to its syntactic overlap with EVENT-LOCATION and its low frequency in the datasets.

**Group 2: Syntax with Supertype** Sets the factors in terms of their structural role in the definition sentence, including the SUPERTYPE DSR as a single factor. The ORIGIN-LOCATION DSR is omitted due to its syntactic overlap with EVENT-LOCATION and its low frequency in the datasets.

**Group 3: Semantics without Supertype** Similar to group 1, but excluding the SUPERTYPE DSR, and repositioning the factor from modifier and accessory for higher abstraction. Relations of modification and supplementation (present in group 1) are suppressed to focus on lexical semantics, moving label ACCESSORY-DETERMINER to the declaratory group, EVENT-TIME to the event group and all quality related labels to the qualification group.

**Group 4: Syntax without Supertype** Similar to group 2, but excluding the SUPERTYPE DSR. Further abstractions are not conducted, as the definition roles already offer a stable structure for sentence construction.

### 4 Related work

**Disentangled VAEs in language** Early approaches in text disentanglement use VAEs with multiple adversarial losses for style transfer (Hu et al., 2017; John et al., 2019). More recently, Cheng et al. (2020) propose a style transfer method which minimizing the mutual information between the latent and the observed variable, while Colombo et al. (2021) propose an upper bound of mutual information for fair text classification. Disentanglement of syntactic and semantic information on sentences is explored by Chen et al. (2019), using multiple losses for word ordering and paraphrasing, and by Bao et al. (2019) with linearized constituency tree losses. Finally, Dupont (2018) work on discrete factors for image models and the improvements in Mercatali and Freitas (2021) proposed method for NLP lead to this work, where we move from the latter’s implicit language features and LSTM-based architecture to explicit automatic annotations and a state-of-the-art Transformer-based architecture. We focus our efforts into the representation of definitions, and propose to promote disentanglement by using biases provided as semantic roles, designing two VAE models to inject structural semantic information into the representation. As an alternative
architecture for generative modeling, Generative Adversarial Network (GAN) was not employed for this problem due to the non-contrastive nature of the input data (trying to leverage informed structural knowledge) and the emphasis on disentanglement as a mechanism to understand separability and control.

**Disentanglement Evaluation** Vishnubhotla et al. (2021) evaluate disentanglement in synthetic text on various NLP tasks such as classification, retrieval and style transfer. Zhang et al. (2021) evaluate disentanglement of various VAE models on synthetic datasets where generative factors are known. Differently from these methods, we propose a new framework to evaluate non-synthetic natural language, where semantic role labels are used as generative factors. We model linguistic features of natural language definitions, with the goal of exploring the semantic properties that are encapsulated in it.

**Definition models** Early approaches in definition encoding include (Hill et al., 2016), which propose the first neural embedding model for dictionaries, and (Bahdanau et al., 2017), which present an RNN-based encoder decoder architecture for textual entailment and reading comprehension. More recently, methods based on Autoencoders (Bosc and Vincent, 2018) and transformers (Tsukagoshi et al., 2021) have been proposed. Various approaches for the task of generating a definition from a word (Definition Modeling) have been proposed, including RNN-based methods (Noraset et al., 2017), soft attention mechanisms (Gadetsky et al., 2018), and span-based encoding schemes (Bevilacqua et al., 2020). The semantic aspect of natural language definitions are explored in (Silva et al., 2016, 2018), where the concept of definition semantic roles is proposed.

## 5 Empirical analysis

In this section, we firstly describe the empirical setup for experiments, secondly, we provide qualitative evaluation and thirdly, we measure various quantitative metrics. Finally, we demonstrate the capacity of the proposed models in the downstream task of definition modeling.

### 5.1 Experimental setup

**Datasets** Definition sentences and their respective semantic role structures are sourced from three different datasets by (Silva et al., 2016) with the characteristics described in Table 1. All datasets are automatically annotated with DSR tags for each token, using the method proposed by (Silva et al., 2016). The datasets differ not only in sentence length and size, but also in textual style: while WordNet and Wiktionary sentences tend to be formatted as dictionary definitions, Wikipedia sentences are lengthier and less adherent to a typical definition structure. For brevity, hyperparameter choices and implementation details are covered in sections C and D of the suplementary material.

### 5.2 Qualitative Evaluation

We analyse the representations of the trained models in terms of their disentanglement and composition, by applying three different techniques 1) traversals of the latent space, 2) latent space arithmetic, 3) encoding interpolation.

**Latent space traversals** Traversal evaluation is a standard procedure with image disentanglement (Higgins et al., 2017; Kim and Mnih, 2018). The traversal of a latent factor is obtained as the decoding of the vectors corresponding to the latent variables, where the evaluated factor is changed within a fixed interval, while all others are kept fixed. If the representation is disentangled, when a latent factor is traversed, the decoded sentences should only change with respect to that factor. This means that after training the model we are able to probe the representation for each latent variable. In the experiment, the traversal is set up from a starting point given by a “seed” sentence. As illustrated in Table 2 we observed that the latent variables typically track a single abstract definition role (e.g., supertype, quality, purpose), and change the meaning of the original term according to an abstract interpretation axis (e.g., flying → movement, art → doctrine/teachings). This means a certain degree of control can be applied to the generation of both the sentence structure and semantics.

<table>
<thead>
<tr>
<th>Dataset</th>
<th>Num sents.</th>
<th>Avg. length</th>
<th>Version</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wordnet</td>
<td>93,699</td>
<td>9</td>
<td>WordNet 3.0</td>
</tr>
<tr>
<td>Wiktionary</td>
<td>464,243</td>
<td>8</td>
<td>Dec, 2016</td>
</tr>
<tr>
<td>Wikipedia</td>
<td>1,500,323</td>
<td>12</td>
<td>Dec, 2016</td>
</tr>
</tbody>
</table>

Table 1: Statistics from definition datasets.
Table 2: Traversals showing changed and held semantic factors in Wiktionary definitions (Optimus-based model).

Table 3: Traversals showing changed and held semantic factors after latent vector arithmetic in Wiktionary definitions (Optimus-based model).

Table 4: Interpolation examples in Wiktionary (Optimus-based model). Only unique sentences are shown.

sentences can potentially support quasi-symbolic operations over the latent space. Such effects could not be observed within the baselines.

Based on those three experiments, the composition of such latent space could be conceptualised as in the projection illustrated in Figure 4.

Figure 4: Conceptualisation of a two-dimension cut of the latent space, applied to the first example in Table 4.

UMAP plot UMAP (Uniform Manifold Approximation and Projection) (McInnes et al., 2018) is a popular method for non-linear dimensionality reduction, that allows the visualization of complex high-dimensional feature spaces, such as the representation space produced by a VAE. Figure 5 presents a 2D plot of UMAP transformations for both baselines under three training frameworks, from which the clustering of DSR patterns can be observed. While the supervision with DSR labels promotes clustering of the patterns around the center of the plot, cVAE compacts the cluster on the edges, allowing better separation. In the Optimus-based model, for example, the SUPERTYPE (green) cluster has a tendency to move...
towards the edge of plot from left (U) to right (C). t-SNE transformations are also performed and the plots are presented in the supplemental material (Appendix E).

5.3 Quantitative Evaluation

In this experiment we probe the representation learned by the proposed VAE models using eight popular quantitative metrics for disentanglement, namely: z-diff (Higgins et al., 2017), z-min-var (Kim and Mnih, 2018), Mutual Information Gap (MIG) (Chen et al., 2018), Modularity & Explicitness (Ridgeway and Mozer, 2018), and from (Eastwood and Williams, 2018)(disentanglement, completeness, informativeness). Further details about the metrics are provided in Appendix B. It is relevant to mention that there are considerations regarding inconsistency on classification dependent probes (e.g., z-min-var, modularity), which are not discussed here due to space and scope considerations (we refer to Carbonneau et al. (2022)). Therefore, we decided to include all current metrics that could be applied in this scenario, and the results presented next should be interpreted considering these limitations.

Experimental Setup We evaluate VAE (U), DSR VAE (S) and CVAE (C) on Wordnet (WN), Wiktionary (WT) and Wikipedia (WP) datasets. Evaluation is performed under the framework explained in Section 3. Each combination of VAE architecture, generative factor grouping and representation size was trained and quantitatively tested, by calculating the previously mentioned disentanglement metrics. For computing the metrics we follow the experiments of Zhang et al. (2021).

Analysis The results presented in Tables 2, 4, and 5 show that, specially when using the Optimus-based model:

For the Wiktionary and Wikipedia datasets, the application of DSR categories as biases results in a measurable improvement in disentanglement (RQ1). This is evidenced by the proposed model outperforming the unsupervised baseline in six of the eight disentanglement metrics tested, by a margin of at least 2.5%, 81% in average. The use of DSRs as generative factors produces meaningful disentangled representations (RQ2). The traversal results indicate the tendency of associating certain role abstractions to latent space dimensions, e.g., supertype, statement (purpose, among others). The interpolation results indicate the capture of semantic bridging across definitions, e.g., teaching → loading (process). The UMAP visualisation indicates slightly better factor separation and smoother transitions for the conditional model.

More specifically, in LSTM, z-diff presents the highest and most consistent improvement, specially with the CVAE, indicating higher interpretability when inferring single generative factors from the representations. Explicitness results are also consistent, indicating higher coverage of each factor. Improvements on Modularity, Disentanglement Score, Completeness and Informativeness are less consistent, indicating that the factors share substantial information between them. On the other hand, z-min-var, MIG counter the trend of improvement,
due to the fact that they are designed to strongly penalize non-alignment of single pairs \(<\text{factor} \leftrightarrow \text{latent dimension}\>\) (e.g., linear combinations). As a result, they penalize the existence of dependency and hierarchy relations which is present in most DSR categories, e.g., DIFFERENTIA-EVENT \(\rightarrow\) EVENT-TIME. As for the Optimus-based model, there are similar tendencies on WT and WP corpus. The conditional framework always performs better under 6 of 8 metrics, except z-min-var and modularity. This result indicates that our conditional framework can improve the disentanglement performance of Optimus.

We also analyse how semantic groupings affect disentanglement in Figure 6b (RQ3). This is done only for the LSTM-based VAE, as the Transformer-based one was set to the optimal configuration in Li et al. (2020). Overall, we notice that syntax based groups have higher scores, indicating that it is easier to disentangle syntactic phrase components. For
Modularity the result is the opposite, indicating that semantic groupings promote higher independence between factors. Following (Zhang et al., 2021), the values in Table 5 for the metrics Completeness and Disentanglement score are multiplied by 10, in order to facilitate the visualization.

Finally, we find that a low number of latent dimensions leads to smaller degree of disentanglement. The experiments with 4, 5, 7 and 128 latents are reported in Figure 6a.

5.4 Definition Generation

In this experiment, we assess the proposed VAE models in the task of "Definition Modeling" (Noraset et al., 2017), where the goal is to generate a natural language definition given the word to be defined (definiendum).

**Experimental setup** During training, we adopt the "seed" setup (Noraset et al., 2017), which involves providing the definiendum concatenated with the definition tokens as input for the model. At generation time, the model takes as input only the word which needs to be defined, and leverages a trained model for computing the definition latent encoding. Such encoding is then fed into a softmax function and subsequently a multinomial probability distribution is sampled for decoding the latent variable into the final definition sentence.

To compare with the baseline of definition generation (Gadetsky et al., 2018), we only consider LSTM-based VAEs under the proposed unsupervised and DSR-supervised framework, both using the "seed" setup. The conditional LSTM and optimus-based models are not explored in this experiment in order to have a more fair comparison with the Definition model. We train the baseline and our models with similar setups, following (Gadetsky et al., 2018). We perform language model pretraining on the WikiText-103 dataset (Merity et al., 2016) for 1 epoch, then train on the downstream dataset for 10 epochs. Additionally, all models are initialised using Google Word2Vec pretrained vectors, following (Gadetsky et al., 2018).

**Results** We report the perplexity and Bleu (Papineni et al., 2002) results in Table 7. We observe that the proposed variational autoencoder models achieve an improvement on both perplexity and Bleu compared to the RNN baseline. The DSR VAE achieves the best perplexity and Bleu on 2 out of 3 datasets while the unsupervised VAE is the best performing model in the other cases. Success of VAE models can be attributed to their disentangling properties, which promotes learning of latent spaces that are less sparse, a benefit deriving from sampling variable for re-parameterization. Improvements from the DSR VAE are marginal, but can be attributed to the additional information that is injected into its latent variables.

<table>
<thead>
<tr>
<th></th>
<th>Perplexity ↓</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Data</td>
<td>DM VAE</td>
<td>DSR</td>
</tr>
<tr>
<td>WN</td>
<td>88.59</td>
<td>80.36</td>
<td>80.27</td>
</tr>
<tr>
<td>WT</td>
<td>42.51</td>
<td>39.09</td>
<td>38.64</td>
</tr>
<tr>
<td>WP</td>
<td>13.09</td>
<td>12.39</td>
<td>12.47</td>
</tr>
</tbody>
</table>

Table 7: Quantitative metrics for definition generation.

Some generation examples from the Wordnet dataset are provided in Table 6. Such examples show that the proposed VAE models are able to leverage the structural and semantic information of the learned definition roles to better approximate the defined concept. In particular, we notice some semantically strong linguistic elements in the definitions decoded with DSR supervision, for example DSR is the only model able to link the verb "re-pulse" with the hostile adjective, the verb colonise with the similar verb "settle", and the word "heat" with temperature. We include more generation examples of the Optimus-based model in Appendix E.

The strong performance in this definition generation task indicates that the disentangled representations have provided the VAE models with higher generalization capability, suggesting that disentangling is beneficial for diverse applications.

6 Conclusion

We propose a novel VAE-based framework for learning and evaluating disentangled representations in natural language definitions. We leverage the semantic structure present in dictionaries as inductive biases for improving disentanglement in VAEs, and as generative factors during evaluation. Our evaluation shows, both with qualitative investigations and with quantitative metrics, that the proposed framework is able to produce encodings with a higher degree of disentanglement. Finally, our models outperform existing baselines on a definition modeling application, demonstrating the generalization capabilities of disentangled representations.
Limitations

The type of structural supervision chosen for the approach here proposed is specifically fit to definition (dictionary style) sentences, in order to leverage semantic information from such structures. However, this limits the scope of comparison with other methods applied to general sentences. Additionally, the qualitative improvements we observed in terms of latent space traversals, arithmetic and interpolation do not clearly correlate with the disentanglement metrics, despite overall improvement. This raises some questions regarding the relation between explainability properties and general latent space separability.

References


A Definition Semantic Roles

The datasets used in our experiments are introduced in (Silva et al., 2018). We report in Table 9 the annotated categories.

<table>
<thead>
<tr>
<th>Role</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Supertype</td>
<td>the immediate or ancestral entity’s superclass</td>
</tr>
<tr>
<td>Differentia quality</td>
<td>a quality that distinguishes the entity from the others under the same supertype</td>
</tr>
<tr>
<td>Differentia event</td>
<td>an event (action, state or process) in which the entity participates and that is mandatory to distinguish it from the others under the same supertype</td>
</tr>
<tr>
<td>Event location</td>
<td>the location of a differentia event</td>
</tr>
<tr>
<td>Event time</td>
<td>the time in which a differentia event happens</td>
</tr>
<tr>
<td>Origin location</td>
<td>the entity’s location of origin</td>
</tr>
<tr>
<td>Quality modifier</td>
<td>degree, frequency or manner modifiers that constrain a differentia quality</td>
</tr>
<tr>
<td>Purpose</td>
<td>the main goal of the entity’s existence or occurrence</td>
</tr>
<tr>
<td>Associated fact</td>
<td>a fact whose occurrence is/was linked to the entity’s existence or occurrence</td>
</tr>
<tr>
<td>Accessory determ</td>
<td>a determiner expression that doesn’t constrain the supertype/differentia scope</td>
</tr>
<tr>
<td>Accessory quality</td>
<td>a quality that is not essential to characterize the entity</td>
</tr>
<tr>
<td>Role particle</td>
<td>a particle, such as a phrasal verb complement, non-contiguous to the other role components</td>
</tr>
</tbody>
</table>

Table 8: Semantic Role Labels for dictionary definitions.

B Disentanglement Metrics

1. $z_{diff \_accuracy}$ (Higgins et al., 2017): The accuracy of a predictor for $p(y|z_{diff}^b)$, where $z_{diff}^b$ is the absolute linear difference between the inferred latent representations for a batch $B$ of latent vectors, written as a percentage value. Higher values imply better disentanglement.

2. $z_{\text{min\_var \_error}}$ (Kim and Mnih, 2018): For a chosen factor $k$, data is generated with this factor fixed but all other factors varying randomly; their representations are obtained, with each dimension normalised by its empirical standard deviation over the full data (or a large enough random subset); the empirical variance is taken for each dimension of these normalised representations. Then the index of the dimension with the lowest variance and the target index $k$ provide one training input/output example for the classifier. Thus, if the representation is perfectly disentangled, the empirical variance in the dimension corresponding to the fixed factor will be 0. The representations are normalised so that the arg min is invariant to rescaling of the representations in each dimension. Since both inputs and outputs lie in a discrete space, the optimal classifier is the majority-vote classifier, and the metric is the error rate of the classifier. Lower values imply better disentanglement.

3. Mutual Information Gap ($MIG$) (Chen et al., 2018): The difference between the top two latent variables with the highest mutual information. Empirical mutual information between a latent representation $z_j$ and a ground truth factor $v_k$, is estimated using the joint distribution defined by $q(z_j, v_k) = \sum_{n=1}^{N} p(v_k)p(n|v_k)q(z_j|n)$. A higher mutual information implies that $z_j$ contains a more information about $v_k$, and the mutual information is maximal if there exists a deterministic, invertible relationship between $z_j$ and $v_k$. MIG values are in the interval $[0, 1]$, with higher values implying better disentanglement.

4. Modularity (Ridgeway and Mozer, 2018): The deviation from an ideally modular case of latent representation. If latent vector dimension $i$ is ideally modular, it will have high mutual information with a single factor and zero mutual information with all other factors. A deviation $\delta_i$ of 0 indicates perfect modularity and 1 indicates that this dimension has equal mutual information with every factor. Thus, $1 - \delta_i$ is used as a modularity score for vector dimension $i$ and the mean of $1 - \delta_i$ over $i$ as the modularity score for the overall representation. Higher values imply better disentanglement.

5. Explicitness (Ridgeway and Mozer, 2018): Mean of the ROC area-under-the-curve ($AUC_{jk}$) of a one-versus-rest logistic-regression classifier that takes the latent vectors as input and has factor values as targets, over a factor index $j$ and an index $k$ on values of factor $j$. Represents the coverage of the representation, in other words, how well each factor is represented. Higher values imply better disentanglement.

6. Disentanglement Score (Eastwood and
The choice of architecture allows evaluation of the impact of DSR label conditioning in two distinct ways: as part of the autoencoding objective function, and as a conditional variable of the decoder, addressing our research questions RQ1 and RQ2. The choice of generative factor grouping can indicate the best ways to organize the factors, addressing RQ3.

The dimensionality of the representation is set to match the number of generative factors, in an attempt to force disentanglement by alignment of each dimension to a single factor. The dimension sizes are then defined to be 4 (alignment with groupings 3 and 4), 5 (alignment with grouping 2) or 7 (alignment with grouping 1). However, different levels of disentanglement can be achieved with mismatching dimensions and factors. So all possible combinations of factors and representation sizes are tested and a size of 128 is included to evaluate the impact of a higher number of parameters in each grouping.

D Implementation Details

As for LSTM-based VAE, hyperparameters are chosen with the following values, based on a previous experiment from (Shen et al., 2020). (1) Number of hidden layers: 1, (2) Dimension of the hidden layer: 512, (3) VAE $\lambda_{KL} = 0.1$, (4) Epochs=20, (5) Batch size=32 for Wikipedia, 64 for the rest. Dropout (20%) is done for both encoder and decoder inputs. To provide the inputs and outputs for the VAEs, the definition sentences are tokenized into sub-words with a Byte Pair Encoding scheme, and converted into token embeddings with the T5 transformer model (Raffel et al., 2020), with an embedding size of 512. With respect to Optimus, we use memory setup to inject latent representation into the decoder. The encoder and decoder are pretrained BERT with bert-base-cased version and GPT2, respectively. Some additional values of hyperparameters are: (1) Epochs=10, (2) Batch size=32, (3) latent size=32. In the supervised framework, a new embedding layer is considered to learn the representations of semantic roles. In the conditional framework, we add semantic roles into the vocabulary of pretrained BERT encoder.

E Further Experimental Results

t-SNE plot Alternative dimensionality reduction method (t-distributed Stochastic Neighbor Embedding) (Van der Maaten and Hinton, 2008), used to

C Hyperparameter choices

Experiments are conducted to cover a set of 3 hyperparameters: First, the VAE architecture used: 1) Unsupervised VAE 2) Supervised with SRL 3) CVAE with SRL. Second, the generative factor grouping, which includes: 1) Semantic w/ supertype 2) Syntactic w/ supertype 3) Semantic w/o supertype 4) Syntactic w/o supertype. Third, the dimensionality of VAE latent representation ($z$): 4, 5, 7, 128.
visualise the clustering of DSR patterns, as seen in Figure 7.

![Figure 7: t-SNE plot of latent representation generated from LSTM and Optimus-based models under Unsupervised VAE (U), DSR supervision (S) and Conditional VAE (C) (Top: LSTM, Bottom: Optimus-based).](image)

**Optimus-based model definition generation**

Table 9 lists the generated definitions from the Unsupervised Optimus-based model on Wordnet. The perplexity is 35.46 that is much lower than 80.27 from LSTM.

<table>
<thead>
<tr>
<th>Word</th>
<th>Generated Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fox</td>
<td>a member of the Mayflower</td>
</tr>
<tr>
<td>Untermeyer</td>
<td>United States writer of short stories</td>
</tr>
<tr>
<td>organise</td>
<td>make logical or comprehensible</td>
</tr>
<tr>
<td>dishrag</td>
<td>remove the fur from</td>
</tr>
<tr>
<td>altocumulus cloud</td>
<td>a clear blue sky</td>
</tr>
<tr>
<td>shuffle</td>
<td>move quickly on or move quickly forward</td>
</tr>
<tr>
<td>sharpen</td>
<td>make sharp or sharper</td>
</tr>
<tr>
<td>semantic error</td>
<td>discrimination that invalidates an earlier characteristic</td>
</tr>
<tr>
<td>railway station</td>
<td>station where planes take off and land or take off</td>
</tr>
<tr>
<td>Antonio Pignatelli</td>
<td>Italian cardinal and theologian</td>
</tr>
<tr>
<td>union</td>
<td>a cooperative level of play in league with other players</td>
</tr>
<tr>
<td>love knot</td>
<td>a knot of contrasting color or yarn used for tying a wedding band</td>
</tr>
<tr>
<td>commodity brokerage</td>
<td>a place where stockbrokers sell their stock</td>
</tr>
</tbody>
</table>

Table 9: Generation definitions from the Optimus-based model.