Character-level NMT and language similarity

Josef Jon jon@ufal.mff.cuni.cz
Ondřej Bojar bojar@ufal.mff.cuni.cz
Charles University, Faculty of Mathematics and Physics, Institute of Formal and Applied Linguistics, Prague, Czech Republic

Abstract

We explore the effectiveness of character-level neural machine translation using Transformer architecture for various levels of language similarity and size of the training dataset on translation between Czech and Croatian, German, Hungarian, Slovak, and Spanish. We evaluate the models using automatic MT metrics and show that translation between similar languages benefits from character-level input segmentation, while for less related languages, character-level vanilla Transformer-base often lags behind subword-level segmentation. We confirm previous findings that it is possible to close the gap by finetuning the already trained subword-level models to character-level.

1 Introduction

Character-level NMT has been studied for a long time, with mixed results compared to subword segmentation. In the MT practitioner's discourse, it has sometimes been assumed that character-level systems are more robust to domain shift and better in the translation of morphologically rich languages. Recent studies (Libovický et al., 2022) show that there are no conclusive proofs for these claims.

At the same time, character-level systems have been reliably shown to be robust against source-side noise. In terms of general translation quality, they often either underperform or are on par with their subword-level counterparts (Libovický et al., 2022). Also, both training and inference speeds are lower and memory requirements are higher due to longer sequence lengths (mostly because of the quadratic complexity of the Transformer attention mechanism with respect to the input length (Vaswani et al., 2017)) unless specialized architectures are used.

In this work, we present experiments on a specific use-case of translation of related languages. We train bilingual Transformer translation models to translate between Czech and Croatian, German, Hungarian, Slovak, or Spanish. We vary the training dataset size, vocabulary size and model depth and study the effects. We show that in the baseline configuration with vanilla Transformer-base, character-level models outperform subword-level models in terms of automated evaluation scores only in closely related Czech-Slovak translation pair. Finally, we confirm that it is possible to obtain a better quality of the char-level translation for less related languages by first training a subword-level model and in the later stage of the training switching to character-level processing.

2 Related work

Libovický et al. (2022) analyze the body of the work on character-level NMT and show that in most cases, it still falls behind in many aspects compared to the subword-level counterpart.

Since they provide a comprehensive overview of the field up to today, we will only very briefly list the most influential works in this section, and refer the reader to the detailed analysis in Libovický et al. (2022).

In one of the earliest works, Chung et al. (2016) use RNN with character segmentation on the decoder side. Lee et al. (2017) use CNN for fully character-level NMT. Costa-jussà et al. (2017) apply a similar approach to byte-level translation. Gupta et al. (2019) and Ngo et al. (2019) explore character-level MT using the Transformer model. Recent work on character-level NMT includes Li et al. (2021); Banar et al. (2021) and Gao et al. (2020).

Libovický and Fraser (2020) show that problems with slow training and worse final translation quality for character-level NMT models can be largely mitigated by first training with subword segmentation and subsequently finetuning on character-segmented text. However, a problem of lower speed (due to longer sequence length) persists, which can make both the training and inference prohibitively costly and slow, especially for models that make use of a larger context than only one sentence.

Our work specifically targets character-level translation of closely related languages. In WMT 2019 Similar Language translation task (Barrault et al., 2019), Scherrer et al. (2019) show that character-level NMT is effective for translation between closely related Portuguese and Spanish and in Multilingual Low-Resource Translation for Indo-European Languages task at WMT21 (Akhbardeh et al., 2021), Jon et al. (2021) successfully apply character-level NMT to translation between Catalan and Occitan.

3 System description

3.1 Data

We evaluate our models on translation from Czech to German, Spanish, Croatian, Hungarian and Slovak and vice-versa. We train on MultiParaCrawl (Bañón et al., 2020)¹ corpus. It is based on Paracrawl, which is English-centric (each language in the original dataset is aligned only to English). MultiParaCrawl aligns the sentences in the other languages that have the same English translation. This introduces mis-alignments into the dataset (it is possible that two sentences with different meanings in other languages have the same English translation), but we nevertheless use it to have a comparable training corpus for all the languages. We sample subsets for each language pair in sizes of 50k, 500k, and 5M sentences (Croatian corpus only has about 800k sentences in total, so we use only the 50k and 500k sizes). We use FLORES-200 (Team et al., 2022) as validation and test sets (we keep the original splits). We note that this test set is created by translating the same English test into all the languages and not translating the two tested languages between each other – this might mean that the effect of language similarity is somewhat subdued in this setting.

We segment the text using SentencePiece with the given vocabulary size (32k, 4k, or character-level model), with 99.95% character coverage and UTF-8 byte fallback for unknown characters. The segmentation models are trained on the whole 5M datasets, jointly for each pair.

Language similarity We use chrF score (Popović, 2015), traditionally used to compute translation quality, as a language similarity metric. It is a character-level metric and we hypothesize that character-level similarity is an important aspect for our experiments. We compute chrF score of the Czech FLORES-200 test set relative to all the other languages (Table 1). We also show the lexical similarity score provided by the UKC database², which is based on a number of cognates between languages in their contemporary vocabularies (Bella et al., 2021).

¹https://opus.nlpl.eu/MultiParaCrawl.php

²http://ukc.disi.unitn.it/index.php/lexsim/

Language	chrF	LexSim
sk	36.7	16.5
hr	22.7	8.2
es	16.5	2.6
hu	16.3	2.9
de	15.4	3.7

Table 1: UKC LexSim and chrF scorebased similarities of the testsets, i.e. chrF score of untranslated Czech testset compared to the other languages.

Pair	Lang	% skip	Avg len
cs-de	cs	0.43	88.2
cs-de	de	0.64	100.3
20. 20	cs	0.30	84.5
cs-es	es	0.50	95.5
cs-hr	cs	1.21	127.1
	hr	1.30	131.7
cs-hu	cs	0.26	76.4
	hu	0.45	83.0
1.	cs	0.25	74.9
cs-sk	sk	0.29	77.4

Table 2: Percentage of examples exceeding the training source length limit (400 characters) and average sentence character lengths for all the training datasets for character-level training.

3.2 Model

We trained Transformer (Vaswani et al., 2017) models to translate to Czech from other languages (Hungarian, Slovak, Croatian, German and Spanish) and vice-versa using MarianNMT (Junczys-Dowmunt et al., 2018).

Our baseline model is Transformer-base (512-dim embeddings, 2048-dim ffn) with 6 encoder and 6 decoder layers. We also train two other versions of Transformer-base: with 16 encoder + 6 decoder layers and with 16 encoder + 16 decoder layers. For other hyperparameters, we use the default configuration of MarianNMT. We evaluate the models on the validation set each 5000 updates and we stop the training after 20 consecutive validations without improvement in either chrF or cross-entropy. We use Adam optimizer (Kingma and Ba, 2017) and one shared vocabulary and embeddings for both source and target.

Similarly to Libovický and Fraser (2020), we compared training char-level models from scratch to starting the training from subword-level models (both with 4k and 32k vocabularies) and switching to character-level processing after subword-level training converged. They obtained better results with a more complex curriculum learning scheme, while we only finetune the pre-trained model.

We performed a length analysis on the character level for all the datasets. Based on this, we set the maximum source sequence length for training and inference to 400 for all the systems. We skip longer training examples. In the worst case (Croatian to Czech), 1.3 % of the examples are skipped. Table 2 shows average character lengths and percentage of the skipped training examples in all directions. For inference, we normalize the output score by the length of the hypothesis as implemented in Marian. We search for the optimal value of the length normalization constant on the validation set in the range of 0.5 to 4.0.

3.3 Evaluation

We use SacreBLEU (Post, 2018) to compute BLEU and chrF scores. We set $\beta=2$ for chrF in all the experiments (i.e. chrF2, the default in SacreBLEU). For COMET (Rei et al., 2020)³ scores we use the original implementation and the wmt20-comet-da model.

³https://github.com/Unbabel/COMET

3.4 Hardware

We ran the experiments on a grid comprising of Quadro RTX 5000, GeForce GTX 1080 Ti, RTX A4000, or GeForce RTX 3090 GPUs. We trained a total of about 170 models with training times ranging from 10 hours to 14 days, depending on the dataset, model, and GPUs used.

4 Results

4.1 Subwords vs. characters

We compare BLEU, chrF and COMET scores for Transformer-base trained on different training dataset sizes and with different segmentations in all the language directions in Table 3 and the same results are plotted in Figure 1. First and foremost, the character-level models provide the best results for the most similar language pair, Czech-Slovak (sk), across training data sizes and translation directions. For example, with a 50k dataset, the character-level model achieves a COMET score of 0.8834 and 0.8429 in Czech-to-Slovak and Slovak-to-Czech translations, respectively. The scores are better compared to those of 4k and 32k vocab models with the same training dataset. This trend continues with larger datasets; the character-level model outperforms in both the 500k and 5M datasets, although for the largest datasets, the results are very similar across vocabulary sizes.

However, for the other language pairs, the results are mixed, and subword-level models often outperform character-level models, particularly with larger training dataset sizes. For instance, in Czech-to-Hungarian (hu) translations with a 5M dataset, the 32k vocab model achieves a COMET score of 0.6531 which is better than the 0.6263 score of the character-level model. The same pattern is observed in Czech-to-German (de) translations with the 32k vocab model outperforming the character-level model in the 5M dataset with a COMET score of 0.6275 against 0.5955.

For all the other languages (aside from Slovak), training on the 50k dataset fails to produce usable translation model at any vocabulary size, even for the second most similar language, Croatian. However, as we show in the next section, we can see the benefits of char-level translation of Czech-Croatian when finetuning charl-level model from subword-level model.

The results are more favorable for subword-level models with increasing training set sizes, probably due to the sparsity of the longer subwords in smaller datasets which results in worse quality of the embeddings. We also see that generally, character-level models perform better in terms of chrF (char-level metric) than BLEU and COMET. For example, see Czech-to-Spanish, 5M dataset: character model has the best chrF score (although by a small margin), but the worst BLEU and COMET scores.

4.2 Finetuning

We took an alternative approach to training character-level models from scratch by fine-tuning the subword-level models. We only finetuned the models in the direction from Czech to the target language. Starting from the last checkpoint of the subword-level training, we switched the dataset to a character-split one. Since SentencePiece models include all the characters in their vocabularies, there was no need to adjust them. We proceeded with the same hyperparameters, including the optimizer parameters, after resetting the early-stopping counters.

We present the results in Tables 4 and 5 for models finetuned from 4k and 32k subword models, respectively. We see that in cases where training a char-level model from scratch didn't perform well compared to a subword-level one, finetuning from subword-level helps to attain the quality of the subword-level and even surpass it in some cases. For example, Czech-to-Croatian char level model without finetuning obtains COMET score of -1.4055, but after finetuning from 4k model, the score increases to -0.2671, which is also better than the -1.0112 of the 4k model alone.

			$\mathbf{Czech} \to \mathbf{Lang}$]	$\mathbf{Lang} \to \mathbf{Czech}$			
Lang	Dataset	Vocab	BLEU	CHRF	COMET	BLEU	CHRF	COMET		
		char	23.1	53.1	0.8834	23.4	53.1	0.8429		
	50k	4k	21.1	51.7	0.6989	21.6	51.8	0.7054		
		32k	20.1	50.5	0.5155	20.1	50.2	0.5226		
1		char	27.8	56.4	1.0737	27.2	56.1	1.0165		
sk	500k	4k	27.0	55.8	1.0574	26.7	55.8	1.0018		
		32k	26.8	55.6	1.0342	26.3	55.4	0.9893		
		char	28.7	57.0	1.1035	28.4	56.8	1.0419		
	5M	4k	28.6	56.9	1.1012	28.1	56.5	1.0333		
		32k	28.7	56.9	1.0973	28.2	56.6	1.0376		
		char	0.6	21.0	-1.4054	0.3	18.1	-1.4137		
	50k	4k	1.9	25.4	-1.3256	1.5	24.2	-1.2826		
		32k	3.0	28.3	-1.2141	2.1	25.5	-1.2116		
h.,		char	13.3	45.8	0.1812	12.3	42.2	0.1892		
hu	500k	4k	12.7	44.7	0.1371	12.3	41.2	0.2414		
		32k	12.4	43.4	0.0852	11.8	40.6	0.1658		
		char	17.4	50.8	0.6263	17.7	46.9	0.6999		
	5M	4k	17.7	50.3	0.6447	18.4	47.4	0.7283		
		32k	18.3	50.6	0.6531	18.6	47.2	0.7325		
		char	0.4	22.5	-1.5904	0.4	18.5	-1.4006		
	50k	4k	2.2	29.2	-1.3982	2.0	25.7	-1.2548		
		32k	4.7	33.7	-1.2014	4.7	29.9	-1.0102		
da		char	18.0	50.6	0.3185	18.0	47.3	0.4657		
de	500k	4k	19.2	50.9	0.3568	18.0	47.3	0.5533		
		32k	19.2	50.3	0.3155	17.6	46.1	0.4517		
		char	24.1	55.2	0.5955	23.1	52.0	0.8322		
	5M	4k	24.3	55.2	0.6043	23.0	51.9	0.8648		
		32k	25.2	55.7	0.6275	23.4	51.8	0.8838		
		char	0.2	23.0	-1.4847	0.2	18.3	-1.3952		
	50k	4k	2.3	28.4	-1.329	1.4	24.0	-1.2688		
		32k	4.6	32.6	-1.1684	2.8	27.3	-1.0927		
es		char	16.0	46.6	0.1857	0.4	18.1	-1.3986		
CS	500k	4k	15.6	45.7	0.1765	11.7	41.2	0.3451		
		32k	15.8	45.4	0.0976	11.5	40.2	0.2395		
		char	19.3	49.5	0.4602	14.6	44.2	0.6394		
	5M	4k	20.0	49.3	0.4911	15.7	44.9	0.7160		
		32k	20.4	49.4	0.5074	15.7	45.1	0.7186		
		char	0.2	21.2	-1.4055	0.2	16.9	-1.4397		
	50k	4k	4.8	34.0	-1.0112	4.6	30.3	-1.0283		
hr		32k	7.7	38.1	-0.7048	5.3	31.3	-0.9501		
		char	19.6	51.6	0.6403	18.0	47.3	0.5469		
	500k	4k	19.7	51.2	0.6922	19.3	48.2	0.6772		
		32k	19.2	50.5	0.6160	19.3	47.6	0.6170		

Table 3: Test set scores for Transformer-base models (6 encoder and 6 decoder layers) trained from scratch. Bold are the best results within the same training dataset.

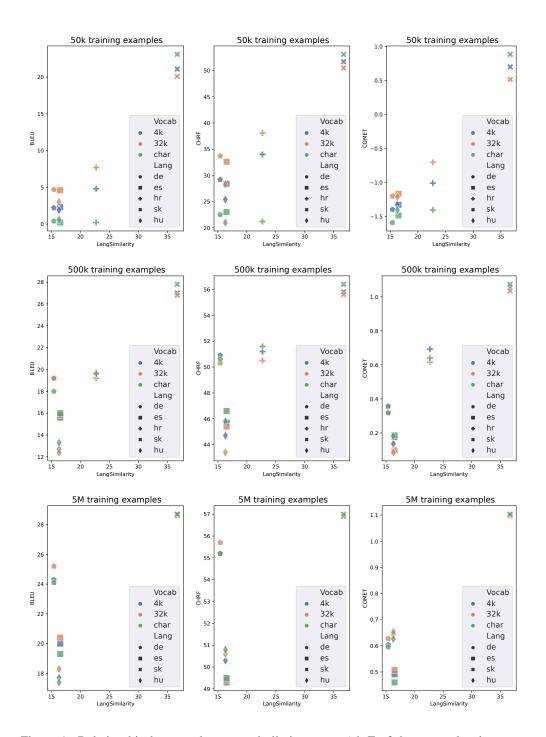


Figure 1: Relationship between language similarity scores (chrF of the untranslated test set source) and BLEU, chrF and COMET scores, depending on vocabulary size. First row are the results for 50k sentence train set, second row for 500k train set and third row for 5M train set.

		Score		$\Delta(char)$			$\Delta(4k)$			
Lang	Dataset	BLEU	CHRF	COMET	BLEU	CHRF	COMET	BLEU	CHRF	COMET
sk	50k	21.8	52.4	0.8750	-1.3	-0.7	-0.0084	0.7	0.7	0.1761
	500k	27.6	56.3	1.0720	-0.2	-0.1	-0.0017	0.6	0.5	0.0146
	5M	28.8	57.0	1.1017	0.1	0.0	-0.0018	0.2	0.1	0.0005
hu	50k	1.7	22.8	-1.3850	1.1	1.8	0.0204	-0.2	-2.6	-0.0594
	500k	13.4	46.0	0.2555	0.1	0.2	0.0743	0.7	1.3	0.1184
	5M	18.2	51.2	0.6726	0.8	0.4	0.0463	0.5	0.9	0.0279
de	50k	2.9	30.7	-1.4227	2.5	8.2	0.1677	0.7	1.5	-0.0245
	500k	19.3	51.3	0.3966	1.3	0.7	0.0781	0.1	0.4	0.0398
	5M	24.7	55.6	0.6214	0.6	0.4	0.0259	0.4	0.4	0.0171
es	50k	1.8	27.5	-1.4024	1.6	4.5	0.0823	-0.5	-0.9	-0.0734
	500k	16.3	46.4	0.2276	0.3	-0.2	0.0419	0.7	0.7	0.0511
	5M	19.8	49.5	0.5038	0.5	0.0	0.0436	-0.2	0.2	0.0127
hr	50k	10.3	42.9	-0.2671	10.1	21.7	1.1384	5.5	8.9	0.7441
	500k	20.6	52.4	0.7382	1.0	0.8	0.0979	0.9	1.2	0.0460

Table 4: Results of char-level models for translation from Czech finetuned from 4k subword-level models. Numbers under $\Delta(char)$ show the difference between fine-tuned model scores compared to the char-level model trained from scratch, under $\Delta(4k)$ difference from the model that served as the initial checkpoint for the finetuning.

		Score		$\Delta(char)$			$\Delta(32k)$			
Lang	Dataset	BLEU	CHRF	COMET	BLEU	CHRF	COMET	BLEU	CHRF	COMET
	50k	21.2	52.2	0.8697	-1.9	-0.9	-0.0137	1.1	1.7	0.3542
sk	500k	27.5	56.2	1.0723	-0.3	-0.2	-0.0014	0.7	0.6	0.0381
	5M	29	57.2	1.1011	0.3	0.2	-0.0024	0.3	0.3	0.0038
	50k	2.2	24.8	-1.358	1.6	3.8	0.0474	-0.8	-3.5	-0.1439
hu	500k	12.7	45.7	0.1832	-0.6	-0.1	0.0020	0.3	2.3	0.0980
	5M	18	51.0	0.6589	0.6	0.2	0.0326	-0.3	0.4	0.0058
	50k	4.5	33.3	-1.3335	4.1	10.8	0.2569	-0.2	-0.4	-0.1321
de	500k	19.4	51.4	0.3775	1.4	0.8	0.0590	1.4	0.8	0.0590
	5M	24.8	55.6	0.6274	0.7	0.4	0.0319	-0.4	-0.1	-0.0001
	50k	3.3	30.9	-1.3182	3.1	7.9	0.1665	-1.3	-1.7	-0.1498
es	500k	15.8	46.2	0.1854	-0.2	-0.4	-0.0003	0.0	0.8	0.0878
	5M	19.6	49.4	0.4875	0.3	-0.1	0.0273	-0.8	0.0	-0.0199
h	50k	8.9	41.3	-0.4144	8.7	20.1	0.9911	1.2	3.2	0.2904
hr	500k	20.5	52.0	0.7181	0.9	0.4	0.0778	1.3	1.5	0.1021

Table 5: Results of char-level models for translation from Czech finetuned from 32k subword-level models. Numbers under $\Delta(char)$ show the difference between fine-tuned model scores compared to the char-level model trained from scratch, under $\Delta(32k)$ difference from the model that served as the initial checkpoint for the finetuning.

				16-enc/6-dec			16-enc/16-dec		
Lang	Dataset	Vocab	BLEU	CHRF	COMET	BLEU	CHRF	COMET	
		char	21.9	52.4	0.8475	21.9	52.0	0.8001	
	50k	4k	20.2	51.0	0.6444	19.3	50.1	0.5262	
_		32k	19.6	50.1	0.5308	20.1	50.4	0.5764	
sk		char	27.4	56.0	1.0621	27.4	56.1	1.0618	
SK	500k	4k	26.5	55.6	1.0432	26.6	55.6	1.0469	
_		32k	26.2	55.4	1.0319	26.2	55.4	1.0194	
		char	28.6	57.0	1.1016	28.5	56.9	1.1013	
	5M	4k	28.6	56.9	1.1015	28.3	56.7	1.0920	
		32k	28.2	56.7	1.0916	28.4	56.8	1.0986	
		char	2.8	26.2	-1.3086	2.9	25.2	-1.3019	
	50k	4k	2.8	26.4	-1.2933	2.5	26.6	-1.2995	
		32k	3.0	28.3	-1.2445	3.1	27.5	-1.2623	
1		char	12.9	45.7	0.0855	11.8	43.4	-0.0212	
hu	500k	4k	11.1	42.0	-0.1612	11.1	41.8	-0.1580	
		32k	11.4	42.3	-0.0943	12.0	42.5	-0.0934	
_		char	17.3	50.7	0.6280	17.6	50.1	0.6102	
	5M	4k	17.3	49.8	0.6140	17.4	49.8	0.6045	
		32k	17.7	49.9	0.6280	17.5	50.0	0.6409	
		char	5.7	35.4	-1.2272	5.0	33.0	-1.2836	
	50k	4k	3.5	31.5	-1.3532	3.2	31.0	-1.3571	
		32k	4.8	34.2	-1.2328	3.8	32.9	-1.2819	
de		char	18.9	51.1	0.3203	18.6	51.0	0.3155	
ue	500k	4k	17.1	49.1	0.1909	16.6	48.4	0.1292	
_		32k	17.7	48.8	0.1595	17.5	49.0	0.1624	
		char	24.1	55.4	0.6146	24.1	54.9	0.6007	
	5M	4k	24.6	55.3	0.6138	24.1	54.8	0.6006	
		32k	24.8	55.2	0.6178	24.3	54.7	0.6055	
		char	4.6	32.8	-1.2302	4.5	31.3	-1.2476	
	50k	4k	4.1	30.7	-1.2826	3.3	30.0	-1.2983	
-		32k	5.1	33.6	-1.1571	4.5	32.6	-1.1992	
es		char	15.5	45.7	0.1277	14.8	45.6	0.0684	
CS	500k	4k	15.0	44.6	0.0258	14.3	43.8	-0.0695	
_		32k	14.6	44.1	-0.0454	14.8	44.1	-0.0491	
		char	20.1	49.7	0.4917	19.8	49.1	0.4679	
	5M	4k	19.3	48.8	0.4712	19.6	49.0	0.4582	
		32k	20.0	48.9	0.4670	19.9	49.0	0.4708	
		char	10.3	42.3	-0.4010	9.5	40.4	-0.4877	
	50k	4k	5.7	35.5	-0.9234	4.5	33.3	-1.0641	
hr .		32k	7.8	37.9	-0.7439	6.7	35.8	-0.8185	
		char	19.3	51.6	0.6619	20.1	51.6	0.6795	
	500k	4k	18.0	50.0	0.5527	18.6	50.2	0.5224	
		32k	18.0	49.6	0.5050	18.3	49.6	0.5208	

Table 6: Test set scores for deeper models (16 encoder layers, 6 decoder layers and 16 encoder layers, 16 decoder layers). Bold are the best results within the same training dataset and same model architecture.

Similar, although small increases compared to training from scratch can be seen across all the language pairs, with the exception of Czech-Slovak. For this pair, the translation quality of the character-level model trained from scratch is already much higher on the 50k and 500k datasets. Finetuning from either 32k or 4k models hurts the quality in this case, which could be expected.

After the finetuning, the char-level Croatian model clearly outperforms both 4k and 32k subword models on the 50k dataset in all the metrics. As this did not occur with other, less similar languages, we hypothesize that language similarity is again an important factor in favor of character-level translation.

4.3 Model size

Previous work suggests that character-level processing in Transformers requires the use of deeper models to reach the same performance as subword-level processing. We present experiments with increasing depth of the model in Table 6. All the models are trained in the direction Czech to target. The model sizes are described in Section 3.2. We observe improvements in character-level translation compared to subword-level models of the same depth, but not compared to the Transformer-base models (the results are actually often worse than for the base model). For instance, in German (de) target language with the 500k dataset, the character-level model using 16 encoder layers and 6 decoder layers yielded a COMET score of 0.3203. In contrast, the 4k and 32k vocab subword-level models achieved lower scores of 0.1909 and 0.1595, respectively. Similar patterns can be observed for other languages and datasets as well. However, the vanilla Transformer-base with 4k (Table 3) obtained COMET of 0.3568, still outperforming even the deeper character-level model. The baseline models outperform the deeper models with 4k and 32k vocabularies, often by a large margin, while performance at char-level remains similar or only slightly worse (compare corresponding rows in Table 3 and Table 6).

We hypothesize that the absence of improvements is caused by small dataset sizes and non-optimal hyperparameter choices. The results however suggest that deeper models are better suited for character-level translation, even though they mostly fail to outperform the shallower models in our setting.

5 Conclusions

We trained standard Transformer models to translate between languages with different levels of similarity both on subword-segmented and character-segmented data. We also varied the model depth and the training set size. We show that character-level models outperform subword-segmented models on the most closely related language pair (Czech-Slovak) as measured by automated MT quality metrics. Finetuning models trained with subword-level segmentation to character-level increases the performance in some cases. After finetuning, character-level models surpass the quality of subword-level models also for Czech-Croatian. Other, less similar language pairs reach similar preformances for both subword- and character-level models.

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