# UM6P at SemEval-2023 Task 12: Out-Of-Distribution Generalization Method for African Languages Sentiment Analysis

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### Abstract

This paper presents our submitted system to AfriSenti SemEval-2023 Task 12: Sentiment Analysis for African Languages. The AfriSenti consists of three different tasks, covering monolingual, multilingual, and zero-shot sentiment analysis scenarios for African languages. To improve model generalization, we have explored the following steps: 1) further pretraining of the AfroXLM Pre-trained Language Model (PLM), 2) combining AfroXLM and MARBERT PLMs using a residual layer, and 3) studying the impact of metric learning and two out-of-distribution generalization training objectives. The overall evaluation results show that our system has achieved promising results on several sub-tasks of Task A. For Tasks B and C, our system is ranked among the top six participating systems.

### 1 Introduction

The widespread use of the internet and social media platforms has enabled billions of users worldwide to communicate with each other, express their opinions, and share their experiences. This has led to the proliferation of content from various spoken languages and dialects. To deal with the huge amount of available textual corpora on the Web, various Natural Language Processing (NLP) tools and applications have been proposed. However, most of these NLP tools and applications have been developed for high-resource languages where both labeled and unlabeled data are highly available, while low-resource languages, such as African languages and dialects, still suffering from data scarcity (Nekoto et al., 2020; Marivate et al., 2020). Hence, there is a large gap between what has been achieved for high-resource languages and lowresource languages in NLP (Wang et al., 2021b; Ogueji et al., 2021; Alabi et al., 2022; Adebara and Abdul-Mageed, 2022; Adebara et al., 2022a).

In recent years, there has been an increasing interest in NLP for African languages and dialects. On the one hand, various research works have been introduced to leverage existing unlabeled data for training or adapting existing multilingual language models to African languages and dialects (Ogueji et al., 2021; Alabi et al., 2022; Adebara et al., 2022b). On the other hand, several studies have been published on collecting, curating, and building labeled resources and corpora for African languages. These studies have tackled several NLP applications such as machine translation (Emezue and Dossou, 2021), named entity recognition (Adelani et al., 2021), language and dialect identification (Adebara et al., 2022a), and sentiment analysis (El Mahdaouy et al., 2021; El Mekki et al., 2021; Mabokela and Schlippe, 2022; Muhammad et al., 2022). Nevertheless, the existing studies remain limited to a few African languages and dialects (Adebara and Abdul-Mageed, 2022; Adebara et al., 2022b).

In order to address the aforementioned limitations for sentiment analysis in African languages, Muhammad et al. (2023b) have organized the AfriSenti shared task. AfriSenti consists of three tasks for monolingual, multilingual, and zero-shot cross-lingual transfer learning for sentiment analysis in African languages. The dataset of the shared task covers 14 African languages and dialects (Muhammad et al., 2023a).

In this paper, we present our participating system to AfriSenti shared task. In order to encode the input texts, we have investigated the use of AfroXLM (Alabi et al., 2022) and MARBERT (Abdul-Mageed et al., 2021) pre-trained language models. To improve the performance of our models, we have explored the following steps: 1) further pre-training of the AfroXLM PLM using the whole word masking objective (Cui et al., 2019), 2) combing AfroXLM and MARBERT PLMs using a projection (both PLMs have different embedding sizes) and a residual layer, and 3) studying the impact of several training objectives. To do so, we have employed the following training objectives:

- **Task A**: SoftTriple loss (Qian et al., 2019) for class-wise text embedding alignment.
- Tasks B and C: SoftTriple loss (Qian et al., 2019), the correlation alignment (CORAL) (Sun and Saenko, 2016) and the regularized Mixup (RegMixup) (Pinto et al., 2022) objectives for cross-lingual features alignment and for improving model generalization.

The official submission results demonstrate that our system has achieved promising results on several tracks of Task A. Besides, it is ranked among the top ten participating systems on Task B (6th) and Task C (6th and 4th on zero-shot Tigrinya and Oromo, respectively).

# 2 Background

### 2.1 Task and Data Description

The AfriSenti-SemEval Shared Task presents three challenging tasks for sentiment analysis in African languages (Muhammad et al., 2023b). The shared task's datasets are collected from Twitter and cover 14 African languages and dialects (Muhammad et al., 2023a). The tweets are labeled using negative, neutral, or positive sentiment polarities. The AfriSenti includes the following tasks, where participating teams may submit their results to one or more tasks and sub-tasks:

- Task A: Monolingual Sentiment Classification. It consists of 12 tracks and covers Hausa, Yoruba, Igbo, Nigerian Pidgin, Amharic, Algerian Arabic, Moroccan Arabic/Darija, Swahili, Kinyarwanda, Twi, Mozambican Portuguese, and Xitsonga (Mozambique Dialect).
- Task B: Multilingual Sentiment Classification. In this task, the training data of the 12 languages and dialects of Task A were combined into a single dataset for building multilingual sentiment analysis models and systems.
- Task C: Zero-Shot Sentiment Classification. It aims to leverage the training data of Task A for zero-shot sentiment analysis in Tigrinya and Oromo. The participant may use all or part of the training data of Task A.

#### 2.2 Related Work

During the past few years, there has been a widespread interest in training and fine-tuning large transformer-based language models for NLP applications and tools. These language models are trained on large unlabeled text corpora using self-supervised training objectives such as Causal Masked Modeling, Masked Language Modeling, and Translation Language Modeling (Devlin et al., 2019; Conneau et al., 2020). Following this trend, several PLMs have been introduced for lowresource languages such as African languages and dialects. These PLMs are either pre-trained from scratch or adapted to the African languages and dialects by further pre-training of existing multilingual PLMs (Ogueji et al., 2021; Abdul-Mageed et al., 2021; Alabi et al., 2022; Adebara et al., 2022b). Indeed, in a research work, Adebara et al. (2022b) have shown the effectiveness of fine-tuning these PLMs on the down-stream tasks for African languages in comparison to their multilingual counterparts.

Recently, researchers have shown an increased interest in building tools and resources for sentiment analysis in African languages and dialectal Arabic. In the context of Arabic dialects, several shared tasks and datasets have been introduced for sentiment analysis (Rosenthal et al., 2017; Abu Farha et al., 2021, 2022; Al-Ayyoub et al., 2019). Nevertheless, few resources have been proposed for other African languages and dialects (Mabokela and Schlippe, 2022; Muhammad et al., 2022). To address this limitation, **?** have organized the AfriSenti shared task.

In order to deal with the problem of distribution shift in deep learning, several domain/out-ofdistribution generalization methods have been introduced (Wang et al., 2021a). The aim is to learn from one or multiple training domains to learn models that generalize to other related but unseen domains. One of the main approaches to domain generalization is to learn domain-invariant representation by minimizing the discrepancy metric between the output distributions of the training domains. This can be achieved using either a domain adversarial training or minimizing a distance between training domain output features, such as the Maximum Mean Discrepancy (MMD) and Correlation Alignment (CORAL) (Sun and Saenko, 2016; Wang et al., 2021a). MMD and CORAL are popular unsupervised domain adaptation methods for

domain feature alignment. Nevertheless, for supervised class-wise feature alignment, several metric learning methods have been proposed, such as the SoftTriple loss (Qian et al., 2019). The aim is to learn a function that maps data instances of the same class label close to each other, while pushing away instances with different labels. Besides, other methods rely on generating diverse and rich data to boost the generalization performance. For instance, Mixup based methods generate new data by performing linear interpolation between any two data instances and their labels with a weight sampled from a Beta distribution (Pinto et al., 2022).

### 3 System Overview

In this section, we present the employed models' architectures as well as the explored training objectives.

#### 3.1 Tweet Encoders

For input tweet encoding, we have explored the use of MARBERT and AfroXLM (large) PLMs as well as their combination using a residual layer. MARBERT is a transformer-based encoder, pre-trained on 1B Arabic tweets (Abdul-Mageed et al., 2021). The employed pre-training data covers both modern standard Arabic and dialectal Arabic. AfroXLM is introduced by adapting the multilingual XLM-R PLM using unlabeled text corpora from 17 African languages as well as 3 high-resource languages: Arabic, English, and French.

In order to combine both MARBERT and AfroXLM, we have employed one dense layer to project MARBERT's embedding into a vector space of 1024 dimensions. Then, we have utilized a residual layer to combine the projected embedding of MARBERT and the embedding of AfroXLM. Next, we will denote the combination of MARBERT and AfroXLM encoders by **DUO**.

For tweets sentiment classification, we have implemented a classifier that consists of one dropout layer and one classification layer.

#### 3.2 Further pre-training

In order to adapt the AfroXLM to tweet data, we have built a 12GB pre-training dataset using the AfriSenti training data as well as existing African text corpora:

• The AfriSenti (?) training data is duplicated five times.

- The WebCrawl African multilingual parallel corpora (Vegi et al., 2022).
- The lafand-mt dataset (Adelani et al., 2022).
- the African News Corpus (Adelani and Alabi, 2022).
- The Maghrebi partition of the IADD dataset (Zahir, 2022).

We have performed further pre-training on the built dataset using the whole word masking objective (Cui et al., 2019). Models using our adapted AfroXLM will then be denoted next by adding the suffix **\_wwm**.

#### 3.3 Training objectives

In addition to the cross-entropy loss, we have assessed the performance of the SoftTriple loss (Qian et al., 2019), correlation alignment (CORAL) (Sun and Saenko, 2016) and the regularized Mixup (Pinto et al., 2022) as auxiliary losses. The latter training objectives are employed on the output embeddings of the used tweet encoders. We will denote by the suffixes \_st, \_coral, and \_mix the models that are trained using SoftTriple loss, CORAL, and the regularized Mixup, respectively. It is worth mentioning that CORAL and the regularized Mixup are used for Tasks B and C to improve model generalization. For models that combine the cross-entropy loss with the aforementioned three training objectives (SoftTriple loss, CORAL, and the regularized Mixup), we have relied on the automatically weighted multi-task loss (Kendall et al., 2018) to weight the importance of each loss.

### 4 Experimental Setup

We have implemented our models using Pytorch<sup>1</sup> framework as well as Pytorch Lightning<sup>2</sup>, Hugging Face Transformers<sup>3</sup>, and PyTorch Metric Learning<sup>4</sup> libraries. All experiments are conducted using a Dell PowerEdge XE8545 server, having 2 AMD EPYC 7713 64-Core Processor 1.9GHz, 1TB of RAM, and 4 NVIDIA A100-SXM4-80GB GPUs.

For adaptive pre-training, we have used a learning rate of  $5 \times 10^{-5}$  and a batch size of 8 per GPU device. The number of epochs is fixed to 3, while

<sup>&</sup>lt;sup>1</sup>https://pytorch.org/

<sup>&</sup>lt;sup>2</sup>https://www.pytorchlightning.ai/

<sup>&</sup>lt;sup>3</sup>https://github.com/huggingface/transformers <sup>4</sup>pytorch-metric-learning

Table 1: The obtained F1 scores (%) on the development set of Task A.

	am	ma	ha	ig	yo	twi	pcm	dz	pt	SW	kr	ts	avg
MARBERT	39.3	79.74	76.34	77.57	67.74	53.81	73.99	69.98	61.68	45.23	59.54	51.46	63.03
AfroXLM	65.47	66.62	80.43	80.01	76.66	53.54	49.28	68.08	68.9	63.96	69.57	52.74	66.27
AfroXLM_wwm	63.57	76.03	81.34	81.76	78.74	60.2	76.91	68.08	71.93	60.48	70.94	59.56	70.80
DUO	64.25	78.11	75.5	78.4	72.24	55.7	76.14	72.27	67.63	59.95	70.77	56.53	68.96
DUO_wwm	61.7	78.79	81.63	81.25	78.95	65.36	78.34	69.22	71.4	61.52	71.05	55.1	71.19
DUO_wwm_st	64.79	81.16	81.45	81.03	80.21	59.38	76.03	72.56	71.88	58.11	69.24	62.65	71.54

the other hyper-parameters are fixed to their default values of the employed pre-training script<sup>5</sup>.

For model fine-tuning on the AfriSenti tasks, we have fixed the learning to  $1 \times 10^{-5}$ , the dropout to 0.2, the maximum sequence length to 128, and the number of epochs to 10. The batch size is fixed to 16 for the tracks of Task A and to 64 for Tasks B and C, respectively.

For Task A, all models are trained on one language data and validated on its corresponding official development set, except the Moroccan Darija where 20% of the training dataset is used for model validation. For Task B, we have used the provided official development set for model validation. For Task C, we have performed model validation by combing Tigrinya and Oromo official development sets into a single validation set.

### **5** Results

In this section, we present the obtained development and official results of our models on the AfriSenti tasks.

Table 2: The obtained results (%) on the development set of Task B.

	Accuracy	F1
MARBERT	69.22	69
AfroXLM	74.91	74.88
AfroXLM_wwm	75.12	75.08
DUO	75.49	75.49
DUO_wwm	75.66	75.66
DUO_wwm_st	75.45	75.46
DUO_wwm_st_coral	75.83	75.82
DUO_wwm_st_coral_mix	76.26	76.28

# 5.1 Task A

Table 1 presents the obtained weighted F1 scores on the 12 tracks of Task A. The results show that MARBERT outperforms AfroXLM on both Moroccan and Algerian dialects. Besides, further pretraining of the AfroXLM, namely AfroXLM\_wwm outperforms the original AfroXLM on most tracks. Additionally, the combinations of MARBERT and AfroXLM (DUO and DUO\_wwm) yield better results than using a single encoder. On average, the DUO\_wwm\_st has obtained the best performance.

#### 5.2 Task B

Table 2 summarizes the obtained on Task B. In line with the obtained results on Task A, further pre-training and the combination of MARBERT and AfroXLM improve the sentiment classification performance on Task B. The best results are obtained using the model that combines MARBERT and the adapted AfroXLM encoders and trained using the metric learning loss as well as the out-ofdistribution generalization loss functions (model denoted by DUO\_wwm\_st\_coral\_mix).

# 5.3 Task C

Table 3 presents the obtained results on Task C. In contrast to Tasks A and B, Task C results show that adaptive pre-training has a negative impact on the model performance. This might be explained by the low coverage of the Tigrinya and Oromo languages in the built pre-training data. However, the combination of MARBERT and AfroXLM\_wwm (DUO\_wwm) yields the best performance on the Oromo track. For the Tigrinya, the best results are obtained by using metric learning, correlation alignment, and the regularized Mixup training objectives (model denoted by DUO\_wwm\_st\_coral\_mix).

#### 5.4 Official submissions

For the official evaluation results, we have submitted the results of DUO\_wwm for Task A and DUO\_wwm\_st\_coral\_mix for Task B and C.

Table 4 summarizes our obtained official results on the AfriSenti Tasks (A, B, and C). The obtained results demonstrate that our system achieves very promising results. Indeed, it is ranked among the

<sup>&</sup>lt;sup>5</sup>script: run\_mlm\_wwm.py

	tg		or			
	Accuracy	F1	Accuracy	F1		
MARBERT	31.4	16.38	37.62	34.22		
AfroXLM	63.31	61.47	54.54	53.87		
AfroXLM_wwm	61.55	60.57	52.27	52.03		
DUO	59.04	59.66	54.79	52.75		
DUO_wwm	58.04	58.78	56.31	56.10		
DUO_wwm_st	60.55	60.47	53.03	52.28		
DUO_wwm_st_coral	60.80	61.49	53.28	53.25		
DUO_wwm_st_coral_mix	62.56	62.16	55.55	55.66		

Table 3: The obtained results (%) on the development set of Task C.

Table 4: The official results (%) of our submitted system.

Task	Task A											Task B	k B   Task C		
Lang	am	ma	ha	ig	yo	twi	pcm	dz	pt	SW	kr	ts	Multi.	tg	or
F1	72.18	60.15	82.04	81.51	76.01	66.98	69.14	72.02	67.35	60.26	70.71	56.13	71.95	69.53	45.27
Rank	2	6	2	3	12	6	10	4	18	15	11	5	6	6	4

top ten systems on seven tracks of Task A as well as Task B and C.

# 6 Conclusion

In this paper, we have presented our participating system to the AfriSenti shared task for sentiment analysis in African languages. We have investigated the combination of MARBERT and AfroXLM PLMs on the three AfriSenti Tasks. Besides, we have shown the impact of further pretraining of the AfroXLM on our models' performance. We have also explored metric learning and three out-of-distribution generalization training objectives for improving model generalization.

The overall evaluation results show that our system has achieved very promising results on several sub-tasks of Task A. For Task B and C, our system is ranked among the top ten participating systems on Task B (6th) and Task C (6th and 4th on zero-shot Tigrinya and Oromo, respectively).

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