IgnitionInnovators at "Discharge Me!": Chain-of-Thought Instruction Finetuning Large Language Models for Discharge Summaries

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Abstract

This paper presents our proposed approach to the Discharge Me! shared task, collocated with the 23th Workshop on Biomedical Natural Language Processing (BioNLP). In this work, we develop an LLM-based framework for solving the Discharge Summary Documentation (DSD) task, i.e., generating the two critical target sections 'Brief Hospital Course' and 'Discharge Instructions' in the discharge summary. By streamlining the recent instruction-finetuning process on LLMs, we explore several prompting strategies for optimally adapting LLMs to specific generation task of DSD. Experimental results show that providing a clear output structure, complimented by a set of comprehensive Chain-of-Thoughts (CoT) questions, effectively improves the model's reasoning capability, and thereby, enhancing the structural correctness and faithfulness of clinical information in the generated text. Source code is available at: https://anonymous.4open.science/r/ Discharge_LLM-A233

1 Introduction

Discharge summaries encapsulate key details of a patient's hospitalization, from admission to discharge. These documents, however, can contain excessive amount of medical notes, making it difficult for subsequent caregivers or patients to quickly understand essential past medical information. Brief Hospital Course and Discharge Instructions then become two critical sections in discharge summaries to address this issue. The former outlines critical hospital events for healthcare providers, while the latter offers post-discharge care instructions to patients and their caregivers. The Discharge Me! shared task ¹ (Xu et al., 2024) at the BioNLP Workshop, known as Discharge Summary Documentation (DSD), focuses on efficiently generating these two critical sections, a task that is both challenging and time-consuming for clinicians.

In this paper, we introduce a novel LLM-based framework, namely Discharge-LLM, for the DSD task (Xu et al., 2024). Discharge-LLM employs modern prompting strategies (e.g., Chainof-Thought (CoT)) into instruction-finetuning a Mistral Large Language Model (LLM), which enhances structural correctness and faithfulness of clinical information to generate the Brief Hospital Course and Discharge Instructions sections of discharge summaries.

2 Related Work

In recent years, Large Language Models (LLMs) like GPT-2 or GPT-3 have excelled in NLP tasks such as language generation, question answering, due to their vast number of paramters and extensive training on diverse datasets. These models can be adapted to new domains and tasks through methods like prompting, which uses natural language instructions (Liu et al., 2023) or few-shot examples (Lampinen et al., 2022). However, considering DSD problem, the length and excessive information in discharge summaries hinders their use as examples for few-shot prompting. Alternatively, parameter-efficient fine-tuning, which freezes an LLM weights and inserts a small number of tunable parameters (Lin et al., 2020), has proven effective in specialized clinical tasks like radiology report generation (Van Veen et al., 2023).

From the clinical summarization perspective, research towards th DSD task was very limited. But there has been a growing focus on many clinical text generation tasks, encompassing radiology reports (Ben Abacha et al., 2021), clinical notes (Grambow et al., 2022), and summary of diagnoses and patient problems (Gao et al., 2022).

A discharge summary can contain several free-text

sections and medical notes compiled from EHR.

3 Problem description

¹https://www.codabench.org/competitions/2008/

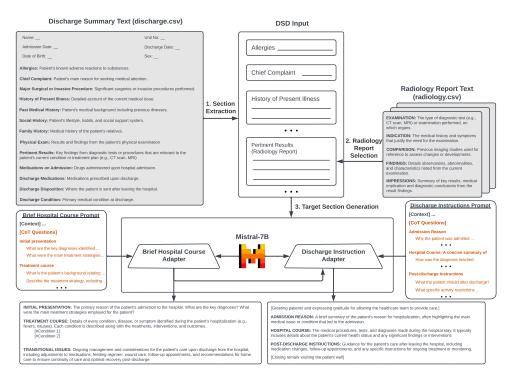


Figure 1: The Discharge-LLM framework

The task is to generate the *Brief Hospital Course* (BHC) and *Discharge Instructions* (DI) sections, leveraging readily available data in other sections of discharge summaries and additional information about a patient's admission (e.g.,radiology, stays) from the dataset. Brief Hospital Course outlines critical hospital events for healthcare providers, while Discharge Instructions offers post-discharge care instructions to patients and their caregivers.

4 Methodology

We propose the Discharge-LLM framework, which adapt LLM to the each generation task of DSD, illustrated in Figure 1. Discharge-LLM applies three steps, namely *Section Extraction*, *Radiology Report Selection* and *Target Section Generation* to generate the two critical target sections given discharge summary and radiology report information of a patient's hospital visit. Note that we utilize the generated BHC for the subsequent generation of DI. Table 4 and 5 (Appendix A) show the output of the two target sections generated by our framework.

4.1 Section Extraction

To generate the two target sections BHC and DI, the most straightforward approach is to leverage the other readily available free-text sections in the discharge summary as the input for the generation stage. But using them all for one-stage generation is overwhelming and prone to hallucination because some sections are irrelevant or contain thousand words of nonessential information, making key aspects of the patient's record often be omitted. We thereby design sets of heuristics (e.g., regular expressions) to selectively extract clinical notes information from 13 relevant sections of the discharge summaries (e.g, *History of Present Illness*, *Pertinent Results*, ...), with definition of each section described in Figure 1. We report data distribution of these sections in Table 6 (Appendix B)

4.2 Radiology Report Selection

Through exploring the format of different sections of the discharge summary, we notice a great complications in the structure and content of the Pertinent Results section, likely due to note bloat and information overload. This section, intended to highlight key findings of radiologist to the patient's treatment, is often cluttered with excessive laboratory and imaging data (e.g., blood tests, CT scans). These extraneous details can lead to challenges such as hallucination and high resource demands in generative tasks. Consequently, we explored using radiology reports as a viable alternative. These reports, often duplicated partially or entirely in the Pertinent Results section, succinctly convey diagnoses corresponding to specific lab results. We selected radiology reports with similar Impressions to those in the Pertinent Results and used these as a substitute, streamlining the content effectively.

4.3 Target Section Generation

In this framework, we performed instructionfinetuning on LLM to adapt the model to DSD. For computational feasibility, we employed Low-Rank Adaptation (LoRA) (Hu et al., 2021), a parameterefficient fine-tuning method that adds a small number of trainable parameters to the model while freezing the model's original weight, resulting in standalone adapters. The adapters, specifically finetuned for each generation task in DSD, adjust important weight of LLMs to capture and generate clinical information in the corresponding form.

Prompting Strategies Following OpenAI's prompt engineering guidelines ², we structured our prompts into five parts, detailed in Table 7 (Appendix D): 1) Context of the discharge summary input to be summarized 2) Definition of the generation task and the specific section for documenting the discharge summary 3) Structure of the expected output of the generating section, infused with 4) Set of Chain-of-Thought (CoT) questions expected to be answered by the LLMs to capture and generate the information in each subsection of the output. Of those, our primary strategy is Part 5, which involved curating effective and generalizable CoT questions based on analysis of numerous samples. This manual effort helped in designing templates and questions that effectively guide the LLMs to focus on critical information amidst the extensive data and noise in the discharge summaries. We analyzed the medical questionnaire essential for each section, based on hundreds of samples, in Appendix C, which underpins our CoT questions and prompt design.

5 Experiments

5.1 Baseline and Implementation Details

To showcase the utility of prompt designing for adaptation to the DSD task, we developed three baselines, corresponding to three prompt variants for instruction-finetuning LLMs. Discharge_LLM_{Base} was fine-tuned with no instruction, but only the discharge summaries as input and the respective target section as output. Discharge_LLM_{Context} was fine-tuned with additional natural langauge instructions as prefix to the discharge summary to provide the context and definition of the task's input/output. Fi-

nally, Discharge_LLM_{CoT} was fine-tuned using prompts outlining the structure of the respective generating target section. Along the structure, we embed some CoT questions to elicit LLMs to generate output aligned with the questions.

We choose Mistral³ (Jiang et al., 2023) as our LM. The LLM was fine-tuned on a NVIDIA RTX 4090 GPU, and took 10 hours for fine-tuning each generation task. The following hyperparameters were used: 1 sample per device, a LoRA rank and alpha of 128 and 64 for parameter-efficient finetuning, a learning rate of 2e10 - 4. We keep other hyperparameters to their default values.

Metrics We followed the organizers to measure textual similarity and factual correctness of the generated text based on several metrics, including BLEU-4 (Papineni et al., 2002), ROUGE (Lin, 2004), BERTScore (Zhang et al., 2019), Meteor (Banerjee and Lavie, 2005), AlignScore (Zha et al., 2023), and MEDCON (Yim et al., 2023).

Dataset The dataset for this task was sourced from the MIMIC-IV (Johnson et al., 2023) dataset, including 109,168 emergency department (ED) admissions and were split into a training (68,785), a validation (14,719), a phase I testing (14,702), and a phase II testing (10,962) subsets.

Data Preprocessing To address the variation in discharge summary length, we select data within the interquartile range (Q1-Q3) for training and validation. We further ensure consistency by selecting only samples with discharge summaries containing all 13 common sections and their target sections follow the most common format, as outlined in Figure 1. Overall, 11.1k and 8.7k samples were selected for training of BHC and DI generation, respectively. For experiment, due to runtime and computational limitations, we sample 250 hidden entries from each phase's testing data, totaling 500 samples for evaluation of each generation task.

5.2 Results

Table 1 presents the performance of models finetuned by different prompt variants. Overall, in both generation tasks, natural language instructions plays a critical role in guiding the LLM with comprehensive knowledge to understand the task. Providing well-described context of the generation task already helps the model achieves up to 14% of

²https://platform.openai.com/docs/guides/ prompt-engineering

³https://mistral.ai/

Framework	R-1	R-2	R-L	BLEU	BERTScore	Meteor	AlignScore	MEDCON
$Discharge_LLM_{CoT}$	0.283	0.087	0.170	0.062	0.368	0.206	0.230	0.408
$Discharge_LLM_{Context}$	0.263	0.091	0.178	0.058	0.365	0.191	0.234	0.397
$Discharge_LLM_{Base}$	0.240	0.074	0.159	0.043	0.347	0.170	0.221	0.376
(a) Brief Hospital Course Generation								
Framework	R-1	R-2	R-L	BLEU	BERTScore	Meteor	AlignScore	MEDCON
$Discharge_LLM_{CoT}$	0.392	0.151	0.246	0.077	0.373	0.272	0.288	0.452
$Discharge_LLM_{Context}$	0.356	0.103	0.205	0.075	0.360	0.272	0.286	0.429
Discharge LLM _{Base}	0.335	0.102	0.215	0.041	0.324	0.181	0.251	0.318

(b) Discharge Instructions Generation

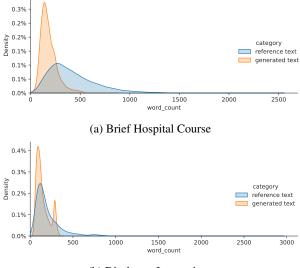
Table 1: Evaluation of prompt variants for finetuning Discharge-LLM

Framework	R-1	R-2	R-L	BLEU	BERTScore	Meteor	AlignScore	MEDCON	Overall
$Discharge_LLM_{CoT}$	0.370	0.131	0.245	0.068	0.360	0.314	0.215	0.324	0.253
Best ranked system	0.453	0.201	0.308	0.124	0.438	0.403	0.315	0.411	0.332

Table 2: Overall performance on two target section from the shared task's phase 2 leaderboard

Target Section	min	median	mean	max
BHC	22	367	425	2439
DI	10	153	201	2900

Table 3: Statistics of reference text's word count on phase 2's test set



(b) Discharge Instructions

Figure 2: Distribution of samples per number of words on phase 2's test set

performance gain across the metrics and tasks. Further, infusing CoT questions into the instructions effectively elicit LLM to think better, providing an additional 9% performance increase. Notably, a reasonable improvement on MEDCON score also indicates better accuracy and consistency of clinical concepts in the generated text.

5.3 Shared Task's Evaluation Results

Table 2 summarizes our framework's overall performance on the phase 2 test set of the shared task, alongside the best-ranked system⁴. We notice there

is still a gap between our $Discharge_LLM_{CoT}$ framework and the best ranked system, of which the Overall score is 0.332. This performance dip is common across submissions, likely due to prevalent data quality issues in the Discharge Summary Documentation (DSD) task. DSD, a real-world summarization challenge, involves processing actual discharge summary information with significant variability in formatting and length. Figure 2 shows word distribution variances in the target sections. It is noticeable our models are trying to set a common length for the target sections, and are struggling to converge to the wide range of lengths of the reference text, highlighted by Table 3. We note slight performance variation of Discharge_LLM_{CoT} in terms of Meteor, Align-Score and MEDCON, in between Table 1 and 2. A possible reason for such variation may be that hidden test data in the shared task has distributions significantly deviate from the publicly released data for model development. Furthermore, due to computational constraints and a focus on high-quality data, we utilized only a subset of the available training data. With more comprehensive training, we anticipate improved model convergence.

Conclusion 6

In this paper, we present a LLM-based framework for Discharge Summary Documentation that adopts several prompting strategies into instructionfinetuning an LLM, which enhances structural correctness and faithfulness of clinical information in generated target sections. Using small and opensource LLMs, our work also shows the feasiblity of developing and deploying future lightweight NLP systems locally for confidential clinical tasks.

⁴https://www.codabench.org/competitions/2008/

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A Generated Output of Brief Hospital Course and Discharge Instructions

This section presents details of Table 4 and 5, which show the output of the two "Brief Hospital Course" and "Discharge Instructions" target sections generated by our framework, taken from medical information of patient with $hadm_id = 21720538$ from the phase 2's test set.

B Data Distribution of Discharge Summary Sections

Table 6 presents the percentage distribution of common sections in the discharge summary text of the training, validation and testing subsets.

C Questionnaire for Discharge Summary Documentation

C.1 Brief Hospital Course

- Patient Background and Presenting Complaint: "What is the patient's background including pre-existing medical conditions, and what symptoms or events led to their current hospital admission?"
- Key Diagnoses and Evaluations: "What are the key diagnoses identified during the hospital stay? For each, how was the diagnosis reached, including any significant tests or evaluations conducted?"
- Treatment and Management Strategies: "What were the main treatment strategies employed for the patient's conditions during their stay? Include medications adjusted, procedures performed, and any therapeutic interventions."
- Complications and Additional Diagnoses: "Were there any complications or additional diagnoses during the hospital stay? How were these addressed and managed?"
- Progress and Monitoring: "How did the patient's condition progress throughout the hospital stay, including any monitoring of symptoms, response to treatments, and adjustments made to the treatment plan?"
- Support and Consultation Services: "Which specialist services or support consultations were involved in the patient's care? How did these consultations impact the patient's treatment plan and recovery?"

- Discharge Planning and Instructions: "What were the conditions and considerations for the patient's discharge? Include the discharge medications, any changes from previous medication regimens, and follow-up care or lifestyle recommendations."
- Follow-Up and Post-Discharge Care: "What are the specific follow-up care instructions and any scheduled tests or consultations? Highlight the importance of follow-up for managing ongoing conditions or monitoring recovery."

C.2 Discharge Instructions

- Initial Assessment and Diagnosis: What led to the patient's admission to the hospital, and what were the initial symptoms? Based on the patient's symptoms, what diagnoses were considered and which was confirmed?
- Treatment and Hospital Stay: What treatments were provided to address the patient's symptoms or condition during the hospital stay? Were any surgeries recommended or performed? If a surgery was recommended but not performed, what were the reasons? What were the outcomes of the treatments or interventions provided?
- Patient's Decisions and Care Preferences: Did the patient make any specific requests regarding their care, such as refusing a treatment or requesting a transfer? How were these handled? How did the patient's decisions affect their treatment plan and discharge process?
- Comprehensive Post-Discharge Instructions: What are the general care instructions for the patient after discharge, including diet, activity level, and medication management? Are there any specific symptoms or signs that the patient should monitor for which would require immediate medical attention? How should the patient manage their regular home medications in addition to any new medications prescribed at discharge?
- Activity and Lifestyle Recommendations: What specific activity restrictions or recommendations are given to ensure a smooth recovery? (e.g., weight lifting limits, mobility advice) Are there any restrictions on driving or

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_ with PMH of NASH vs cryptogenic cirrhosis, HTN,
hyperlipidemia, hypothyroidism who presents from ____
____ with DVT and renal failure.
# DVT: Patient with extensive RLE DVT extending from the right
calf veins into the popliteal vein and femoral vein. Patient
was started on heparin gtt. He was evaluated by ____ who
recommended discharge home with home ____.
# Renal Failure: Patient presented with Cr 8.9, likely
pre-renal in etiology. Patient was given albumin and
diuresis. Cr improved to 3.5 on discharge.
# Cirrhosis: Patient with cirrhosis secondary to NASH vs
cryptogenic cirrhosis. Patient was continued on lactulose and
rifaximin. He was also continued on nadolol.
# Hepatic Encephalopathy: Patient with history of hepatic
encephalopathy. Patient was continued on lactulose and
rifaximin.
# Ascites: Patient with large volume ascites. Patient was
continued on diuresis.
# HTN: Patient was continued on home nadolol.
# Hypothyroidism: Patient was continued on home levothyroxine.
# GERD: Patient was continued on home omeprazole.
# Osteopenia: Patient was continued on home calcium and vitamin
D.
# Allergic Rhinitis: Patient was continued on home cetirizine.
# Chronic Kidney Disease: Patient with chronic kidney disease
secondary to cirrhosis. Patient was continued on home
albumin/dietary management.
# Code: DNR/DNI
# Emergency Contact: ___ (daughter) ___
TRANSITIONAL ISSUES:
- Patient will need to follow up with hepatology and ___
clinic.
- Patient will need to follow up with ___ clinic for
continued management of his DVT.

    Patient will need to follow up with ____ clinic for

continued management of his ascites.
- Patient will need to follow up with
                                         clinic for
continued management of his hepatic encephalopathy.
- Patient will need to follow up with ____ clinic for
continued management of his cirrhosis.
- Patient will need to follow up with ___ clinic for
continued management of his hypertension.
- Patient will need to follow up with ___ clinic for
continued management of his hyperlipidemia.
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Table 4: Generated Output of Brief Hospital Course.

operating machinery, especially if the patient is taking new or continued pain medication?

• Follow-up Care and Monitoring: What followup appointments or tests are recommended for the patient? With whom should these appointments be made? How should the patient approach symptom management, especially if they experience pain, dehydration, or other concerning symptoms?

• Communication with Healthcare Providers:

Dear Mr. ____, It was a pleasure taking care of you at ____ ____. You were admitted to the hospital because you were having kidney failure. You were treated with medications to help your kidneys recover. You also had a blood clot in your legs. You were started on blood thinners to help prevent further clots. You were also found to have a lot of fluid in your belly. You were given medications to help remove the fluid. You were also found to have a lot of confusion. You were given medications to help with this. You were also found to have a blood infection. You were treated with antibiotics. You were discharged home with hospice care. We wish you the best. Sincerely,

Section	train	valid	test (phase 1)	test (phase 2)
Allergies	0.999941669	0.999795571	0.999864232	0.999453801
Chief Complaint	0.999956252	0.999863714	1	0.9997269
Major Surgical or Invasive Procedure	0.518607636	0.516456559	0.517615912	0.517979062
History of Present Illness	0.980386152	0.982010221	0.980788813	0.97997269
Past Medical History	0.960203576	0.96197615	0.958387075	0.960491579
Social History	0.97414472	0.976149915	0.974204059	0.973782431
Family History	0.967567883	0.968245315	0.968094495	0.966317706
Physical Exam	0.978315397	0.980102215	0.978141335	0.977878926
Pertinent Results	0.981231954	0.98153322	0.981942842	0.981429222
Brief Hospital Course	1	1	1	1
Medications on Admission	0.939787675	0.939625213	0.937750322	0.939007738
Discharge Medications	0.980590311	0.981192504	0.980585161	0.981975421
Discharge Disposition	0.989121241	0.987189097	0.987984522	0.987528448
Discharge Diagnosis	0.991950302	0.992231687	0.992261218	0.993172508
Discharge Condition	0.999970834	0.999931857	1	1
Discharge Instructions	1	1	1	1

Table 5: Generated Output of Discharge Instructions.

Table 6: Data Distribution of sections in the discharge summaries in the provided dataset

Under what circumstances should the patient immediately contact their healthcare provider or seek emergency care? What is the recommended way for the patient to communicate with their healthcare team (e.g., phone call, hospital return)?

Your ___ Team

• Encouragement and Support: How can we encourage the patient to adhere to their discharge instructions and reassure them about their recovery process? What resources or support systems can we recommend to the patient for additional help or information post-discharge?

D Prompts for Discharge Summary Documentation

We present the prompts for generation of the two critical "Brief Hospital Course" and "Discharge Instructions" target sections in Table 7

Table 7: Prompts for "Brief Hospital Course" and "Discharge Instructions" generation of the Discharge-LLM framework.