# Saama Technologies at EHRSQL 2024: SQL Generation through Classification Answer Selector by LLM

Mohammed Jabir, Kamal Raj Kanakarajan, Malaikannan Sankarasubbu

Saama Technologies

{mohammed.jabir, kamal.raj, malaikannan.sankarasubbu}@saama.com

## Abstract

The EHRSQL task aims to develop a dependable text-to-SQL model for Electronic Health Records (EHR) databases, which are crucial sources of clinical data that store patients' medical histories in hospitals. Large language models (LLM) have been proven to exhibit state-of-the-art performance for text-to-SQL tasks across various domains. To this end, we have developed a framework, SQL Generation through Classification Answer Selector by LLM (SCAS), which comprises two modules. The CAS module determines the answerability of the question, while the SG model generates the SQL query exclusively for answerable questions. Our system ranked 7th on the leaderboard with a Reliability Score of 53.21 on the official test set.

#### 1 Introduction

Electronic Health Records (EHRs) are an essential component of modern healthcare. They store a patient's complete medical history, allowing hospital staff to make better clinical decisions (Wang et al., 2020; Bardhan et al., 2022) by quickly accessing relevant patient information. However, accessing this information can be time-consuming, especially when complex queries are involved. The traditional way of accessing EHRs involves using a predefined rule conversion system to convert user queries to SQL and retrieve the relevant information. This process can become a bottleneck for users who need to build custom queries or deal with complex queries. To address this issue, the EHRSQL (Lee et al., 2022) task aims to develop a system that can automatically translate user questions into corresponding SQL queries, making retrieving the information they need easier and quicker. The system's objective is to build a text-to-SQL system that converts natural language queries to SQL and informs users whether their queries are answerable. Text-to-SQL tasks (Katsogiannis-Meimarakis and Koutrika, 2023) involve mapping natural language questions onto a given relational database into SQL queries. Early studies (Dong and Lapata, 2016; Wang et al., 2019) tackled this task with pre-defined rules or as a sequence-to-sequence task. However, recent advancements in large language models (LLMs) (Brown et al., 2020; OpenAI et al., 2024; Touvron et al., 2023) have become a milestone for natural language processing and machine learning. LLMs are pre-trained on massive text corpus, which enables them to perform various natural language tasks, and their ability to do in-context learning (Liu et al., 2021) makes them most suitable for text-to-SQL generation.

In this paper, we present our approach to tackling the EHRSQL 2024 (Lee et al., 2024) shared task, which involves a complex dataset of electronic health records. Our proposed framework, the SQL Generation through Classification Answer Selector by LLM (SCAS), helps avoiding incorrect SQL generation by using the Classification Answer Selector (CAS) module. The CAS module uses an LLM prompting method that incorporates the output of other classification models to generate the final classification output, thereby abstaining from incorrect responses. The SCAS framework generates SQL queries only for necessary questions by utilizing other LLM models. Our system achieved a 7th position on the leaderboard, with a Reliability Score of 53.21 on the official test set. The code to reproduce the experiments mentioned in this paper is publicly available<sup>1</sup>.

## 2 Background

## 2.1 Task and Dataset Description

EHRSQL is a text-to-SQL task aiming to convert natural language queries into corresponding SQL queries while identifying untranslatable ones. The

<sup>1</sup>https://github.com/upjabir/ehrsql\_2024

original EHRSQL (Lee et al., 2022) task was built on MIMIC-III (Johnson et al., 2016) and EICU (Pollard et al., 2018) datasets, which are available from Physionet (Goldberger et al., 2000). The EHRSQL dataset contains a wide range of questions across various domains in EHR, including Demographics, Prescription, Vital signs, and more, as well as Time Sensitive questions. The dataset for the task comprises 5124 training, 1163 validation, and 1162 testing samples. The competition dataset is derived from the MIMIC-IV (Johnson et al., 2023) open-access database demo subset and includes both answerable and unanswerable questions in the training set. The system should output corresponding SQL queries for answerable questions and null for unanswerable questions.

The Reliability Score (RS) is a new evaluation metric for text-to-SQL models used in the EHRSQL task. It rewards accurate SQL generation for certain types of questions while penalizing incorrect SQL generation for others. It does not assign any reward or penalty for abstaining from answering certain questions. The competition uses several scoring systems, including RS(0), RS(5), RS(10), and RS(N), with RS(10) being the primary metric for the leaderboard. In RS(10), correct predictions receive one positive point, while incorrect predictions receive -10 points. N in RS(N) represents the size of the test set.

## 2.2 Related Works

The text to SQL task poses a significant challenge and has previously been approached as a sequenceto-sequence task. (Brunner and Stockinger, 2021) utilized the BERT (Devlin et al., 2019) model as an encoder architecture to achieve state-of-the-art results in this task. They incorporated user questions and employed a neural network architecture to extract values and generate SQL queries. Another study of (Qi et al., 2022) demonstrates an innovative approach by incorporating various types of existing relations and co-references, thereby introducing new parameters to the encoder-decoder (Sutskever et al., 2014) architecture model.

Researchers have been utilizing the Language Model LLM for text-to-SQL since its emergence. Downstream tasks for LLM can be achieved through in-context learning and fine-tuning methods. (Wei et al., 2023) proposed a Chain of Thought style prompting technique to enhance the capabilities of LLM. (Pourreza and Rafiei, 2023) proposed a decomposed in-context learning method where the text-to-SQL task is divided into subtasks. On the other hand (Tai et al., 2023) introduced a new CoT-style prompting method specifically for textto-SQL parsing, which showed significant improvements compared to standard prompting methods and the least-to-most prompting method. Additionally, a new prompt engineering method called DIAL SQL was proposed by (Gao et al., 2023), demonstrating the potential of fine-tuning LLMs for Text-to-SQL while highlighting the degeneracy of in-context learning capability after fine-tuning.

Text classification is a crucial task in machine learning, and it can be accomplished using classical machine learning models such as Random Forest and Deep learning models like Transformer (Vaswani et al., 2023), which is also effective in handling complex language tasks. With the emergence of LLM, which is trained on large text corpus, (Wang et al., 2023) suggests that the efficiency of text classification has been increased by using LLM as a zero-shot classifier. Although using LLM for downstream tasks is quite challenging. (Sun et al., 2023) addresses the difficulty of using LLM for downstream tasks by implementing effective prompting techniques, thereby improving the efficiency of LLM in text classification. On the other hand, (Zhang et al., 2024) overcomes this challenge by fine-tuning LLM, resulting in impressive performance surpassing in-context zero-shot learning capabilities of pre-trained LLM models like GPT 4 (OpenAI et al., 2024) in the healthcare domain.

## **3** System Overview



Figure 1: SCAS framework input and output flow.

Our research paper presents a novel framework

comprising two modules: The Classification Answer Selector (CAS) module and the SQL generation (SG) module. The CAS module is responsible for determining whether a question can be answered, while the SG module is designed to generate an SQL query for questions.

## 3.1 Classification Answer Selector Module

The CAS (Classification Answer Selector) module is a powerful tool that includes two distinct classification models and a selector to generate the final classification answer. The selector utilizes the advanced capabilities of Azure's OpenAI GPT-3.5-turbo (Brown et al., 2020) LLM to ensure the accuracy and comprehensiveness of the final answer.

The classification model uses a classical machine learning approach and methodology for the classification task. Feature selection is used to reduce the dataset's dimensionality by eliminating irrelevant features, with TF-IDF (Sparck Jones, 1972) as an effective methodology for text classification. Multiple classification models were employed, including MultinomialNB (Lewis, 1998), SGD (Robbins, 1951; Kiefer and Wolfowitz, 1952), and CatBoost (Dorogush et al., 2018), for ensemble classification using a weighted ensemble approach. Predictions were based on probabilities, with a threshold of 0.4 established to convert predicted probabilities into class labels.

$$ClassLabel = \begin{cases} 1 & \text{if probability} > 0.4 \\ 0 & \text{otherwise} \end{cases}$$
(1)

The Second classification model is a fine-tuned LLM specifically for classification tasks. We utilize a pre-trained Large language model from the Codellama family (Rozière et al., 2024), specifically CodeLlama-7b-Instruct-hf<sup>2</sup>, for this task. In this task, we have a large language model M and a training dataset  $D=\{x_i,y_i\}$ , where  $x_i$  represents the input prompt and  $y_i$  is the class label. The goal is to minimize the weighted cross-entropy loss, which is calculated by dividing the total number of instances in the training data by twice the count of positive or negative target values:

$$l_n = -w_{y_n} \log \frac{\exp(x_{n,y_n})}{\sum_{c=1}^C \exp(x_{n,c})}$$
(2)

The selector takes the outputs from both the first and second classification models and utilizes an in-context learning method to determine the final classification result. The prompt used for the selector is shown in figure 2. A full listing of examples is available in Appendix E

Based on the database schema and table description, determine which AI assistant's answer accurately identifies whether the given question can generate an SQL query or not.

### Database Table Description
The table name and its corresponding description
are as follows:
{table description}

### Database Schema
This query will run on a database whose schema is
represented in this string:
{schema}

{few shots} Question: "{question}" Ai Assitant 1's Answer: {model1 answer} Ai Assitant 2's Answer: {model2 answer} Answer: Let's think step by step.

Figure 2: Prompt for Classification Answer Selector.

#### 3.2 SQL Generation Module

We employ the same pre-trained large language model of the Codellama family, which is used in classifier tasks for SQL generation. We perform instruction tuning only by considering the answerable questions from the EHRSQL 2024 dataset. The dataset, denoted as  $D=\{x_i\}$ , consists of input prompts where  $x_i$  represents the input prompt. The training objective is Causal language modeling. A full listing of prompts and examples are shown in Appendix D

## 4 Finetuning

We fine-tuned the model using the efficient parameter tuning method LoRA (Hu et al., 2022) and the HuggingFace library (Mangrulkar et al., 2022). The finetuning process for both the CAS and SQL generation modules involved using the AdamW optimizer and a cosine learning rate scheduler, targeting all linear layers within the model. We em-

<sup>&</sup>lt;sup>2</sup>https://huggingface.co/meta-llama/ CodeLlama-7b-Instruct-hf

Exp No	<b>Development Phase</b>	<b>RS(0)</b>	<b>RS(5)</b>	<b>RS(10)</b>	RS(N)
1	gpt-3.5-turbo	38.60	-261.47	-561.56	-69761.39
2	codellama FD	40.84	-250.21	-541.27	-67659.15
3	codellama SA + CL1	74.97	46.17	17.36	-6625.02
4	codellama SA + CL1*	42.73	40.15	37.57	-557.26
5	codellama SA + CL2	43.59	39.29	34.99	956.40
6	codellama SA + CAS	55.97	49.52	43.07	-1444.02
Exp No	Test Phase				
1	codellama SA + CAS	77.03	-36.07	-149.18	-26322.96
2	codellama SA + CAS*	53.21	44.64	36.07	-1946.786

Table 1: Experimental results during development phase and test phase. FD means Full data set used for training, SA means only Selected Answerable Questions. CL1 is the classical model, CL2 is codellama model, and CAS is the Classical Answer Selector Module. \* Adjusted threshold.

ployed a maximum sequence length of 4096 tokens for training and inference for the SQL generation task, using beam-search decoding strategies with 4 beams during inference. The hyperparameters utilized for the finetuning process are outlined in Appendix A, while Appendix B and C detail the dataset preprocess and postprocess methods employed for fine-tuning. Additionally, every finetuning process was done using a 4× Quadro RTX 8000 (48GB VRAM) card.

## **5** Results

We tried both fine-tuning and in-context learning of LLM for this task. We established a baseline for our experiment using the gpt-3.5-turbo model, which received a RS(10) score of -561.56 points. To enable in-context learning for the model, we used few-shot prompting. In the second experiment, we fine-tuned the codellama model, and despite having only 7B parameters, it outperformed the gpt-3.5turbo model. Notably, both the question classifier and SQL generation in both 1 & 2 experiments used the same model. Experiment 3 showcased the robustness of our SQL generation module, as it achieved an impressive RS(0) score of 74.97. This indicates that our system can correctly generate executable queries 74.97% of the time. Experiment 4 focused on improving the question classification model by adjusting the threshold to identify unanswerable questions better. While this enhanced the RS(10) score by 23.48 points, it caused a decrease in the RS(0) score due to misclassification. Experiment 5 evaluated the performance of the codellama-based question classifier, which showed no significant improvement over classical models. Finally, in experiment 6, we used the CAS module that combines the result of two classification models to enhance the gpt-3.5-turbo model's performance. The input the CAS module is detailed in section 2. After completing the development phase, we submitted our top-performing model for the testing phase, scoring RS(0) of 53.21 and RS(10) of 36.07 points. The SQL generator module achieved an impressive RS(0) score of 77.03 points during the test phase, without any adjustments made to the Question Classifier threshold, which shows the capabilities of the SQL generator module.

After performing an error analysis on the CAS module, it was discovered that false negatives were higher. This indicates that some answerable questions were incorrectly classified as unanswerable. Since our system is designed as a pipeline model, only the questions classified as answerable will advance to the SQL generation model. This resulted in a decrease in the RS(0) score. Notably, most of the false negative predictions were observed in queries related to test procedures, hospitals and departments.

## 6 Conclusion

We developed a sophisticated system that can generate SQL queries from user queries in the EHR dataset, provided they are convertible to SQL. Our system was able to achieve an impressive rank of 7 on the EHRSQL task. Our experiments have shown that fine-tuning an LLM for task-specific SQL generation significantly enhances its performance compared to in-context learning. However, we acknowledge that our system needs improvement in identifying which user queries can be successfully converted to SQL. This is crucial for ensuring the reliability of our SQL converter system. To facilitate the reproducibility of our work, we have made available instruction templates, code, and pre-trained models as open-source resources.

## Limitations

Our research specifically focused on Codellama models, and we discovered that models fine-tuned on text-to-SQL tasks, such as SQLCoder<sup>3</sup>, did not perform well in the EHRSQL task. Additionally, there is a limited amount of data available to train for unanswerable questions within the provided training data, with only 450 out of 5124 questions being unanswerable. In future work, generating synthetic data for unanswerable questions using models like GPT-4 could potentially improve performance. It is important to note that all experiments were conducted using Codellama 7B models.

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<sup>&</sup>lt;sup>3</sup>https://huggingface.co/defog/sqlcoder-7b-2

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## A Hyperparameter

Codellama SA	CL2
2.5e-5	1e-4
4	4
3	3
0.01	0.01
-	0.54,
	5.69
16	16
32	32
0.05	0.1
	Codellama SA 2.5e-5 4 3 0.01 - 16 32 0.05

Table 2: Hyperparameter used for the best performingmodel.

Hyperparameters used by the best performing pre-trained language model are listed in Table 2, and the total hyperparameter search space is listed in Table 3. Also, the hyperparameter for the classification model is listed in the Table 4.

Hyperparameter	Value	
Learning rate	2.5e-5, 5e-5, 1e-4, 2e-4	
Batch size	4, 8, 16, 32	
Epochs	1-5	
Weight decay	0.01, 0.02, 0.05, 0.1	
Lora rank (r)	8, 16, 32, 64	
Lora rank alpha ( $\alpha$ )	16, 24, 32	
Lora rank dropout	0.05, 0.08, 0.1	

Table 3: Full list of hyperparameter search space for finetuning LLM

#### **B** Dataset Preprocess

For classification, we derive a binary dataset from the raw EHRSQL dataset, which contains only two classes based on the question's answerability. The class label is one if the question is answerable; otherwise, it is zero. We use the raw question and its answerability for the first classification model to create a dataset of questions and their respective class labels. For the second classification model, we map the input to the format of figure 3, which involves providing the SQL schema with foreign keys and the question itself. The class label determination remains the same as in the first classification model. Figure 2 showcases the prompt for the selector in the CAS module, which includes  $\langle dti, dsi, qi, fi, cii, cij \rangle$ . We select a few-shot example based on the cosine similarity between the

Model	HyperParameters	values
MultinomialNR	alpha	0, 0.01, <b>0.02</b> ,
Classifier		1.0
Classifier	$fit\_prior$	True,False
	loss	modified_huber,
SGD classifier		log_loss,huber
	$max\_iter$	1000, 5000, <b>8000</b>
	tol	1e-4, 1e-5, 2e-5
	penalty	<b>l2</b> , 11
CatPoost	learning_rate	0.01, <b>0.0056</b> , 0.01,
		0.2
classifier	depth	4,5,6,8
	$l2\_leaf\_reg$	1, 4, <b>6.5</b> , 8.5, 10
	subsample	0.1, <b>0.3</b> , 0.5, 1
	loss	LogLoss,
		CrossEntropy

Table 4: Hyperparameter space for the classification experiments. Hyperparameters in bold are what we used for the our classification models

given question (qi) and the entire set of training questions using a pre-trained sentence transformer called all-mpnet-base-v2<sup>4</sup>. From this process, we identify the four most similar training questions along with their corresponding SQL query, which will serve as our few-shot examples.For SQL generation, we formatted the raw data into the format of figure 2. The prompt includes < qi, dti, dsi, qsi >, where qi is the question, dti is the database table information, dsi is the schema with foreign key details, and qsi is the SQL query for the corresponding question.

## C Dataset Postprocess

In order to guarantee that the classification models' outputs are effectively conveyed to the selector within the CAS module, a postprocessing step must be incorporated. This step entails modifying the classification output: if the output is 1, it is transformed to "Able to generate answer"; otherwise, it is converted to "Unable to generate answer". Furthermore, the generated output in the SQL generation module is trimmed to include only the section between the [SQL] and [SQL] keywords. [INST] ### Task Generate a SQL query to answer [QUES-TION]question[/QUESTION]. ### Database Table Description The table name and its corresponding description are as follows: {table description} ### Database Schema This query will run on a database whose schema is represented in this string: {schema} ### Answer Given the database schema, here is the SQL query

that answers [QUESTION]question[/QUESTION] [SQL]{sql}[/SQL]

Figure 3: Prompt for SQL generation.

# D Prompt and Examples for SQL generation module

Prompt in the figure 3 is used to train and inference pre-trained large language model for the SQL generation task. Given below is a full-fledged example for SQL generation prompt.

<sup>&</sup>lt;sup>4</sup>https://huggingface.co/sentence-transformers/ all-mpnet-base-v2

## **Example 1**

Example 1	ICUSTAYS – Every unique ICU stay in the
Based on the database schema and table	database
description determine which AI assistant's	TRANSFERS – Patient movement from
answer accurately identifies whether the	bed to bed within the hospital
given question can generate an SOL query	L L
or not	Database Schema
### Database Table Description	This query will run on a database whose
The table name and its corresponding	schema is represented in this string:
description are as follows:	CREATE TABLE patients
ADMISSIONS Every unique hegritelize	(
ADIVISSIONS – Every unique nosphanza-	row id INT NOT NULL PRIMARY KEY
DATIENTS Every unique nations in the	Unique ID of the patient
PATIENTS – Every unique patient in the	subject id INT NOT NULL UNIQUE. –
	Unique subject id of the patient
D_ICD_DIAGNOSES – International	gender VARCHAR(5) NOT NULL –
Statistical Classification of Diseases and	Gender of the patient
Related Health Problems (ICD-9) codes	dob TIMESTAMP( $(0)$ NOT NULL – Date
relating to diagnoses	of hirth of the patient
D_ICD_PROCEDURES – International	dod TIMESTAMP(0) – Date of death of the
Statistical Classification of Diseases and	natient
Related Health Problems (ICD-9) codes	).
relating to procedures	CREATE TABLE admissions
D_LABITEMS – Local codes ('TTEMIDs')	
appearing in the database that relate to	row id INT NOT NULL DRIMARV KEV
laboratory tests	Unique ID of the admission
D_ITEMS – Local codes ('ITEMIDs')	subject id INT NOT NULL Subject id
appearing in the database, except those that	of the admission
relate to laboratory tests	hadm id INT NOT NULL UNIQUE
DIAGNOSES_ICD – Hospital assigned	Unique hospital admission id of the
diagnoses, coded using the International	- Offique nospital admission id of the
Statistical Classification of Diseases and	admittime TIMESTAMD(0) NOT NULL
Related Health Problems (ICD) system	Admit time of the admission
PROCEDURES_ICD – Patient procedures,	disolution TIMESTAMP(0) Disobarge
coded using the International Statistical	time of the admission
Classification of Diseases and Related	admission type VAPCHAP(50) NOT
Health Problems (ICD) system	NULL Admission type of the admission
LABEVENTS – Laboratory measurements	admission location VAPCHAP(50) NOT
for patients both within the hospital and in	NULL Admission location of the
outpatient clinics	NULL, – Admission location of the
PRESCRIPTIONS – Medications ordered	discharge location VARCHAR(50)
for a given patient	Discharge location of the admission
COST – All patients events cost	insurance VADCHAD(255) NOT NULL
CHARTEVENTS – All charted observa-	Insurance vARCHAR(255) NOT NOLL, –
tions for patients	$\begin{array}{c} \text{Insurance of the admission} \\ \text{Isomewage VAPCUAP(10)} \\ \text{Isomewage of} \end{array}$
INPUTEVENTS – Intake for patients	the admission
monitored while in the ICU	une admission morital status VADCILAD(50) Merical
OUTPUTEVENTS – Output information	inaritai_status vAKCHAK( $50$ ), – Maritai
for patients while in the ICU	status of the admission
MICROBIOLOGYEVENTS – Micro-	age INT NOT NULL, – Age of the
biology culture results and antibiotic	
sensitivities from the hospital database	);

CREATE TABLE d\_icd\_diagnoses row id INT NOT NULL PRIMARY KEY, -Unique ID of the icd diagnose icd code VARCHAR(10) NOT NULL UNIQUE, - Unique icd code of the icd diagnose long\_title VARCHAR(255) NOT NULL -Title of the icd diagnose ); CREATE TABLE d\_icd\_procedures row\_id INT NOT NULL PRIMARY KEY, -Unique ID of icd procedure icd\_code VARCHAR(10) NOT NULL UNIQUE, - Unique icd code of the icd procedure long title VARCHAR(255) NOT NULL -Title of the icd procedure ); CREATE TABLE d\_labitems row\_id INT NOT NULL PRIMARY KEY, -Unique ID of the item relate to laboratory tests itemid INT NOT NULL UNIQUE, -Unique item id of the item relate to laboratory tests label VARCHAR(200) - Label of the item relate to laboratory tests ): CREATE TABLE d items row id INT NOT NULL PRIMARY KEY, -Unique ID of the item excepts item relate to laboratory tests itemid INT NOT NULL UNIQUE, -Unique item id of the item excepts item relate to laboratory tests label VARCHAR(200) NOT NULL, -Label of item excepts item relate to laboratory tests abbreviation VARCHAR(200) NOT NULL, - Abbreviation of item excepts item relate to laboratory tests linksto VARCHAR(50) NOT NULL -Event linked to item excepts item relate to laboratory tests ); CREATE TABLE diagnoses\_icd

(

row id INT NOT NULL PRIMARY KEY, -Unique ID of diagnose subject\_id INT NOT NULL, - Subject id of diagnose hadm\_id INT NOT NULL, - Hospital admission id of diagnose icd\_code VARCHAR(10) NOT NULL, -ICD code of diagnose charttime TIMESTAMP(0) NOT NULL, -Chart time of diagnose ); CREATE TABLE procedures\_icd row\_id INT NOT NULL PRIMARY KEY, -Unique ID of procedures subject id INT NOT NULL, - Subject id of procedures hadm id INT NOT NULL, - Hospital admission id of procedures icd\_code VARCHAR(10) NOT NULL, -ICD code of procedures charttime TIMESTAMP(0) NOT NULL, -Chart time of procedures ); **CREATE TABLE labevents** ( row\_id INT NOT NULL PRIMARY KEY, -Unique ID of laboratory event subject id INT NOT NULL, - Subject id of laboratory event hadm\_id INT NOT NULL, - Hospital admission id of laboratory event itemid INT NOT NULL, - Item id of laboratory event charttime TIMESTAMP(0), - Chart time of laboratory event valuenum DOUBLE PRECISION, - Numerical value measured of laboratory event valueuom VARCHAR(20), - Unit of numerical value of laboratory event ); **CREATE TABLE prescriptions** ( row id INT NOT NULL PRIMARY KEY, -Unique ID of prescription subject id INT NOT NULL, - Subject id of prescription hadm\_id INT NOT NULL, - Hospital admission id of prescription

starttime TIMESTAMP(0) NOT NULL, -Start time of prescription stoptime TIMESTAMP(0), - Stop time of prescription drug VARCHAR(255) NOT NULL, - Drug name of prescription dose\_val\_rx VARCHAR(100) NOT NULL, - Dosage value of prescription dose unit rx VARCHAR(50) NOT NULL, - Dosage unit of prescription route VARCHAR(50) NOT NULL, - Intake method of prescription ); **CREATE TABLE cost** row\_id INT NOT NULL PRIMARY KEY, -Unique ID of cost event subject id INT NOT NULL, - Subject id of cost event hadm\_id INT NOT NULL, - Hospital admission id of cost event event type VARCHAR(20) NOT NULL, -Event type of cost event event\_id INT NOT NULL, - Event id of cost event chargetime TIMESTAMP(0) NOT NULL, -Charge time of cost event cost DOUBLE PRECISION NOT NULL, -Cost of cost event ): **CREATE TABLE chartevents** row\_id INT NOT NULL PRIMARY KEY, -Unique ID of chart event subject\_id INT NOT NULL, - Subject id of chart event hadm\_id INT NOT NULL, - Hospital admission id of chart event stay\_id INT NOT NULL, - Stay ID of chart event itemid INT NOT NULL, - Item ID of chart event charttime TIMESTAMP(0) NOT NULL, -Chart time of chart event valuenum DOUBLE PRECISION, - Numerical value measured of chart event valueuom VARCHAR(50), - Unit of numerical value of chart event ); **CREATE TABLE inputevents** 

row id INT NOT NULL PRIMARY KEY, -Unique ID of input event subject\_id INT NOT NULL, - Subject id of input event hadm\_id INT NOT NULL, - Hospital admission id of input event stay\_id INT NOT NULL, – Stay id of input event starttime TIMESTAMP(0) NOT NULL, -Start time of input event itemid INT NOT NULL, – Item id of input event amount DOUBLE PRECISION, - Amount of input event ); **CREATE TABLE outputevents** row id INT NOT NULL PRIMARY KEY, -Unique ID of output event subject\_id INT NOT NULL, - Subject id of output event hadm\_id INT NOT NULL, - Hospital admission id of output event stay\_id INT NOT NULL, - Stay id of output event charttime TIMESTAMP(0) NOT NULL, -Chart time of output event itemid INT NOT NULL, - Item id of output event value DOUBLE PRECISION, - Value of output event ); **CREATE TABLE microbiologyevents** ( row id INT NOT NULL PRIMARY KEY, -Unique ID of microbiologyevent subject id INT NOT NULL, - Subject id of microbiologyevent hadm\_id INT NOT NULL, - Hospital admission id of microbiologyevent charttime TIMESTAMP(0) NOT NULL, -Chart time of microbiologyevent spec type desc VARCHAR(100), - Specimen name of microbiologyevent test\_name VARCHAR(100), - Test name of microbiologyevent org\_name VARCHAR(100), - Organism name of microbiologyevent ):

(

**CREATE TABLE icustays** - labevents.itemid can be joined with d labitems.itemid ( row id INT NOT NULL PRIMARY KEY, -Unique ID of icu stay subject id INT NOT NULL, - Subject id of icu stay sions.hadm id hadm\_id INT NOT NULL, - Hospital admission id of icu stay stay id INT NOT NULL UNIQUE, – Stay id of icu stay dures\_icd.row\_id first\_careunit VARCHAR(20) NOT NULL, - first care unit of icu stay labevents.row\_id last\_careunit VARCHAR(20) NOT NULL, - Last care unit of icu stay tions.row id intime TIMESTAMP(0) NOT NULL, - In time of icu stay outtime TIMESTAMP(0), - Out time of icu icustays.stay id stay ); **CREATE TABLE transfers** d items.itemid row id INT NOT NULL PRIMARY KEY, -Unique ID of transfer subject\_id INT NOT NULL, - Subject Id icustays.stay\_id of transfer hadm\_id INT NOT NULL, - Hospital d items.itemid admission id of transfer transfer\_id INT NOT NULL, - Transfer Id of transfer eventtype VARCHAR(20) NOT NULL, icustays.stay id Event type of transfer careunit VARCHAR(20), - Care unit of d items.itemid transfer intime TIMESTAMP(0) NOT NULL, - In time of transfer outtime TIMESTAMP(0), - Out time of transfer ); - admissions.subject\_id can be joined with ### Answer patients.subject\_id - diagnoses\_icd.hadm\_id can be joined with admissions.hadm\_id - diagnoses\_icd.icd\_code can be joined with d icd diagnoses.icd code - procedures\_icd.hadm\_id can be joined with admissions.hadm\_id - procedures\_icd.icd\_code can be joined with d\_icd\_procedures.icd\_code - labevents.hadm\_id can be joined with admissions.hadm\_id FROM admissions.hadm\_id

- prescriptions.hadm\_id can be joined with admissions.hadm\_id - cost.hadm id can be joined with admis-- cost.event\_id can be joined with diagnoses\_icd.row\_id - cost.event id can be joined with proce-- cost.event\_id can be joined with - cost.event\_id can be joined with prescrip-- chartevents.hadm\_id can be joined with admissions.hadm\_id - chartevents.stay\_id can be joined with - chartevents.itemid can be joined with - inputevents.hadm\_id can be joined with admissions.hadm id - inputevents.stay\_id can be joined with - inputevents.itemid can be joined with - outputevents.hadm\_id can be joined with admissions.hadm\_id - outputevents.stay\_id can be joined with - outputevents.itemid can be joined with - microbiologyevents.hadm\_id can be joined with admissions.hadm id - icustays.hadm\_id can be joined with admissions.hadm id - transfers.hadm\_id can be joined with admissions.hadm id Given the database schema, here is the SQL query that answers [QUESTION]What was the drug that patient 10015931 was prescribed with within the same hospital visit after the replacement of aortic valve with zooplastic tissue, percutaneous approach since 5 months ago?[/QUESTION] [SQL] SELECT admissions.subject\_id, prescriptions.drug, prescriptions.starttime, prescriptions JOIN admissions ON prescriptions.hadm\_id = admissions.hadm\_id WHERE admissions.subject\_id = 10015931 [/SQL]

# **E Prompt and Examples for CAS Module**

Following is the full example for the prompt in the figure 2

## Example 2

Based on the database schema and table description, determine which AI assistant's answer accurately identifies whether the given question can generate an SQL query or not. ### Database Table Description

The table name and its corresponding description are as follows:

ADMISSIONS – Every unique hospitalization for each patient in the database

PATIENTS – Every unique patient in the database

D\_ICD\_DIAGNOSES – International Statistical Classification of Diseases and Related Health Problems (ICD-9) codes relating to diagnoses

D\_ICD\_PROCEDURES – International Statistical Classification of Diseases and Related Health Problems (ICD-9) codes relating to procedures

D\_LABITEMS – Local codes ('ITEMIDs') appearing in the database that relate to laboratory tests

D\_ITEMS – Local codes ('ITEMIDs') appearing in the database, except those that relate to laboratory tests

DIAGNOSES\_ICD – Hospital assigned diagnoses, coded using the International Statistical Classification of Diseases and Related Health Problems (ICD) system

PROCEDURES\_ICD – Patient procedures, coded using the International Statistical Classification of Diseases and Related Health Problems (ICD) system

LABEVENTS – Laboratory measurements for patients both within the hospital and in outpatient clinics

PRESCRIPTIONS – Medications ordered for a given patient

COST – All patients events cost CHARTEVENTS - All charted observations for patients INPUTEVENTS – Intake for patients monitored while in the ICU OUTPUTEVENTS – Output information for patients while in the ICU MICROBIOLOGYEVENTS -Microbiology culture results and antibiotic sensitivities from the hospital database ICUSTAYS – Every unique ICU stay in the database TRANSFERS – Patient movement from bed to bed within the hospital Database Schema This query will run on a database whose schema is represented in this string: **CREATE TABLE patients** ( row\_id INT NOT NULL PRIMARY KEY, -Unique ID of the patient subject\_id INT NOT NULL UNIQUE, -Unique subject id of the patient gender VARCHAR(5) NOT NULL, -Gender of the patient dob TIMESTAMP(0) NOT NULL, – Date of birth of the patient dod TIMESTAMP(0) – Date of death of the patient ): **CREATE TABLE admissions** ( row id INT NOT NULL PRIMARY KEY, -Unique ID of the admission subject\_id INT NOT NULL, - Subject id of the admission hadm id INT NOT NULL UNIQUE, - Unique hospital admission id of the admission admittime TIMESTAMP(0) NOT NULL, -Admit time of the admission dischtime TIMESTAMP(0), – Discharge time of the admission admission\_type VARCHAR(50) NOT NULL, – Admission type of the admission admission location VARCHAR(50) NOT NULL, - Admission location of the admission discharge\_location VARCHAR(50),

Discharge location of the admission insurance VARCHAR(255) NOT NULL, -Insurance of the admission language VARCHAR(10), - Langauge of the admission marital status VARCHAR(50), - Marital status of the admission age INT NOT NULL, - Age of the admission ): CREATE TABLE d\_icd\_diagnoses row id INT NOT NULL PRIMARY KEY, -Unique ID of the icd diagnose icd\_code VARCHAR(10) NOT NULL UNIQUE, - Unique icd code of the icd diagnose long\_title VARCHAR(255) NOT NULL -Title of the icd diagnose ); CREATE TABLE d\_icd\_procedures row\_id INT NOT NULL PRIMARY KEY, -Unique ID of icd procedure icd\_code VARCHAR(10) NOT NULL UNIQUE, - Unique icd code of the icd procedure long\_title VARCHAR(255) NOT NULL -Title of the icd procedure ); CREATE TABLE d labitems row\_id INT NOT NULL PRIMARY KEY, -Unique ID of the item relate to laboratory tests itemid INT NOT NULL UNIQUE, -Unique item id of the item relate to laboratory tests label VARCHAR(200) - Label of the item relate to laboratory tests ); CREATE TABLE d\_items row id INT NOT NULL PRIMARY KEY, -Unique ID of the item excepts item relate to laboratory tests itemid INT NOT NULL UNIQUE, -Unique item id of the item excepts item relate to laboratory tests label VARCHAR(200) NOT NULL, -

Label of item excepts item relate to laboratory tests abbreviation VARCHAR(200) NOT NULL, – Abbreviation of item excepts item relate to laboratory tests linksto VARCHAR(50) NOT NULL -Event linked to item excepts item relate to laboratory tests ); CREATE TABLE diagnoses\_icd ( row\_id INT NOT NULL PRIMARY KEY, -Unique ID of diagnose subject\_id INT NOT NULL, - Subject id of diagnose hadm\_id INT NOT NULL, – Hospital admission id of diagnose icd code VARCHAR(10) NOT NULL, -ICD code of diagnose charttime TIMESTAMP(0) NOT NULL, -Chart time of diagnose ); CREATE TABLE procedures\_icd row\_id INT NOT NULL PRIMARY KEY, -Unique ID of procedures subject\_id INT NOT NULL, - Subject id of procedures hadm\_id INT NOT NULL, - Hospital admission id of procedures icd code VARCHAR(10) NOT NULL, -ICD code of procedures charttime TIMESTAMP(0) NOT NULL, -Chart time of procedures ); **CREATE TABLE labevents** row id INT NOT NULL PRIMARY KEY, -Unique ID of laboratory event subject\_id INT NOT NULL, - Subject id of laboratory event hadm\_id INT NOT NULL, - Hospital admission id of laboratory event itemid INT NOT NULL, - Item id of laboratory event charttime TIMESTAMP(0), - Chart time of laboratory event valuenum DOUBLE PRECISION, - Numerical value measured of laboratory event valueuom VARCHAR(20), - Unit of

numerical value of laboratory event ); **CREATE TABLE prescriptions** row id INT NOT NULL PRIMARY KEY, -Unique ID of prescription subject\_id INT NOT NULL, - Subject id of prescription hadm id INT NOT NULL, - Hospital admission id of prescription starttime TIMESTAMP(0) NOT NULL, -Start time of prescription stoptime TIMESTAMP(0), - Stop time of prescription drug VARCHAR(255) NOT NULL, - Drug name of prescription dose val rx VARCHAR(100) NOT NULL, - Dosage value of prescription dose unit rx VARCHAR(50) NOT NULL, – Dosage unit of prescription route VARCHAR(50) NOT NULL, - Intake method of prescription ); **CREATE TABLE cost** row id INT NOT NULL PRIMARY KEY, -Unique ID of cost event subject\_id INT NOT NULL, - Subject id of cost event hadm id INT NOT NULL, - Hospital admission id of cost event event type VARCHAR(20) NOT NULL, -Event type of cost event event id INT NOT NULL, - Event id of cost event chargetime TIMESTAMP(0) NOT NULL, -Charge time of cost event cost DOUBLE PRECISION NOT NULL, -Cost of cost event ); **CREATE TABLE chartevents** row id INT NOT NULL PRIMARY KEY, -Unique ID of chart event subject\_id INT NOT NULL, - Subject id of chart event hadm\_id INT NOT NULL, - Hospital admission id of chart event stay\_id INT NOT NULL, - Stay ID of chart event

itemid INT NOT NULL, - Item ID of chart event charttime TIMESTAMP(0) NOT NULL, -Chart time of chart event valuenum DOUBLE PRECISION, - Numerical value measured of chart event valueuom VARCHAR(50), - Unit of numerical value of chart event ): **CREATE TABLE inputevents** ( row\_id INT NOT NULL PRIMARY KEY, -Unique ID of input event subject\_id INT NOT NULL, - Subject id of input event hadm\_id INT NOT NULL, – Hospital admission id of input event stay\_id INT NOT NULL, - Stay id of input event starttime TIMESTAMP(0) NOT NULL, -Start time of input event itemid INT NOT NULL, – Item id of input event amount DOUBLE PRECISION, - Amount of input event ): **CREATE TABLE outputevents** ( row\_id INT NOT NULL PRIMARY KEY, -Unique ID of output event subject\_id INT NOT NULL, - Subject id of output event hadm\_id INT NOT NULL, - Hospital admission id of output event stay\_id INT NOT NULL, - Stay id of output event charttime TIMESTAMP(0) NOT NULL, -Chart time of output event itemid INT NOT NULL, - Item id of output event value DOUBLE PRECISION, - Value of output event ): **CREATE TABLE microbiologyevents** ( row\_id INT NOT NULL PRIMARY KEY, -Unique ID of microbiologyevent subject id INT NOT NULL, - Subject id of microbiologyevent hadm\_id INT NOT NULL, - Hospital

admission id of microbiologyevent charttime TIMESTAMP(0) NOT NULL, -Chart time of microbiologyevent spec\_type\_desc VARCHAR(100), - Specimen name of microbiologyevent test\_name VARCHAR(100), - Test name of microbiologyevent org\_name VARCHAR(100), - Organism name of microbiologyevent ); **CREATE TABLE icustays** row\_id INT NOT NULL PRIMARY KEY, -Unique ID of icu stay subject\_id INT NOT NULL, - Subject id of icu stay hadm id INT NOT NULL, - Hospital admission id of icu stay stay\_id INT NOT NULL UNIQUE, - Stay id of icu stay first\_careunit VARCHAR(20) NOT NULL, - first care unit of icu stay last\_careunit VARCHAR(20) NOT NULL, - Last care unit of icu stay intime TIMESTAMP(0) NOT NULL, - In time of icu stay outtime TIMESTAMP(0), – Out time of icu stay ); **CREATE TABLE transfers** row id INT NOT NULL PRIMARY KEY, -Unique ID of transfer subject id INT NOT NULL, - Subject Id of transfer hadm\_id INT NOT NULL, - Hospital admission id of transfer transfer\_id INT NOT NULL, - Transfer Id of transfer eventtype VARCHAR(20) NOT NULL, -Event type of transfer careunit VARCHAR(20), - Care unit of transfer intime TIMESTAMP(0) NOT NULL, - In time of transfer outtime TIMESTAMP(0), - Out time of transfer ); - admissions.subject\_id can be joined with patients.subject\_id

- diagnoses\_icd.hadm\_id can be joined with admissions.hadm\_id - diagnoses\_icd.icd\_code can be joined with d\_icd\_diagnoses.icd\_code - procedures\_icd.hadm\_id can be joined with admissions.hadm\_id – procedures\_icd.icd\_code can be joined with d\_icd\_procedures.icd\_code - labevents.hadm id can be joined with admissions.hadm\_id - labevents.itemid can be joined with d\_labitems.itemid - prescriptions.hadm\_id can be joined with admissions.hadm\_id - cost.hadm\_id can be joined with admissions.hadm\_id - cost.event\_id can be joined with diagnoses icd.row id - cost.event\_id can be joined with procedures\_icd.row\_id - cost.event\_id can be joined with labevents.row id - cost.event\_id can be joined with prescriptions.row\_id - chartevents.hadm\_id can be joined with admissions.hadm id - chartevents.stay\_id can be joined with icustays.stay\_id - chartevents.itemid can be joined with d items.itemid - inputevents.hadm\_id can be joined with admissions.hadm id - inputevents.stay\_id can be joined with icustays.stay id - inputevents.itemid can be joined with d\_items.itemid - outputevents.hadm\_id can be joined with admissions.hadm id - outputevents.stay\_id can be joined with icustays.stay\_id - outputevents.itemid can be joined with d\_items.itemid - microbiologyevents.hadm\_id can be joined with admissions.hadm id - icustays.hadm\_id can be joined with admissions.hadm\_id - transfers.hadm\_id can be joined with admissions.hadm id

Question: 'What was the drug that patient 10015931 was prescribed with within the same hospital visit after the replacement of aortic valve with zooplastic tissue, percutaneous approach since 5 months ago?'

Answer: Able to generate SQL Query.

Question: 'Tell me the name of the prescription drug that patient 10015931 was prescribed in the same day after having a replacement of aortic valve with zooplastic tissue, percutaneous approach procedure since 4 months ago?'

Answer: Able to generate SQL Query.

Question: 'What was prescribed to patient 10015931 during the same hospital visit following their replacement of aortic valve with zooplastic tissue, percutaneous approach during this month?' Answer: Able to generate SQL Query.

Question: 'What was the drug that patient 10025463 was prescribed for during the same hospital encounter after the procedure of excision or destruction of other lesion or tissue of heart, endovascular approach?' Answer: Able to generate SQL Query.

Question: "What was the drug that patient 10015931 was prescribed with within the same hospital visit after the replacement of aortic valve with zooplastic tissue, percutaneous approach since 5 months ago?"

Ai Assitant 1's Answer: Able to generate SQL Query.

Ai Assitant 2's Answer: Able to generate SQL Query.

Answer: Let's think step by step."