Rethinking Loss Functions for Fact Verification

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Abstract

We explore loss functions for fact verification in the FEVER shared task. While the crossentropy loss is a standard objective for training verdict predictors, it fails to capture the heterogeneity among the FEVER verdict classes. In this paper, we develop two task-specific objectives tailored to FEVER. Experimental results confirm that the proposed objective functions outperform the standard cross-entropy. Performance is further improved when these objectives are combined with simple class weighting, which effectively overcomes the imbalance in the training data. The source code is available.¹

1 Introduction

The Fact Extraction and VERification (FEVER) shared task (Thorne et al., 2018) challenges systems to verify a given claim by referencing Wikipedia articles. A system for FEVER typically begins by extracting sentences from Wikipedia that potentially support or refute the claim. Subsequently, the verdict predictor in the system classifies the claim, in conjunction with the retrieved sentences, into one of three verdict classes:

- Supported (SUP): The retrieved sentences contain evidence supporting the given claim.
- Refuted (REF): The retrieved sentences contain evidence that refutes the claim.
- Not Enough Information (NEI): The retrieved sentences do not contain sufficient evidence to support or refute the claim.

As this verification step is a multiclass classification task, verdict predictors are usually trained using the cross-entropy loss function. However, cross-entropy treats all misclassification types uniformly, which is problematic given the heterogeneity among the verdict classes in FEVER; labels SUP and REF both assume evidence is present in the retrieved sentences, whereas a claim is deemed NEI only when such evidence is missing. Consequently, it is debatable, for example, whether misclassifying a SUP claim as REF or as NEI should be considered equally severe errors, especially when the retrieved sentences indeed contain supporting evidence, such as when a verdict predictor is trained with oracle sentences.

In this paper, we explore objective functions designed to capture the heterogeneity among verdict classes.

Notation For a *K*-class classification problem, let $\mathbf{y} = (y_1, \dots, y_K) \in \{0, 1\}^K$ denote a one-hot class representation vector where each index represents a class. Depending on the context, we also use \mathbf{y} to denote the corresponding class itself. Let $\mathbf{p} = (p_1, \dots, p_K) \in [0, 1]^K$ denote a predicted class distribution (i.e., $\sum_{i=1}^K p_i = 1$). For FEVER verdict prediction, K = 3, and let the indexes 1, 2, 3 correspond to SUP, REF, NEI, respectively.

2 Proposed Method

2.1 Cross-entropy Loss Function

We first review the (categorical) cross-entropy loss, which is a common objective function for multiclass classification, including FEVER verdict prediction (Liu et al., 2020; Tymoshenko and Moschitti, 2021).

In a *K*-class classification task, the cross-entropy loss for a sample with its one-hot class vector $\mathbf{y} = (y_1, \dots, y_K)$ is defined as:

$$L_{\rm CE}(\mathbf{y}, \mathbf{p}) = -\sum_{i=1}^{K} y_i \log p_i, \qquad (1)$$

where $\mathbf{p} = (p_1, \ldots, p_K)$ is the class probability

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¹https://github.com/yuta-mukobara/RLF-KGAT

distribution derived from the output of a classifier through a softmax function.

2.2 Loss Functions for Verdict Prediction

To address the heterogeneity of verdict classes outlined in Section 1, we implement penalties of varying magnitudes contingent on the type of prediction errors. To be precise, our objectives impose more severe penalties for incorrectly classifying SUP claims as REF, or REF claims as SUP, considering that classes SUP and REF are contradictory when the retrieved sentences contain correct evidence. Note that this last condition is constantly met during training with oracle sentences in the FEVER dataset.

2.2.1 Multi-label logistic loss

Before presenting our loss functions for FEVER, we introduce the multi-label logistic (MLL) loss (Baum and Wilczek, 1988). Although this loss is not suited for FEVER verdict prediction, its inclusion of loss terms for complementary classes helps illustrate our approach.

The MLL loss is defined as the sum of logistic losses (binary cross-entropy) over K components of the predictor's output **p**:

$$L_{\text{MLL}}(\mathbf{y}, \mathbf{p}) = -\sum_{i=1}^{K} [y_i \log p_i \quad \lambda \bar{y}_i \log(1 - p_i)],$$
$$= L_{\text{CE}}(\mathbf{y}, \mathbf{p}) \quad \lambda R_{\text{MLL}}(\mathbf{y}, \mathbf{p})$$
(2)

where:

$$R_{\text{MLL}}(\mathbf{y}, \mathbf{p}) = -\sum_{i=1}^{K} \bar{y}_i \log(1 - p_i), \qquad (3)$$

with $\bar{y}_i = 1 - y_i$. As Eq. (2) shows, the MLL loss consists of the primary cross-entropy term and an auxiliary term R_{MLL} for complementary classes. Also note that, in the original MLL loss, $\lambda = 1$, but we treat $\lambda \ge 0$ as a hyperparameter that can also take a different value to control the balance between two terms.

Originally, since the MLL loss was designed for multi-label classification, the K outputs of a predictor are treated as independent variables. Therefore, each component of the prediction vector \mathbf{p} is independently normalized using the sigmoid function. In contrast, within the scope of this paper, \mathbf{p} forms a probability distribution via the softmax function, suitable for a multi-class setting of FEVER.

One interpretation of this loss is that the predicted class distribution $\mathbf{p} = (p_1, \dots, p_K)$ is viewed not as the outcome of a single *K*-class classification task, but as the outcomes of *K* "one-versus-rest" binary classification tasks; in each of these tasks, one of the *K* classes is treated as the positive class, while the remaining K - 1 classes are treated collectively as the negative class, and then individual tasks evaluated by the logistic loss.

Application to verdict prediction In Eqs. (2) and (3), $\bar{y}_i = 1 - p_i$ indicates the membership of the *i*th class in the complement of class **y**, i.e., in the set $Y \setminus \{\mathbf{y}\}$. In the context of FEVER, the complement sets for individual verdict classes are $\overline{SUP} = \{REF, NEI\}$, $\overline{REF} = \{SUP, NEI\}$, and $\overline{NEI} = \{SUP, REF\}$. Now, setting K = 3 and recalling that class indexes 1, 2, 3 represent SUP, REF, NEI, respectively, we have:

$$R_{\text{MLL}}(\mathbf{y}, \mathbf{p}) = \begin{cases} -\log(1 - p_2) - \log(1 - p_3), & \text{if } y_1 = 1, \\ -\log(1 - p_3) - \log(1 - p_1), & \text{if } y_2 = 1, \\ -\log(1 - p_1) - \log(1 - p_2), & \text{if } y_3 = 1. \end{cases}$$
(4)

Eq. (4) is symmetric over classes, which shows that the MLL loss does not account for the heterogeneity among verdict classes, much like the cross-entropy loss. Later experiments in Section 3 indeed demonstrate that the MLL loss does not improve over the standard cross-entropy in terms of prediction accuracy.

2.2.2 Reducing penalties for false NEI

We address the issue of heterogeneous verdict classes by modifying the composition of complement sets in the MLL loss.

Specifically, in our first FEVER-specific loss function, we treat classes SUP and REF as their sole complementary class, excluding NEI. To be precise, we let $\overline{\text{SUP}} = \{\text{REF}\}, \overline{\text{REF}} = \{\text{SUP}\}, \text{whereas}$ $\overline{\text{NEI}} = \{\text{SUP}, \text{REF}\}$ is unchanged. Accordingly, the membership indicator \bar{y}_i is changed to:

$$\bar{y}_i^{\text{SRN}} = \begin{cases} 1 - y_i, & \text{if } i = 1, 2, \\ 0, & \text{if } i = 3, \end{cases}$$
(5)

which results in:

$$R_{\text{SRN}}(\mathbf{y}, \mathbf{p}) = -\sum_{i=1}^{3} \bar{y}_{i}^{\text{SRN}} \log(1 - p_{i})$$
$$= -\sum_{i=1}^{2} (1 - y_{i}) \log(1 - p_{i})$$

$$= \begin{cases} -\log(1-p_2), & \text{if } y_1 = 1, \\ -\log(1-p_1), & \text{if } y_2 = 1, \\ -\log(1-p_1) - \log(1-p_2), & \text{if } y_3 = 1. \end{cases}$$
(6)

Comparing the last formula with Eq. (4), we see that R_{SRN} effectively reduces penalties for misclassifying SUP or REF claims (i.e., $y_1 = 1$ or $y_2 = 1$) as NEI. Combining the auxiliary loss with the cross entropy loss, we obtain the overall objective:

$$L_{\text{SRN}}(\mathbf{y}, \mathbf{p}) = L_{\text{CE}}(\mathbf{y}, \mathbf{p}) \quad \lambda R_{\text{SRN}}(\mathbf{y}, \mathbf{p})$$
$$= -\sum_{i=1}^{3} y_i \log p_i - \lambda \sum_{i=1}^{2} (1 - y_i) \log(1 - p_i).$$
(7)

2.2.3 Exclusive penalties for SUP/REF confusion

Alternatively, we can define an auxiliary loss focusing only on the contradictory nature of SUP and REF and disregarding NEI entirely. To this end, we define $\overline{\text{NEI}} = \emptyset$. For SUP and REF, their complementary sets are defined in the same way as the SRN loss term, namely, $\overline{\text{SUP}} = \{\text{REF}\}$ and $\overline{\text{REF}} = \{\text{SUP}\}$. The corresponding membership indicator is given by:

$$\bar{y}_i^{\text{SR}} = \begin{cases} (1 - y_i)(1 - y_3), & \text{if } i = 1, 2\\ 0, & \text{if } i = 3. \end{cases}$$

The newly introduced factor $(1 - y_3)$ ensures \bar{y}_i^{SR} remains 0 when the gold label is NEI (and thus $y_3 = 1$). This produces our second auxiliary loss function for FEVER:

$$R_{\rm SR}(\mathbf{y}, \mathbf{p}) = -\sum_{i=1}^{3} \bar{y}_i^{\rm SR} \log(1 - p_i)$$

= -(1 - y_3) $\sum_{i=1}^{2} (1 - y_i) \log(1 - p_i)$
= $\begin{cases} -\log(1 - p_2), & \text{if } y_1 = 1, \\ -\log(1 - p_1), & \text{if } y_2 = 1, \\ 0, & \text{if } y_3 = 1. \end{cases}$ (8)

In this loss term, any misclassification involving label NEI is disregarded; R_{SR} imposes no penalty for prediction errors on NEI claims, nor for misclassifying SUP and REF claims as NEI.

The overall objective function, combining R_{SR} with L_{CE} , is given as follows:

$$L_{\text{SR}}(\mathbf{y}, \mathbf{p}) = L_{\text{CE}}(\mathbf{y}, \mathbf{p}) \quad \lambda R_{\text{SR}}(\mathbf{y}, \mathbf{p})$$

$$= -\sum_{i=1}^{3} y_i \log p_i -\lambda(1-y_3) \sum_{i=1}^{2} (1-y_i) \log(1-p_i).$$
(9)

2.3 Class Imbalanced Learning

Another non-negligible issue in verdict prediction is the imbalanced training data in the FEVER dataset, whose class frequency is shown in Table 1.

A popular approach to class imbalance problems (Zhang et al., 2023; Chawla et al., 2002) is class weighting (Ren et al., 2018; Cui et al., 2019), where each term in the objective function is assigned a different weight depending on the class it is associated with.

For example, after weighting applied, the SRN objective in Eq. (7) becomes:

$$L_{\text{SRN weighting}}(\mathbf{y}, \mathbf{p}) = -\sum_{i=1}^{3} w_i \left[y_i \log p_i \quad \bar{y}_i^{\text{SRN}} \log(1-p_i) \right], (10)$$

where w_1 , w_2 , and w_3 are the fixed class weights. The same weighting scheme can be applied to SR and MLL objective functions; see Appendix A.

In our experiments in Section 3, we use the classbalanced weights of Cui et al. (2019). They define the weight for the *i*th class as:

$$w_i = \frac{1 - \beta}{1 - \beta^{n_i}},\tag{11}$$

where n_i is the number of training samples in the *i*th class and β is a hyperparameter. Setting $\beta = 0$ results in uniform weights $w_1 = w_2 = w_3 = 1$, which reduces Eq. (10) to the unweighted one in Eq. (7). As $\beta \rightarrow 1$, the weights approach the inverse class frequency $1/n_i$.

3 Experiments

Due to limited space, only the main experimental results are presented below. Additional results and analysis can be found in Appendix B.

3.1 Setups

Dataset and evaluation criteria The FEVER 2018 dataset (Thorne et al., 2018) consists of 185,445 claims (Table 1). Each claim is assigned a gold class labels, SUP, REF, or NEI. The gold labels for the test set are not disclosed.

Models are evaluated by prediction label accuracy (LA) and FEVER score (FS). LA is a standard

Split	#SUP	#REF	#NEI
Train	80,035	29,775	35,639
Dev	6,666	6,666	6,666
Test	6,666	6,666	6,666

Table 1: Number of samples (claim-evidence pairs) in the FEVER 2018 dataset.

evaluation criterion for multiclass classification where classification accuracy is computed without considering the correctness of the retrieved evidence. In FS, a prediction is deemed correct only if the predicted label is correct and the correct evidence is retrieved (in the case of SUP and REF claims). The scores for the test set, for which the gold labels are not disclosed, are computed on the official FEVER scoring site.

Compared models and hyperparameters We use KGAT² (Liu et al., 2020) for both evidence retrieval and verdict prediction. Multiple prediction models are trained, each with a different objective function. The objectives employed are:

- CE: The cross-entropy loss of Eq. (1). This is the standard objective function for FEVER. It is used by the original KGAT, and is the baseline in our experiments.
- MLL: The multi-label logistic loss of Eq. (2). As our proposed objectives can be considered its modifications, it is included as another baseline in this comparative study.
- SRN: Our first proposed objective (Eq. (7)), which combines the cross-entropy loss with the *R*_{SRN} auxiliary loss.
- SR: Our second proposed objective (Eq. (9)), which augments the cross-entropy loss with the R_{SR} auxiliary loss.

Each objective is assessed with and without the class weighting scheme of Eq. (11). A summary of all objective functions evaluated can be found in Appendix A. Additionally, all objectives are evaluated with three different backbone networks: BERT Base, BERT Large (Devlin et al., 2019), and RoBERTa Large (Liu et al., 2019).

Hyperparameters λ in Eqs. (2), (7), and (9), and β in Eq. (11) are tuned on the development set. For other hyperparameters (e.g., learning rate and batch size), the default values set in the KGAT

$\begin{tabular}{ c c c c } \hline Backbone: BERT Base & $$7.81 & 75.75$ \\ \hline CE & yes & 78.08 (+0.27) & 76.02 (+0.27)$ \\ \mbox{MLL } (λ=0.0625$) & $-$ & 77.84 (+0.03) & 75.65 (-0.10)$ \\ \mbox{MLL } (λ=0.125$) & yes & 78.13 (+0.32) & 76.06 (+0.31)$ \\ \mbox{SRN } (λ=0.0625$) & $-$ & 77.84 (+0.03) & 75.70 (-0.05)$ \\ \mbox{SRN } (λ=0.0625$) & $-$ & 78.16 (+0.35) & 75.87 (+0.12)$ \\ \mbox{SR } (λ=0.0625$) & $-$ & 78.16 (+0.35) & 75.87 (+0.12)$ \\ \mbox{SR } (λ=0.0625$) & $-$ & 78.29 (+0.48)* & 76.06 (+0.31)$ \\ \end{tabular} \\ tabu$	Objective function	Weighting	LA	FS
$\begin{tabular}{ c c c c c c c c c c c c c c c c c c c$	Backbone: BERT Ba	se		
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	CE (baseline)	-	77.81	75.75
$\begin{array}{c c c c c c c c c c c c c c c c c c c $		yes	78.08 (+0.27)	76.02 (+0.27)
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	MLL (λ =0.0625)	-	77.84 (+0.03)	75.65 (-0.10)
$\begin{array}{rrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrr$	MLL $(\Lambda = 0.123)$	yes	78.13 (+0.32)	70.00 (+0.31)
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	SRN (λ =0.0625)	_	77.84 (+0.03)	75.70 (-0.05)
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	SKN (λ =0.0625)	yes	77.85 (+0.02)	75.79 (+0.04)
SR $(\lambda=0.25)$ yes 78.29 (+0.48)* 76.06 (+0.31) Backbone: BERT Large - 78.20 75.98 CE (baseline) - 78.85 (+0.65)* 76.74 (+0.76) MLL (λ =0.25) - 78.85 (+0.65)* 76.74 (+0.76) MLL (λ =0.03125) yes 78.85 (+0.65)* 76.74 (+0.76) SRN (λ =0.125) - 78.68 (+0.48)* 76.71 (+0.79) SR (λ =0.25) yes 78.83 (+0.63)* 76.71 (+0.73) SR (λ =0.25) yes 79.19 (+0.99)* 77.01 (+1.03) Backbone: RoBERTa Large - 78.03 78.55 (+0.36) CE (baseline) - 80.19 78.83 (+0.51) MLL (λ =0.0625) - 80.00 (-0.19) 77.88 (-0.15) MLL (λ =0.0625) yes 80.62 (+0.43)* 78.55 (+0.52)	SR (λ =0.0625)	_	78.16 (+0.35)	75.87 (+0.12)
$\begin{tabular}{ c c c c c } \hline & & & & & & & & & & & & & & & & & & $	SR (A=0.25)	yes	/8.29 (+0.48)*	/0.00 (+0.31)
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	Backbone: BERT La	rge		
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	CE (baseline)	_	78.20	75.98
$\begin{array}{ccccc} \text{MLL} (\lambda \!=\! 0.25) & - & 78.94 (+0.74)^* & 76.78 (+0.80) \\ \text{MLL} (\lambda \!=\! 0.03125) & \text{yes} & 78.85 (+0.65)^* & 76.74 (+0.76) \\ \text{SRN} (\lambda \!=\! 0.125) & - & 78.68 (+0.48)^* & 76.57 (+0.59) \\ \text{SRN} (\lambda \!=\! 0.25) & \text{yes} & 78.83 (+0.63)^* & 76.71 (+0.73) \\ \text{SR} (\lambda \!=\! 0.25) & - & 79.02 (+0.82)^* & 76.86 (+0.88) \\ \text{SR} (\lambda \!=\! 0.125) & \text{yes} & \textbf{79.19} (+\textbf{0.99})^* & \textbf{77.01} (+\textbf{1.03}) \\ \hline \\ $	CE	yes	78.85 (+0.65)*	76.74 (+0.76)
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	MLL (<i>A</i> =0.25)	_	78.94 (+0.74)*	76.78 (+0.80)
$\begin{array}{cccc} {\rm SRN} \left(\lambda {=} 0.125 \right) & - & 78.68 \left({+} 0.48 \right)^{\ast} & 76.57 \left({+} 0.59 \right) \\ {\rm SRN} \left(\lambda {=} 0.25 \right) & {\rm yes} & 78.83 \left({+} 0.63 \right)^{\ast} & 76.71 \left({+} 0.73 \right) \\ {\rm SR} \left(\lambda {=} 0.25 \right) & - & 79.02 \left({+} 0.82 \right)^{\ast} & 76.86 \left({+} 0.88 \right) \\ {\rm SR} \left(\lambda {=} 0.125 \right) & {\rm yes} & 79.19 \left({+} 0.99 \right)^{\ast} & 77.01 \left({+} 1.03 \right) \\ \hline \\ $	MLL (<i>l</i> =0.03125)	yes	78.85 (+0.65)*	76.74 (+0.76)
$\begin{array}{cccc} {\rm SRN} (\lambda {=}0.25) & {\rm yes} & 78.83 ({+}0.63)^{*} & 76.71 ({+}0.73) \\ {\rm SR} (\lambda {=}0.25) & - & 79.02 ({+}0.82)^{*} & 76.86 ({+}0.88) \\ {\rm SR} (\lambda {=}0.125) & {\rm yes} & \textbf{79.19} ({+}0.99)^{*} & \textbf{77.01} ({+}1.03) \\ \hline \\ $	SRN (<i>l</i> =0.125)	_	78.68 (+0.48)*	76.57 (+0.59)
$\begin{array}{c cccc} {\rm SR} \ (\lambda {=} 0.25) & - & 79.02 \ ({+} 0.82)^* & 76.86 \ ({+} 0.88) \\ {\rm SR} \ (\lambda {=} 0.125) & {\rm yes} & {\bf 79.19} \ ({+} 0.99)^* & {\bf 77.01} \ ({+} 1.03) \\ \hline \\ \hline \\ {\rm Backbone: \ RoBERTa \ Large} & & \\ \hline \\ {\rm CE} \ ({\rm baseline}) & - & & \\ {\rm CE} \ & {\rm yes} & 80.55 \ ({+} 0.36) & {\bf 78.54} \ ({+} 0.51) \\ \\ {\rm MLL} \ (\lambda {=} 0.0625) & - & & \\ {\rm MLL} \ (\lambda {=} 0.0625) & {\rm yes} & 80.62 \ ({+} 0.43)^* & {\bf 78.55} \ ({+} 0.52) \\ \hline \end{array}$	SRN (<i>l</i> =0.25)	yes	78.83 (+0.63)*	76.71 (+0.73)
SR (λ=0.125) yes 79.19 (+0.99)* 77.01 (+1.03) Backbone: RoBERTa Large	SR (<i>l</i> =0.25)	_	79.02 (+0.82)*	76.86 (+0.88)
$\begin{tabular}{ c c c c c c c } \hline Backbone: RoBERTa Large & & & & \\ \hline CE & baseline) & - & & & & & & & & \\ CE & & & & & & & & & & & \\ CE & & & & & & & & & & & & \\ BLL & (λ=0.0625) & - & & & & & & & & & & & \\ MLL & (λ=0.0625) & & & & & & & & & & & & & & \\ MLL & (λ=0.0625) & & & & & & & & & & & & & & \\ MLL & (λ=0.0625) & & & & & & & & & & & & & \\ MLL & (λ=0.0625) & & & & & & & & & & & & & \\ \hline \end{array}$	SR (<i>l</i> =0.125)	yes	79.19 (+0.99)*	77.01 (+1.03)
$\begin{tabular}{ c c c c c c c c c c c c c c c c c c c$	Backbone: RoBERTa	a Large		
CE yes 80.55 (+0.36) 78.54 (+0.51) MLL (λ=0.0625) - 80.00 (-0.19) 77.88 (-0.15) MLL (λ=0.0625) yes 80.62 (+0.43)* 78.55 (+0.52)	CE (baseline)	_	80.19	78.03
MLL (λ=0.0625) - 80.00 (-0.19) 77.88 (-0.15) MLL (λ=0.0625) yes 80.62 (+0.43)* 78.55 (+0.52)	CE	yes	80.55 (+0.36)	78.54 (+0.51)
MLL (λ =0.0625) yes 80.62 (+0.43)* 78.55 (+0.52)	MLL (<i>A</i> =0.0625)	_	80.00 (-0.19)	77.88 (-0.15)
· · · · · · · · · ·	MLL (<i>l</i> =0.0625)	yes	80.62 (+0.43)*	78.55 (+0.52)
SRN (λ =0.03125) - 80.24 (+0.05) 78.18 (+0.15)	SRN (<i>A</i> =0.03125)	_	80.24 (+0.05)	78.18 (+0.15)
SRN (λ=0.03125) yes 80.73 (+0.54)* 78.56 (+0.53)	SRN (<i>l</i> =0.03125)	yes	80.73 (+0.54)*	78.56 (+0.53)
SR (λ =0.0625) - 80.41 (+0.22) 78.19 (+0.16)	SR (<i>l</i> =0.0625)	_	80.41 (+0.22)	78.19 (+0.16)
SR (λ =0.03125) yes 80.70 (+0.51)* 78.63 (+0.60)	SR (<i>l</i> =0.03125)	yes	80.70 (+0.51)*	78.63 (+0.60)

Table 2: Label accuracy (LA) and FEVER score (FS) of KGAT models on the development set, using different loss functions and backbones. For class-balanced weighting, β is set to 0.999999 in all cases. The parenthesized figures after LA indicate differences from the baseline cross-entropy loss (CE) without class-balanced weighting. Asterisks (*) denote the change in prediction from CE (baseline) is statistically significant (p < 0.05), as determined by the McNemar test (McNemar, 1947).

implementation are used. Each model is trained three times and the one achieving the highest LA on the development set is selected for evaluation.

3.2 Results

Effectiveness of the proposed objective functions Table 2 shows the results. Trends observed are: (i) The imbalance weighting consistently improves both LA and FS. (ii) The proposed SRN and SR losses enhance LA in all cases and FS in most cases. (iii) The simultaneous use of the classbalance weighting and the proposed losses further improves the performance.

Of the two proposed loss types, SR achieves higher scores across all backbone architectures, with the exception of the LA score with RoBERTa Large. Even in the latter case, the difference is marginal (0.03). For SR with weighting, the change in predictions from CE (baseline) is statistically significant irrespective of the backbones. The same is true for SRN with weighting, except when it is

²https://github.com/thunlp/KernelGAT

	I	Dev	Te	est
Method	LA	FS	LA	FS
Backbone: BERT Base				
KGAT (Liu et al., 2020) KGAT (reproduced) KGAT + SR + weighting	78.02 77.81 78.29	75.88 75.75 76.06	72.81 73.01 73.44	69.40 69.29 69.88
Backbone: BERT Large				
KGAT (Liu et al., 2020) KGAT (reproduced) KGAT + SR + weighting	77.91 78.20 79.19	75.86 75.98 77.01	73.61 73.66 73.97	70.24 70.06 70.71
Backbone: RoBERTa Large				
KGAT (Liu et al., 2020) KGAT (reproduced) KGAT + SR + weighting	78.29 80.19 80.70	76.11 78.03 78.63	74.07 75.40 75.72	70.38 72.04 72.53
Non-KGAT SOTA Methods				
Stammbach (Stammbach, 2021) LisT5 (Jiang et al., 2021) ProoFVer (Krishna et al., 2022) BEVERS (DeHaven and Scott, 2023)	81.26 80.74 -	- 77.75 79.07 -	79.20 79.35 79.47 80.24	76.80 75.87 76.82 77.70

Table 3: Label accuracy (LA) and FEVER score (FS) on the development (Dev) and test sets. The bold values indicate the best performer in the group.

used with BERT Base.

Although the MLL loss explicitly has the additional penalty term for the complement sets, it does not account for the label heterogeneity as in the cross-entropy loss (see Section 2.2.1). Indeed, there is little difference in the results between CE and MLL, excluding the BERT Large backbone without weighting.

Comparison with SOTA models As KGAT with the proposed SR objective and class-balanced weighting showed consistent performance on the development set, we submit its predictions on the test set to the FEVER scoring site. Table 3 presents the results, along with those of the original KGAT and state-of-the-art (SOTA) FEVER models. The proposed methods (KGAT + SR + weighting) consistently outperform the original KGAT (using the standard CE loss) on the test set as well, regardless of the backbone architecture. These results suggest that the cross-entropy objective is not necessarily optimal for the FEVER task, and our approach offers a means of improvement.

The scores of KGAT models, including our proposed approach, are lower than those of the SOTA models (Stammbach, 2021; Jiang et al., 2021; Krishna et al., 2022; DeHaven and Scott, 2023). However, it should be noted that these models owe their better performance in part to the improved retrievers and backbones they use. Indeed, DeHaven and Scott (2023, Table 12) report an LA of 76.60 and an FS of 73.21 on the test set, when their BEVERS

model is used in combination with the KGAT retriever and the RoBERTa Large backbone. These figures represent a notable regression from those presented in Table 3, consequently reducing the advantage over our model (with a test LA of 75.72, and a test FS of 72.53) to less than a 1-point.

4 Related Work

The FEVER shared tasks (Thorne et al., 2018, 2019; Aly et al., 2021a,b) have been the subject of extensive research. Most proposed approaches utilize Transformer-based models to embed claims and evidence (Tymoshenko and Moschitti, 2021; Jiang et al., 2021; Stammbach, 2021; DeHaven and Scott, 2023), whereas some researchers (Zhou et al., 2019; Liu et al., 2020) use graph-based methods to aggregate information from multiple pieces of evidence. None of these studies focus on the objective function to optimize, and most employ the standard cross-entropy objective.

Recently, DeHaven and Scott (2023) have used class weighting to mitigate class imbalance in the FEVER dataset, although the detailed weighting scheme is not reported.

In machine learning, Zhang (2004) analyzes various loss functions used for multiclass classification, including a general form of one-versus-rest (or oneversus-all) loss functions, which also have terms accounting for the complement set of the ground-truth class. Ishida et al. (2017) study complementarylabel learning scenarios (Ishida et al., 2017; Yu et al., 2018; Ishida et al., 2019) extending Zhang's losses.

5 Conclusion

We introduced loss functions that take into account the heterogeneity of verdict classes in the FEVER task. In empirical evaluation, they consistently outperformed the standard cross-entropy loss.

In future work, we will evaluate the proposed loss functions in other fact verification tasks. We also plan to apply them to SOTA models for FEVER. As these models use the cross-entropy loss, our auxiliary loss terms are readily applicable.

Limitations

Our empirical evaluation was conducted in limited situations.

• Task (dataset): Although our approach proved effective in the FEVER task and dataset

(Thorne et al., 2018), whether it works equally well in other similar tasks and datasets remains unverified.

Verdict predictor: The effectiveness of our approach was demonstrated only in combination with KGAT (Liu et al., 2020), a popular prediction model frequently used for benchmarking FEVER methods. Being model-agnostic, our loss functions need to be evaluated in combination with more recent models that optimize the cross-entropy loss.

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A Summary of Objective Functions

In the following, we list the formulas for the objective functions used in our experiments.

Cross-entropy objective The cross-entropy objective presented in Eq. (1) is repeated here for convenience.

$$L_{\rm CE}(\mathbf{y}, \mathbf{p}) = -\sum_{i=1}^{3} y_i \log p_i.$$

Its class-weighted version is:

$$L_{\text{CE weighting}}(\mathbf{y}, \mathbf{p}) = -\sum_{i=1}^{3} w_i y_i \log p_i.$$

MLL objective The MLL objective of Eq. (2) is:

$$L_{\text{MLL}}(\mathbf{y}, \mathbf{p}) = L_{\text{CE}}(\mathbf{y}, \mathbf{p}) \quad \lambda R_{\text{MLL}}(\mathbf{y}, \mathbf{p})$$
$$= -\sum_{i=1}^{3} \left[y_i \log p_i \quad \lambda (1 - y_i) \log(1 - p_i) \right],$$

and its weighted version is:

$$L_{\text{MLL weighting}}(\mathbf{y}, \mathbf{p}) = -\sum_{i=1}^{3} w_i \left[y_i \log p_i \quad \lambda(1-y_i) \log(1-p_i) \right].$$

SRN objective The SRN objective L_{SRN} , originally presented in Eq. (7), is restated below, accompanied by its instantiation for individual gold classes:

$$L_{\text{SRN}}(\mathbf{y}, \mathbf{p}) = L_{\text{CE}}(\mathbf{y}, \mathbf{p}) \quad \lambda R_{\text{SRN}}(\mathbf{y}, \mathbf{p})$$

= $-\sum_{i=1}^{3} y_i \log p_i - \lambda \sum_{i=1}^{2} (1 - y_i) \log(1 - p_i)$
= $\begin{cases} -\log p_1 - \log(1 - p_2), & \text{if } y_1 = 1, \\ -\log p_2 - \log(1 - p_1), & \text{if } y_2 = 1, \\ -\log p_3 - \log(1 - p_1), & -\log(1 - p_2), & \text{if } y_3 = 1. \end{cases}$

With class weighting, the objective becomes Eq. (10), as shown in Section 2.2. The corresponding expressions for individual gold classes are as follows:

$$\begin{split} L_{\text{SRN weighting}}(\mathbf{y}, \mathbf{p}) \\ &= \begin{cases} -w_1 \left[\log p_1 & \log(1-p_2) \right], & \text{if } y_1 = 1, \\ -w_2 \left[\log p_2 & \log(1-p_1) \right], & \text{if } y_2 = 1, \\ -w_3 \left[\log p_3 & \log(1-p_1) \\ & \log(1-p_2) \right], & \text{if } y_3 = 1. \end{cases} \end{split}$$

SR objective The objective L_{SR} is shown below:

$$\begin{split} L_{\text{SR}}(\mathbf{y}, \mathbf{p}) &= L_{\text{CE}}(\mathbf{y}, \mathbf{p}) \quad \lambda R_{\text{SR}}(\mathbf{y}, \mathbf{p}) \\ &= -\sum_{i=1}^{3} y_i \log p_i \\ &-\lambda(1-y_3) \sum_{i=1}^{2} (1-y_i) \log(1-p_i) \\ &= \begin{cases} -\log p_1 - \log(1-p_2), & \text{if } y_1 = 1, \\ -\log p_2 - \log(1-p_1), & \text{if } y_2 = 1, \\ -\log p_3, & \text{if } y_3 = 1. \end{cases} \end{split}$$

And the weighted version is:

 $L_{\text{SR weighting}}(\mathbf{y}, \mathbf{p})$

	$-w_1 \left[\log p_1\right]$	$\log(1-p_2)],$	if $y_1 = 1$,
= {	$-w_2 \left[\log p_2 \right]$	$\log(1-p_1)],$	if $y_2 = 1$,
	$\left(-w_3\log p_3\right)$		if $y_3 = 1$.

B Additional Experimental Results

B.1 Confusion Matrices

To provide a comprehensive view of the compared prediction models, the confusion matrices of their predictions are presented in Tables 4–6. We observe that the sample weighting mitigates the imbalance bias in most cases. Specifically, weighting decreases the number of predictions for the majority class (SUP), for example, from 7497 to 7211 in the case of the BERT Base backbone; compare Table 4(a) and (b).

B.2 Effect of λ

We introduced in the MLL objective of Eq. (2) a hyperparameter λ to balance the primary and auxiliary terms in the objective.

To evaluate the efficacy of calibrating the λ parameter, we specifically examine the performance for fixed $\lambda = 1$ (i.e., direct application of original MLL loss), and that of λ tuned over the development set. Table 7 shows the results. We note that the scores of $\lambda = 1$ are considerably lower than those achieved when λ is optimized on the development set.

C License of the Assets

The FEVER 2018 dataset³ is licensed under the CC BY-SA 3.0. The KGAT implementation⁴ is licensed under the MIT License.

³https://fever.ai/dataset/fever.html

⁴https://github.com/thunlp/KernelGAT

		Prediction		
		SUP	REF	NEI
Gold	SUP REF NEI	5976 470 1051	222 5153 1184	468 1043 4431
Total		7497	6559	5942

(a) Loss = CE, Weighting = no (FS=75.75, LA=77.81)

]	Prediction	1
		SUP	REF	NEI
Gold	SUP REF NEI	5976 510 1066	201 4981 991	489 1175 4609
Total		7552	6173	6273

		Prediction		
		SUP	REF	NEI
	SUP	5862	214	590
Gold	REF	427	4906	1333
	NEI	922	897	4847
Total		7211	6017	6770

(b) Loss = CE, Weighting = yes (FS=76.02, LA=78.08)

			Prediction	ı
		SUP	REF	NEI
Gold	SUP REF NEI	5785 372 845	303 5098 1079	578 1196 4742
Total		7002	6480	6516

		1	Prediction	ı
		SUP	REF	NEI
Gold	SUP REF NEI	5919 455 1001	196 4876 894	551 1335 4771
Total		7375	5966	6657

		Prediction		
		SUP	REF	NEI
	SUP	5948	221	497
Gold	REF	461	4969	1236
	NEI	1014	939	4713
Total		7423	6129	6446

(g) Loss = SR, Weighting = no (FS=75.87, LA=78.16)

(c) Loss = MLL, Weighting = no (FS=75.65, LA=77.84) (d) Loss = MLL, Weighting = yes (FS=76.06, LA=78.13)

			Prediction	ı
		SUP	REF	NEI
Gold	SUP REF NEI	5766 444 864	239 4958 962	661 1264 4840
Total		7074	6159	6765

(e) Loss = SRN, Weighting = no (FS=75.70, LA=77.84) (f) Loss = SRN, Weighting = yes (FS=75.79, LA=77.83)

		Prediction		
		SUP	REF	NEI
Gold	SUP REF	5979 457	228 5031	459 1178
	NEI	1080	939	4647
Total		7516	6198	6284

(h) Loss = SR, Weighting = yes (FS=76.06, LA=78.29)

Table 4: Confusion matrices on the development set, with the BERT Base backbone. The "Total" row shows the number of times each class is predicted.

]	Prediction	1
		SUP	REF	NEI
Gold	SUP REF NEI	5817 349 854	238 5171 1032	611 1146 4780
Total		7020	6441	6537

(b) Loss = CE, Weighting = yes (FS=76.74, LA=78.85)

SUP

5858

359

858

Prediction REF

258

5214

1112

NEI

550

1093

4696

Total 7453 6325 6220		NEI	1032	1042	4592
	Total		7453	6325	6220

Prediction REF

222

5061

NEI

459

1169

(a) $Loss = CE$, Weighting = no	(FS=75.98, LA=78.20)
-----------------	------------------	----------------------

SUP

5985

436

SUP

REF

Gold

Gold

Total

]	Prediction	ı
		SUP	REF	NEI
Gold	SUP REF NEI	6011 437 1019	188 5068 940	467 1161 4707
Total		7467	6196	6335

	SUP	REF	NEI
SUP	6011	188	467
REF	437	5068	1161
NEI	1019	940	4707
	7467	6196	6335

Total 7075 6584 6339

Gold

SUP REF

NEI

(c) Loss =	MLL, Weight	ting = no	o (FS=7	6.78, I	LA=78.94)
		F	Prediction		
		SUP	REF	NEI	

	SUP	REF	NEI
SUP	5942	214	510
REF	406	5076	1184
NEI	922	1028	4716
	7270	6318	6410

(e) Loss = SRN, Weighting = no (FS=76.57, LA=78.68)

]	Prediction	ı
		SUP	REF	NEI
Gold	SUP REF NEI	6024 411 1007	165 4989 869	477 1266 4790
Total		7442	6023	6533

(d) Loss = MLL, Weighting = yes (FS=76.74, LA=78.85)

]	Prediction	ı
		SUP	REF	NEI
Gold	SUP REF NEI	5806 323 852	246 5148 1004	614 1195 4810
Total		6981	6398	6619

(f) Loss = SRN, Weighting = yes (FS=76.71, LA=78.83)

			Prediction	ı
		SUP	REF	NEI
Gold	SUP REF NEI	5938 397 884	187 5087 971	541 1182 4811
Total		7219	6245	6534

(g) Loss = SR, Weighting = no (FS=76.86, LA=79.02) (h) Loss = SR, Weighting = yes (FS=77.01, LA=79.19)

Table 5: Confusion matrices on the development set, with the BERT Large backbone.

]	Prediction	n
		SUP	REF	NEI
Gold	SUP REF NEI	5783 238 693	220 5291 938	663 1137 5035
Total		6714	6449	6835

(b) Loss = CE, Weighting = yes (FS=78.54, LA=80.55)

		1	Prediction	ı
		SUP	REF	NEI
Gold	SUP REF NEI	6073 357 964	153 5127 865	440 1182 4837
Total		7394	6145	6459

(a) Loss = CE, Weighting = no (FS=78.03, LA=80.19)

		Prediction		
		SUP	REF	NEI
Gold	SUP REF NEI	6032 321 913	148 5092 878	486 1253 4875
Total		7266	6118	6614

(c) Loss = MLL, Weighting = no (FS=77.88, LA=80.00)

		Prediction		
		SUP	REF	NEI
Gold	SUP REF NEI	6117 361 962	129 4996 771	420 1309 4933
Total		7440	5896	6662

		1	Prediction	ı
		SUP	REF	NEI
Gold	SUP REF NEI	6072 314 915	162 5239 981	432 1113 4770
Total		7301	6382	6315

Prediction REF SUP NEI 5995 159 512 SUP 299 Gold REF 5151 1216 NEI 826 864 4976 Total 7120 6174 6704

(d) Loss = MLL, Weighting = yes (FS=78.55, LA=80.62)

		Prediction		
		SUP	REF	NEI
Gold	SUP	5913 275	227	526
	NEI	780	1064	4822
Total		6968	6701	6329

(e) Loss = SRN, Weighting = no (FS=78.18 LA=80.24) (f) Loss = SRN, Weighting = yes (FS=78.56, LA=80.73)

		Prediction		
		SUP	REF	NEI
Gold	SUP	5901	213	552
	REF	237	5238	1191
	NEI	766	901	4999
Total		6904	6352	6742

(g) Loss = SR, Weighting = no (FS=78.19, LA=80.41) (h) Loss = SR, Weighting = yes (FS=78.63, LA=80.70)

Table 6: Confusion matrices on the development set, with the RoBERTa Large backbone.

Backbone	Loss	Weighting	LA	FS
BERT Base	MLL ($\lambda = 0.125$)	yes ($\beta = 0.999999$)	78.13	76.06
	MLL ($\lambda = 1$)	yes ($\beta = 0.999999$)	77.96	75.91
BERT Large	MLL ($\lambda = 0.03125$)	yes ($\beta = 0.999999$)	78.85	76.74
	MLL ($\lambda = 1$)	yes ($\beta = 0.999999$)	78.68	76.56
RoBERTa Large	MLL ($\lambda = 0.0625$)	yes ($\beta = 0.999999$)	80.62	78.55
	MLL ($\lambda = 1$)	yes ($\beta = 0.999999$)	80.05	77.97

Table 7: Effect of tuning λ in the MLL objective.