# <span id="page-0-0"></span>Exploring the Practicality of Generative Retrieval on Dynamic Corpora

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#### Abstract

Benchmarking the performance of information retrieval (IR) is mostly conducted with a fixed set of documents (static corpora). However, in realistic scenarios, this is rarely the case and the documents to be retrieved are constantly updated and added. In this paper, we focus on Generative Retrievals (GR), which apply autoregressive language models to IR problems, and explore their adaptability and robustness in dynamic scenarios. We also conduct an extensive evaluation of computational and memory efficiency, crucial factors for real-world deployment of IR systems handling vast and ever-changing document collections. Our results on the StreamingQA benchmark demonstrate that GR is more adaptable to evolving knowledge  $(4 - 11\%)$ , robust in learning knowledge with temporal information, and efficient in terms of inference FLOPs  $(\times 2)$ , indexing time  $(\times 6)$ , and storage footprint  $(\times 4)$  compared to Dual Encoders (DE), which are commonly used in retrieval systems. Our paper highlights the potential of GR for future use in practical IR systems within dynamic environments.

### 1 Introduction

Transformer-based information retrieval (IR) models play a vital role in advancing the field of semantic document search for informationseeking queries. Notably, *Generative Retrieval* (GR) [\(Petroni et al.,](#page-10-0) [2019;](#page-10-0) [De Cao et al.,](#page-9-0) [2020;](#page-9-0) [Wang et al.,](#page-10-1) [2022;](#page-10-1) [Bevilacqua et al.,](#page-8-0) [2022;](#page-8-0) [Tay et al.,](#page-10-2) [2022;](#page-10-2) [Zhou et al.,](#page-11-0) [2022;](#page-11-0) [Lee et al.,](#page-10-3) [2022b,](#page-10-3)[a;](#page-10-4) [Sun](#page-10-5) [et al.,](#page-10-5) [2023;](#page-10-5) [Li et al.,](#page-10-6) [2023b\)](#page-10-6) has recently gained a significant amount of recognition from the research community for its simplicity and high performance. However, *Dual Encoder* (DE) [\(Gillick et al.,](#page-9-1) [2018;](#page-9-1) [Karpukhin et al.,](#page-9-2) [2020a;](#page-9-2) [Ni et al.,](#page-10-7) [2021;](#page-10-7) [Gao et al.,](#page-9-3) [2022;](#page-9-3) [Izacard et al.,](#page-9-4) [2022;](#page-9-4) [Ram et al.,](#page-10-8) [2022\)](#page-10-8) continues to hold sway in practical IR systems. This contrast underscores the need for an investigation into their practical applicability. There is a lack of comprehensive comparison between GR and DE in real-world scenarios where knowledge is continually evolving and efficiency is crucial.

To this end, we establish Dynamic Information Retrieval (DynamicIR), a setup designed to simulate realistic scenarios for corpus updates in IR. This DynamicIR setup includes two distinct update strategies, (1) indexing-based updates: updating only the index without any further pretraining or finetuning and (2) training-based updates: continually pretraining the parameters on new corpora in addition to updating the index (See Figure [1\)](#page-1-0). Within this experimental setup, we evaluate the adaptability of recent state-ofthe-art retrieval models: SEAL [\(Bevilacqua et al.,](#page-8-0) [2022\)](#page-8-0), MINDER [\(Li et al.,](#page-10-6) [2023b\)](#page-10-6), and LTRGR [\(Li](#page-10-9) [et al.,](#page-10-9) [2023a\)](#page-10-9) for GR, and SPIDER [\(Ram et al.,](#page-10-8) [2022\)](#page-10-8), CONTRIEVER [\(Izacard et al.,](#page-9-4) [2022\)](#page-9-4), and DPR [\(Karpukhin et al.,](#page-9-2) [2020a\)](#page-9-2) for DE. Furthermore, we perform extensive comparison for the *efficiency* of each method, considering factors such as floating-point operations (FLOPs) [\(Kaplan et al.,](#page-9-5) [2020\)](#page-9-5) required for the inference, indexing time, inference latency, and storage footprint.

The findings of our study underscore the strength of GR compared to DE across three key aspects: adaptability, robustness, and efficiency. (1) *GR exhibits superior adaptability to evolving corpora* (Section [5\)](#page-4-0). GR outperforms DE, showcasing *4 – 11%* greater adaptability in both indexing-based and training-based updates. Notably, GR not only acquires new knowledge more effectively but also shows no sign of forgetting; rather, training with new corpora appears to enhance its existing knowledge. (2) *GR is more robust without inducing undesired bias from data characteristics* (Section [5\)](#page-6-0). DE reveals a bias towards lexical overlap of times-

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Figure 1: Structure of DynamicIR. This figure shows the training and inference processes for three setups in DynamicIR. We differentiate each model by color. First, in StaticIR, (A) retrieval models are pretrained on C*initial* and finetuned on the query-document pairs R*initial*. (B) During inference, they perform retrieval only with the indexed C*initial*. Second, in Indexing-based Update, (C) we use the same retriever developed from StaticIR and conduct an inference with the indexed C*initial* and C*new*. Lastly, in Training-based Update, (D) we take the pretrained model on C*initial* in StaticIR and continually pretrain it on C*new*. Subsequently, it is finetuned on the combination of  $R_{initial}$  and  $R'_{new}$ . (E) Using the updated retrieval model, we conduct an inference with the indexed  $C_{initial}$  and  $C_{new}$ .

tamps inserted into queries and documents, showing significant degradation (52.23%  $\rightarrow$  17.40%) when the timestamps are removed. Whereas, GR shows robust retrieval performance over temporal data. (3) *GR requires lower inference flops, reduced indexing costs, and a smaller storage footprint* (Section [6\)](#page-7-0). For inference flops, GR has  $\mathcal{O}(1)$ complexity with respect to the corpus size, requiring *2 times* less computation per query compared to DE which has  $\mathcal{O}(N)$  complexity, where N represents the corpus size. Regarding indexing, DE necessitates re-indexing each time whenever the model is updated. To make matter worse, the indexing time itself is *6 times* longer than GR. In terms of storage footprint, GR requires *4 times* less storage by effectively compressing the knowledge in its internal parameters.

### 2 Related Work

Temporal Information Retrieval. While recent advancements have focused on the temporal updating of *language models* [\(Dhingra et al.,](#page-9-6) [2022\)](#page-9-6), the attention on temporal *information retrieval* (IR) [\(Kanhabua and Anand,](#page-9-7) [2016\)](#page-9-7) has diminished somewhat with the rise of robust contextualized transformer-based models [\(Devlin et al.,](#page-9-8) [2019\)](#page-9-8). However, temporal considerations in IR remain crucial, especially with the widespread use of Retrieval-Augmented Generation (RAG) in many chat models. It is also worthwhile to examine

whether emerging IR approaches are effective in adapting to evolving knowledge. Unlike previous works on document updates [\(Chen et al.,](#page-9-9) [2023;](#page-9-9) [Mehta et al.,](#page-10-10) [2023\)](#page-10-10), which pose disjoint questions from existing ones when retrieving updated documents and require parameter updates, our approach conducts experiments on the retrieval of distinct documents for the same query across varying timestamps. Similar to the text box in Figure [1,](#page-1-0) users often want to search for information based on a specific time period (e.g., laws, national curriculum, etc.). We also consider two realistic update scenarios with and without parameter updates and use a large-scale corpus of 50 million passages.

Generative Retrieval (GR). GR initially emerged with the work of GENRE [\(De Cao](#page-9-0) [et al.,](#page-9-0) [2020\)](#page-9-0), in which an encoder-decoder model retrieves a document by generating the title of the document from a given query. [\(Tay et al.,](#page-10-2) [2022\)](#page-10-2) introduces DSI that produces a document ID as the output sequence. NCI [\(Wang et al.,](#page-10-1) [2022\)](#page-10-1) and DSI-QG [\(Zhuang et al.,](#page-11-1) [2022\)](#page-11-1) apply query generation, significantly improving DSI's performance. Recent methods that uses document ID, such as RIPOR [\(Zeng et al.,](#page-11-2) [2023\)](#page-11-2) and PAG [\(Zeng et al.,](#page-11-3) [2024\)](#page-11-3), also demonstrate superior performance. Instead of mapping to IDs for document identifiers, other works explore generating content directly from documents as identifiers. For instance, SEAL utilizes spans [\(Bevilacqua et al.,](#page-8-0)

Type	Split	Count
Query-Doc pairs	$R_{initial}$ (2007 – 2019)	99,402
	$R'_{new}$ (2020)	90,000
	$Q_{initial}$ (2007 - 2019)	2,000
Evaluation	$Q_{new}$ (2020)	3,000
	$Q_{total}$ (2007 – 2020)	5,000
	$C_{initial}$ (2007 - 2019)	43,832,416
Corpus	$C_{new}$ (2020)	6,136,419
	$C_{total}$ (2007 – 2020)	49,968,835
	Initial $(2007 - 2019)$	7.33B
# Tokens	New (2020)	1.04B
	Total $(2007 - 2020)$	8.37B
	Initial (2007 – 2019)	169.7
# Tokens per passage	New (2020)	167.1
	Total $(2007 - 2020)$	167.5

Table 1: Statistics of the StreamingQA dataset modified for our setup. # Tokens is the total number of words separated by space in each passage.

[2022\)](#page-8-0), and MINDER [\(Li et al.,](#page-10-6) [2023b\)](#page-10-6) and LTRGR [\(Li et al.,](#page-10-9) [2023a\)](#page-10-9) leverage a combination of titles, pseudo-queries, and spans. Other works focus on the broader application of GR, such as multi-hop reasoning [\(Lee et al.,](#page-10-3) [2022b\)](#page-10-3), contextualization of token embeddings [\(Lee et al.,](#page-10-4) [2022a\)](#page-10-4), auto-encoder approach [\(Sun et al.,](#page-10-5) [2023\)](#page-10-5), and giving ranking signals [\(Li et al.,](#page-10-9) [2023a\)](#page-10-9).

Dual Encoder (DE). DE [\(Lee et al.,](#page-10-11) [2019;](#page-10-11) [Karpukhin et al.,](#page-9-10) [2020b\)](#page-9-10) refers to a set of model architectures where we project the query and document individually into a fixed sized embedding. Through contrastive learning, the projected embeddings of positive documents are learned to be close to the query and negative documents to be far away. Some works try to train the model in an unsupervised fashion with contrastive learning [\(Izacard et al.,](#page-9-4) [2022;](#page-9-4) [Lee et al.,](#page-10-11) [2019;](#page-10-11) [Sachan et al.,](#page-10-12) [2023\)](#page-10-12). While FAISS [\(Johnson et al.,](#page-9-11) [2019\)](#page-9-11) and ANCE [\(Xiong et al.,](#page-11-4) [2020\)](#page-11-4) can improve efficiency during inference, these models still face the limitation of requiring asynchronous creation of modeldependent embedding dumps.

### 3 Dynamic Information Retrieval

## 3.1 DynamicIR Task Setup

Adapting the retrieval models to evolving corpora is crucial for providing user with up-to-date knowledge. In order to evaluate the adaptability of retrievers, we create a setup called Dynamic Information

Retrieval (DynamicIR). As depicted in Figure [1,](#page-1-0) our experimental setup includes three approaches: (1) *StaticIR*, where the retriever is trained on the initial corpus, (2) *Indexing-based updates*, incorporating the index of newly arrived documents into the existing index without further training on the new corpus; and (3) *Training-based updates*, where the retriever is continually pretrained on the new corpus, along with updating the index.

To conduct these experiments, we assume that we have an initial corpus C*initial* and a newly introduced corpus C*new*, and datasets of querydocument pairs  $R_{initial}$  and  $R'_{new}$  from  $C_{initial}$  and  $C_{new}$ , respectively. Unlike  $R_{initial}$ ,  $R'_{new}$  consists of pseudo-queries, which are generated from C*new* using docT5query (detailed explanation is in Section [3.2\)](#page-3-0). These query-document pairs are used for supervised learning. Moreover, we assess the retrieval performance with two types of evaluation sets, Q*initial* and Q*new*, where the answers to the questions are within C*initial* and C*new*, respectively. Some questions between Q*initial* and Q*new* are identical except for the timestamps, which necessitates the retrieval of different passages. Each set is employed to assess the forgetting of initial knowledge [\(McCloskey and Cohen,](#page-10-13) [1989;](#page-10-13) [Kirkpatrick et al.,](#page-9-12) [2017\)](#page-9-12) and the acquisition of new knowledge.

**StaticIR.** In this part, we focus on retrieving documents only from C*initial*. The training process begins with pretraining the model on C*initial* using self-supervised learning, followed by finetuning it with R*initial* using supervised learning. We evaluate it only on Q*initial* with pre-indexed C*initial*.

Indexing-based Update. In this update setup, we incorporate the new corpus to the retrieval models by updating only the index without any parameter updates. Since we utilize a retrieval model trained in StaticIR, this updating approach is quick and straightforward. We evaluate the retriever on Q*initial* and Q*new* with pre-indexed C*initial* and C*new*.

Training-based Update. In this advanced setup for update, we take the model pretrained on C*initial* and continually pretrain it on C*new*. Subsequently, we finetune it using a combination of datasets,  $R_{initial}$  and  $R'_{new}$ . Like indexing-based updates, we evaluate the updated retrieval model on Q*initial* and Q*new* with pre-indexed C*initial* and C*new*.

#### <span id="page-3-0"></span>3.2 Benchmark

To evaluate the performance of retrieval models in a dynamic scenario, we employ STREAM-INGQA [\(Liška et al.,](#page-10-14) [2022\)](#page-10-14) designed for temporal knowledge updates. Unlike other benchmarks on temporal retrieval [\(Deveaud et al.,](#page-9-13) [2023\)](#page-9-13), StreamingQA is the only benchmark that includes both the timestamps of question-asked time and document publication dates, which is critical for considering the temporal dynamics. The temporal information is prepended to the text in the format of '*Today is Wednesday, May 6, 2020.* [question]' for question, and '*Thursday, February 7, 2019.* [document text]' for documents [\(Liška et al.,](#page-10-14) [2022\)](#page-10-14). The dataset covers 14 years and includes over 50 million passages, more than double the content size of Wikipedia used in DPR (21M).

Temporal Information. StreamingQA includes a corpus spanning from 2007 to 2020, along with a supervised dataset of question-document pairs covering the years 2007 to 2019. In our work, C*initial* comprises articles from 2007 to 2019 and C*new* consists of articles from 2020. Regarding the supervised dataset, the questions in R*initial* are asked in the time range of 2007 to 2019 to query articles from this period, and the questions in  $R'_{new}$  are asked in 2020 to query articles from 2020. Notably, all questions in the evaluation dataset Q*initial* and  $Q_{new}$  are asked in 2020, beginning with the prefix 'Today is [Day], [Month Date] , 2020', although they query articles from 2007 to 2019 (C*initial*) and 2020 (C*new*), respectively.

**Pseudo-Queries for**  $R'_{new}$ . The original StreamingQA dataset lacks query-document pairs from C*new*, making it challenging to explore trainingbased updates including supervised learning. To address this, we generate additional 90,000 queries from C*new*. To make this, we employ a trained model similar to the one used in docT5query<sup>[1](#page-3-1)</sup> for query generation. The size of this additional dataset  $R'_{new}$  is similar to that of  $R_{initial}$ . Examples of generated dataset are in Table [11](#page-16-0) and details of the query construction are explained in Appendix [A.5.](#page-13-0)

# 4 Experimental setup

# 4.1 Retrieval Models

Generative Retrieval (GR). We select SEAL [\(Bevilacqua et al.,](#page-8-0) [2022\)](#page-8-0) that employs the substrings in a passage as document identifiers and MINDER [\(Li et al.,](#page-10-6) [2023b\)](#page-10-6) and LTRGR [\(Li et al.,](#page-10-9) [2023a\)](#page-10-9) that uses a combination of the titles, substrings, and pseudo-queries as identifiers. We choose these three models as baselines since they can more effectively update individual pieces of knowledge by autoregressively generating tokens in context using the FM-index. The FM-index for constrained decoding provides complete information about the documents with compression, allowing for the generation of a specific n-gram in the documents for each decoding step [\(Bevilacqua](#page-8-0) [et al.,](#page-8-0) [2022\)](#page-8-0). Conversely, other GR models that use document IDs as identifiers [\(Tay et al.,](#page-10-2) [2022;](#page-10-2) [Wang et al.,](#page-10-1) [2022\)](#page-10-1) store contexts as sequential vectors mapped to document IDs, which introduces an additional mapping step between contexts and identifiers and may potentially limit the distinctive advantages of GR. Implementation details are in Appendix [A.2.2.](#page-12-0)

Dual-Encoder (DE). We select Spider [\(Ram](#page-10-8) [et al.,](#page-10-8) [2022\)](#page-10-8) and Contriever [\(Izacard et al.,](#page-9-4) [2022\)](#page-9-4) as representative models for DE. Since our experiments include a pretraining phase to store the corpus itself, we use Spider and Contriever as baselines that focus on the *self-supervised methods*. Since these models do not include a supervised method, we use DPR [\(Karpukhin et al.,](#page-9-2) [2020a\)](#page-9-2) during a finetuning phase, and adhere to its original training scheme such as utilizing in-batch negative training. Our DE baselines, Spider (+DPR) and Contriever (+DPR), include both phases. Implementation details are in Appendix [A.2.1.](#page-12-1)

Sparse Retrieval. Although our main focus is on transformer-based semantic search models to explore corpora adaptation, we also evaluate BM25 (*Lucene*) [2](#page-3-2) , a traditional lexical matching retriever.

### <span id="page-3-3"></span>4.2 Effective Continual Pretraining of GR

When continually pretraining GR on C*new*, we employ LoRA [\(Hu et al.,](#page-9-14) [2021\)](#page-9-14) widely recognized for its training efficiency. To better target key parameters for incorporating new knowledge, we analyze which parameters undergo the most significant change during the acquisition of new knowledge. This analysis is inspired by works on model merging [\(Ansell et al.,](#page-8-1) [2023;](#page-8-1) [Wortsman et al.,](#page-11-5) [2022\)](#page-11-5). We refer to these crucial parameters in learning new knowledge as *Dynamic Parameters* (DPs).

<span id="page-3-1"></span><sup>1</sup> <https://github.com/castorini/docTTTTTquery>

<span id="page-3-2"></span><sup>2</sup> <https://github.com/castorini/pyserini>

<span id="page-4-1"></span>

Figure 2: Analysis on key parameters in acquiring new knowledge. Through this analysis, we identify the locations of the top 10% most activated parameters.

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Laver		Projection Avg num of DPs
	FC1	1.1M
FFN	FC2	77 K
	Total	1.87M
	Query	41K
<b>ATTN</b>	Key	35K
	<b>Total</b>	76K

Table 2: Average number of Dynamic Parameters (DPs), the parameters that have a large impact on acquiring new knowledge per block. It reveals that DPs are significantly more prevalent in the fully connected layer, exceeding those in the attention layer.

#### 4.4 Metric

To identify DPs, as illustrated in Figure [2,](#page-4-1) we follow these steps: (1) Pretrain the model on C*initial* as M*init*, and continually pretrain the model on C*new* with full parameters as M*new*. (2) Calculate the absolute differences in parameters between M*init* and M*new*. (3) Identify parameters that exceed the 90th percentile of these absolute differences.

DPs are twice as prevalent in the feed-forward networks (FFN) compared to the attention layer, as shown in Table [2.](#page-4-2) This result aligns well with previous studies on the memorization of factual knowledge [\(Geva et al.,](#page-9-15) [2021;](#page-9-15) [Dai et al.,](#page-9-16) [2022\)](#page-9-16). Consequently, based on this analysis, we apply LoRA on FFN in addition to the attention layer during the continual pretraining phase. The performance is noticeably improved compared to fullparameters and conventional LoRA. In contrast to GR, DE experiences significant degradation when this approach is applied (See Appendix [A.6\)](#page-14-0); thus, we pretrain DE with full parameters.

#### 4.3 Evaluation

We assess retrieval performance with three evaluation dataset, Q*initial*, Q*new*, and Q*total*. First, we evaluate the retention of initial knowledge by 2,000 questions that should be answered from the C*initial*. Second, we assess the acquisition of new knowledge by 3,000 questions that should be answered from C*new*. Both sets of 5,000 questions are randomly extracted from the entire evaluation data of StreamingQA, maintaining the ratio (16.60%) of each question type for initial knowledge and new knowledge. Finally, we assess total performance by calculating the unweighted average of the above two performances. Furthermore, we measure computational and memory efficiency in Section [6.](#page-7-0)

We assess the retrieval performance and efficiency. For retrieval performance, we use  $Hits@5$ , which measures whether the gold-standard passages is included in the top 5 retrieved passages. Most document search systems do not limit results to one or provide too many; we consider 5 to be a reasonable number for assessment. Additionally, we report full results of  $Hits@k$  and  $AnswerRecall@k$  $(k \in \{5, 10, 50, 100\})$  in Appendix [A.8.](#page-15-0) Answer Recall measures whether the retrieved passage contains an exact lexical match for the gold-standard answer. For retrieval efficiency, we measure inference FLOPs, indexing time, inference latency, and storage footprint in Section [6.](#page-7-0)

### 5 Results and Analysis

In this section, we showcase the adaptability and temporal robustness of GR and DE and provide an analysis on the effective continual pretraining approach and utilizing  $R'_{new}$  during the trainingbased updates. We also discuss the performance of BM25 in dynamic environments.

<span id="page-4-0"></span>GR has greater adaptability in both update scenarios. We define *adaptability* as the ability of retrieval models to maintain the performance after the updates. To evaluate the adaptability, we examine the performance on  $Q_{total}$  in each update scenario and compare it with that on  $Q_{initial}$  in StaticIR (See Table [3\)](#page-5-0).

First, *in indexing-based updates, GR exhibits 4% greater adaptability to new corpora compared to DE*. Specifically, when we look from  $Q_{initial}$  of StaticIR to  $Q_{total}$ , GR maintains average performance, while DE demonstrates a 4% degradation on average. Second, *in training-based updates, GR*

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† For Contriever, T*online* is measured using faiss-cpu.

Spider and Contriever are further supervised using DPR.

Table 3: Results of DynamicIR. Our experiments are divided into 3 setups, (1) StaticIR, (2) Indexing-based updates, and (3) Training-based updates. For each setups, we assess the performance on  $Q_{total}$ ,  $Q_{total}^{w/o}$  bias,  $Q_{initial}$ ,  $Q_{new}$ , and  $Q_{new}^{\text{w/o bias}}$  where the bias-inducing timestamps are removed.  $Q_{new}^{\text{w/o bias}}$  is the average of  $Q_{initial}$  and  $Q_{new}^{\text{w/o bias}}$ . Efficiency is evaluated using 4 metrics on the right side. For Inference Latency, T*online* indicates the time required for query embedding and search, and T*offline* represents the time for loading the indexed corpus. We highlight the best scores in bold for each setup. Additionally, the zero-shot performance for all models is provided in Appendix [7.](#page-12-2)

*shows 11% greater adaptability to new corpora compared to DE*. Notably, GR shows a 5% average gain in performance. On the other hand, DE demonstrates a 6% degradation on average.

For DE, we extract the update score from Qw/o bias *total* instead of Q*total*. Because DE exhibits a significant inherent bias towards the lexical overlap of timestamps when evaluating Q*new*. We delve deeper into this phenomenon below.

DE shows significant bias towards temporal data. *We observe a bias in DE towards the lexical overlap of timestamps* from the unusually high performance on Q*new* not only in training-based updates (31% higher than Q*initial*) but also in *indexingbased updates* (19% higher than Q*initial*) where the models never encounter new corpora during training. This phenomenon stems from the temporal information, where all timestamps in the queries and in the documents of the evaluation dataset to be retrieved are set to *the year 2020*, introducing bias towards lexical overlap. In Table [3,](#page-5-0)  $Q_{new}^{w/o \text{ bias}}$  shows

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Figure 3: Visualization of total performance in DynamicIR. The star marks highlight the change in the gap between Q*initial* and Q*new* of DE before and after the elimination of the bias-inducing factor (timestamp).

that removing bias-inducing timestamps significantly reduces DE's performance on Q*new*, bringing it to a level similar to Q*initial*. See the change in

<span id="page-6-1"></span>

Model	<b>Continual Pretraining</b>	$Q_{total}$	$Q_{initial}$	$Q_{new}$
	ours (attn+ffn)	41.01%	$38.25\%$	$43.77\%$
$SEAL$ GR	convent. $L_0RA$	31.69%	32.00%	31.37%
	full params	29.92%	28.50%	31.33%
MINDER <sub>GR</sub>	ours (attn+ffn)	41.54%	38.85%	44.23%
	convent. $L_0RA$	38.83%	37.60%	$40.07\%$
	full params	38.83%	35.30%	42.37%

Table 4: Analysis of the effectiveness of our continual pretraining approach targeting the key parameters. The results indicate hit@5 scores for training-based updates on activating full parameters (557M params), convent. LoRA (2.4M params), and our approach (3.1M params).

the gap between Q*initial* (red star) and Q*new* (blue star) before and after removing timestamps in Figure [3.](#page-5-1) For more detailed explanations, refer to Appendix [A.4.](#page-13-1)

<span id="page-6-0"></span>GR better acquires new corpora even without parameter updates. We assess the ability to acquire new knowledge through Q*new* in both update scenarios. For DE, we consider the performance of  $Q_{new}^{w/o}$  bias instead of  $Q_{new}$ , reflecting the impact of bias as described above.

In indexing-based updates, Table [3](#page-5-0) demonstrates that *GR excels in retrieving new knowledge even without parameter updates*. GR achieves a 2% higher score in Q*new* compared to Q*initial* of StaticIR. Conversely, DE shows a 2% average degradation in  $Q_{new}^{\text{w/o bias}}$ . Similarly, for training-based updates, while DE decreases by  $2-5\%$  in  $Q_{new}^{w/o}$  bias, GR gains  $6-9\%$  in  $Q_{new}$  and  $2-5\%$  in  $Q_{new}^{w/o}$  bias.

GR better preserves initial knowledge. To assess the ability to retain initial knowledge, we analyze the performance on Q*initial* in both update setups, comparing it with Q*initial* in StaticIR.

For GR, Table [3](#page-5-0) shows no signs of forgetting; rather, training on new corpora enhances performance on Q*initial* of training-based updates. This phenomenon can be explained by our results that selectively activating the well-targeted parameters for updates mitigates forgetting. Detailed explanations are described in the following analysis section. Additionally, unlike DE, which stores documents into single vectors, GR learns to generate tokens within documents directly, leveraging the power of autoregressive language models. This approach enables GR to access and update specific knowledge, thereby helping retain more unchanged knowledge.

On the other hand, DE shows a  $3 - 4\%$  degradation in indexing-based updates and a  $0 - 8\%$ 

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Model	$R'_{new}$	$Q_{total}$	$Q_{initial}$	$Q_{new}$
	with	36.99%	21.75%	52.23%
Spider <sub>DE</sub>	$w/\alpha$	35.77%	29.90%	41.63%
	with	$23.85\%$	8.20%	39.50%
Contriever $DE$	$w/\alpha$	19.12%	13.90%	24.33%
	with	41.01%	38.25%	43.77%
SEAL GR	$w/\alpha$	37.91%	37.25%	38.90%
	with	41.54%	38.85%	44.23%
MINDER <sub>GR</sub>	$w/\alpha$	37.80%	38.15%	40.03%

Table 5: Analysis the effectiveness of  $R'_{new}$  with pseudoqueries in training-based updates. In this table, w/o refers to only using R*initial* during finetuning. The results in hit  $@5$  show that it is effective to include the  $R'_{new}$ .

decrease in training-based updates. Consequently, our observation indicates that *DE tends to forget initial knowledge more during updates compared to GR.*

Applying LoRA to FFN benefits GR in both preservation and acquisition of knowledge. Based on the analysis described in Section [4.2,](#page-3-3) our continual pretraining approach significantly improves the adaptability of GR. As shown in Table [4,](#page-6-1) activating FFN modules, which include many key parameters for adapting to new knowledge, helps not only Q*new* but also Q*initial*, compared to using conventional LoRA (convent. LoRA) and full parameters (full params). Specifically, targeting the key parameters helps mitigate the forgetting issue by updating sparsely, which surprisingly is more effective than the conventional approach of updating fewer parameters in LoRA.

Additionally, it maximizes the acquisition of new knowledge even more than training with full parameters in SEAL. Since our evaluation set consists mostly of *unseen data* during training and generalization ability is crucially assessed rather than memorization, well-targeted sparse updates outperform full parameter updates by reducing overfitting and improving generalizability.

 $R'_{new}$  enhances the overall performance of GR. We analyze the effectiveness of utilizing  $R'_{new}$ , query-document pairs where the queries are pseudo-queries generated from C*new* using docT5query. In addition to the results of related works [\(Mehta et al.,](#page-10-15) [2022;](#page-10-15) [Zhuang et al.,](#page-11-6) [2023;](#page-11-6) [Lin](#page-10-16) [and Ma,](#page-10-16) [2021;](#page-10-16) [Mallia et al.,](#page-10-17) [2021;](#page-10-17) [Nogueira and](#page-10-18) [Lin,](#page-10-18) [2019;](#page-10-18) [Wang et al.,](#page-10-1) [2022;](#page-10-1) [Pradeep et al.,](#page-10-19) [2023\)](#page-10-19), our findings on dynamic corpora demonstrate that employing  $R'_{new}$  generated from new corpora is

<span id="page-7-1"></span>

	Performance $(hit@5)$								
Evaluation	$Q_{total}$	$Q_{total}^{\text{w/o bias}}$	$Q_{initial}$	$Q_{new}$	$Q_{\mathit{new}}^{\text{w/o bias}}$				
[Static] BM25			43.35%						
[Update] BM25 $43.54\%$ $41.19\%$ 37.25%				49.83%	45.13%				

Table 6: Performance of BM25 in StaticIR and Indexingbased updates. Through these results, we see the bias of temporal information via difference between Q*new* and  $Q_{new}^{w/o\ bias}$  and adaptability through a comparison between [Static]  $Q_{initial}$  and [Update]  $Q_{total}^{w/o}$  bias which is unweighted average of  $Q_{initial}$  and  $Q_{new}^{w/o}$  bias.

beneficial for retrieving not only new knowledge but also initial knowledge for GR (See Table [5\)](#page-6-2).

We believe experiencing benefits on Q*initial* despite training with  $R'_{new}$  is also attributed to the utilization of language model attributes for learning language distributions. Conversely, in the case of DE, we observe a 5 – 8% degradation in Q*initial*, indicating forgetting. Moreover, since DE has a bias towards timestamps, if we explore  $Q_{new}^{w/o}$  bias instead of  $Q_{new}$ ,  $R'_{new}$  would not help DE at all.

BM25 shows temporal bias and limited adaptability. We investigate how BM25 performs in dynamic corpora with temporal information. Notably, BM25 surpasses transformer-based retrieval models on the StreamingQA benchmark, in contrast to its performance [\(Chen et al.,](#page-9-17) [2022;](#page-9-17) [Wang](#page-11-7) [et al.,](#page-11-7) [2023;](#page-11-7) [Li et al.,](#page-10-6) [2023b,](#page-10-6)[a;](#page-10-9) [Bevilacqua et al.,](#page-8-0) [2022;](#page-8-0) [Zeng et al.,](#page-11-2) [2023\)](#page-11-2) on other benchmarks such as KILT [\(Petroni et al.,](#page-10-20) [2021\)](#page-10-20), MSMARCO [\(Bajaj](#page-8-2) [et al.,](#page-8-2) [2018\)](#page-8-2) and NaturalQuestions [\(Kwiatkowski](#page-9-18) [et al.,](#page-9-18) [2019\)](#page-9-18) (See Table [6\)](#page-7-1). However, BM25 exhibits limitations in handling temporal data, with a 4.7% degradation observed when timestamps are removed  $(Q_{new} \rightarrow Q_{new}^{w/o \, bias}$  in [Update]), indicating a bias towards lexical matching of data from 2020. Furthermore, it struggles to maintain its initial performance, experiencing a 2.16% degradation when integrated with a new 6M corpus  $(Q_{initial} \text{ in } [\text{Static}] \rightarrow Q_{total}^{\text{w/o bias}} \text{ in } [\text{Update}]).$ These findings underscore the need for retrieval models to move beyond textual matching, focusing not only on semantic searching [\(Magesh et al.,](#page-10-21) [2024\)](#page-10-21) but also on adapting to evolving corpora and maintaining robustness across diverse data characteristics.

#### <span id="page-7-0"></span>6 Computation & Memory Efficiency

In this section, we provide the results of computational and memory efficiency. We use an 80G

<span id="page-7-3"></span>

Figure 4: Inference FLOPs according to the number of instances. The flops for GR on both the static and updated corpus are identical, as it maintains consistent flops regardless of the corpus size unlike DE.

#### A100 GPU.

Inference FLOPs. We analyze the inference FLOPs  $\ddot{\dagger}$  of GR and DE to assess their computational efficiency. The FLOPs function and detailed calculations are in Appendix [A.7.](#page-14-1) As shown in Table [3,](#page-5-0) our results reveal that *GR requires 2 times fewer computations per instance over DE*. Figure [4](#page-7-3) illustrates that GR offers superior efficiency as the number of instances increases. Moreover, unlike DE, which exhibits  $\mathcal{O}(N)$  complexity, where N represents the corpus size, GR maintains a constant  $\mathcal{O}(1)$  complexity.

Indexing Time. There is a difference in the concept of indexing between GR and DE. For DE, this involves embedding, which converts the corpus into representations using an encoder. In GR, indexing refers the data processing of document identifiers to constrain beam search decoding, ensuring the generation of valid identifiers. Note that we process data without applying sharding.

As shown in Table [3,](#page-5-0) our results exhibit that *GR (3.1h) requires 6 times less time than DE (20.4h) for indexing* C*initial and* C*new*. The crucial aspect of indexing is that unlike GR, DE necessitates reindexing the entire corpus each time whenever the model is updated, irrespective of the corpus update.

Inference Latency. Inference process can be divided into two stages: (1) loading a pre-indexed corpus and (2) retrieving, which includes query

<span id="page-7-2"></span><sup>‡</sup> FLOPs (Floating Point Operations) is the number of floating-point arithmetic calculations.

embedding and search. We classify the former as *offline latency* ( $T_{\text{offline}}$ ) and the latter is referred to as *online latency* (T*online*). We measure both. T*online* in Table [3](#page-5-0) is reported for a single instance.

Table [3](#page-5-0) shows *GR is 10 times faster than DE when retrieving from updated corpora for* T*offline*. Unlike DE, which stores each passage representation in vector form, GR does not need much time to load the index since it stores knowledge within its parameters. *For* T*online, however, GR is 20 times slower than DE using faiss-gpu*. Although DE requires 2 times more inference flops, it seems that the FAISS [\(Johnson et al.,](#page-9-11) [2019\)](#page-9-11) module contributes significantly to the inference speed of DE. For GR, there is potential for further speedup using techniques such as FlashAttention [\(Dao et al.,](#page-9-19) [2022;](#page-9-19) [Dao,](#page-9-20) [2023\)](#page-9-20), speculative decoding [\(Leviathan](#page-10-22) [et al.,](#page-10-22) [2023\)](#page-10-22), and a Mixture of Experts [\(Shazeer](#page-10-23) [et al.,](#page-10-23) [2017;](#page-10-23) [Lepikhin et al.,](#page-10-24) [2020;](#page-10-24) [Kudugunta et al.,](#page-9-21) [2021\)](#page-9-21).

Storage Footprint. We measure the storage footprint of the retrieval model and the pre-indexed corpus, which are required for performing retrieval.

Table [3](#page-5-0) indicates that *GR has 4 times less storage requirements over DE for updated corpora*. Notably, the memory requirements for DE are directly affected by the corpus size, as they store representations of all documents in vector form outside the retrieval model. In contrast, GR has minimal dependence on the corpus size by storing knowledge in its internal parameters.

# 7 Conclusion

In this work, we explore the practicality of GR in terms of adaptability to new corpora, temporal robustness, and inference efficiency, compared to DE. By establishing a DynamicIR setup, we showcase how retrieval models perform in dynamic environments where knowledge evolves over time, with and without parameter updates. Our findings indicate that GR better adapts to changing knowledge and less forgets by leveraging the power of language models. In terms of temporal robustness, GR retrieves information effectively regardless of data characteristics, while DE struggles with temporal information. Additionally, GR has fewer inference FLOPs, reduced indexing time, and lower memory requirements. By comprehensively exploring GR across these three aspects, we underscore its potential as an IR system.

### 8 Limitations

Our study has certain limitations. First, in the StreamingQA benchmark, all timestamps in the queries and in the documents to be retrieved from Q*new* are set to the year 2020. This matching may introduce a bias towards the lexical overlap of temporal information when evaluating the acquisition of new knowledge. For a more dynamic evaluation, it is better to consider diverse query timestamps. Second, due to the scarcity of datasets that reflect temporal updates, we rely only on StreamingQA. While this dataset comprises 50 million articles spanning 14 years, a more comprehensive assessment across various datasets is needed to generalize our findings. Third, our findings cover large-scale corpus updates, yet they raise the question of how these results apply across multiple update frequencies. Lastly, while our results highlight the numerous advantages of GR in terms of adaptability to new corpora, inference flops, and memory, our evaluation of online inference latency demonstrates that DE has a faster speed compared to GR, primarily due to the FAISS module.

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<span id="page-12-2"></span>

Model	$Q_{total}$	$Q_{initial}$	$Q_{new}$
Spider $DE$	13.28%	8.95%	17.60%
Contriever <sub>DE</sub>	18.74%	7.15%	30.33%
$SEAL$ GR	20.60%	19.80%	21.40%
MINDER <sub>GR</sub>	25.87%	25.00%	26.73%

Table 7: Zero-shot performance on updated corpora. It demonstrates the zero-shot performance in hit@5 achieved without further training from released checkpoints. Overall, it exhibits a similar trend to our models trained on StreamingQA dataset.

# A Appendix

#### A.1 Zero-shot performance of DE and GR

We conduct zero-shot experiments to assess the base performance of retrieval models on StreamingQA, utilizing Spider trained on NQ, Contriever trained on CCNet and Wikipedia, SEAL trained on KILT, and MINDER trained NQ. The results of the zero-shot experiments are presented in in Table [7.](#page-12-2)

### A.2 Implementation Details

### <span id="page-12-1"></span>A.2.1 Dual Encoder

Spider. Spider experiments are conducted using  $8\times$  A100 80GB GPUs, and our implementation setup is primarily based on Spider. SPIDER [\(Ram](#page-10-8) [et al.,](#page-10-8) [2022\)](#page-10-8) [§](#page-12-3) code. We employ the bert-largeuncased pretrained model (336M) from Hugging-Face, with fp16 enabled and weight sharing, configuring a batch size of 512 and a maximum sequence length of 240. For the pretraining stage, we run a full epoch with a learning rate of 2e-05 and a warmup of 2,000 steps. The pretraining data is made by running the spider code on the provided documents from StreamingQA. This yields 95,199,412 pretraining data from base corpus and 21,698,933 from new corpus, which are used for StaticIR and DynamicIR, respectively. It takes about 5 days for pretraining the base model and 25 hours for continual pretraining the updated model. For the finetuning stage, we run for maximum 10 epochs with learning rate of 1e-05 and warm-up of 1,000 steps with batch size of 512. We select the best checkpoint with lowest validation loss.

Contriever. Contriever experiments are done on  $4 \times$  A100 40GB GPUs. We employ bertlarge-uncased pretrained model (336M) and

follow the paper [\(Izacard et al.,](#page-9-4) [2022\)](#page-9-4) and their official codebase[¶](#page-12-4) for the implementation and hyperparameter setup. We adjust the per\_gpu batch size from 256 to 64 to fit in our gpu resource. Total step size is 110,000 for base (warmup 4,000 steps) and 16,000 (warmup 1,000 steps) for continual pretraining on *Cnew*, which is equivalent to one epoch. Learning rate is set to 1e-04. For the finetuning stage, we run contriever for maximum 10 epochs (about 8000 steps, warmup for 100 steps) with eval frequency of 200 steps and select the checkpoint with lowest eval loss. The per\_gpu batch size is set to 32. All the hyperparemeters are the same with the pretraining setup, except the ones mentioned above.

### <span id="page-12-0"></span>A.2.2 Generative Retrieval

SEAL. We employ the bart-large pre-trained model (400M) for GR and train the model in Fairseq framework for using SEAL.[\(Bevilacqua](#page-8-0) [et al.,](#page-8-0)  $2022$ <sup> $\parallel$ </sup>. Due to this context, when we utilize LoRA method, we implement the method within the Fairseq framework. For the pretraining stage of the base retrieval model in StaticIR, we generate 2 random spans and 1 full passage with the publication timestamp as input for each instance using the past corpus, resulting in 130,897,221 (130M) unsupervised data. We train the initial model on  $16\times$  A100 40GB GPUs with a batch size of 7,400 tokens and a learning rate of 6e-5. Subsequently, for the finetuning stage in StaticIR using R*initial*, we use 10 random spans as document identifiers per question, resulting in 994,020 (994K). We train this model using  $4\times$  A100 80GB GPUs with batch size of 11,000tokens and a learning rate of 6e-5. In the continual pretraining stage for the updated model in training-based updates of DynamicIR, we use 3 random spans and 1 full passage with the publication timestamp as input for each instance, utilizing the updated corpus, which results in 24,471,541 (24M) unsupervised data. We train this updated model using  $4 \times A100 80GB$  GPUs with a batch size of 11,000 tokens and a learning rate of 1e-4. Subsequently for finetuning stage in training-based update of DynimicIR using R*initial* and  $R'_{new}$ , we generate 10 random spans as passage identifiers per question, respectively, resulting in

<span id="page-12-3"></span><sup>§</sup> <https://github.com/oriram/spider>

<span id="page-12-4"></span><sup>¶</sup> [https://github.com/facebookresearch/](https://github.com/facebookresearch/contriever) [contriever](https://github.com/facebookresearch/contriever)

<span id="page-12-5"></span><sup>||</sup><https://github.com/facebookresearch/SEAL>

<span id="page-13-2"></span>

MINDER GR	$Q_{total}$	$Q_{initial}$	$Q_{new}$
w/o title	41.54%	38.85%	$44.23\%$
with pseudo-title	40.86%	38.15%	$43.57\%$

Table 8: MINDER with and without Titles as Identifiers. The results in hit  $@5$  indicate that there is little difference between the use of identifiers with and without the title.

1,894,020(1.8M) data. During inference, we set the beam size to 10.

**MINDER.** We use  $2 \times A10080GB$  GPUs for MINDER experiments. We use the pretrained model which is used for SEAL experiments, since MINDER has identical pretraining process to that of SEAL. For retrieval model of StaticIR, we create MINDER-specific data comprising of 10 spans and 5 pseudo-queries as passage identifiers per question, resulting in 1,491,030 (1.4M). For retrieval model of training-based updates in DynamicIR, we generate 10 spans and 5 pseudo-queries, resulting in 2,841,030 (2.8M) data. We run all MINDER models for maximum 10 epochs using with max token of 18,000 and a learning rate of 6e-5. During inference, we set the beam size to 10.

**LTRGR.** We use  $4 \times A10080GB$  GPUs for the learning-to-rank phase. We employ MINDER to create base models and then follow the configuration of LTRGR when learning to rank, except for setting the number of epochs and hits to 5 and 150, respectively, and omitting the title. During inference, we set the beam size to 10. When generating the training dataset for learning to rank, due to computational memory issues in processing 150 hits of our large finetuning dataset, we randomly sample 25% from  $R_{initial}$  when training initial models used for StaticIR and Indexing-based update setups. For updated models, we randomly sample 25% from the combination of  $R_{initial}$  and  $R'_{new}$ , maintaining a 1:1 ratio between them.

# A.3 Difference in the presence of Titles as Identifiers for MINDER

The original MINDER model employs three components, titles, substrings, and pseudo-queries, as its identifiers. However, as the StreamingQA dataset lacks title information, we exclude document titles when constructing the MINDER model. To investigate the impact of this omission on performance, we conduct an analysis within trainingbased updates by finetuning utilizing pseudo-titles generated by GPT-3.5. Our results demonstrate that the omission of titles, in comparison to the utilization of pseudo-titles, has a negligible impact on performance as shown in Table [8.](#page-13-2)

# <span id="page-13-1"></span>A.4 Exploration of DE's bias towards lexical overlap of timestamps

All timestamps in the queries and in the documents to be retrieved are set to the year 2020. In this context, to clarify the bias of DE towards temporal information, we finetune the models using a dataset where query dates are removed. Subsequently, we evaluate the models using an evaluation dataset where query dates are eliminated. This experiment is viable because, out of a total of 5,000 evaluation instances, only 7 cases require different documents for the same question but with different query timestamps. Through the results  $Q_{new}^{w/o}$  bias in Table [9](#page-14-2) compared to Q*new* in Table [3,](#page-5-0) we identify that the unexpectedly high performance of DE models stems from the lexical overlap with the timestamp. On the other hand, GR conducts retrievals more stably with fewer constraints on the lexical characteristics. See the change in the gap between Q*initial* and Q*new* before and after removing timestamps in Figure [3.](#page-5-1)

# <span id="page-13-0"></span>A.5 Constructing the query-document pairs from new corpus

Reflecting the original evaluation dataset's distribution which balanced similar proportions of new  $(2020)$  and base  $(2007 – 2019)$  data, we replicate this distribution in our query generation based on new corpus. We randomly selected 90,000 passages from the 6 million 2020 passages. Subsequently, we finetuned a T5-base model on the query-document pairs from StreamingQA's base corpus, applying a hyperparameter configuration similar to docT5 query generation, feeding dateprefixed passages as input and producing dateprefixed queries as output. The training process comprises three epochs, with each taking roughly 45 minutes on an NVIDIA A6000 GPU. We then use the trained T5 model to generate one pseudoquery for each of the 90,000 selected passages, a process lasting approximately 90 minutes. Ensuring alignment with our study's temporal focus, we verify that the date information in the generated queries corresponded to 2020. Following a manual adjustment to ensure the queries are asked in 2020, we assemble the queries and corresponding

<span id="page-14-2"></span>

		Indexing-based updates	Training-based updates			
w/o timestamp	$QinitialW/O bias$	$Q_{new}^{\text{w/o bias}}$	$Q_{initial}^{\text{w/o bias}}$	$Q_{new}^{\text{w/o bias}}$		
Spider $_{DE}$	18.90%	$17.40\%$	18.90%	$17.40\%$		
Contriever <sub>DE</sub>	6.25%	$8.27\%$	9.85%	$11.43\%$		
$SEAL$ GR	35.35%	37.50%	35.30%	39.53%		
MINDER <sub>GR</sub>	36.85%	39.47%	38.45%	43.57%		

Table 9: Ablation Study on the bias towards temporal information. DE shows a lexical bias toward timestamps on  $Q_{new}$  where all queries are asked in 2020 and the gold documents are published also in 2020. When removing the timestamp of query, the performance drastically drops, while GR does not exhibit noticeable changes.

<span id="page-14-3"></span>

Spider $_{\rm DF}$	$Q_{total}$	$Q_{initial}$	$Q_{new}$
Full parameters	36.99%	21.75%	52.23%
LoRA	26.44%	$10.05\%$	42.83%

Table 10: Spider with and without LoRA when pretraining on  $C_{new}$ . The results in hit  $@5$  show that DE achieves higher performance when pretraining with full parameters not to apply LoRA

documents into an additional finetuning dataset for the retrieval models, a process that takes about four hours in total. Examples of the finetuning dataset are in Table [11.](#page-16-0)

#### <span id="page-14-0"></span>A.6 Application of LoRA on DE

Unlike GR, LoRA does not improve the retrieval performance of DE. As shown in Table [10,](#page-14-3) it is evident that DE achieves higher performance when pretraining on C*new* with the full parameters rather than using LoRA. The degradation in hit $@5$  is noticeable not only in Q*new* but also in Q*initial*, indicating that the application of LoRA is not beneficial for both retaining initial knowledge and acquiring new knowledge.

#### <span id="page-14-1"></span>A.7 Calculation Details of Inference FLOPs

We approximately measure FLOPs per instance using DE*flops* for DE and GR*flops* for GR defined as below. We use the notation  $IP$  for inner product, FW for a forward pass, and Beam for beam search.

$$
DE_{flops} = FW_{flops}^{enc} + C \frac{1}{n_{cluster}} n_{nearest} IP_{flops}
$$
  
\n
$$
GR_{flops} = FW_{flops}^{enc} + LBeam_{flops}
$$
  
\n
$$
IP_{flops} = d_{model} + (d_{model} - 1)
$$
  
\n
$$
FW_{flops} = 2N + 2n_{layer}n_{ctx}d_{attn}
$$
  
\n
$$
Beam_{flops} = (FW_{flops}^{dec} + IP_{flops}|V| \log |V|)B
$$

where  $C$  is the corpus size,  $L$  is the sequence length of output, d*model* is dimension of hidden vector, N is the model size,  $n_{layer}$  is the number of layers,  $n_{ctx}$  is the length of input context,  $d_{attn}$  is the dimension of attention,  $V$  is the vocab size, and  $B$ is the beam size.  $n_{\text{cluster}}$  is the total number of centeroids (clusters),  $n_{\text{nearest}}$  is the number of clusters to search,  $|V| \log |V|$  is the complexity of obtaining possible token successors with FM-index [\(Bevilac](#page-8-0)[qua et al.,](#page-8-0) [2022\)](#page-8-0). We calculate FW*flops* for the transformer based on Table 1 in [\(Kaplan et al.,](#page-9-5) [2020\)](#page-9-5) and apply it to the encoder and decoder.

We provide an approximate calculation of inference flops for DE and GR on updated corpora. For DE using the bert-large-uncased, its configurations are N=336M, d*model*=1,024, n*layer*=24,  $n_{\text{ctx}} = 512$ ,  $n_{\text{nearest}} = 1$ ,  $n_{\text{cluster}} = 1$ , and  $C = 50$ M. For query embedding, FW*flops* is 697M, and for searching,  $C \times IP_{\text{flows}}$  is 102B. The total inference flops  $(DE<sub>flops</sub>)$  amount to approximately  $102B + 697M$  $\approx$  102.7B. For GR using the bart-large, its configurations are N=400M, d*model*=1,024, n*layer*=12, n*ctx*=1,024, V=50,265, L=10, and B=10. For the encoding process, FW*flops* is 425M, and for the decoding process, FW*flops* is 42.5B. The total inference flops (GR*flops*) amount to approximately  $425M + 42.5B \approx 43B$ .

Note that for DE, we employ the exhaustive (brute-force) search method adopted by our baselines. Some models can employ approximate

search techniques, such as clustering, introducing a trade-off between speed and accuracy as they conduct exhaustive searches within nearby clusters.

# <span id="page-15-0"></span>A.8 Full performance on Hit and Answer Recall

We present the full results of evaluating the performance of DE and GR in both StaticIR and DynamicIR (indexing-based updates and training-based updates). We employ Hit@N and Answer Recall@N metrics, where N is set to 5, 10, 50, and 100, to assess retrieval performance. The results are in Table [12](#page-17-0) and Table [13](#page-17-1) for Hit and Answer Recall, respectively.

<span id="page-16-0"></span>

Table 11: Examples of Finetuning dataset  $R'_{new}$  created by docT5.

<span id="page-17-0"></span>

			hit $@5$			hit $@10$			hit $@50$			hit@100		
Model	Method	Total	initial	New	Total	initial	New	Total	initial	New	Total	initial	New	
	StaticIR	19.65	19.65	$-$	25.40	25.40	$\overline{\phantom{0}}$	38.20	38.20	$\overline{\phantom{0}}$	44.50	44.50	$\overline{\phantom{0}}$	
Spider	<b>Index-based Update</b>	24.82	15.60	34.03	30.67	20.20	41.13	44.92	32.80	57.03	51.28	38.45	64.10	
	Train-based Update	36.99	21.75	52.23	43.74	26.95	60.53	58.75	40.40	77.10	64.84	46.95	82.73	
	StaticIR	16.10	16.10	$\overline{\phantom{0}}$	20.25	20.25	$\overline{\phantom{0}}$	33.80	33.80	$\equiv$	40.90	40.90	$\overline{\phantom{0}}$	
Contriever	<b>Index-based Update</b>	21.14	13.75	28.53	25.17	17.35	36.90	39.44	29.45	54.43	46.26	35.65	62.17	
	Train-based Update	23.85	8.20	39.50	29.26	10.55	47.97	43.66	20.35	66.97	49.64	25.35	73.93	
	StaticIR	34.95	34.95	$\qquad \qquad$	41.80	41.80	$\overline{\phantom{0}}$	57.25	57.25		63.10	63.10	$\overline{\phantom{0}}$	
<b>SEAL</b>	<b>Index-based Update</b>	33.13	32.75	33.50	39.64	38.90	40.37	54.14	54.50	53.77	59.71	60.55	58.87	
	Train-based Update	41.01	38.25	43.77	47.99	45.30	50.67	62.90	60.20	65.60	67.79	65.00	70.57	
	StaticIR	37.90	37.90	$\overline{\phantom{0}}$	45.00	45.00		59.60	59.60	$\overline{\phantom{0}}$	64.00	64.00	$\overline{\phantom{0}}$	
<b>MINDER</b>	<b>Index-based Update</b>	38.68	37.65	39.70	45.27	44.40	46.13	60.87	60.60	61.13	66.13	66.35	65.90	
	Train-based Update	41.54	38.85	44.23	48.29	45.60	50.97	63.12	60.80	65.43	68.43	66.25	70.60	

Table 12: Full results on the Hit of DE and GR.

<span id="page-17-1"></span>

				answer recall $@5$ answer recall $@10$		answer recall $@50$				answer recall $@100$			
Model	Method	Total	initial	New	Total	initial	New	Total	initial	New	Total	initial	<b>New</b>
	StaticIR	37.55	37.55	$\equiv$	47.45	47.45		67.65	67.65	$\equiv$	74.80	74.80	$\overline{\phantom{0}}$
Spider	<b>Index-based Update</b>	44.24	33.45	55.03	52.93	41.50	64.37	70.77	61.70	79.83	76.68	69.20	84.17
	Train-based Update	55.79	41.05	70.53	64.32	49.90	78.73	79.25	68.90	89.60	83.63	75.50	91.77
	StaticIR	28.90	28.90	-	37.60	37.60		60.20	60.20	$\overline{\phantom{0}}$	68.25	68.25	$\overline{\phantom{0}}$
Contriever	<b>Index-based Update</b>	31.34	25.15	40.63	41.84	34.80	52.40	63.05	55.15	74.90	70.98	64.30	81.00
	Train-based Update	37.14	20.15	54.13	46.54	28.15	64.93	66.21	48.65	83.77	72.33	56.85	87.80
	StaticIR	58.25	58.25	$\overline{\phantom{0}}$	66.30	66.30	-	80.45	80.45	$\equiv$	83.60	83.60	$\overline{\phantom{0}}$
SEAL	<b>Index-based Update</b>	55.85	56.80	54.90	63.68	64.45	62.90	77.58	78.95	76.20	81.49	82.75	80.23
	Train-based Update	62.44	59.95	64.93	70.25	68.10	72.40	81.65	80.30	83.00	85.02	84.10	85.93
	StaticIR	59.50	59.50	$\equiv$	68.10	68.10	$\overline{\phantom{0}}$	80.35	80.35	$\overline{\phantom{0}}$	83.75	83.75	۳
<b>MINDER</b>	<b>Index-based Update</b>	54.23	54.45	54.00	62.96	63.75	62.17	76.54	78.00	75.07	79.79	81.20	78.37
	Train-based Update	56.74	55.35	58.13	64.45	63.70	65.20	77.19	77.40	76.97	80.34	80.50	80.17

Table 13: Full results on the Answer Recall of DE and GR.