Stochastic Fine-Tuning of Language Models Using Masked Gradients

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Abstract

Large Language Models (LLMs) have emerged as the dominant paradigm in Natural Language Processing owing to their remarkable performance across various target tasks. However, naively fine-tuning them for specific downstream tasks often requires updating a vast number of parameters, resulting in high computational costs and overfitting when training data is limited. In this paper, we propose a novel approach, called *Stochastic Tuning*, that addresses these challenges by selectively updating a small subset of parameters in each step of the tuning process. Our approach is characterized by its customization of updates based on task-specific partial gradients with respect to stochastic sub-networks. The advantage of Stochastic Tuning over existing solutions lies in its ability to consider both parameter weights as well as forward values which guarantees a context-sensitive fine-tuning. Our experiments demonstrate that Stochastic Tuning outperforms existing lightweight fine-tuning methods, improving average performance by over two points on RoBERTa across several tasks in the GLUE benchmark while updating merely 0.08% of the model's parameters. The code for our implementation can be found at https://github.com/m-Tajari/StocTuning_LLMs.

1 Introduction and Related Work

Full fine-tuning of a pre-trained language model (PLM), a widely adopted approach in modern NLP, can be computationally expensive due to the need for updating all parameters of the model. By limiting the number of updatable parameters during the fine-tuning process, *lightweight mechanisms* reduce the computational cost by a large factor while retaining the performance. A class of lightweight methods augments models with small trainable modules. Adapters (Houlsby et al., 2019) are a prominent technique in this category. They inject a few modules into the transformer blocks, enabling comparable performance to the full fine-tuning scenario. Instead of introducing new modules, Prefix-Tuning (Li and Liang, 2021) optimizes virtual tokens, called the Prefix, which are prepended to the normal activation vectors of transformers. This method excels in low-data settings. In contrast to both these techniques, Low-Rank Adaptation (Hu et al., 2022, LoRA) avoids adding new parameters. Instead, it freezes the pre-trained ones and optimizes low-rank weights inserted into existing layers, achieving better results across various tasks. However, a drawback of all these methods is that they still require extra parameters to be injected into already large models.

According to the *lottery ticket hypothesis* (Frankle and Carbin, 2019), large transformer-based models consist of sparse sub-networks, fine-tuning of which results in competitive performance to the full fine-tuning. Various studies have tried to find optimal sub-networks which effectively transfer knowledge from a pre-trained model to different downstream tasks. Notably, BIas-Term FIne-Tuning (Ben Zaken et al., 2022, BitFit) freezes all the transformer-encoder parameters but the biases. Akbar-Tajari et al. (2022) take a step further to generalize this approach by fine-tuning similar transformer modules across layers, including LayerNorms, the expressive power of whose variants has been theoretically studied (Giannou et al., 2023). The results suggest that each transformer module can act as a winning ticket due to its ability in effectively transferring knowledge. However, while these localized fine-tuning techniques achieve acceptable performance across a variety of tasks, they rely too much on tuning predefined modules and neglect the importance of adapting parameters based on the specific requirements of the task at hand. This limitation prevents more fine-grained, task-specific parameter updates, which are

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Findings of the Association for Computational Linguistics: EACL 2024, pages 17195–17202

crucial for optimal performance on diverse downstream tasks.

Another branch of research takes a non-localized approach. These methods form sub-networks where any parameter of the model can be updated, without considering their role in different transformer modules. Influenced by Dropout (Srivastava et al., 2014), Mixout (Lee et al., 2020) regularizes fine-tuning process by randomly freezing sub-networks during sequential iterations, ignoring the significance of parameters. In a more efficient way, Dynamic Parameter Selection (Zhang et al., 2022, DPS) adaptively selects promising sub-networks composed of important parameters. Despite being dynamically selected, these sub-networks usually contain a substantial portion of model's parameters. In addition, DPS only utilizes gradients of back-propagation without directly considering the value of parameters, which have successfully been used in similar pruning strategies (Lee et al., 2019).

To mitigate these issues, we propose *Stochastic Tuning*, a method that estimates the importance of individual parameters by using gradients of back-propagation and the value of parameters together with forwarded values. Based on the importance scores, our approach stochastically forms a task-specific binary mask of a predefined size. The optimization process is then constrained to sub-networks of parameters with highest importance scores. Stochastic Tuning utilizes both task-specific data and the encoded knowledge of pre-trained models to select sub-networks. This approach enables PLMs to seamlessly adapt to diverse tasks while retaining their inherent generalization capabilities. Moreover, the randomness in our method acts as a regularizer; therefore, it can prevent models form overfitting, bringing about better generalization.

Stochastic Tuning provides the following three key advantages compared to existing solutions: (1) It utilizes the encoded knowledge of PLMs by taking into account the value of parameters in the masking process, which ultimately reduces the time required for the fine-tuning process; (2) It tailors its selection of update sub-networks to the specific downstream task at hand; and (3) The stochasticity in the selection of the binary mask ensures that less important parameters also have the opportunity to participate in the fine-tuning process, bringing about regularization effect. Our experiments on the GLUE benchmark (Wang et al., 2019) demonstrate that Stochastic Tuning yields consistent improvements of about two points on average over previous state-of-the-art fine-tuning methods.

2 Stochastic Tuning

2.1 Background: Gradient Descent

Since the forward pass of our method and the related works being compared to in this study are similar to the full fine-tuning scenario, we focus our attention on back-propagation. Let $f_{\boldsymbol{\theta}_0} : \mathcal{X} \rightarrow \mathcal{Y}$ denote the PLM with parameters $\boldsymbol{\theta}_0 \in \mathbb{R}^n$. Fine-tuning methods try to solve the following optimization problem:

$$\boldsymbol{\theta}^\star \in \underset{\boldsymbol{\theta} \in \mathbb{R}^n}{\mathbf{arg\,inf}}\ \mathcal{L}(\theta, \mathcal{D}),$$

where $\mathcal{D} \subseteq \mathcal{X} \times \mathcal{Y}$ denotes the labeled dataset used for fine-tuning and

$$\mathcal{L}(\boldsymbol{\theta}, \mathcal{D}) = \frac{1}{|\mathcal{D}|} \sum_{(\mathbf{x},y)\in\mathcal{D}} \ell\left(f_{\boldsymbol{\theta}}\left(\mathbf{x}\right), y\right)$$

represents the training loss for the model with parameters $\boldsymbol{\theta}$ and the loss function $\ell : \mathcal{Y} \times \mathcal{Y} \rightarrow \mathbb{R}_+$[1]. Stochastic Gradient Descent (SGD)[2] tries to find a solution by repeatedly updating parameters using the following rule:

$$\boldsymbol{\theta}_{t+1} = \boldsymbol{\theta}_t - \eta \frac{\partial \mathcal{L}(\boldsymbol{\theta}_t, \mathcal{B}_t)}{\partial \boldsymbol{\theta}_t} \tag{1}$$

for $t \in \{0, 1, \cdots, T-1\}$ and $\mathcal{B}_t \subseteq \mathcal{D}$. Note that Equation (1) makes use of learning rate $\eta \in \mathbb{R}_+$ and in-batch training loss: $\mathcal{L}(\boldsymbol{\theta}_t, \mathcal{B}_t)$.

Fine-tuning sub-networks corresponds to imposing a restriction on the number of parameters that are modified in each step of SGD. Under these circumstances, the optimization problem can be formulated as:

$$\boldsymbol{\theta}^\star \in \underset{\boldsymbol{\theta} \in \mathbb{R}^n}{\mathbf{arg\,inf}}\ \mathcal{L}(\boldsymbol{\theta}, \mathcal{D})$$
$$\textbf{s.t.}\ \ ||\boldsymbol{\theta}||_0 \leq k,$$

with $k \in \mathbb{R}_{++}$[3] denoting the number of parameters within the sub-network. Using indicator varibales

[1] $\mathbb{R}_+ = \{x \in \mathbb{R} : x \geq 0\}$.

[2] We employ the term SGD to denote its application in deep learning as mini-batch Stochastic Gradient Descent with momentum.

[3] $\mathbb{R}_{++} = \{x \in \mathbb{R} : x > 0\}$.

$\boldsymbol{\kappa} \in \{0,1\}^n$, the optimization problem may be expressed as:

$$\boldsymbol{\kappa}^\star, \boldsymbol{\theta}^\star \in \underset{\boldsymbol{\kappa},\boldsymbol{\theta}\in\mathbb{R}^n}{\arg\inf}\ \mathcal{L}(\boldsymbol{\kappa} \odot \boldsymbol{\theta}, \mathcal{D})$$
$$\textbf{s.t.}\ \ \boldsymbol{\kappa} \in \{0,1\}^n,\ \ ||\boldsymbol{\kappa}||_0 \leq k,$$

where $\odot$ is the *Hadamard* product. By formulating the optimization problem as shown, we can easily think of attributing some importance scores to indicator variables, which will subsequently guide our selection of sub-networks in the following subsection. To fine-tune sub-networks by SGD, we have to use partial gradients. To do so, most methods utilize a binary mask $M_t \in \{0,1\}^n$ and change the update rule as follows:

$$\boldsymbol{\theta}_{t+1} = \boldsymbol{\theta}_t - \eta \frac{\partial \mathcal{L}(\boldsymbol{\theta}_t, \mathcal{B}_t)}{\partial \boldsymbol{\theta}_t} \odot M_t. \qquad (2)$$

2.2 Methodology

Algorithm 1 presents the procedure behind Stochastic Tuning. Given a pre-trained language model with parameters $\boldsymbol{\theta}_0$ and a task-specific tuning dataset $\mathcal{D}$, Stochastic Tuning first forwards a batch of data into the model and keeps track of the average value passing through each connection.[4] At iteration t, we denote these values belonging to $\mathbb{R}^n$ with $\boldsymbol{\mu}_t$. After back-propagation, we use the importance function $\mathcal{I} : \mathbb{R}^{3n} \rightarrow \mathbb{R}^n_+$ to assign an importance score to individual parameters of the model as follows:

$$\mathcal{I}_t = \mathcal{I}(\boldsymbol{\mu}_t, \boldsymbol{\theta}_t, \mathbf{g}_t) = |\boldsymbol{\mu}_t \odot \boldsymbol{\theta}_t \odot \mathbf{g}_t|,$$

where $\mathbf{g}_t$ denotes in-batch gradients with respect to the parameters of the model: $\mathbf{g}_t = \partial \mathcal{L}(\boldsymbol{\theta}_t, \mathcal{B}_t)/\partial \boldsymbol{\theta}_t$. We use the absolute value of the elements in the Hadamard product vector as the importance scores. Subsequently, we perform a normalization layer on the element-wise squared importance scores vector ($\mathcal{I}_t^2$) to ensure that the sum of its elements equals one, hence a probability distribution. Finally, leveraging this probability distribution over the models' parameters, we stochastically select a sub-network of a pre-defined size, with elements having higher probabilities being more likely to be chosen in the selection process. The chosen sub-network corresponds uniquely to a binary mask, which is employed in Equation (2).

[4] *Passing values through a connection* denotes the value linked to the neuron from which the connection originates. It is worth noting that there exists an injective function connecting the set of any language model parameters to the set of its corresponding neural network connections.

Algorithm 1 Stochastic Tuning

Require: a PLM with parameters $\boldsymbol{\theta}_0 \in \mathbb{R}^n$, a tuning dataset $\mathcal{D}$, number of tuning steps T, learning rate η, and warm-up ratio w

Initialize:
  `model` ←CREATEMODEL
  SETPARAMETERS(`model`, $\boldsymbol{\theta}_0$)
  `optim` ←CREATEOPTIMIZER(η, w)
for $t = 0, \cdots, T-1$ **do**
  $\mathcal{B}_t \sim \mathcal{D}$ ▷ sample a mini-batch
  $\boldsymbol{\mu}_t \leftarrow$ `model`.forward($\mathcal{B}_t$) ▷ forward pass
  $\boldsymbol{\theta}_t \leftarrow$ `model`.get_params(t)
  $\mathbf{g}_t \leftarrow \mathcal{L}(\boldsymbol{\theta}_t, \mathcal{B}_t)$.backward() ▷ back-propagate
  $\mathcal{I}_t \leftarrow |\boldsymbol{\mu}_t \odot \boldsymbol{\theta}_t \odot \mathbf{g}_t|$ ▷ compute importance scores
  $M_t \sim$ norm_layer($\mathcal{I}_t$) ▷ select a sub-network
  $\mathbf{g}_t \leftarrow M_t \odot \mathbf{g}_t$ ▷ mask the gradients
  `optim`.step($\mathbf{g}_t$) ▷ update the selected sub-network
end for

Our approach enhances task-specific fine-tuning by leveraging a stochastic selection process, inspired by principles from evolutionary algorithms. This randomness in forming the binary mask mirrors natural selection, where diverse parameters are stochastically chosen to participate, fostering robustness and preventing overfitting. The computation of importance scores using a multiplicative function provides a refined strategy for selecting sub-networks, simultaneously accounting for parameters with high magnitudes, gradients, and passing values. While prior research has primarily focused on selection based solely on parameter values and gradients (Lee et al., 2020; Zhang et al., 2022), our inclusion of passing values enables a more targeted selection process, optimizing for task-specific performance.

3 Experiments

We assess the performance of Stochastic Tuning on RoBERTa$_{\text{BASE}}$ and RoBERTa$_{\text{LARGE}}$ (Liu et al., 2019).[5] Following previous work (Zhang et al., 2022; Akbar-Tajari et al., 2022; Ben Zaken et al., 2022), we undertake an extensive set of experiments on six datasets from the GLUE benchmark. To provide a thorough analysis, we report the average and standard deviation of the results obtained

[5] We conducted all experiments using two NVIDIA RTX 6000-24G GPUs for approximately 376 hours.

Model	Method	%Updated	CoLA	SST-2	MRPC	STS-B	QNLI	RTE	*Avg.*
RoBERTa$_{\text{BASE}}$	*Full-FT*	100.0%	62.94±0.7	94.06±0.2	92.52±0.4	90.81±0.1	91.76±0.1	77.38±1.5	84.91
	DPS$_{\text{Mix}}$	50-90%	62.45±1.3	94.08±0.2	91.60±0.7	90.83±0.1	91.72±0.1	75.09±1.9	84.30
	Multi-Head	∼ 23%	62.75±1.5	**94.87±0.3**	92.21±0.3	90.92±0.1	92.68±0.1	76.41±0.9	84.97
	BitFit	0.08%	57.92±1.5	92.82±0.1	91.61±0.4	90.20±0.1	87.49±0.2	73.77±3.0	82.30
	Stoc$_{\text{Tuning}}$	0.08%	62.97±0.9	94.70±0.2	91.98±0.6	**91.10±0.3**	91.93±0.2	**78.65±1.6**	85.22
	Top$_{\text{Tuning}}$	0.08%	**63.40±1.4**	93.78±0.1	**92.56±0.1**	91.02±0.2	**92.85±0.1**	78.34±0.9	**85.33**
	Random	0.08%	55.88±0.9	93.80±0.2	91.17±0.6	89.85±0.1	90.67±0.1	69.92±2.0	81.88
	Frozen	0.00%	18.95±2.2	82.94±0.3	83.22±0.1	57.95±0.7	68.34±0.1	58.48±0.5	61.65
RoBERTa$_{\text{LARGE}}$	*Full-FT*	100.0%	63.23±5.8	96.09±0.2	93.50±0.4	92.09±0.2	94.47±0.1	83.35±3.1	87.12
	DPS$_{\text{Mix}}$	50-90%	68.34±1.3	96.12±0.2	92.47±0.8	92.09±0.3	94.53±0.1	84.48±3.1	88.00
	Multi-Head	∼ 28%	67.56±1.4	**96.26±0.1**	93.09±0.7	92.37±0.2	94.69±0.1	83.51±2.2	87.91
	BitFit	0.08%	67.53±0.9	95.23±0.1	91.75±0.5	91.72±0.1	93.10±0.1	80.27±1.9	86.60
	Stoc$_{\text{Tuning}}$	0.08%	**68.45±0.8**	96.25±0.1	93.15±0.8	**92.41±0.3**	94.63±0.1	**84.71±2.1**	**88.27**
	Top$_{\text{Tuning}}$	0.08%	68.34±1.4	95.60±0.3	**93.72±1.0**	92.19±0.1	**94.77±0.1**	83.37±2.4	88.00
	Random	0.08%	64.45±1.4	95.72±0.2	92.30±0.6	91.60±0.1	93.68±0.0	73.43±2.6	85.20
	Frozen	0.00%	26.47±3.6	86.04±0.3	82.86±0.2	68.33±1.1	72.45±0.3	65.44±2.4	66.93

Table 1: The performance comparison of RoBERTa$_{\text{BASE}}$ and RoBERTa$_{\text{LARGE}}$ across six tasks sourced from the GLUE benchmark, utilizing a range of fine-tuning techniques. Evaluation metrics encompass Matthew's correlation for the CoLA task, F1 score for MRPC, Spearman's correlation for STS-B, and Accuracy for the remaining tasks. The table highlights the best and second-best results achieved for each individual task, shedding light on the efficacy of different fine-tuning approaches.

from seven models trained with distinct random seeds. We compare a range of fine-tuning methods for language models, including but not limited to **DPS$_{\text{Mix}}$** (Zhang et al., 2022), which dynamically selects task-relevant sub-networks; **Multi-Head** (Akbar-Tajari et al., 2022), which fine-tunes only attention module parameters; and **BitFit** (Ben Zaken et al., 2022), which updates only the bias terms. Detailed information on datasets and prior methods is provided in Appendices.

3.1 Experimental Setup

We opted for roberta-base and roberta-large, which are readily available in the HuggingFace library for PyTorch (Wolf et al., 2020; Paszke et al., 2019). To handle sequences of varying lengths, we employ a dynamic padding technique to set the maximum input length to 128 and apply a longest-first truncation strategy, facilitating efficient processing of the sequences.

During the fine-tuning process, we employ a batch size of 16 and utilize the AdamW (Loshchilov and Hutter, 2019) optimizer with an epsilon value set to 1e-6, incorporating a linear increase in the learning rate over the initial 10% of steps followed by a linear decay to zero. Our hyperparameter tuning is solely focused on selecting the learning rate from {1e-5, 3e-5, 1e-4, 3e-4, 1e-3, 3e-3}, ensuring a fair comparison with previous work.

3.2 Results

Table 1 reports the results for RoBERTa$_{\text{BASE}}$ and RoBERTa$_{\text{LARGE}}$, where *Full-FT* represents the full fine-tuning scenario, and *Frozen* indicates the method that freezes all the model parameters except for the classification head. To ensure a fair comparison, the size of the selected sub-networks

in Stochastic Tuning ($\text{Stoc}_{\text{Tuning}}$) was matched to the number of tunable parameters in BitFit. To check the regularization capabilities of our method, we also report results for Top Tuning ($\text{Top}_{\text{Tuning}}$) which forms sub-networks based on parameters with the highest importance scores (instead of selecting them stochastically as in our method). As a baseline, we also report results for Random Tuning (Random) which forms sub-networks by selecting parameters uniformly at random.

Overall, both $\text{Top}_{\text{Tuning}}$ and $\text{Stoc}_{\text{Tuning}}$ outperform the *Full-FT* baseline by using a very small fraction of the parameters. There is also a consistent improvement over DPS_{Mix} and Multi-Head despite using significantly fewer parameters in the optimization process. The improvement comes from the stable performance of these techniques across different datasets ($\text{Stoc}_{\text{Tuning}}$ is consistently among the top-2 on all the datasets). Compared to BitFit, our approach provides an improvement of over two points on average. Among the two techniques, the $\text{Stoc}_{\text{Tuning}}$ shows to be more effective in most tasks. This proves our assumption that the stochastic selection of parameters can bring about regularization effect. Conclusions are consistent across both $\text{RoBERTa}_{\text{BASE}}$ and $\text{RoBERTa}_{\text{LARGE}}$, with results being generally better for the latter model.

4 Conclusions

We introduce *Stochastic Tuning*, a novel approach for highly efficient fine-tuning of pre-trained language models (PLMs). By utilizing masked gradients to update stochastic sub-networks, our method outperforms previous state-of-the-art fine-tuning techniques in terms of overall performance across multiple GLUE tasks. Notably, we achieve this improvement by updating parameters solely within a small sub-network during each iteration, resulting in substantial computational cost reduction. The inherent randomness in our method acts as a form of regularization, effectively mitigating overfitting and consistently promoting better generalization. Our results demonstrate the significant performance gains achieved with Stochastic Tuning on two distinct PLMs. We anticipate that Stochastic Tuning of PLMs holds promise for a wider range of application scenarios like multimodal model fine-tuning (Liu et al., 2023), leaving room for further exploration for future research endeavors. In line with the methodological choices of previous work, our research did not include any generative models. However, it is worth noting that future studies could broaden the scope of comparative analysis by incorporating fine-tuning approaches using generative models such as GPT (Radford and Narasimhan, 2018), BART (Lewis et al., 2019), and T5 (Raffel et al., 2020). Such an expansion would offer a more comprehensive understanding of the capabilities and trade-offs of different model architectures in natural language processing tasks.

Limitations

Considering the constraints of computational resources, our study focused on RoBERTa models and limited the analysis to the six smallest tasks from the GLUE benchmark. We encountered an additional limitation in PyTorch's lack of native support for random choice from large sets, which necessitated employing NumPy (Harris et al., 2020). This workaround resulted in performance degradation and compromised the overall efficiency of GPU utilization.

Acknowledgment

We would like to thank the anonymous reviewers for their valuable feedback during the review process. Their constructive comments have greatly helped in refining and improving the quality of this work.

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A The General Language Understanding Evaluation (GLUE) benchmark

Our experiments encompasses a range of tasks: linguistic acceptability (Warstadt et al., 2019, CoLA), sentiment prediction (Socher et al., 2013, SST-2), paraphrase detection (Dolan and Brockett, 2005, MRPC), sentence similarity (Cer et al., 2017, STS-B), and natural language inference (Wang et al., 2019; Demszky et al., 2018; Dagan et al., 2005, QNLI, RTE). Given the restricted online submission quota for the test set, we adhere to the approach followed by several previous studies: fine-tuning on the training data and reporting results based on the development sets (Zhang et al., 2022; Dodge et al., 2020; Aghajanyan et al., 2021; Zhang et al., 2021; Fu et al., 2023).

CoLA. (Warstadt et al., 2019) The **C**orpus **o**f **L**inguistic **A**cceptability dataset comprises English sentences annotated for grammatical acceptability, using the Matthews correlation coefficient as the evaluation metric on an unbalanced binary classification task. The performance is reported on the combined in-domain and out-of-domain sections of the standard dev set.

SST-2. (Socher et al., 2013) The **S**tanford **S**entiment **T**reebank comprises movie review sentences with human-annotated sentiments. The objective is to predict sentence-level sentiment using a binary (positive/negative) classification.

MRPC. (Dolan and Brockett, 2005) The **M**icrosoft **R**esearch **P**araphrase **C**orpus dataset consists of sentence pairs extracted from online news sources, annotated for semantic equivalence. Due to class imbalance, F1 score is reported for evaluation.

STS-B. (Cer et al., 2017) **S**emantic **T**extual **S**imilarity **B**enchmark is a dataset consisting of sentence pairs from various sources, annotated with similarity scores. Evaluation is performed using Pearson and Spearman correlation coefficients.

QNLI. (Wang et al., 2019; Demszky et al., 2018) **Q**uestion-Answering **NLI** transforms the Stanford Question Answering Dataset into a sentence pair classification task, involving question-paragraph

pairs. The goal is to determine whether a given context sentence contains the answer to the corresponding question, removing the requirement for the model to select the exact answer while challenging the assumption that the answer is always present and that lexical overlap reliably indicates the answer.

RTE. (Dagan et al., 2005) **R**ecognizing **T**extual **E**ntailment datasets are a compilation of examples from annual challenges, combining data from multiple sources. The datasets are based on news and Wikipedia text, and are converted into a two-class split for consistency.

B Comparison Methods

Here, we provide a brief overview of the baselines to which we have compared our proposed method, highlighting key approaches in efficient fine-tuning techniques.

DPS_{Mix}. Proposed by Zhang et al. (2022), it is an enhanced variant of Child-Tuning (Xu et al., 2021), a dynamic sub-network optimization algorithm aimed at efficient fine-tuning of LLMs. By estimating the importance of parameters using empirical Fisher Information (Tu et al., 2016) from multiple mini-batches of downstream task data, DPS dynamically selects the most task-related sub-network for updating during fine-tuning, effectively addressing the issue of overfitting.

Multi-Head. Akbar-Tajari et al. (2022) freeze all the modules' parameters to their pre-trained value, except for those in the attention modules of the transformer blocks during the fine-tuning process. Their goal is to illustrate that each module functions as a winning ticket, achieving performance comparable to that of the full fine-tuning scenario.

BitFit. Proposed by Ben Zaken et al. (2022), BitFit is a baseline approach that focuses on updating only the bias components in PLMs while keeping the remaining parameters frozen. By isolating the bias components for updating, BitFit aims to explore the impact of bias adjustments on the overall performance of PLMs.