# <span id="page-0-0"></span>Natural Evolution-based Dual-Level Aggregation for Temporal Knowledge Graph Reasoning

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#### Abstract

Temporal knowledge graph (TKG) reasoning aims to predict missing facts based on a given history. Most of the existing methods unifiedly model the evolution process of different events and ignore their inherent asynchronous characteristics, resulting in suboptimal performance. To tackle this challenge, we propose a Natural Evolution-based Dual-level Aggregation framework (NEDA) for TKG reasoning. Specifically, we design a natural division strategy to group TKGs into different patches according to the occurrence of a given target entity. Then, we present a dual-level aggregation scheme to extract local representations from information within patches and then aggregate these representations with adaptive weights as the final entity representations. By assigning varying weights to different patches, this aggregation scheme can incorporate the asynchronous characteristics of event evolution for representation computation, thus enhancing prediction performance. Extensive experiments demonstrate the significant improvement of our proposed model.

## 1 Introduction

Temporal Knowledge Graphs (TKGs) are essential for effectively capturing temporal facts and hold remarkable values in diverse real-world applications, such as information retrieval [\(Li et al.,](#page-9-0) [2023\)](#page-9-0), recommendation systems [\(Wang et al.,](#page-10-0) [2018;](#page-10-0) [Cheng](#page-8-0) [et al.,](#page-8-0) [2024\)](#page-8-0), and question answering [\(Huang et al.,](#page-8-1) [2019;](#page-8-1) [Liu et al.,](#page-9-1) [2022b\)](#page-9-1). Each fact in TKGs is represented as a quadruple (*s, r, o, t*), such as (*Lebron James, join, LA Lakers, 2018*). Due to the significant practical value, reasoning over TKGs has garnered multidisciplinary research interests [\(Li](#page-9-2) [et al.,](#page-9-2) [2021;](#page-9-2) [Xu et al.,](#page-10-1) [2023;](#page-10-1) [Han et al.,](#page-8-2) [2020\)](#page-8-2).

Reasoning over TKGs primarily has two settings: interpolation and extrapolation. The former intends

to infer missing facts that happened in the past. While the extrapolation attempts to predict facts that are likely to occur in the future. In this paper, we focus on predicting future facts (i.e., extrapolation). Given a TKG from timestamp  $t_0$  to  $t_n$ , we aim to predict future facts at timestamp  $t$  with  $t > t_n$ , i.e., answer the queries such as (*Lebron James, play for, ?, 2024*).

To answer such queries, existing solutions [\(Jin](#page-9-3) [et al.,](#page-9-3) [2020;](#page-9-3) [Li et al.,](#page-9-2) [2021;](#page-9-2) [Han et al.,](#page-8-2) [2020\)](#page-8-2) have attempted to learn temporal evolutionary information by modeling structural dependencies and sequential patterns. However, they unifiedly model the evolution process of different events, ignoring evolution discrepancies (i.e., asynchronous characteristics) of events. Whereas, in the real world, event evolution is typically asynchronous. Different events often exhibit distinct life cycles (i.e., starting and ending time) and evolution types (i.e., continuous and discontinuous) [\(Jiang et al.,](#page-9-4) [2023;](#page-9-4) [Nolting et al.,](#page-9-5) [2023;](#page-9-5) [Yang et al.,](#page-10-2) [2023\)](#page-10-2). The unified processing schemes may incorporate irrelevant information into the reasoning process or overlook critical information, leading to inferior performance.

Considering asynchronous evolution for TKG reasoning is non-trivial and faces two main challenges: (1) For a given query on TKGs, identifying the correlation between the query and each snapshot is challenging. As an event typically evolves across multiple snapshots, each individual snapshot contains only limited topic information. This makes determining their relevance a complex task. However, to capture asynchronous evolution, it is vital to ascertain their correlation and further eliminate unrelated snapshots (e.g., those before the starting time) to accurately answer the query. (2) Current approaches cannot accurately model the evolution of events with long life-cycles, as they fail to efficiently capture long-term historical information. These methods primarily employ GCNs and GRUs to model event evolution, which limits

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them to capturing only a short history window to model structural dependencies and sequential patterns [\(Li et al.,](#page-9-2) [2021,](#page-9-2) [2022a\)](#page-9-6). However, short-range historical information is insufficient to encompass the entire evolution process of events with long life-cycles [\(Wang et al.,](#page-10-3) [2023;](#page-10-3) [Liang et al.,](#page-9-7) [2022\)](#page-9-7). Therefore, capturing long-range historical information is radical for effectively modeling the evolution patterns of events with varying life-cycles.

To address these issues, we propose a Natural Evolution-based Dual-level Aggregation framework (NEDA) for TKG reasoning, which can efficiently capture the asynchronous evolution characteristics of events to boost reasoning performance. This framework primarily involves the *patch construction*, which aims to group TKGs into different patches, as well as the *first-level aggregation* (i.e., intra-patch encoder) and the *second-level aggregation* (i.e., inter-patch attention), both of which intend to compute entity representations by combining the intra-patch and inter-patch information with adaptive weights.

To summarize, the major contributions can be listed as follows:

- We propose a Natural Evolution-based Dual-level Aggregation framework (NEDA) for TKG reasoning. To the best of our knowledge, we are the first to consider asynchronous characteristics of event evolution for TKG reasoning.
- We design a natural division strategy and a duallevel aggregation scheme to hierarchically aggregate event information for entity representation computation, which can efficiently capture the asynchronous evolution characteristics and longrange dependencies.
- We validate the effectiveness of NEDA on six TKG datasets for TKG reasoning and achieve state-of-the-art performance.

# 2 Preliminaries

In this section, we introduce the essential background of TKGs and formally formulate the task of TKG reasoning under the extrapolation setting. **Temporal Knowledge Graph.** Let  $E$  and  $R$  represent the set of entities and relations. A quadruple  $(s, r, o, t)$  denotes a subject entity  $s \in E$  has a relation  $r \in R$  with an object entity  $o \in E$  at timestamp t. For each quadruple  $(s, r, o, t)$ , an inverse relation quadruple  $(o, r^{-1}, s, t)$  is often added to the dataset. All quadruples (a.k.a. facts) that occur

within a period  $(t - \Delta t, t]$ , denoted as t for simplicity, constitute a knowledge graph  $G_t$ . A TKG, denoted as  $G = \{G_0, G_1, \dots, G_t\}$ , consists of a series of knowledge graphs in chronological order. Temporal Knowledge Graph Reasoning. TKG reasoning mainly falls into two settings: interpolation and extrapolation. The former aims to predict the missing historical facts, while the latter concentrates on predicting future events based on given historical facts. TKG reasoning under the extrapolation setting has two sub-tasks: entity prediction and relation prediction. In the context of extrapolation setting, entity prediction aims to infer the missing *object* entity in quadruple  $(s, r, ?, t + 1)$ or *subject* entity in quadruple  $(?, r, o, t + 1)$  based the given TKG i.e.,  $G = \{G_1, G_2, \ldots, G_t\}$ . Similarly, relation prediction tries to predict the missing relation in quadruple  $(s, ?, o, t + 1)$ . Note that the target entity refers to the entity known in the given query. For example, the given *subject* entity in query  $(s, r, ?, t + 1)$  or the given *object* entity in query  $(?, r, o, t+1)$ . In this work, we mainly focus on the task of entity prediction under the extrapolation setting.

## 3 Method

In this section, we elaborate our proposed NEDA for TKG reasoning. As illustrated in Figure [1,](#page-2-0) NEDA consists of three main modules: (1) *Patch Construction*, which divides the historical TKG for a given query into various explicit and implicit patches according to the occurrence of the target entity in this query, and further extracts connected subgraphs centered at the target entity; (2) *Intra-Patch Encoder* (i.e., the first-level aggregation), which extracts the local entity representations by exploring structural and temporal dependencies within patches. (3) *Inter-patch Attention* (i.e., the second-level aggregation), which aggregates local representations across patches to generate final entity representations. Finally, the obtained representations are adopted to compute the prediction scores for each query.

### 3.1 Patch Construction

Explicit and Implicit Patch Division. To capture asynchronous nature of event evolution, we divide the historical TKG for each query into different patches based on the occurrence of the target entity. Specifically, consecutive snapshots where the target entity appears are grouped into *explicit patches*, as

<span id="page-2-0"></span>

Figure 1: An illustration of NEDA.

the entity explicitly evolves over time in the patch. Conversely, consecutive snapshots where the entity does not appear form *implicit patches*. In implicit patches, even though the target entity is absent, its neighbors continue to evolve over time, impacting the target entity when it reappears in the future.

An example of patch division is illustrated in Figure [1](#page-2-0) (a). As shown, given a query  $q = (s, r, ?, t_7)$  where entity s appears at timestamps  $\{t_0, t_1, t_2, t_5, t_6\}$ , we group the snapshots at  $\{t_0, t_1, t_2\}$  and  $\{t_5, t_6\}$  into two explicit patches. While, the snapshots at  $\{t_3, t_4\}$  are grouped into an implicit patch.

This natural division strategy can facilitate the consideration of asynchronous evolution characteristics to enhance reasoning performance. In each explicit patch generated by this strategy, since all its snapshots contain the target entity, they can be regarded as a cohesive segment that emphasizes specific topics related to the target entity. Compared to a single snapshot, the topics can be easily extracted from the explicit patch because such cohesive segment contains more comprehensive topic information. Consequently, the topic relevance between the explicit patch and the query can be effectively determined. This allows for the elimination of unrelated patches and the highlighting of relevant ones by adjusting the patch weights according to topic relevance, thus advocating learned representations and reasoning results.

Subgraph Extraction. Given that only nearby neighbors substantially impact the evolution of the target entity [\(Liang et al.,](#page-9-7) [2022\)](#page-9-7), we extract the  $k$ -hop neighbors of the target entity within each snapshot to form a  $k$ -hop subgraph for entity representation computation. For explicit patches, since the target entity consistently appears throughout the snapshots within the patch, this phase can be considered as a cohesive segment. Consequently, we transform the subgraphs within the patch into a *connected subgraph*, in which subgraphs at different timestamps are connected into a whole graph by linking the target entity among them. For implicit patches, where the target entity is absent, we utilize the time-aware exponentially weighted sampling strategy [\(Han et al.,](#page-8-2) [2020\)](#page-8-2) to sample  $n$  important neighbors of the target entity to form subgraphs. Subsequently, we link the sampled neighbors to generate *connected subgraphs*.

## 3.2 Intra-patch Encoder

Here, we extract local representations of entities by exploiting complex structural and temporal dependencies within patches. For explicit patches, we directly compute the entity representation. For implicit patches, we calculate the representations of the neighboring entities, which serve as the context for evolution, thereby enriching the representation of the target entity in the subsequent explicit patch. In other words, the learned neighbor representations in the current implicit patch are used to initialize themselves in the next explicit patch.

To effectively exploit continuous evolution patterns within patches, we design a relation-aware GNN encoder to extract structural and temporal dependencies in patches. This encoder first extracts structural embedding by capturing the complex structural dependencies among concurrent events within the  $k$ -hop subgraph at a timestamp, and then models the temporal correlations among target entities across various timestamps within the patch. Structural Dependency. Given a connected subgraph  $G_p$  obtained by linking the k-hop subgraph sequence  $\{G_1^s, G_2^s, \cdots, G_m^s\}$ , we extract the structural dependencies in each  $G_i^s$  via the relational graph attention network [\(Chen et al.,](#page-8-3) [2024\)](#page-8-3). Supposing  $r_i$  is the representation of relation r in  $G_i^s$ , the structural-dependency aggregator is defined as follows:

$$
\alpha_{s,o}^{i,l}=\frac{\exp\left(\mathbf{a}^{\mathrm{T}}\mathrm{g}\left(\mathbf{W}_{1}^{l}\left[\mathbf{h}_{s,i}^{l},\mathbf{h}_{o,i}^{l},\mathbf{r}_{i}\right]\right)\right)}{\sum\limits_{\left(\boldsymbol{\mathrm{o}}^{\prime},\boldsymbol{r}^{\prime}\right)\in N_{G_{i}^{s}}}\exp\left(\mathbf{a}^{\mathrm{T}}\mathrm{g}\left(\mathbf{W}_{1}^{l}\left[\mathbf{h}_{s,i}^{l},\mathbf{h}_{o^{\prime},i}^{l},\mathbf{r}_{i}^{\prime}\right]\right)\right)},
$$

$$
\mathbf{h}_{s,i}^{l+1} = \sum_{(o,r)\in N_{G_i^s}} \alpha_{s,o}^{i,l}(\mathbf{h}_{o,i}^l + \mathbf{r}_i) + \mathbf{W}_2^l \mathbf{h}_{s,i}^l, \quad (1)
$$

where  $h_{s,i}^l$  and  $h_{o,i}^l$  denote the embeddings of entities s and o at the *l*-th layer,  $\alpha_{s,o}^{i,l}$  represents the influence coefficient between entity  $s$  and  $o$  via relation r at the *l*-th layer,  $N_{G_i^s}$  is a set consisting of the neighbors of s and their corresponding relationship combinations,  $W_1^l$ ,  $W_2^l$  and **a** denote learnable weights, and  $g(\cdot)$  is the Leaky ReLU function.  $h_{o,i}^l + r_i$  implies the translational property between the subject entity and the corresponding object entity via the relation  $r$  at the *l*-th layer. After *L*-layer aggregation, we can obtain the structural embedding  $h_{s,i}^L$  of the target entity for  $G_i^s$ , which captures the structural dependencies within patches.

Temporal Correlation. To capture the temporal correlation across different  $k$ -top subgraphs within the patch, we link the structural embeddings (e.g.,  $h_{s,i}^L$ ) in different subgraphs computed by Equation [1,](#page-3-0) and then adopt a GNN to aggregate them to produce the local representation of the target entity. Supposing  $h_{s,m}^L$  and  $h_{s,j}^L$  are the structural embeddings of target entity s in  $G_m^s$  and  $G_j^s$ , respectively, the local representation is computed by the GNN encoder :

<span id="page-3-1"></span>
$$
\mathbf{h}_{s,m}^{L+1} = \sigma \bigg( \sum_{j \in [1,m-1]} \frac{1}{m-1} \mathbf{W}_3 \mathbf{h}_{s,j}^L + \mathbf{W}_4 \mathbf{h}_{s,m}^L \bigg), \tag{2}
$$

where  $m$  is the number of subgraphs in the patch, W<sub>3</sub> and W<sub>4</sub> denote learnable weights, and  $\sigma(\cdot)$  is the sigmoid activation function.

## 3.3 Inter-patch Attention

Having the local representations computed by considering the information within patches, we here

further aggregate them by considering correlation among the patches to generate the final entity representation. Since different explicit patches are separated by implicit patches, each explicit patch tends to have relatively independent topics. Correspondingly, different explicit patches may have different correlations with the target query in terms of topics. Hence, we assign different weights to the local representations of the entity during the aggregation process. This can efficiently capture the asynchronous nature of event evolution, i.e., the impact of patches preceding the start time of the query event can be minimized by assigning them lower or even zero weights, while emphasizing the relevant patches by assigning them higher weights. To this end, we design an inter-patch attention module to assign adaptive weights to the local representations and combine them as the final representation.

<span id="page-3-0"></span>In particular, assuming there are  $M$  explicit patches for the query  $(s, r, ?, t_n)$ , we stack the local representations  $h_{s,m}^{L+1} \in \mathbb{R}^d$  from all explicit patches computed by Equation [2](#page-3-1) to form the input embedding matrix  $\mathbf{P} \in \mathbb{R}^{M \times d}$ . Then, we add a learnable position embedding  $\mathbf{P}_s \in \mathbb{R}^{M \times d}$  for the input embedding matrix P. This operation enables the model to preserve the sequential information of the explicit evolution patches [\(Vaswani et al.,](#page-10-4) [2017\)](#page-10-4). Therefore, we can obtain the input embedding as  $\mathbf{X} = \mathbf{P} + \mathbf{P}_s \in \mathbb{R}^{M \times d}$ .

We then apply a cross-attention operation on **X** to model distant and critical asynchronous temporal dependencies, and further adaptively aggregate entity representations across patches to obtain the final representation. Specifically, we perform trainable linear transformations on  $X$  to derive the key and value matrices for the attention mechanism, denoted as  $K$  and  $V$ , respectively. Further, we employ a trainable query matrix  $\mathbf{Q} \in \mathbb{R}^{1 \times d}$  to integrate the comprehensive context of all the patches. Finally, we compute the cross-attention among  $Q$ ,  $K$ , and V to model the global temporal dependencies:

$$
h_s = \text{Softmax}(\frac{\mathbf{QK}^T}{\sqrt{d}})\mathbf{V},\tag{3}
$$

where  $h_s$  is the final representation of the target entity s. This representation can involve asynchronous characteristics by assigning different weights to various patches and capture long-range history information by hierarchically aggregate the information of all the patches.

In summary, the intra-patch encoder extracts local representations of entities by aggregating com-

<span id="page-4-1"></span>

Table 1: Statistics of the datasets.

plex structural and temporal information within patches. Then the inter-patch attention aggregates the local representations with adaptive weights to generate the final representations, which will be adopted for predictions. This dual-level aggregation scheme can capture asynchronous characteristics of event evolution by utilizing our designed inter-patch attention to assign adaptive weights to different patches. The higher the correlation between the patch and the query, the greater the assigned weight will be. Also, this strategy can capture long-range history information by aggregating information from all the patches.

## 3.4 Event Prediction and Learning Objective

Based on the extracted final representations, we further compute the prediction scores for each query  $(s, r, ?, t_n)$  and present the learning objective for model training.

Prediction Scores. We introduce the dual decoder [\(Li et al.,](#page-9-6) [2022a\)](#page-9-6), which performs predictions from the perspective of both the repeated and non-repeated patterns of events, to obtain the prediction scores for each query  $(s, r, ?, t_n)$  based on the learnable representations. Formally, the probability of interaction between subject  $s$  and object  $o$ under the relation r at timestamp  $t_n$  can be calculated as follows:

$$
\mathbf{p}\left(o|s,r,t_n\right) = \Phi\left(\mathbf{h}_s,\mathbf{r},\mathbf{h}_o\right),\tag{4}
$$

where  $\Phi(\cdot)$  is the dual decoder,  $h_s$  and  $h_o$  are the final representations of subject  $s$  and object  $o$ , respectively, and r denotes the representation of r.

Learning Objective. The cross-entropy function is employed to calculate the loss during the training process. The objective function is formulated as:

$$
\mathcal{L} = -\sum_{t_n \in T} \sum_{i \in G_{t_n}} \sum_{j \in E} o_i^{t_n} \ln \mathbf{p}(y_i^j \mid s, p, t_n), \tag{5}
$$

where  $o_i^{t_n}$  represents the *i*-th ground truth object entity for queries in snapshot  $G_{t_n}$ , and  $\mathbf{p}(y_i^j)$  $r_i^j\mid s,p,t_n)$ is the combined probability value of  $j$ -th object entity for the *i*-th query in  $G_{t_n}$ .

## 4 Experiments

In this section, we perform experiments on six TKG datasets to evaluate the performance of our NEDA. We aim to answer the following questions through experiments.

- *Q1: Superiority.* How does NEDA perform compared with the state-of-the-art baselines?
- *Q2: Effectiveness.* How do the different components affect the NEDA's performance?
- *Q3: Scalability.* How does NEDA perform when there is limited training data available?
- *Q4: Sensitivity.* How does the performance of NEDA fluctuate with different hyperparameters?
- *Q5: Asynchrony.* How does NEDA capture the inherent asynchronous evolution characteristics of events?

## 4.1 Basic Setting

Datasets & Evaluation Metrics. We conduct experiments on four commonly used TKG datasets including ICEWS14 [\(Garcia-Duran et al.,](#page-8-4) [2018\)](#page-8-4), ICEWS18 [\(Jin et al.,](#page-9-3) [2020\)](#page-9-3), ICEWS05-15 [\(Garcia-](#page-8-4)[Duran et al.,](#page-8-4) [2018\)](#page-8-4), and GDLET [\(Leetaru and](#page-9-8) [Schrodt,](#page-9-8) [2013\)](#page-9-8), as well as two benchmark datasets we newly collected, ICEWS22 and ICEWS23. The two datasets are obtained from the ICEWS database<sup>[1](#page-4-0)</sup> and sorted out using the typical processing method in the work [\(Jin et al.,](#page-9-3) [2020\)](#page-9-3). Table [1](#page-4-1) presents the statistic results. In addition, we utilize the widely used metrics MRR and Hits  $@{1,3,10}$ to evaluate the performance. MRR represents the average reciprocal values of the ranks assigned to the true entity candidates across all queries, and Hits  $@{1,3,10}$  represents the proportion of times the true entity appears in the top 1,3 and 10 ranking candidates. Following the works [\(Han et al.,](#page-8-2) [2020;](#page-8-2) [Li et al.,](#page-9-6) [2022a;](#page-9-6) [Zhang et al.,](#page-10-5) [2023\)](#page-10-5), we use the time-aware filtered setting to report the experimental results.

<span id="page-4-0"></span><sup>1</sup> <https://dataverse.harvard.edu/dataverse/icews>

<span id="page-5-0"></span>

<b>Method</b>	<b>ICEWS14</b>				<b>ICEWS18</b>				<b>ICEWS05-15</b>			
	<b>MRR</b>	H@1	H@3	H@10	<b>MRR</b>	H@1	H@3	H@10	<b>MRR</b>	H@1	H@3	H@10
RE-Net (2020)	39.86	30.11	44.02	58.21	29.78	19.73	32.55	48.46	43.67	33.55	48.83	62.72
xERTE (2020)	40.79	32.70	45.67	57.30	29.31	21.03	33.51	46.48	46.62	37.84	52.31	63.92
CyGNet (2021)	37.65	27.43	42.63	57.90	27.12	17.21	30.97	46.85	40.42	29.44	46.06	61.60
<b>RE-GCN (2021)</b>	42.00	31.63	47.20	61.65	32.62	22.39	36.79	52.68	48.03	37.33	53.90	68.51
TITer (2021)	41.73	32.74	46.46	58.44	29.98	22.05	33.46	44.83	47.60	38.29	52.74	64.86
<b>TANGO (2021)</b>	36.94	27.60	41.10	54.41	28.97	19.51	32.61	47.51	42.86	32.72	48.14	62.34
CEN (2022)	42.20	32.08	47.46	61.31	31.50	21.70	35.44	50.59	48.71	38.30	54.39	68.68
EvoKG (2022)	27.18	$\overline{\phantom{a}}$	30.84	47.67	29.28	$\blacksquare$	33.94	50.09	$\overline{\phantom{a}}$	٠	$\overline{\phantom{a}}$	
<b>TiRGN (2022)</b>	44.33	33.73	49.85	64.46	33.58	23.10	37.90	54.20	49.84	39.07	55.75	70.11
<b>CENET</b> (2023)	38.58	30.18	41.79	55.20	31.43	23.47	34.05	47.27	46.34	38.14	49.89	62.53
GenTKG (2023)	$\blacksquare$	36.85	47.95	53.50	$\overline{\phantom{a}}$	24.25	37.25	42.10	$\overline{\phantom{a}}$		-	
<b>RETIA</b> (2023)	43.00	32.47	48.01	63.64	32.10	21.96	36.18	51.95	44.37	34.02	49.64	64.42
$L^2$ TKG (2023)	47.40	35.36	$\overline{\phantom{a}}$	71.05	33.36	22.15	-	55.04	57.43	41.86	$\overline{\phantom{a}}$	80.69
RPC (2023)	44.55	34.87	49.80	65.08	34.91	24.34	38.74	55.89	51.14	39.47	57.11	71.75
NEDA (Ours)	50.51	39.42	56.54	73.46	36.84	25.55	41.70	59.16	60.19	46.23	63.75	82.76

Table 2: Performance under time-aware metrics (in percentage) on ICEWS14, ICEWS18, and ICEWS05-15.

<span id="page-5-1"></span>

Table 3: Performance under time-aware metrics (in percentage) on GDELT, ICEWS22, and ICEWS23.

Baseline Methods. We compare our method with the following fourteen baselines: RE-Net [\(Jin et al.,](#page-9-3) [2020\)](#page-9-3), xERTE [\(Han et al.,](#page-8-2) [2020\)](#page-8-2), CyGNet [\(Zhu](#page-10-6) [et al.,](#page-10-6) [2021\)](#page-10-6), RE-GCN [\(Li et al.,](#page-9-2) [2021\)](#page-9-2), TITer [\(Sun](#page-10-7) [et al.,](#page-10-7) [2021\)](#page-10-7), TANGO [\(Han et al.,](#page-8-5) [2021\)](#page-8-5), CEN [\(Li et al.,](#page-9-9) [2022b\)](#page-9-9), TiRGN [\(Li et al.,](#page-9-6) [2022a\)](#page-9-6), EvoKG [\(Park et al.,](#page-9-10) [2022\)](#page-9-10), CENET [\(Xu et al.,](#page-10-1) [2023\)](#page-10-1), RETIA [\(Liu et al.,](#page-9-11) [2023\)](#page-9-11), L <sup>2</sup>TKG [\(Zhang](#page-10-5) [et al.,](#page-10-5) [2023\)](#page-10-5), RPC [\(Liang et al.,](#page-9-12) [2023\)](#page-9-12), and Gen-TKG [\(Liao et al.,](#page-9-13) [2023\)](#page-9-13). For datasets ICEWS18, ICEWS14, ICEWS05-15, and GDELT, the baseline results are obtained from the previous papers. For the newly collected ICEWS22 and ICEWS23, we select the optimal hyper-parameters by performing a grid search on all models.

Implementation Details. NEDA is implemented based on *Pytorch* [\(Paszke et al.,](#page-9-14) [2019\)](#page-9-14) and *DGL* [\(Wang,](#page-10-8) [2019\)](#page-10-8) with the Adam optimizer [\(Kingma and Ba,](#page-9-15) [2014\)](#page-9-15) and learning rate of  $1e^{-3}$ . For all the datasets, the embedding dimension  $d$  is set to 200, and both  $L$  and  $k$  are set to 2. The optimal  $n$  is set to 4, 4, 11, 8, 4, and 4 for ICEWS14, ICEWS18, ICEWS05-15, GDELT, ICEWS22 and ICEWS23, respectively. The parameter settings of the dual decoder are the same as those in the work [\(Li et al.,](#page-9-6) [2022a\)](#page-9-6). To ensure statistical significance, we report the mean results of five times experiments. The experiments are run on a machine with an NVIDIA GeForce RTX 3090 GPU and an Intel i7-13700KF CPU.

## 4.2 Performance Comparison (RQ1)

Table [2](#page-5-0) and Table [3](#page-5-1) show the comparison results on the six TKG datasets, where the best performance is highlighted in boldface and the second-best is underlined. We have the following observations. First, NEDA significantly outperforms all the base-

lines in both MRR and Hits@{1,3,10}, which confirms the effectiveness of considering asynchronous characteristics for TKG reasoning. For example, compared to the second-best performances, for the ICEWS datasets, NEDA achieves on average about 5.6%, 8.9%, and 3.9% performance improvements on MRR, Hits@1, and Hits@10, respectively. Second, the performance improvement of our method on the GDELT dataset is smaller compared to the ICEWS datasets. This can be attributed to the fact that GDELT has more facts and corresponding topics per patch on average compared to other datasets. This makes it more difficult for our NEDA to summarize the most important and relevant topics in the patch.

## 4.3 Ablation Study (RQ2)

Here, we conduct ablation study to assess the effectiveness of different components in NEDA. Table [4](#page-6-0) illustrates the distinct impact attributed to each component. As shown, incorporating implicit patches is essential for computing the target entity's representation. This emphasizes the importance of capturing the evolution of the surrounding environment, thereby enhancing the model's prediction accuracy. Constructing the connected subgraph within each patch facilitates the extraction of complex structural dependencies and temporal correlations across different timestamps. Besides, interpatch attention with position embedding is particularly effective at capturing distant asynchronous temporal dependencies, and adaptively aggregating the local representation of each patch by distinguishing the global evolution characteristics and patterns. Also, the design of the relation-aware GNN encoder significantly enhances information propagation within the connected subgraph in a patch. It effectively exploits the structural and temporal dependencies of events throughout their continuous evolution by identifying the crucial neighboring entities and timestamps.

# 4.4 Performance under Limited Training Data (RQ3)

Figure [2](#page-6-1) reports the performance of our proposed NEDA compared to the leading baseline, TiRGN, with varying amounts of training data. The results indicate that NEDA consistently outperforms TiRGN across various training data sizes. This advantage persists even when data is severely limited, with only 10% or 20% of the data available for training. By incorporating the asynchronous

<span id="page-6-0"></span>

Model		ICE14 ICE18 ICE05-15 GDELT ICE22 ICE23			
$w$ / $\circ$ IP	48.21 34.83	58.25	21.12 37.64 36.39		
$w/o$ CG	49.82 35.74	59.11	22.32 38.56 37.05		
$w$ /o PE	50.18 36.09	59.72	22.79	38.90 37.49	
w/o Inter	48.97 35.17	58.39	21.83	38.01 36.53	
w/o RGNN 47.55 34.01		57.31	20.63	36.84 35.81	
<b>NEDA</b>	50.51 36.84	60.19	23.07	39.23 38.06	

Table 4: Results of ablation studies with time-aware MRR. w/o IP, w/o CG, w/o PE, w/o Inter, and w/o RGNN represent removing the implicit patches, connected subgraph, position embedding, inter-patch attention, and relation-aware GNN encoder, respectively.

characteristics of event evolution, NEDA successfully identifies the unique evolution patterns and characteristics of each event. This capability holds even with limited training data, enabling NEDA to consistently deliver superior performance.

<span id="page-6-1"></span>

Figure 2: The impact of training data. The lines represent MRR, and the bars denote Hits@10.

## 4.5 Sensitivity Analysis (RQ4)

We here investigate the influence of two crucial hyperparameters: the layer number of the relational graph attention network L and the number of neighbors extracted in the implicit patch  $n$  on the ICEWS14, ICEWS18, and GDELT datasets.

The sensitivity analysis results are reported in Figure [3.](#page-7-0) Detailed findings are elucidated below: **Hyperparameter**  $L$  controls the depth of neighborhood information extraction for structural dependencies. The experimental results with varying L reveal that the performance of NEDA initially improves as the layer number  $L$  increases, but it experiences a sharp decline when L becomes excessively large. This phenomenon can be attributed to the fact that an initial increase in  $L$  adequately extracts structural dependencies within patches, but an overly large L results in information from more distant neighbors being disseminated to the target entity, thereby introducing more noise.

**Hyperparameter**  $n$  regulates the strength of im-

plicit evolution for prediction. As shown in Fig-ure [3,](#page-7-0) a smaller  $n$  results in the loss of implicit evolution information, whereas a larger  $n$  introduces excessive implicit evolution information, overshadowing the more critical explicit evolution information. Therefore, selecting an appropriate value for n is crucial for the effectiveness of our model.

<span id="page-7-0"></span>

Figure 3: Sensitivity analysis of hyper-parameter L and n on ICEWS14, ICEWS18, and GDELT.

## 4.6 Case Study (RQ5)

Here, we inspect how our proposed dual-level aggregation scheme capture the asynchronous evolution characteristics of events. As shown in Table [5,](#page-8-6) we select an event query (*Rishi Sunak, hreaten, United Kingdom, 2022-12-31*) from the test set in ICEWS22 as the prediction case, and we extract the top 3 influential patches assigned the highest weights by NEDA. We can observe that the historical events in these patches predominantly involve interactions between Rishi Sunak and the United Kingdom. As expected, these influential patches and their associated events are highly relevant to the query and play a critical role in the prediction. This demonstrates that by identifying and assigning the highest weights to the most relevant historical event patches, the proposed dual-level aggregation scheme can effectively capture the asynchronous evolution characteristics of the event query.

#### 5 Related Work

Depending on the timing of predicted events, TKG reasoning models can be divided into two settings: interpolation and extrapolation [\(Liang et al.,](#page-9-7) [2022\)](#page-9-7). TKG reasoning under interpolation. The task in the interpolation setting aims to infer missing facts from the past [\(Xiong et al.,](#page-10-9) [2022;](#page-10-9) [Bai et al.,](#page-8-7) [2021;](#page-8-7) [Wu et al.,](#page-10-10) [2020\)](#page-10-10). For example, TTransE [\(Jiang](#page-9-16) [et al.,](#page-9-16) [2016\)](#page-9-16) integrates temporal information by treating both relations and time as translations of entities, whereas HyTE [\(Dasgupta et al.,](#page-8-8) [2018\)](#page-8-8) associates each timestamp with specific hyperplanes.

ChronoR [\(Sadeghian et al.,](#page-9-17) [2021\)](#page-9-17) combines relation and temporal embeddings to create a comprehensive rotational embedding, which is then applied to the final entity representation. TILP [\(Xiong](#page-10-9) [et al.,](#page-10-9) [2022\)](#page-10-9) introduces a constrained random walk mechanism and integrates an array of temporal operators into the learning framework.

 $\frac{0}{n}$   $\frac{2}{n}$   $\frac{4}{n}$   $\frac{6}{n}$   $\frac{8}{10}$   $\frac{12}{2}$  event prediction: candidate-based and query-based TKG reasoning under extrapolation. The extrapolation methods try to predict future events [\(Li](#page-9-2) [et al.,](#page-9-2) [2021;](#page-9-2) [Lee et al.,](#page-9-18) [2023;](#page-9-18) [Zhu et al.,](#page-10-6) [2021\)](#page-10-6). Following the work [\(Li et al.,](#page-9-19) [2022c\)](#page-9-19), these approaches can be divided into two main categories according to the historical structural information used for methods.

> *Candidate-based methods* try to utilize the historical facts of all candidate entities without considering the query during the encoding process. The query information is incorporated only in the decoding stage [\(Li et al.,](#page-9-2) [2021,](#page-9-2) [2022a;](#page-9-6) [Zhang et al.,](#page-10-5) [2023\)](#page-10-5). For instance, RE-GCN [\(Li et al.,](#page-9-2) [2021\)](#page-9-2) learns the evolutionary representations for entities and relations by incorporating the gate recurrent unit to extract sequential patterns and taking externally injected static entity attributes into consideration. TiRGN [\(Li et al.,](#page-9-6) [2022a\)](#page-9-6) learns sequential, repetitive, and periodic historical facts from local and global perspectives, respectively. L <sup>2</sup>TKG [\(Zhang](#page-10-5) [et al.,](#page-10-5) [2023\)](#page-10-5) exploits the latent relation between entities and learns latent relational graphs based on similarities between entities.

> *Query-based methods* focus on modeling the query-specific historical dependencies for event prediction [\(Zhu et al.,](#page-10-6) [2021;](#page-10-6) [Xu et al.,](#page-10-1) [2023;](#page-10-1) [Liu](#page-9-20) [et al.,](#page-9-20) [2022a\)](#page-9-20). Among them, CyGNet [\(Zhu et al.,](#page-10-6) [2021\)](#page-10-6) calculates the occurrence frequencies of events to narrow the candidate entities when making predictions, while CENET [\(Xu et al.,](#page-10-1) [2023\)](#page-10-1) utilizes contrastive learning to identify whether an event has occurred before. DA-Net [\(Liu et al.,](#page-9-20) [2022a\)](#page-9-20) tries to capture distributed attention on repeated facts across various historical timestamps to better understand future events. In addition, some recent approaches attempt to utilize the powerful reasoning capabilities of LLMs for TKG reasoning [\(Liao et al.,](#page-9-13) [2023;](#page-9-13) [Luo et al.,](#page-9-21) [2024\)](#page-9-21). For example, GenTKG [\(Liao et al.,](#page-9-13) [2023\)](#page-9-13) formulates a generative forecasting framework with LLMs, and ICL [\(Lee et al.,](#page-9-18) [2023\)](#page-9-18) uses in-context learning to the potential of LLMs for TKG reasoning.

<span id="page-8-6"></span>

Table 5: Case study on the dual-level aggregation scheme on ICEWS22.

# 6 Conclusions

This paper proposes a Natural Evolution-based Dual-level Aggregation framework (NEDA) to consider the inherent asynchronous evolution characteristics for TKG reasoning. A natural division strategy is presented to split TKGs into different patches according to the occurrence of a given target entity. Besides, a dual-level aggregation scheme is designed to extract local representations from information within patches and further aggregate them with adaptive weights to generate the final entity representations. This hierarchical aggregation can efficiently capture the event-specific asynchronous characteristics and long-range history information to enhance reasoning performance. Experimental results on six benchmarks demonstrate the superiority of NEDA in TKG reasoning.

# Limitations

While our proposed NEDA demonstrates superior performance compared to the state-of-the-art baselines, it still has some limitations. Firstly, unlike the baseline models that predict all queries in a snapshot at once, our NEDA predicts each query separately, leading to additional overhead. Secondly, our model only considers the most dominant topic within a patch, ignoring other minor topics. Performance could potentially be enhanced by considering multiple topics within a single patch.

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