DLoRA: Distributed Parameter-Efficient Fine-Tuning Solution for Large Language Model

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Abstract

To enhance the performance of large language models (LLM) on downstream tasks, one solution is to fine-tune certain LLM parameters and make it better align with the characteristics of the training dataset. This process is commonly known as parameter-efficient fine-tuning (PEFT). Due to the scale of LLM, PEFT operations are usually executed in the public environment (e.g., cloud server). This necessitates the sharing of sensitive user data across public environments, thereby raising potential privacy concerns. To tackle these challenges, we propose a distributed PEFT framework called DLoRA. DLoRA enables scalable PEFT operations to be performed collaboratively between the cloud and user devices. Coupled with the proposed Halt and Proceed algorithm, the evaluation results demonstrate that DLoRA can significantly reduce the computation and communication workload over user devices while achieving superior accuracy and privacy protection. The source code can be accessed through the provided link.

1 Introduction

Large Language Models (LLMs) have recently incited substantial public interest. Their ability to grasp context and nuance enables them to handle natural language processing (NLP) tasks such as text generation (Brown et al., 2020; Zhuang et al., 2023), translation (Zhu et al., 2023; Hadi et al., 2023) and summarization (Zhang et al., 2023b) with remarkable proficiency. Because of the extensive number of parameters in LLMs and the substantial computational workload during their operations, LLMs are usually implemented on nodes with rich compute resources such as cloud servers (OpenAI and Microsoft; Badr, 2023). During operation, users send their data to the cloud server for LLM processing, after which the LLM results are transmitted back to the user devices.

Previous studies (Brown et al., 2020) has shown that LLMs can extend their learned knowledge to novel tasks not seen during the training phase, a phenomenon commonly referred to as zero-shot capability. However, fine-tuning still remains essential to enhance LLM performance on unseen user datasets and tasks. Due to its scale, a widely adopted strategy for fine-tuning LLMs involves adjusting a limited number of LLM parameters while keeping the remainder unchanged. This approach, termed *parameter-efficient-fine-tuning* (PEFT), adds small modules of parameters to predefined positions of the pre-trained LLM and only fine-tunes these modules (Houlsby et al., 2019; Guo et al., 2020; Mao et al., 2021; Karimi Mahabadi et al., 2021a) over the downstream tasks to better adapt to the user data.

While PEFT presents an efficient approach for improving LLM performance, it also poses significant challenges for system deployment. To deploy PEFT, one potential solution involves transferring user input to the cloud, and the entire PEFT process is performed over the cloud servers. This scheme is referred to as *Cloud-only* solution (Figure 1 (a)). However, this approach comes with several drawbacks. On one hand, keeping private user data in a shared cloud environment raises immediate privacy concerns. On the other hand, in order to deliver a personalized LLM service, it is necessary to create and fine-tune a separate set of personal LLM parameters using the training dataset from each user. This can result in significant scalability challenges as the user group expands in size. By contrast, another option is to offload the LLM fine-tuning process completely to the user device, presented as *Edge-only* solution in Figure 1 (b), Unfortunately, this approach is often impractical due to the limited computational resources available on user devices.

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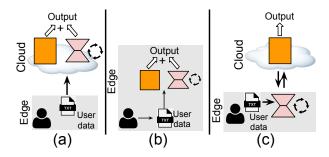


Figure 1: (a) Cloud-only solution. (b) Edge-only solution. (c) DLoRA scheme. The frozen and learnable parameters are shown in orange and red, respectively.

To mitigate the aforementioned system problems, in this work we propose a distributed PEFT solution named *DLoRA* (Figure 1 (c)) for collaborative PEFT operations between a cloud server and user device. DLoRA eliminates the need to deliver private user data for LLM fine-tuning in the cloud thereby ensuring the personal LLM parameters are stored completely within the user device, thereby minimizing the risk of privacy leakage. Additionally, DLoRA offloads partial computational workload for LLM fine-tuning to user devices, effectively mitigating the scalability issues.

Beyond that, our preliminary studies on conventional PEFT algorithms suggests that the majority of trainable parameters within LLM remain fairly constant throughout the fine-tuning process, with only a small subset of parameters undergoing active changes. This group of changing parameters varies with the training dataset and downstream tasks. Motivated by this observation, we introduced a *Halt and Proceed (HP)* algorithm for DLoRA that dynamically identifies and fine-tunes the set of LLM parameters most responsive to the training data, resulting in a substantial reduction in computation and communication workloads on the user derives.

Overall, our contribution can be summarized as follows:

- We introduce *DLoRA*, an PEFT framework capable of executing LLM fine-tuning seamlessly between cloud and edge devices. DLoRA ensures the user data and personal parameters to store on user devices throughout the PEFT operation, eliminating the risk of privacy leakage while enabling scalability.
- We introduce the *Halt and Proceed (HP)* algorithm for DLoRA, which dynamically identifies and fine-tunes the subset of LLM parame-

ters that are most sensitive to the training data. This approach results in a notable decrease in computational and communication burdens on user devices.

• We evaluated and performed an assessment involving three LLM models across eight datasets. The evaluation results indicate that the HP algorithm can deliver a significant reduction of computational load and communication between the user device and the cloud, while still achieving comparable or even better results than the baseline solutions.

2 Background and Related Work

In this section, we introduce LLM computations in Section 2.1. We then describe the computational flow of PEFT operations in Section 2.2. Subsequently, we introduce two well-known privacypreserving mechanisms, Federated Learning 2.3 and on-device machine learning, and compare our methods against them.

2.1 LLM Computation

To analyze the computations in LLM execution, we examine LLaMA (Touvron et al., 2023), a renowned LLM known for its superior performance across various NLP tasks (Cui et al., 2023; Roziere et al., 2023; Zhang et al., 2023a). As depicted in Figure 2 (a), LLaMA comprises three main components: an embedding layer, a stack of decoder blocks, and a linear layer. It processes text by transforming user-inputted tokens into numerical vectors via the embedding layer, which are then processed by the decoder layers. The output of the last decoder layer, which generates a probability distribution over the entire vocabulary, predicts the next token in the sequence. This token is concatenated with previous tokens for subsequent processing rounds in an auto-regressive manner, producing a full sequence or *completion* as shown in Figure 2 (b). The training process mirrors inference but with sentences compared directly to the ground truth to compute training loss and gradients to minimize loss across the LLM's weights.

2.2 Parameter Efficient Fine-Tuning

Fine-tuning is crucial for adapting LLMs to new tasks. However, it also introduces several challenges, including overfitting and high computational expenses (Houlsby et al., 2019; Guo et al., 2020; Mao et al., 2021; Karimi Mahabadi et al.,

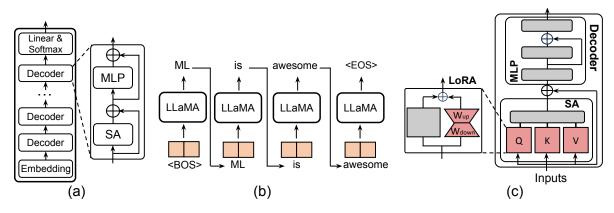


Figure 2: (a) LLaMA architecture. (b) LLaMA auto-regressive pattern. (c) LoRA operation. All the learnable components are highlighted in red, while the frozen components are highlighted in grey. LoRA is applied on all the query, key, and value blocks, we only show one of them for illustration simplicity.

2021a; He et al., 2021; Zaken et al., 2021; Valipour et al., 2022; Han et al., 2024). PEFT mitigates these issues by selectively updating a subset of parameters, exemplified by LoRA (Hu et al., 2021) and Adapter techniques (Rücklé et al., 2020; Pfeiffer et al., 2020; Karimi Mahabadi et al., 2021b; Wang et al., 2022; Zhang et al., 2023d; Gao et al., 2023; He et al., 2022). LoRA introduces trainable modules within the SA blocks of LLMs, enhancing the query, key, and value generation with equations:

$$Q = (W_Q^\top + \alpha W_{up,Q}^\top W_{down,Q})h_{in} \qquad (1)$$

$$K = (W_K^\top + \alpha W_{up,K}^\top W_{down,K})h_{in} \qquad (2)$$

$$V = (W_V^\top + \alpha W_{up,V}^\top W_{down,V})h_{in} \qquad (3)$$

where W_{up} and W_{down} are LoRA's weight matrices, h_{in} is the input, and α is a scalar hyperparameter. Adapters insert additional blocks within each decoder's MLP block, featuring a residual connection to mitigate overfitting and computational challenges.

LoRA can be seamlessly integrated into the original model, with the fused weights forming highrank matrices in contrast to the original LoRA module. Even when these weights are incorporated into the main model, attackers cannot reconstruct the weight matrices due to the complexity of the highrank LoRA weights.

For clarity, we term a block of tunable LLM parameters as a *PEFT module*. For example, the PEFT module for LoRA involves all the learnable parameters within a transformer block. A collection of PEFT modules within a LLM is called *PEFT module pool*. This work configures the PEFT module pool to include the LoRA parameters. However, our approach is compatible with other PEFT schemes (e.g., Adapter).

2.3 Privacy Preserving Solution

As fine-tuning downstream tasks is always associated with personalized data, privacy issues have been a problem that has raised attention; federated learning and on-device machine learning are two commonly used mechanisms.

Federated Learning (FL) (McMahan et al., 2017; Zhao et al., 2018; Li et al., 2020; Deng et al., 2020; Zhang et al., 2022a, 2024; Dong et al., 2022) has emerged as a groundbreaking machine learning approach, enabling powerful models to be created by leveraging decentralized data sources while respecting user privacy. FL aims to collect data from different users without revealing their data to develop a super-model. In contrast to FL, which conducts model training exclusively within edge devices, DLoRA introduces a collaborative distributed training framework between a single edge device and cloud servers.

Additionally, DLoRA can also integrate with FL, facilitating fine-tuning processes across multiple edge devices. In our DLoRA system, the intermediate results are the only data transferred from personal devices and the centralized cloud. The embedding layer results are located in the user device and can not be recovered unless the attacker steals the tokenizer and embedding layer weights.

Several studies (Rakin et al., 2022; Zhu et al., 2021) explore utilizing activation recovery to extract data. However, attacks targeting intermediate results can be mitigated by employing Fully Homomorphic Encryption (FHE), as proposed in (Zhang, 2022; Zhang et al., 2023c). By leveraging FHE, users can perform computations on cloud servers without exposing their intermediate results, ensuring the security of their data. This approach offers a reliable safeguard under this assumption.

Another approach is to deploy a machinelearning model exclusively on a personalized device. A pre-trained large-scale model is first compressed and then deployed to a computationally constrained device to prevent the exposure of personal data to the public cloud. While the compressed model may experience reduced accuracy and fine-tuning quality across general tasks, we also evaluate our DLoRA system against an ondevice privacy-aware solution in Section 2.3.

Privacy risk during text encoding transmission DLoRA excels in both performance and privacy by maintaining user data and partial LLM model weights on edge devices. Studies on text inversion (Morris et al., 2023a; Pan et al., 2020) typically need access to text embedding parameters or the model, which is impractical in our case since the model is hosted on user devices, blocking external queries.

Compared to other frameworks like FL, which face potential privacy breaches from various inversion attacks, DLoRA provides superior security. We are considering integrating encryption methods like homomorphic encryption to enhance privacy protection during text embedding transmission.

Limitation The incorporation of FHE into DLoRA improves data security but also introduces higher computational overhead. This increased demand for computation consumes significant resources and time on both user devices and cloud servers, potentially degrading the efficiency benefits gained from DLoRA.

3 Halt and Proceed Mechanism

In this section, we describe *Halt and Proceed* (HP) algorithm in detail, which aims to minimize both computational and communication burdens on user devices during PEFT computations. We will begin by discussing the *Early-halt* mechanism in Section 3.2, which is a simple yet effective approach to search for a minimal set of tunable parameters and eliminate redundant fine-tuning operations with negligible impact on accuracy. Following that, we will introduce the parameter proceeding mechanism in Section 3.3, which selects and reactivates a subset of previously frozen parameters, further enhancing the LLM accuracy.

3.1 Computation Pattern for DLoRA

To begin with, we first illustrate the computational pattern for a single round of DLoRA operation. As

depicted in Figure 4, this procedure can be divided into two phases: forward propagation and backward propagation, which are highlighted in grey and blue in Figure 4, respectively. Initially, the user data is first processed by the embedding layer, with the outputs of the embedding layer sent to the cloud for forward propagation across the rest of the layers. This approach inherently mitigates privacy risks by keeping user data local during the PEFT operation, with only the text embeddings being transmitted to the cloud server for subsequent processing. While recent research attempts have been made to reverse text embedding to retrieve the original text (Pan et al., 2020; Morris et al., 2023b), these efforts predominantly operate under the assumption that attackers have unlimited access to query the text embedding model. However, in our scenario, this assumption is unrealistic because the text embedding model is implemented within user devices, and will deny all the external query attempts. Subsequently, the results from the frozen LLM blocks are sent back to the user devices for forward propagation across the PEFT modules, whose weights are stored on the user devices. This process continues until the final LLM output is generated. Consequently, the computation and communication overhead on user devices scales proportionally with the number of PEFT modules.

Likewise, the backward propagation begins by comparing the LLM output with the ground truth output, which further produces the gradient for the last LLM layer. These gradients are subsequently employed to compute output gradients for earlier layers, progressing until a PEFT module is reached. The gradients are then transmitted back to the user device for backward propagation and weight updates. This process continues until all the weights within the PEFT module have been updated. Likewise, as in the forward propagation scenario, the computational and communication overhead during backward propagation also scales in proportion to the number of PEFT modules. The entire process will bypass all weight update steps to reduce the hardware load on the user's end.

3.2 Early Halt Mechanism

Considering the computation pattern outlined in Section 3.1, a simple strategy for reducing training cost over the user device is to simply reduce the amount of the PEFT modules. To achieve this while preserving accuracy, DLoRA dynamically

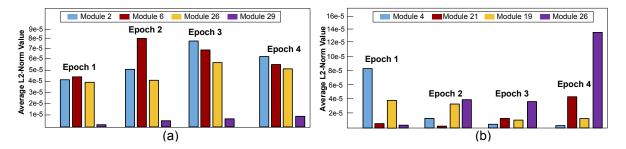


Figure 3: l_2 -norm variation of selected PEFT modules across training iterations over multiple downstream tasks including (a) Arc-Challenge, (b) Social-QA.

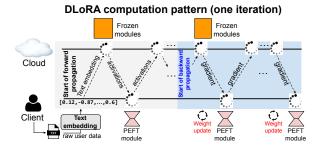


Figure 4: DLoRA computation pattern for one iteration.

identifies the most relevant and significant PEFT modules that contribute most to downstream task accuracy and only finetunes these modules. To investigate the significance of PEFT modules towards training accuracy, we conduct experiments to evaluate the importance of each PEFT module using multiple training datasets. Specifically, we configure the PEFT module pool to comprise all the learnable parameters outlined in LoRA (Section 2.2), and make all the PEFT modules in the pool learnable. We then record the weights changes for different PEFT modules during the finetuning process. Figure 3 presents the variation on PEFT module magnitudes during the PEFT process over two downstream tasks. We notice a great variation on the PEFT module magnitudes across the finetuning iterations. For instance, in Figure 3 (a), PEFT module 6 (highlighted in red) exhibits an l_2 -Norm value exceeding $16 \times$ the average l_2 -norm. This observation suggests that the parameters within this module undergo substantial changes during the PEFT process. We refer to the PEFT modules with substantial changes as active PEFT modules. On the contrary, PEFT module 29 (marked as purple) in Figure 3 (a) has a low l_2 norm value through the whole tuning process, which shows it exhibits no noticeable changes. We refer to blocks like that as idle PEFT modules. The Early Halt (EH) mechanism is designed to dynamically detect and freeze the idle PEFT modules to reduce hardware cost on

Algorithm 1 HP Algorithm (simplified version)

- Inputs: LLM module pool F; Total number of layer L; Selection criteria *ε*. Total finetuning epoch E;
- 2: \triangleright Pre-tuning phase
- 3: Tune all PEFT modules within F for several iterations to collect statistics.
- 4: Record the changes on l_2 norms for each module, define the selection criteria.
- 5: \triangleright Main tuning phase

6: for
$$0 \le e \le E - 1$$
 do

- 7: for $l \in L$ do
- 8: **if** l_2 norm change on l th PEFT module less than ϵ **then**
- 9: Frozen the PEFT module at layer l.
- 10:else11:Activate l-th PEFT module.12:Finetune all active PEFT modules.13:Recalculate ϵ .

user devices. The EH mechanism comprises two phases, which are explained in detail below:

Pre-tuning Phase: In this phase, all the PEFT modules within the PEFT module pool are configured to be learnable. A short preliminary fine-tuning (one epoch) is performed and the changes on the weight magnitudes for each PEFT module are recorded. This change naturally reflects the degree of activities of each PEFT module.

Main tuning phase: We rank all the PEFT modules base on the magnitude differences recorded during the Pre-tuning phase. PEFT modules with magnitude change smaller than a predefined threshold are identified as idle PEFT modules, which are then frozen ('halted') to enhance the computing efficiency of the user device. We can also assume that the frozen LoRA module can be fused as an encrypted high-rank module on the cloud side, further lowering communication costs for the user while preserving their privacy.

3.3 Parameter Proceeding Mechanism

The EH technique, detailed in Section 3.2, enables us to selectively update only the active PEFT modules, resulting in substantial reductions in computation and communication for user devices. However, our evaluation results indicate a noticeable LLM accuracy drop when EH mechanism is applied. To better understand the reason, we analyze the magnitude variation across all the PEFT modules in LLaMA-7B model (Touvron et al., 2023) over social-qa (Sap et al., 2019) dataset. As depicted in Figure 3 (b), the PEFT module 26 (marked as purple) changes greatly in the 4th epoch while its parameters stay steady in the first three epochs. The PEFT module 4 (marked as blue) changes greatly in the first epoch and becomes stable after that. This phenomenon indicates that the active PEFT modules in the previous epoch may no longer be active in the later epochs, while the idle PEFT modules can become active in the later epochs.

The fluctuations in the weight magnitude presented in Figure 3 (b) indicate the need for regularly reviving the PEFT modules that were halted previously, as they could have a significant impact on LLM accuracy in the later stage of the finetuning process. Based on this observation, we propose a Halt and Proceeding (HP) Algorithm (Algorithm 1). Specifically, at the end of each epoch of fine-tuning, we rank all PEFT modules according to their magnitude changes within the epoch and halt the idle PEFT modules (Line 9 in Algorithm 1). In addition, we also pick a subset of halted PEFT modules and reactivate them (described in algorithm 1 line 13). The selection criteria for PEFT modules proceeding are determined by their l_2 norm changes during the last epoch in which they were active.

While alternative criteria are feasible, we have noticed that employing the l_2 distance in the HP algorithm produces outstanding performance. We maintain a constant number of active PEFT modules to restrict the computation cost across the entire finetuning process. By adhering to this *computation budget*, we ensure that the computation and communication costs of the user device remain consistent throughout the PEFT operation.

4 Evaluation

In this section, we provide a comprehensive evaluation of the HP algorithm and DLoRA System. We begin by describing the evaluation setup in Section 4.1. Next, we assess the accuracy and system performance of the HP algorithm across multiple tasks and LLMs in Section 4.2. Subsequently, we perform multiple ablation studies in Section 4.3.

4.1 Experiment Setup

Datasets and models: We evaluate our HP algorithm on three popular LLMs, including OPT-6.7B (Zhang et al., 2022b), BLOOM-7B (Scao et al., 2022), and LLaMA-7B (Touvron et al., 2023). Additionally, we conduct evaluations of the HP algorithm across a range of tasks, including Question and Answering tasks such as OpenBookQA (OBQA) (Mihaylov et al., 2018), PIQA (Bisk et al., 2020), Social IQa (SIQA) (Sap et al., 2019) and BoolQ (Clark, 2019), problem compilation and concluding tasks including Winograde (ai2, 2019) and HellaSwag (Zellers et al., 2019) and multichoice science questions such as ARC-easy and ARC-challenge (Clark et al., 2018).

PEFT Settings: Experiments utilized the HUG-GINGFACE library (Huggingface, 2016) with the AdamW optimizer (Pytorch, 2023) and a cosine learning rate scheduler. Evaluations of backbone model were conducted on an Nvidia A100-SMX4 with 40GB memory (NVIDIA, 2021), using CUDA version 11.6. Fine-tuning spanned five epochs across downstream tasks, maintaining 16 active PEFT modules (B = 16) per Algorithm 1. All data were processed in floating-point precision, with plans to investigate the impact of quantization.

DLoRA System Configuration: To measure the system performance of DLoRA in a practical environment, we have built a testbed with an Nvidia Jetson Xavier (NVIDIA, 2022) device and a cloud server to simulate the cloud and edge environment. We then measure the processing latency of the DLoRA system across various tasks.

Baselines: To fairly evaluate the accuracy performance of the HP algorithm, we compare it with a baseline algorithm termed the Fully-tune (FT) algorithm, which keeps all the PEFT modules active throughout the entire fine-tuning process. In comparison, the HP algorithm applies the Early Halt mechanism described in Section 3.2 with the

LLM	Methods	BoolQ	PIQA	SIQA	WinoGrande	OBQA	ARC-easy	ARC-challenge
BloomZ	FT	64.6%	71.0%	75.4% %	60.8%	72.2%	75.4%	45.9%
	DLoRA	64.6% (+0.0%)↑	73.7% (+2.7%)↑	73.2% (+2.2%)↑	65.0% (+4.2%)↑	72.1% (-0.1%)↓	75.1% (-0.3%)↓	44.6% (-1.3%)↓
LLaMA-7B	FT	70.7%	80.9%	75.6%	66.5%	70.0%	65.5%	47.9%
	DLoRA	74.3% (+3.6%)↑	79.7% (-1.2%)↓	77.0% (+1.4%)↑	65.7% (-1.8%)↓	73.8% (+3.8%)↑	71.6% (+6.1%)↑	46.7% (-1.2%)↓
OPT	FT	66.6%	74.4%	72.2%	50.4%	33.8%	46.0%	26.2%
	DLoRA	64.8% (-1.2%)↓	78.0% (+3.6%)↑	72.6% (+0.4%)↑	48.0% (-2.4%)↓	35.4% (+1.6%)↑	46.0% (+0.0%)↑	28.8% (+2.6%)↑

Table 1: Accuracy performance evaluation of FT and DLoRA across all the tasks over different LLMs. The changes in accuracies are also highlighted in green or red. We choose the optimal performance based on outcomes with or without module proceeding.

LLM	Budgets	BoolQ	PIQA	SIQA	HellaSwag	WinoGrande	OBQA	ARC-easy	ARC-challenge
	16 (baseline)	64.6%	70.5%	73.2%	67.5%	65.0%	72.1%	75.1%	44.6%
Bloom-Z	8	+7.7%↑	+ 4.3%↑	+0.8%↑	-4.5%↓	-1.4%↓	-3.3%↓	-1.5%↓	-6.2%↓
	4	-8.2%↓	+3.7%↑	-4.6%↓	-3.7%↓	+8.0%↑	+0.9% ↑	-0.1%↓	-3.8%↓
	16 (baseline)	74.3%	79.7%	77.0%	73.6%	65.7%	73.8%	71.6%	46.7%
LLaMA	8	-6.0%↓	-0.4%↓	+1.1%↑	+2.5%↑	+5.6%↑	-2.6%↓	-6.0%↓	+0.5%↑
	4	-8.2%↓	+0.0%	+1.7%↑	+3.8%↑	-6.4%↓	-3.8%↓	-0.6%↓	+0.5%↑
OPT	16 (baseline)	64.8%	78.0%	72.6%	45.0%	48.0%	35.4%	46.0%	28.8%
	8	-1.6%↓	-2.0%↓	+0.0%	+0.6%↑	+3.6%↑	-1.4%↓	-0.8%↓	+1.0%↑
	4	-3.0%↓	-1.6%↓	-5.4%↓	-0.4%↓	+1.4%↑	-3.4%↓	-2.4%↓	-0.8%↓

Table 2: Accuracy performance under different compute budget. For each dataset, we use the accuracy of HP with a compute budget of 16 as the baseline and describe the changes in accuracy relative to it.

Dataset/Method	DLoRA	SparseGPT	Wanda
PIQA	79.7%	73.1%	73.0%
HellaSwag	73.6%	44.8%	43.6%
OBQA	73.8%	62.6%	63.6%
ARC-E	71.6%	30.2%	30.3%
ARC-C	46.7%	24.4%	25.0%
# learnable param. Peak memory cost	1.1M 4.2MB	10.41B 38.74GB	10.69B 39.82 GB

Table 3: Accuracy performance and learnable parameters compared to different LLM pruning mechanisms. DLoRA outperforms SparseGPT and Wanda in terms of both accuracy and peak memory utilization.

parameter-proceeding mechanism discussed in Section 3.3. The purpose of this baseline is to evaluate the importance of the parameter proceeding mechanism over the accuracy performance. We configure the PEFT module pool for the HP algorithm to include all the LoRA parameters. To be noted here, our question-answering experiments are without any promotion.

4.2 Evaluation Results

Table 1 presents the accuracy results for FT and HP across different LLMs and datasets. HP often achieves similar or even superior performance compared to FT. For instance, in the SIQA task, HP consistently outperforms FT across all LLMs. HP matches or exceeds FT's performance for other datasets while notably reducing compute and communication workloads, as detailed in Figure 5.

The findings indicate that HP significantly enhances training efficiency, substantially reducing computing costs. Additionally, considerable computational savings are observed when applying HP with an Adapter scheme. This efficiency is due to HP's dynamic selection and management of a minimal set of active modules, significantly lowering computational load.

Moreover, Figure 5 shows the total communication during one epoch of the PEFT process for both FT and HP across various tasks. In summary, HP substantially reduces computation and communication while maintaining comparable accuracy levels to FT.

4.3 Ablation Studies

Impact on computation budget To better understand the impact of the computation budget on the accuracy performance, we change the computation budget B from 16 to 8 and 4 and evaluate the accuracy performance. As shown in Table 2, there is a general trend of accuracy degradation as the computation budget decreases, primarily due to the reduction in the number of learnable parameters in the LLM. Interestingly, accuracy improves

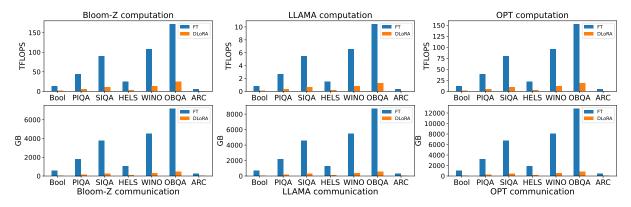


Figure 5: Computation costs of HP and FT over different LLMs, the measurement denotes the computation cost in TFLOPs. The communication costs for HP and FT are measured in Gigabytes (GB). This part is carried out when only 4 LoRA modules are active.

for specific tasks even when computation budgets are reduced. For example, training Bloom-Z with the PIQA and Boolq datasets outperforms the performance of HP with a computation budget of 16. This suggests that only a smaller subset of PEFT modules are responsive to the downstream tasks specified by the Bloom-Z dataset.

Comparison With LLM compression In addition to fine-tuning (FT), we explore alternative baselines using pruning techniques, specifically SparseGPT (Frantar and Alistarh, 2023) and Wanda (Sun et al., 2023), to enhance computational efficiency for PEFT operations on edge devices. These methods implement efficient post-training pruning, each proposing unique selection criteria to optimize sparse LLM accuracy. Our evaluation of Wanda and SparseGPT on a single A100 GPU, as shown in Table 3, reveals that DLoRA surpasses accuracy, peak memory usage, and computational costs.

Compare with FL We also compare our approach with a privacy-preserving distributed system offsite-tuning (Xiao et al., 2023) that utilizes model distillation to facilitate personalized fine-tuning of LLMs. As table 4 demonstrates, our Bloom-Z model outperforms this system in four of five datasets.

 Table 4: Performance compared to privacy-preserving system

Method\Dataset	OpenQA	PIQA	ARC-E	ARC-C	HellaSwag
DLoRA	72.1%	73.7%	69.8%	45.8%	67.5%
Offsite-Tuning	29.6%	74.6%	66.8%	36.8%	48.3%

System Latency Measurement Next, we measure the processing latency required to complete

one epoch of PEFT for DLoRA over the actual edge device. The measurement reveals that with LoRA finetuned using FT, a single epoch of PEFT over Bloom-Z on OpenbookQA will consume 200.72s. This latency includes the processing time on both the cloud server and the user device. In contrast, with the DLoRA, a single epoch of PEFT only takes 182.59 seconds. This is attributed to the fact that DLoRA greatly reduces the computational workload on the user device, resulting in a decrease in overall processing latency. Table 3 shows that our DLoRA system outperforms other baseline algorithms in accuracy and peak memory usage.

DLoRA on Adapter We evaluate DLoRA performance with Serial Adapter (Hu et al., 2023) over different downstream tasks and LLMs. All the settings are kept the same as those described in Section 4.1. The evaluation on accuracies are presented in Table 6. We notice that DLoRA also outperforms FT over multiple tasks and LLMs, demonstrating the generalizability of DLoRA across various finetuning schemes. The adapter can't be fused into the original module safely, so it cannot save as many resources as possible (the adapter can save around 30% of the whole compute budge).

Impact of Quantization Precision By default, the activation and gradient matrices exchanged between the cloud and the user device are encoded as a 32-bit floating-point number. To further reduce communication costs, we implement low-precision quantization on the model, mapping the original full-precision numbers to their nearest quantized values. To demonstrate the impact of quantization precision, we employ 8-bit precision to quantize all models during the PEFT process, compared with

LLM	Bidwidth	BoolQ	PIQA	SIQA	HellaSwag	WinoGrande	OBQA	ARC-easy	ARC-challenge
Bloom-Z	FP32 (baseline)	64.6%	70.5%	73.2%	67.5%	65.0%	72.1%	75.1%	44.6%
	8 bits	+1.8% ↑	+5.1% ↑	+1.6% ↑	-15.5% ↓	-1.8% ↓	-12.9% ↓	-20.7% ↓	- 5.6% ↓
LLaMA	FP32 (baseline)	74.3%	79.7%	77.0%	73.6%	65.7%	73.8%	71.6%	46.7%
	8 bits	-6.7%↓	+2.7% ↑	-3.2% ↓	-6.8% ↓	-3.3%↓	+0.0%	- <mark>0.8%</mark> ↓	-1.9% ↓
OPT	FP32 (baseline)	64.8%	78.0%	72.6%	45.0%	48.0%	35.4%	46.0%	28.8%
	8 bits	-2.8%↓	+1.0% ↑	-2.4% ↓	-13.8% ↓	-0.2% ↓	-1.2% ↓	-17.4%↓	+0.6% ↑

Table 5: Accuracies under different budget (B) and quantization bitwidth (Q). We present the accuracy variations relative to the baseline setting (B=16, Q=32).

LLM	Budgets	BoolQ	PIQA	SIQA	HellaSwag	WinoGrande	OBQA	ARC-easy	ARC-challenge
	16 (baseline)	70.0%	76.2%	72.4%	68.0%	63.4%	72.0%	69.8%	45.8%
Bloom-Z	8	-1.4%↓	+1.0% \uparrow	0.0%	+4.0% ↑	-1.2%↓	+1.0% \uparrow	+1.8% ↑	-3.3%↓
	4	-3.0%↓	+0.8% ↑	-1.6%↓	+3.4% ↑	-4.0%↓	-8.2%↓	-3.8%↓	-4.6%↓
	16 (baseline)	66.4%	79.4%	72.4%	75.4%	73.1%	73.1%	63.6%	44.0%
LLaMA	8	-0.7%↓	+1.2% ↑	-6.0%↓	+5.6% ↑	-1.7%↓	+1.3% ↑	-1.1%↓	+6.6% ↑
	4	-3.8%↓	+1.1% \uparrow	-8.0%↓	-0.7%↓	-1.8%↓	+ 0.7% ↑	-11.6%↓	+0.6% ↑
OPT	16 (baseline)	67.74%	74.40%	72.20%	47.8%	50.4%	33.8%	46.0%	26.2%
	8	+4.4% ↑	+5.2% ↑	+0.4% ↑	+1.6% ↑	+2.6% ↑	+8.8% ↑	+3.4% ↑	-3.2%↓
	4	+4.0% ↑	+1.6% ↑	+0.2% ↑	+2.0% ↑	+2.2% ↑	+8.2% ↑	+1.0% ↑	-2.0%↓

Table 6: Accuracy performance evaluation with Adapter across all the tasks over different LLMs.

floating-point precision; this will further lead to $4 \times$ saving on communication overhead. The results across various datasets under different computer budgets are presented in Table 5. It is observed that the accuracies experience a modest decrease.

5 Conclusion

The paper introduces DLoRA, a novel distributed solution tailored for efficient PEFT operations spanning cloud and edge devices. DLoRA utilizes the Halt and Proceed algorithm to enhance efficiency during the fine-tuning process, resulting in substantial reductions in computational and communication workloads. Experimental findings illustrate that compared to both cloud-only and edge-only solutions, our DLoRA system notably minimizes computation and communication while upholding accuracy and privacy.

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