TeamSaarLST at the GEM'24 Data-to-text Task: Revisiting symbolic retrieval in the LLM-age

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Abstract

Data-to-text (D2T) generation is a natural language generation (NLG) task in which a system describes structured data in natural language. Generating natural language verbalization for structured data is challenging as the data may not contain all the required details (here, properties such as gender are missing from the input data and need to be inferred for correct language generation), and because the structured data may conflict with the knowledge contained in the LLM's parameters learned during pretraining. Both of these factors (incorrect filling in of details, pretraining conflict and input data) can lead to so-called hallucinations.

In this paper, we propose a few-shot retrieval augmented generation (RAG) system, using a symbolic retriever – PropertyRetriever. Additionally, we experiment with state-of-the-art large language models (LLMs) to generate data verbalizations. Our system achieves the best results on 4 out of 6 subtasks for METEOR and chrF++ metrics. We present our results along with an error analysis. We release our code for reproducing the results as well as the generated verbalizations from all the experiments for any further explorations here.¹

1 Introduction

Nowadays LLMs are pretrained using trillions of text tokens² (Penedo et al., 2024). These LLMs can not only generate grammatical and fluent text, but they are also capable of learning new tasks without any training data using in-context learning techniques (Lampinen et al., 2022). One central challenge in LLMs research is to understand the extent to which LLMs memorize their training data versus how they generalize to new tasks and settings. There has been some empirical evidence that LLMs do some degree of both: they clearly

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memorize parts of the training data – for example, LLMs are often able to reproduce large portions of training data verbatim (Yu et al., 2023; Carlini et al., 2023) – but LLMs also seem to learn from this data, allowing them to generalize to new tasks. Do LLMs truly produce new content, or do they only remix their training data? Until we concretely answer this question, it is essential to test model faithfulness systematically through various data augmentation techniques.

The task of data-to-text generation is one of the popular NLG tasks. In this task, the system is given a set of RDF triplets describing facts (i.e., entities and relations between them) and has to produce a fluent text that is faithful to the facts. The GEM'24 (Mille et al., 2024) challenge brings forth a new shared task on data-to-text generation to test LLMs for factual information (i.e., information in the model parameters is likely to be in line with the input), vs. counterfactual information (i.e., the information in the prompt contrasts with what the model encodes about this entity) vs. fictional entities (i.e., the model parameters should not contain specific information supporting or contradicting the prompt information.)

The GEM'24 shared task consists of two subtasks of generating texts from input triple sets (*Subject* | *Property* | *Object*) in the WebNLG fashion. We participate in both the subtasks. One of the subtasks (D2T-1) is based on the WebNLG dataset. This subtask uses the official WebNLG test set³ as input for testing the generation system. The test data contains 1,779 input triples with properties and entities not seen in the training/dev data. The second subtask (D2T-2) is based on the Wikidata. This subtask uses 1,800 newly compiled input triples from Wikidata for testing the generation system. Axelsson and Skantze (2023) proposed this dataset containing 74 new properties and entities,

¹https://github.com/mayankjobanputra/d2t-gem ²https://www.together.ai/blog/

³https://huggingface.co/datasets/GEM/web_nlg

which were not part of the WebNLG dataset.

In recent years, LLMs such as GPT-4 (Achiam et al., 2023), Gemini (Team et al., 2023) and LLaMa (Touvron et al., 2023), have made significant advancements in the field of natural language generation (NLG). However, the inherent tendency of these LLMs to generate inaccurate or non-factual content, commonly referred to as "hallucinations" (Puzikov and Gurevych, 2018; Ji et al., 2023), continues to present a significant challenge. This generally occurs because the model parameters from pretraining encode some information, which may "overwrite" the information in the prompt due to its high sequence probability. Another challenge with structured data is that the data does not contain all the required details such as entity type, gender and relation explanation. If the model fails to infer these details correctly, it may generate hallucinated verbalization.

In the literature, Shuster et al. (2021) suggests that providing relevant examples during inference can help in reducing hallucinations. While Moryossef et al. (2019) suggests using an explicit, symbolic, text planning stage for generating more faithful verbalization of data. In this work, we combine these suggestions and propose a few-shot RAG system to solve this task, using a symbolic retriever - PropertyRetriever. Figure 1 illustrates the architecture of our system. We experiment with state-of-the-art open-weight models for generating verbalization. In the following sections, we describe the dataset, our approach and provide a detailed study of the errors made by the system.

2 Dataset

The GEM'24 shared task introduced novel augmented test sets for both WebNLG and Wikidata. These augmented test sets consist of 3 parallel datasets as follows:

- **Factual (FA)**: This subset contains triples from the WebNLG and Wikidata datasets.
- **Counterfactual** (**CFA**): This subset consists of swapped entities from the factual dataset. These entities are switched based on their class (i.e., a person entity is replaced by another person entity, a date by another date)
- Fictional (FI): This subset consists of madeup entities, obtained via LLM prompting, in place of factual entities.

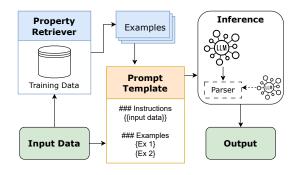


Figure 1: System architecture

Further details and example data for each subtask are available on the shared-task website⁴.

3 Method

Our final system consists of a few-shot RAG pipeline that verbalizes the input data. In the following subsections, we describe the details of each component of our RAG pipeline.

3.1 Preprocessing

Our preprocessing step takes an RDF triple as input data and removes unnecessary information from it. For example, the input RDF triple contains the following header:

<entry category="WikiData human", eid="Id1", shape="unknown", shape_type= "unknown", size="2">

We realized that the entry header does not include any helpful information for verbalization. Hence, we remove it from the input data. We only keep the data between <modifiedtripleset> and </modifiedtripleset> tags.

3.2 PropertyRetriever

We observed that the verbalization mostly depends on the number of triples and the Property fields in the triple. The Property field should help in determining the correct verb and verb form. Let's consider the following example.

INPUT TRIPLE:

```
Baked_Alaska | country | France
Baked_Alaska | region | New_York
Baked_Alaska | ingredient | Christmas_pudding
```

VERBALIZATION:

⁴https://gem-benchmark.com/shared_task

Christmas pudding is an ingredient in Baked Alaska, which comes from the region of New York and the country of France.

For the example above, the model needs to be able to connect all the generated sentences naturally and in a human-like manner. Moreover, it needs to infer the following details:

- *Baked_Alaska* is a food dish based on the property *ingredient*.
- The property *ingredient* suggests that *Christmas pudding* is an ingredient in *Baked_Alaska*.

In the literature, it is shown that the model can learn to perform such inferences based on few-shot prompting (Lampinen et al., 2022) and retrieval augmented generation (Lewis et al., 2020). Generally, all RAG pipelines use a dense retriever to retrieve relevant samples from the training data. We started by building a dense retriever pipeline using Haystack (Pietsch et al., 2019) framework. The dense retriever failed to retrieve examples containing similar properties, especially for the Counterfactual and the Fictional datasets. We realized that this was due to the nature of the dense retriever which is trained to retrieve semantically similar examples. Most of the query input consists of the (Subject|Object) tokens. Hence, it retrieved examples that are more similar to the query Subject and the Object tokens.

To solve this issue, we take inspiration from Moryossef et al. (2019) and build a symbolic retriever - PropertyRetriever, that retrieves samples from the training based on the most similar properties. The retriever first creates an in-memory index of all properties from the training triples. At query time, it takes the properties of the input triples and returns the best-matching data points. Additionally, these best-matching data points are also selected in a way that the number of properties in the query data and the retrieved data are similar (i.e., shape matching). If no matching properties are found, then the retriever returns the random data points of the same shape. We observed such random sample returns for 130 test points in the WebNLG subtask.

Finally, we compared the verbalizations of 20 input triples using both PropertyRetriever and dense retrievers. We find that the samples from the

PropertyRetriever helped LLMs generate better verbalizations compared to the dense retriever.

3.3 Prompt Engineering

We employ the prompting guidelines provided by the model publishers and Bsharat et al. (2023) for creating our few-shot prompt. We provide our final version of the few-shot prompt in Appendix A.1. Note that the final prompt is a template containing placeholders for the input data and retrieved examples, focusing majorly on task instructions. We use Banks (Pippi, 2023) to populate this prompt template with input data and the example data points dynamically at run time.

3.4 Inference

The main goal of our system is to generate suitable verbalization of the input data triples. For the same, we prompt the state-of-the-art LLMs, in a few-shot manner. We use Mixtral 8x7B and Command-R for all our experiments and compare their performance.

Mixtral 8x7B: Mixtral (Jiang et al., 2024) is a decoder-only sparse mixture-of-experts network where the feedforward block picks from a set of 8 distinct groups of parameters. At every layer, for every token, a router network chooses two of these groups (i.e., "experts") to process the token and combine their output additively. This technique increases the number of parameters of a model while controlling cost and latency, as the model only uses a fraction of the total set of parameters per token. Concretely, Mixtral only uses 12.9B parameters per token out of 46.7B total parameters.

Command R: Command-R is a 35 billion parameter decoder-only model. It is optimized for conversational interaction and long context tasks. It has been trained with the ability to ground its generations. This means that it can generate responses based on a list of supplied document snippets, and it will include citations in its response indicating the source of the information. This makes it a good candidate for RAG tasks.

Implementation details: We use Ollama⁵ to run both Mixtral⁶ and Command-R⁷ models locally. We utilize 4-bit quantized versions of these models. We run all our experiments using 2x NVIDIA

⁵https://github.com/ollama/ollama

⁶https://ollama.com/library/mixtral

⁷https://ollama.com/library/command-r

RTX 3090s. The inference hyperparameters are provided in Table 1.

seed	5
temperature	0.5
repeat_penalty	1.2
top_p	0.9
top_k	25

Table 1: Inference Hyperparameters of LLMs

3.5 Postprocessing

We observe that both Mixtral and Command-R cannot follow the formatting instructions perfectly. Zhou et al. (2023) also made similar observations for GPT-4 and PaLM models. We also noticed that it is easier for these models to follow simpler formatting instructions than more complex ones. For example, we initially prompted models to generate verbalization in a JSON format, to which they often made small mistakes such as missing a closing bracket, a semicolon, or a closing quote. We then updated our formatting instructions to just keep the generated verbalization between <verbalization>, </verbalization> tags. After this change, Command-R always generated the verbalization in the correct format and Mixtral's formatting mistakes were reduced significantly.

We parse the model's responses by retrieving the text between <verbalization>, and </verbalization> tags. This way we detect the erroneous responses from both models. We discuss the error patterns in the error analysis section. Finally, we create an ensemble system with both Mixtral and Command-R. For the final output, we use the verbalization generated by the betterperforming model (i.e., primary model) if our system can parse the response. Otherwise, we use the verbalization generated by the fallback model (i.e., secondary model) as the final output. We discuss the final choice of primary and secondary models in Section 5.1.

3.6 Evaluation

The system-generated text is assessed with reference-less automatic metrics such as BLEU (Papineni et al., 2002), METEOR (Banerjee and Lavie, 2005), chrF++ (Popović, 2015), BERTScore (Zhang et al., 2020), and via human evaluation. The criteria for the human evaluation are the following:

- Grammaticality: The text is free of grammatical and spelling errors.
- Fluency: The text flows well and is easy to read; its parts are connected in a natural way.
- **No-Omissions**: All the information from the input data is present in the text.
- **No-Additions**: Only the information from the input data is present in the text.

4 Shared Task Results

The GEM'24 shared task organizers provide evaluation scores for all participating systems and subtasks using 4 metrics – BLEU, METEOR, chrF++ and BERTScore. These scores are calculated with 1 AMT reference text per data point. Our system achieves the best results on 4 out of 6 subtasks for METEOR and chrF++ metrics. For detailed results and comparison with participating systems, please refer to the overview literature (Mille et al., 2024).

5 Performance Analysis

In this section, we provide the results of our human evaluation study. We conduct this study to finalize our primary and secondary model for the final system. We also discuss observed error patterns during the evaluation.

5.1 Human Evaluation

We conduct a human evaluation study ourselves on a small subset of 40 input triples. These triples are collected from Counterfactual and Fictional datasets. We apply filtering based on our observation that the models generate better verbalization for factual and smaller input triples. Hence, the filtered triples contain more than 3 properties each.

We use the same evaluation criteria mentioned in Section 3.6. We ask our human annotator to rate the model's response on each criterion based on our evaluation guidelines (refer to Appendix A.2). We report the results of this study in Table 2. The results indicate that Mixtral performs better compared to Command-R.

5.2 Error Analysis

We dive deeper into the human evaluation study to figure out exact error patterns. We discuss two of the most commonly observed issues here. INPUT TRIPLE:

```
What_Ever_Happened_to_Baby_Jane? | publisher | Gruppo_Mondadori
What_Ever_Happened_to_Baby_Jane? | followedBy | I_Am_a_Cat
What_Ever_Happened_to_Baby_Jane? | author | Horst_Köhler
What_Ever_Happened_to_Baby_Jane? | releaseDate | 1726-01-01
```

GENERATED VERBALIZATION:

The publisher of What Ever Happened to Baby Jane is Gruppo Mondadori. Its author is Horst Köhler, and it was released on January 1, 1726. Following What Ever Happened to Baby Jane is I Am a Cat.

Figure 2: Example of imperfect verbalization

Criteria	Mixtral	Command-R	Max Score
Fluency	110	105	120
Grammaticality	113	104	120
No Omissions	39	35	40
No Additions	39	38	40

Table 2: Human evaluation scores for the Mixtral and Command-R models.

5.2.1 Fluency issues

We speculate that the fluency issues majorly arise due to the unknown entity type. We provide an example of such an instance in Figure 2. In this case, it is evident that the entity name "What_Ever_Happened_to_Baby_Jane?" or properties (publisher, followedBy, author, releaseDate) may not help in identifying the entity type. Here, the entity may be a movie, book, or literary article. While humans may be able to infer the entity type, we observed cases where LLMs fail to infer entity type or gender from the properties.

5.2.2 Formatting issues

The other major error we observed was that both Mixtral and Command-R can add extra tokens at the beginning of their responses. The most commonly observed beginning tokens for Mixtral are: "It is mentioned that" and for Command-R: "Modified tripleset:". Further, we observe that Mixtral fails to follow the formatting instructions for almost 800 instances out of 1800 total instances. For these 800 instances, we could not extract the verbalization based on our postprocessing steps. Based on the human evaluation and error analysis results, we choose Mixtral as our primary model and Command-R as the secondary model.

6 Conclusion

In this paper, we describe our solution for the datato-text generation shared task. We propose a symbolic retriever method – PropertyRetriever, to retrieve better examples for Data-to-text generation problems. We further explore the capabilities of two state-of-the-art LLMs, Mixtral and Command-R. Combining the insights from our human evaluation study and error analysis, we propose an ensemble system as our final solution.

In the future, we would like to explore multiturn correction and planning approaches. We believe such approaches may allow the model to selfcorrect its formatting errors and generate verbalizations with better fluency.

Limitations

Our findings require further experimentation on more datasets since we only test our approach on the GEM'24 shared task datasets. We also did not optimize the prompt for each model separately. Optimizing prompts individually for each model can lead to better results. Further, we also use the quantized version of the LLMs which may have affected the accuracy. Our comparison of the 20 samples for deciding between the dense retriever and PropertyRetriever can be further improved by doing a more systematic study. Lastly, our human evaluation study was conducted on a very small subset. For more reliable results, we suggest conducting the human evaluation study on a larger subset.

Ethical Considerations

The human evaluation study presented in this work is carried out by a student assistant at the university. They were paid fairly as per the university payment standards. We also advise evaluating our methods on larger validation sets before using them for other domains and datasets.

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⁸https://perspicuous-computing.science

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A Appendix

A.1 Final Prompt

###Instruction###: just verbalize the following data without beginning prompt in a natural, human-like manner. ###Criteria###: Follow these criteria
carefully:

1. Keep the generated sentences in a flow and the generated text should sound human-like.

2. Copy the entities correctly from the data.

3. Replace '_' with a space.

4. Use the punctuation marks correctly without any extra spaces.

You may look at the following examples for the writing style, but only for style. Do not copy anything from the following examples, otherwise you will be penalized.

###Examples###:

Ex-1: {{ ex_1 }} Ex-2: {{ ex_2 }} Ex-3: {{ ex_3 }}

###Important Notes###:

 The verbalization output MUST only contain the verbalization of ###Data### in a natural, human-like manner.
 Ensure that generated ###Data### verbalization MUST be between <verbalization> and </verbalization> tags.

A.2 Human Annotation guidelines

In this task, the model is given data triplets, where each triple is made of *Subject* | *Property* | *Object* and is asked to verbalize this data in a natural, human-like manner.

We need your help to evaluate the model responses based on the following criteria:

Grammaticality: The text is free of grammatical and spelling errors.

Fluency: The text flows well and is easy to read; its parts are connected in a natural way.

No-Omissions: ALL the information in the table is present in the text.

No-Additions: ONLY information from the table is present in the text.

We would like you to focus the most on Fluency and Grammaticality. No-Omissions and No-Additions are binary criteria.

Grammaticality (1-3 scale):

• 1 (Low): The response contains severe grammatical errors that significantly hinder under-

###Data### : {{ data }}

standing. This may include missing words, subject-verb disagreement, incorrect verb tenses, or nonsensical sentence structure.

- 2 (Medium): The response may contain some grammatical errors, but they are not so severe as to completely obscure the meaning. These errors might include misuse of articles ("a," "an," "the") or prepositions, or minor subject-verb agreement issues.
- 3 (High): The response is free of grammatical errors and adheres to the rules of English grammar.

Fluency (1-3 scale):

- 1 (Low): The response is difficult to read due to awkward phrasing, choppy sentence structure, or lack of variety. It may sound unnatural or unclear.
- 2 (Medium): The response reads mostly smoothly, but there may be occasional awk-ward phrasing or clunky sentences.
- 3 (High): The response reads effortlessly and sounds natural. The sentences are wellconstructed and varied, and the overall flow of ideas is clear and logical.

No-Omissions - Please choose 0 to indicate Missing Information or 1 to indicate No Missing Information.

No-Additions - Please choose 0 to indicate Extra Information/Hallucinated Information or 1 to indicate No Extra Information.