A Novel Corpus of Annotated Medical Imaging Reports and Information Extraction Results Using BERT-based Language Models

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Abstract

Medical imaging is critical to the diagnosis, surveillance, and treatment of many health conditions, including oncological, neurological, cardiovascular, and musculoskeletal disorders, among others. Radiologists interpret these complex, unstructured images and articulate their assessments through narrative reports that remain largely unstructured. This unstructured narrative must be converted into a structured semantic representation to facilitate secondary applications such as retrospective analyses or clinical decision support. Here, we introduce the Corpus of Annotated Medical Imaging Reports (CAMIR), which includes 609 annotated radiology reports from three imaging modality types: Computed Tomography, Magnetic Resonance Imaging, and Positron Emission Tomography-Computed Tomography. Reports were annotated using an event-based schema that captures clinical indications, lesions, and medical problems. Each event consists of a trigger and multiple arguments, and a majority of the argument types, including anatomy, normalize the spans to pre-defined concepts to facilitate secondary use. CAMIR uniquely combines a granular event structure and concept normalization. To extract CAMIR events, we explored two BERT (Bi-directional Encoder Representation from Transformers)-based architectures, including an existing architecture (mSpERT) that jointly extracts all event information and a multi-step approach (PL-Marker++) that we augmented for the CAMIR schema.

Keywords: Natural Language Processing, Radiology, Information Extraction, Corpus, Clinical Informatics

1. Introduction

Radiology reports document radiologists' interpretation of medical images through detailed narrative text. Although some studies have explored structured reports that utilize common data elements to express radiologists' interpretations through predefined medical concepts (Rubin and Kahn Jr, 2017), the majority of radiology reports utilize narrative text (Willemink et al., 2020). Information extraction (IE) techniques can automatically convert unstructured reports to structured semantic representations to allow utilization of the textual information in secondary-use applications. Example applications include cohort discovery (Casey et al., 2021), epidemiology (Casey et al., 2021), image retrieval (Gerstmair et al., 2012), automated followup tracking (Mabotuwana et al., 2019), computervision applications (Zech et al., 2018), decision support (Demner-Fushman et al., 2009), and report summarization (Wiggins et al., 2021).

Although there is a well-established body of radiology IE research, most of this research focuses on specific clinical tasks (Casev et al., 2021; Donnelly et al., 2022) or medical conditions, utilizes a single imaging modality, or implements an annotation schema that does not comprehensively capture the available information. To address these limitations, we introduce a novel annotated corpus, the Corpus of Annotated Medical Imaging Reports (CAMIR), that is relevant to a broad set of applications. CAMIR includes Computed Tomography (CT), Magnetic Resonance Imaging (MRI), and Positron Emission Tomography-Computed Tomography (PET-CT) reports. The reports are annotated using a granular event schema, where clinical indication, lesion, and medical problem findings are characterized through multiple arguments, including assertion values (present vs. absent), normalized anatomy using a hierarchical ontology of 87 SNOMED-CT concepts, and other clinically important attributes. CAMIR includes

609 annotated radiology reports with 1,494 indication events, 5,709 lesion events, and 6,255 medical problem events. CAMIR has a high interannotator agreement (>0.805 F1) for event triggers and an overall inter-annotator agreement of 0.762 F1. We present initial IE results using two BERT (Bi-directional Encoder Representation from Transformers)-based language models trained and evaluated on CAMIR, including the Multi-label Span-based Entity and Relation Transformer (mSpERT) (Eberts and Ulges, 2020; Lybarger et al., 2023) and an augmented version of Packed-levitated Markers (Ye et al., 2022) (referred to as PL-Marker++). Both architectures achieve performance comparable to the interannotator agreement (IAA), with PL-Marker++ achieving the highest overall performance.

2. Related Work

There is a significant body of research that explores IE within the radiology domain, including the creation of annotated corpora and the development of extraction models (Pons et al., 2016; Casey et al., 2021; López-Úbeda et al., 2022). In this section, we discuss existing research in clinical NLP focusing on radiology corpora and relevant IE techniques.

2.1. Radiology Corpora

Radiology reports present nuanced and complex descriptions of medical findings, which existing annotated corpora capture with varying degrees of granularity. Document-level or sentence-level annotations map relevant text to normalized values, targeting diverse label categories such as metastases characteristics (Do et al., 2021) and incidental findings (Trivedi et al., 2019). Entity annotations identify phrases of interest, capturing concepts like anatomical location (Wang et al., 2019) or tumor attributes (Yim et al., 2016). Relation and event annotations enable more nuanced representations, like the multi-attribute characterization of medical problems (Lau et al., 2022). Selected studies have integrated the normalization of radiological concepts related to anatomy (Lybarger et al., 2022; Datta and Roberts, 2022; Nishigaki et al., 2023) and other radiology terminology (Datta et al., 2020a).

Existing corpora often exhibit limitations in various dimensions, such as the diversity of the patient populations represented, the range of imaging modalities included, the scale of the datasets, or the granularity and comprehensiveness of the annotation schemas employed. Some studies concentrate on specific diseases or conditions like hepatocellular carcinoma (Yim et al., 2016) or ap-

pendicitis (Rink et al., 2013), limiting the represented patient populations. Others are limited to single imaging modalities (Lau et al., 2022; Sugimoto et al., 2021) or small corpora (n<200) (Hassanpour and Langlotz, 2016). Other relevant relation extraction work does not include the normalization of extracted spans to key concepts (Jain et al., 2021). More recent work (Lybarger et al., 2022) extracts findings with the associated normalized anatomy values; however, the findings are not fully characterized through granular attributes.

To our knowledge, CAMIR is the first annotated corpus to uniquely combine clinical concept normalization with granular event annotations to comprehensively capture important clinical findings. Additionally, CAMIR includes a diverse set of reports from three imaging modalities that were sampled from all patients at the University of Washington (UW). CAMIR's fine-grained annotation schema with concept normalization and heterogeneous set of reports can support a wide range of secondary-use applications.

2.2. IE Methods in Radiology

Early radiology IE research employed discrete machine learning models with engineered features. For instance, Support Vector Machines were used to detect appendicitis findings (Rink et al., 2013) and Conditional Random Fields were utilized to extract anatomy and findings (Hassanpour and Langlotz, 2016). These discrete modeling approaches were supplanted by neural network architectures, such as Convolutional Neural Network and Recurrent Neural Networks. These neural architectures outperform their predecessors in many radiology IE tasks, including but not limited to recommendation extraction (Carrodeguas et al., 2019; Steinkamp et al., 2021), clinical concept identification (Zhu et al., 2018), and spatial information extraction (Datta et al., 2020b). Currently, pre-trained Language Models dominate the IE landscape in radiology, similar to other domains. BERT (Devlin et al., 2019) models have been extensively implemented for tasks ranging from observation detection (Irvin et al., 2019) to anatomy classification (Nishigaki et al., 2023) and relationbased finding extraction (Lybarger et al., 2022). Most recently, Generative Pre-trained Transformers (GPT) models are being leveraged to extract structured information from radiology reports (Fink et al., 2023; Mukherjee et al., 2023; Adams et al., 2023). In this paper, we present the extraction results of two high-performing BERT-based models, which were tailored to reflect the granularity of our annotation schema and serve as a foundation upon which future work can build.

3. Methods

3.1. Corpus Creation

We used an existing clinical database of 1,417,586 CT, 541,388 MRI, and 39,150 PET-CT reports from 2007-2020 which includes the general patient population from four UW Medical System hospitals. We randomly sampled reports from each modality: 203 CT, 202 MRI, and 204 PET-CT. The reports were automatically de-identified using a neural de-identifier (Lee et al., 2021). The study was approved by the UW Institutional Review Board (IRB).

3.1.1. Annotation Schema

In CAMIR's event schema, each event includes a trigger that identifies the event and arguments that characterize the event. Table 1 summarizes the schema, and Figure 1 presents annotation examples from the BRAT rapid annotation tool (Stenetorp et al., 2012), which was used throughout the annotation process. CAMIR includes three event types: (1) *Indication* - reason for the imaging (e.g., "cancer" in line 1 of Figure 1), (2) Lesion - massoccupying pathological structures (e.g., "metastasis" in line 3 of Figure 1); and (3) Medical Problem - non-mass-like abnormalities, defined as a finding that is not a potential mass (e.g., "scarring" in line 1 of Figure 1). There are two argument types: (1) span-only arguments assign text spans an argument label (e.g., "focal" assigned Characteristic argument in line 2 of Figure 1) and (2) span-with-value arguments assign text spans both an argument label and argument subtype label (e.g., "New" assigned Size Trend argument with subtype value new in line 2 of Figure 1). To improve the granularity of our annotation schema, anatomy arguments are normalized to a set of hierarchical anatomical SNOMED-CT concepts, including 16 Anatomy Parent and 71 Anatomy Child labels listed in Table 2 (e.g., "Bilateral apical lung" assigned Anatomy Parent - Respiratory and Anatomy Child - Lung in line 1 of Figure 1).

3.1.2. Annotation Process

Four medical students annotated CAMIR. A senior radiology resident and an experienced board-certified attending radiologist provided domain expertise in creating the annotation guidelines and resolving the ambiguities during annotation. The annotation guidelines were designed with the efforts of a medical resident and a board-certified radiologist with 20+ years of experience and profound knowledge of clinical NLP. After a series of meetings, we updated the annotation guidelines

multiple times to ensure the guidelines accurately and comprehensively capture the indication, finding, and lesion information relevant to a wide range of clinical research, including our current exploration of cancer and incidental findings. The hierarchical anatomy normalization schema was developed with the help of a board-certified radiologist by reflecting the widely used SNOMED-CT concepts. Two pairs of two medical students doubly annotated 357 reports, and 252 reports were single-annotated by the same annotators. Annotators reached a consistent level of IAA after 5 rounds of double annotation. Disagreements were adjudicated with the help of domain experts who created and revised the annotation guidelines when needed. We then transitioned to single annotation for the next four rounds to expedite the annotation process. CAMIR includes training, validation, and test set splits (70%:10%:20%). The training set is 41% doubly annotated, and the entire validation and test sets are doubly annotated to ensure evaluation reliability.

We singly annotated the training set to create a larger and more diverse training set, while providing the most robust data set for the validation and test sets using double-annotation. The consistency of annotations between singly annotated and doubly annotated reports was evaluated by analyzing the average frequency of labels per report. The doubly annotated reports have an average of 2.65±0.48 Indication, 10.15±1.31 Medical Problem, and 9.77±0.99 Lesion triggers per report, and the singly annotated reports include an average of 2.14±0.26 Indication, 9.91±2.58 Medical Problem, and 8.78±1.06 Lesion triggers per report. The frequency of triggers is slightly lower in the singly annotated reports, suggesting there is some reduced annotation recall for the singly annotated reports; however, the evaluation was performed on the doubly annotated test set, and any annotation noise associated with the singly training examples is captured by this evaluation.

3.2. Extraction Architectures

To extract the CAMIR events, we explored two state-of-the-art BERT (Devlin et al., 2019)-based Language Models: (1) mSpERT (Eberts and Ulges, 2020; Lybarger et al., 2023) and (2) an augmented version of PL-Marker (Ye et al., 2022) referred to as PL-Marker++. For both systems, we decomposed events into a set of entities and relations, where the relation head is a trigger and the relation tail is an argument.

3.2.1. mSpERT

SpERT (Eberts and Ulges, 2020) jointly extracts entities and relations using BERT (Devlin et al.,

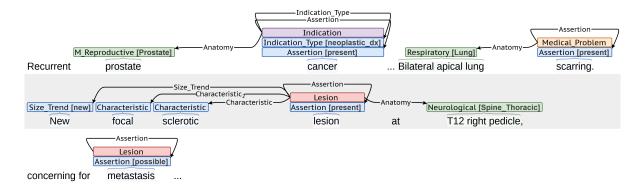


Figure 1: Examples of sentences annotated with event schema

Event	Trigger/ Argument	Argument subtypes	Span examples		
	Trigger*	-	"hemorrhage," "sepsis"		
Indication	Type [*]	{trauma, symptom, neoplastic diagnosis, non-neoplastic diagnosis}	"seminoma," "sarcoid"		
	Assertion*	{present, absent, possible}	"r/o," "concern"		
	Anatomy	Anatomy Parent and Child labels ⁺	"abdominal," "alveolar"		
	Trigger*	-	"'lymhpadenopathy"		
	Assertion*	{present, absent, possible}	"most likely,"		
Lesion	Anatomy	Anatomy Parent and Child labels ⁺	"lower back"		
	Size	{current, past}	"up to 5mm"		
	Size Trend	{new, disappear, increasing, decreasing, no-change}	"increasing in size"		
	Count	_	"multiple," "numerous"		
	Characteristic	-	"peripheral," "enlarged"		
Medical	Trigger*	-	"dilation," "calcification"		
Problem	Assertion*	{present, absent, possible}	"possibly"		
-	Anatomy	Anatomy Parent and Child labels⁺	"mucosal," "supraagger"		

Table 1: Summary of the event schema. * indicates the argument is required. * Anatomy Parent and Anatomy Child are list in Table 2. "Dx" refers to diagnosis.

2019) with output layers that classify spans and predict relations. mSpERT (Lybarger et al., 2023) includes additional output layers to allow multilabel span predictions, which we use to predict subtype labels. Figure 2 shows the mSpERT architecture, which includes Entity Type, Entity Subtype, and Relation output layers. The Entity Type and Relation layers of mSpERT are identical to the original SpERT implementation, and the Entity Subtype layer allows multi-label span predictions. The Entity Type classifier (ϕ_e) is a linear layer that operates on the sentence representation (e_{CLS}), max-pooled span hidden states ($e(s_i)$), and learned span width embeddings (w_{k+1}). The Entity Subtype classifiers (ϕ_S) are separate linear layers for each span-with-value argument that operate on the same input as the Entity Type classifier but also incorporate the Entity Type logits. The Relation classifier (ψ_r) predicts links between entity spans using a linear layer that operates on maxpooled spans $(e(s_i)$ and $(e(s_l))$, span width embeddings (w_{k+1}) , and max-pooled hidden states between the entity spans $(c(s_i,s_j))$. The mSpERT predictions can generate the CAMIR event structure.

3.2.2. PL-Marker++

PL-Marker (Ye et al., 2022) is a multi-stage extraction framework, where the first stage identifies entities and second stage resolves relations. To extract CAMIR events, we introduced an augmented version of PL-Marker, referred to as PL-Marker++, which includes a third classification stage for the *span-with-value* subtype labels. Figure 3 presents

Anatomy Parent	Anatomy Children
Abdomen	Abdominal Wall, Adrenal Gland, Mesentery, Peritoneal Sac, Retroperitoneal, & Spleen
Body Regions	Entire Body, Lower Limb, Pelvis, & Upper Limb
Cardiovascular	Arterial, Coronary Artery, Heart, Pericardial Sac, Pulmonary Artery, & Venous
Digestive	Esophagus, Intestine, Large Intestine, Small Intestine, & Stomach
Female Reproductive & Obstetric	Adnexal, Breast, Extra-embryonic, Female Genital Structure, Fetus, Ovary, Placenta, Umbilical Cord, & Uterus
Head & Neck	Ear, Eye, Laryngeal, Mouth, Nasal Sinus, Neck, Pharynx, & Thyroid
Hepato-Biliary	Bile Duct, Gallblader, Liver, & Pancreas
Lymphatic	-
Male Reproductive	Epididymis, Prostate, & Testis
Musculo-Skeletal	Bone/Joint, & Skeletal and/or Smooth Muscle
Neurological	Brain, Cerebrospinal Fluid Pathway, Cerebrovascular System, Extraaxial, Nerve, Pituitary, & Spine - Cervical, Cord, Lumbar, Sacral, Thoracic, or Unspecified
Respiratory	Lung, Pleural Membrane, & Tracheobronchial
Skin	Skin and or Mucous Membrane, & Subcutaneous
Thoracic	Mediastinal
Urinary	Kidney, Ureter, & Urinary Bladder
Miscellaneous	Adipose Tissue, Biomedical Device, & Connective Tissue

Table 2: Anatomy Parent-Child Hierarchy. All 16 Parent and 71 Child labels map to SNOMED-CT concepts, but label names are shortened for space. All Parent labels include an *Undetermined* child label.

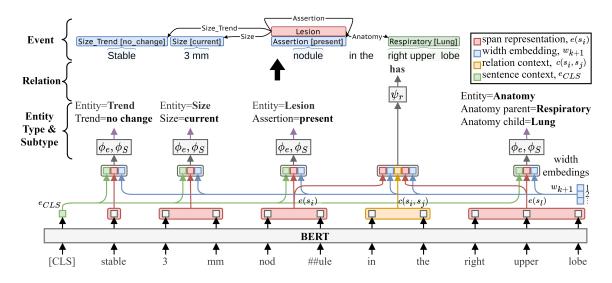


Figure 2: mSpERT framework

the PL-Marker++ architecture, where the Entity Type and Relation stages are identical to the original PL-Marker model. The Entity Type stage uses a group packing approach to process many spans concurrently while considering their interdependencies. The Relation stage uses a subject-oriented packing strategy to pack each relation head and all associated relation tails into an instance, allowing the dependencies between span pairs to be modeled. The Entity Subtype classi-

fication generates a new input sentence for each extracted entity, where typed markers identify the target entity. This entity-specific version of the sentence feeds into BERT, and the CLS token hidden state feeds into a multi-label classifier consisting of separate linear layers for each *span-with-value* argument.

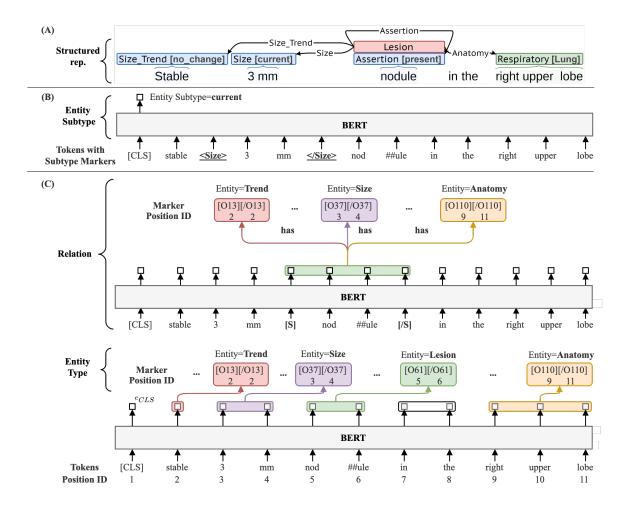


Figure 3: PL-Marker++ framework

3.3. Evaluation

Model hyperparameters were tuned using the CAMIR training and validation sets, and the final performance is reported for the withheld CAMIR test set. Performance is presented using the overlap span equivalence criterion, where two spans are considered equivalent if they overlap. For instance, when extracting anatomy spans in line 2 of Figure 1, the anatomy span, "right pedicle" would be considered equivalent to "T12 right pedicle" since there is an overlap between the spans. Triggers are considered equivalent if the event types are identical and spans overlap. Span-only arguments are considered equivalent if the argument types match, argument spans overlap, and connected triggers are equivalent. Span-with-value argument equivalence is similar to the equivalence of span-only arguments, except that the subtype labels must also match. Overlap span equivalence is relevant to the CAMIR annotation schema and extraction task as most arguments are normalized to predefined concepts. This overlap criterion is also suited for downstream secondary-use applications, and we performed extensive error analyses to validate this criterion (see Section 5.3). Performance is evaluated using precision, recall, and F1, and statistical significance is calculated using a non-parametric (bootstrap) test (Berg-Kirkpatrick et al., 2012).

4. Results

4.1. Corpus

This section summarizes CAMIR, including the IAA and distribution of annotations. Table 3 presents IAA for the doubly annotated reports. The overall IAA for all the triggers and arguments in the doubly-annotated reports was 0.762 F1 using the criteria defined in Section 3.3. Consensus regarding the trigger annotation was higher at 0.856, 0.805, and 0.854 F1 for *Indication*, *Lesion*, and *Medical Problem* triggers, respectively. *Size*, *Size Trend*, and *Count* occur much less frequently than the other arguments, contributing to the lower IAA for these arguments. *Characteristic* spans are very linguistically diverse, resulting in frequent false negatives. The double annotation and adjudication of the validation and test sets

mitigates the impact of this lower IAA on the evaluation.

Event type	Argument type	F1
Indication	Trigger Assertion Anatomy Indication Type	0.856 0.820 0.797 0.804
Lesion	Trigger Assertion Anatomy Size Size Trend Count Characteristic	0.805 0.762 0.710 0.715 0.560 0.564 0.481
Medical Problem	Trigger Assertion Anatomy	0.854 0.815 0.751
Overall		0.762

Table 3: Inter-annotator agreement (IAA) for doubly annotated radiology reports (n=357)

Table 4 summarizes the distribution of the annotated phenomena in CAMIR. While the focus of the imaging modality may differ, the distribution of annotations is similar across modalities for most argument types. In each report, 2.4-2.5 *Indication* triggers were identified and the reason for the imaging test was mostly *neoplastic diagnosis*, which refers to the abnormal growth of certain tumors. The number of *Lesion* and *Medical Problem* was similar in all three modality types, where most triggers for both events were assigned *Assertion* value *present*. Approximately 9.2-9.7 *Lesion* and 10.2-10.4 *Medical Problem* events were identified on average in each radiology report.

Lesion-specific attributes such as *Characteristic*, *Size*, *Size Trend*, *Count* add supplementary clinical information that might be crucial for interpreting the result of the imaging tests. In addition, we provide *Assertion* values to each event to clearly indicate the absence, possibility, or presence of each finding. These *Assertion* labels are very important for creating accurate and comprehensive representations and are relevant to wide range of secondary use cases. The granularity of CAMIR also provides the opportunity for more advanced multi-modal research by combining text and relevant images.

4.2. Information Extraction

Table 5 summarizes the extraction performance on the held-out CAMIR test set. PL-Marker++ achieved significantly higher overall performance than mSpERT (0.759 F1 vs 0.736 F1). While the performance of mSpERT and PL-Marker++ models were similar for extracting *Indication* and *Medi-*

cal Problem triggers and arguments, PL-Marker++ performed significantly better in extracting Lesion triggers and all but one argument type. The PL-Marker++ model achieved gains of $+\Delta 0.05$ F1 in extracting Characteristic, Size, and Size Trend arguments for Lesion events. The overall improved performance of PL-Marker++ can be attributed to the infusion of the trigger and argument location information through all layers of the BERT model.

5. Discussion

5.1. Annotation Quality

The IAA for CAMIR exceeds 0.70 F1 for most arguments. Exceptions are Size Trend, Count, and Characteristic. We observed that Size Trend and Count are relatively infrequent in our data set and are therefore easy to overlook during annotation. Characteristic was introduced as an inclusive catchall category, resulting in diverse lexical phrasing and semantics, consequently yielding a comparatively low IAA.

The IAA for *Lesion* and *Medical Problem* triggers was above 0.80 F1. Majority of the remaining disagreements resulted from ambiguity between event types. For example, generic words such as "disease" can refer to a *Lesion* trigger in the context of "residual disease," indicating a small number of cancer cells. At the same time, "disease" can refer to a *Medical Problem* in the context of "small vessel disease". Similarly, "recurrence" can be either *Lesion* or *Medical Problem* depending on the finding that is recurring.

5.2. Model Performance

Table 5 shows the BERT models achieved the highest performance for the extraction of triggers and some of the more regularly-expressed arguments such as *Count. Anatomy* is a crucial argument for capturing the meaning of the radiology reports and has an extraction performance of 0.628-0.718 F1, indicating further study is needed to improve extraction performance.

5.3. Strict vs Overlap Evaluation

To validate the span overlap criterion, we evaluated the performance of PL-Marker++ on event triggers using a strict, exact match span criterion. This evaluation resulted in test set performance of 0.749 F1 for *Indication*, 0.681 F1 for *Lesion*, and 0.765 F1 for *Medical Problem* triggers. There were 279 triggers that were equivalent using the overlap criterion but not equivalent using exact match. We manually reviewed these trigger predictions to assess their clinical meaning relative to the reference

Event Type	Argument Type	Argument Subtype	Frequency (avg. per report)			
Lvent type	Argument Type	Argument oubtype	СТ	MR	PET-CT	
	Trigger	-	507 (2.5)	496 (2.4)	491 (2.4)	
		present	449	435	436	
Indication	Assertion	absent	11	1	5	
		possible	47	60	50	
		neoplastic dx	184	181	193	
	Туре	non-neoplastic dx			91	
	Турс	symptom			134	
		trauma	23	32	21	
	Anatomy	all	276	263	278	
	Trigger	-	1855 (9.2)	1967 (9.7)	1887 (9.3)	
		present	1190	1302	1222	
	Assertion	absent	547		539	
		possible	118	134	126	
	Anatomy	all	2321	2536	2378	
Lesion	Size	current	303	364	349	
		past	46	63	36	
		decreasing	26	38	36	
		disappear	507 (2.5) 4 449 11 47 184 112 149 23 276 1855 (9.2) 19 1190 547 118 2321 303 46 26 22 35 64 109 119 762		26	
	Size Trend	increasing			32	
		new	CT N 507 (2.5) 496 449 4 11 47 6 184 1 112 1 149 1 23 3 276 2 1967 1967 1190 13 547 5 118 1 2321 25 303 3 46 6 26 3 2 1 35 6 6 5 64 5 6 5 109 1 1 762 8 2063 (10.2) 2111 1217 12 607 5 239 2 2197 23 2 2 2 2 2 3 3 3 3 4 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6		46	
		no change	109	142	130	
	Count	-	119	112	132	
	Characteristic	-	762	841	921	
	Trigger	-	2063 (10.2)	2111 (10.4)	2080 (10.2	
Medical		present		1294	1189	
Problem	Assertion	absent		592	631	
		possible	239	1 60 181 102 150 32 263 1967 (9.7) 1302 531 134 2536 364 63 38 18 61 58 142 112 841 2111 (10.4)	260	
	Anatomy	all	2197	2316	2083	
		Total number of reports (N)	203	202	204	

Table 4: Distribution of the annotated event types and arguments in CAMIR by modality. Numbers in parentheses indicate the average number of triggers per report.

triggers. For all 279 of these discrepancies between the overlap and strict criterion, the predicted triggers still captured all information important to identifying clinical findings. We found that 203 of these trigger predictions were shorter than the reference, often omitting modifiers (e.g. reference - "Mild FDG activity" vs. predicted - "FDG activity" or reference - "hypodense lesions" vs. predicted - "lesions") and 76 trigger predictions were longer than the reference, often including modifiers (e.g. reference - "lesion" vs. predicted "mass lesion" or reference "carcinoma" vs. predicted "renal cell carcinoma").

5.4. Generalizability of the Annotation and Extraction Performance

Our annotation guidelines are designed to be comprehensive and foundational to derive overall clinical findings from radiology reports. The guidelines

do not rely on specific templates or formats used in our institution. Even though the structure of the medical imaging reports may differ across modalities or institutions, we expect the description of the main clinical findings in the reports to be compatible with our annotation guidelines. Moreover, although our annotation focused on three imaging modalities, the annotation schema is not specific to particular modalities. Therefore, we anticipate that minimal modifications will be required to the annotation schema to create annotated datasets at different institutions or for other modality types, if any. However, since the content and linguistics may vary among institutions and modality types, directly using information extraction models trained on CAMIR may achieve lower performance on the reports at other institutions or for other modalities. Domain adaptation of the CAMIR-trained models may be required, to maintain high performance.

Event	Argument	Count	mSpERT			PL-Marker++		
	7 ga		Р	R	F1	Р	R	F1
	Trigger	285	0.818	0.758	0.787	0.878	0.705	0.782
	Assertion	285	0.816	0.730	0.770	0.852	0.684	0.759
Indication	Anatomy Parent	157	0.696	0.554	0.617	0.711	0.580	0.639
	Anatomy Child	157	0.675	0.529	0.593	0.711	0.580	0.639
	Туре	262	0.783	0.687	0.732	0.782	0.683	0.729
	Trigger	1169	0.859	0.846	0.853	0.880	0.888	0.884^{\dagger}
	Assertion	1169	0.840	0.810	0.825	0.863	0.870	0.866^\dagger
	Anatomy Parent	1448	0.753	0.620	0.680	0.769	0.673	0.718^{\dagger}
Lesion	Anatomy Child	1448	0.720	0.586	0.646	0.733	0.642	0.684^{\dagger}
Looion	Characteristic	652	0.654	0.420	0.512	0.776	0.477	0.591^{\dagger}
	Count	75	0.833	0.800	0.816	0.902	0.733	0.809
	Size	294	0.761	0.670	0.713	0.890	0.691	0.778^{\dagger}
	Size Trend	206	0.720	0.587	0.647	0.795	0.714	0.752^{\dagger}
	Trigger	1271	0.897	0.832	0.863	0.886	0.866	0.875
Medical Problem	Assertion	1271	0.878	0.802	0.839	0.854	0.834	0.844
	Anatomy Parent	1349	0.792	0.623	0.697	0.752	0.633	0.688
	Anatomy Child	1349	0.725	0.563	0.633	0.687	0.578	0.628
OVE	OVERALL 12847 0.798 0.684 0.736 0.805 0.718		0.718	0.759 [†]				

Table 5: Event extraction performance for mSpERT and PL-Marker++ evaluated using overlap criteria on the held-out test set. Higher F1-scores are bolded. † indicates statistical significance (p < 0.05)

6. Conclusion

We introduce a novel annotated corpus, CAMIR, consisting of CT, MRI, and PET-CT reports from a large hospital system. CAMIR has been annotated using a granular event schema, where clinical indication, lesion, and medical problem findings are captured through multiple arguments and most arguments are normalized to predefined radiological concepts. Using CAMIR, we explored two BERTbased architectures (1) mSpERT, an existing system which jointly extracts all event information, and (2) PL-Marker++, a system that extracts the event information through multiple stages, which we augmented before applying to CAMIR. These systems performed comparable to IAA. Our PL-Marker++ achieved significantly higher overall performance than mSpERT (0.759 F1 vs 0.736 F1). These systems show that the fine-grained information in CAMIR can be reliably extracted by automatic methods. While these systems perform well overall, triggers and their assertion arguments are more reliably extracted than other arguments such as anatomy. The annotation guidelines for CAMIR and the source code for the IE models presented in this paper are available on our GitHub repository*. CAMIR is unique in that it combines clinical concept normalization with the granularity of relation/event annotations to produce comprehensive semantic representations that can easily be incorporated into secondary-use applications, including clinical decision support (Demner-Fushman et al., 2009), surveillance (Haas et al., 2005), follow-up

tracking (Mabotuwana et al., 2019), report simplification (Qenam et al., 2017), cross-specialty diagnosis correlation (Filice, 2019), and automated impression generation (Wiggins et al., 2021).

7. Limitations

This study is limited to data from a single urban hospital system and focuses on three imaging modalities. While CAMIR includes more than 13,000 clinical events, it only consists of 609 reports. Therefore, the generalizability of the annotated corpus and extraction architectures to other hospital systems and other imaging modalities needs further exploration. In future work, we will incorporate additional modalities such as radiographs, ultrasound, and mammography. Additionally, we will evaluate the performance of larger generative Large Language Models (e.g. GPT4) in fine-tuning and in-context learning settings.

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^{*}https://github.com/uw-bionlp/CAMIR

9. Ethics

We obtained the necessary approvals from our institution's IRB, with a waiver of patient consent to use their clinical notes. Radiology reports may contain patient Protected Health Information (PHI), like names, contact information, and other identifiers. Each report was automatically de-identified using a neural de-identification model and then subsequently manually de-identified by medical student annotators, to ensure no remaining PHI. All radiology reports, including the original and deidentified versions, were stored on a Health Insurance Portability and Accountability Act (HIPAA)compliant server, to ensure patient privacy. All researchers and annotators received the necessary human subjects training to interact with patient data, including PHI.

The annotated reports in our corpus were randomly sampled from the general population of patients with medical imaging from a single institution. The demographics of the patients were not considered during data collection, and the patient populations in our corpus may not be representative of populations at other institutions or the broader population, which may inadvertently bias the distribution of annotated medical conditions. Additionally, radiology reports of other institutions may differ in format and language. These factors may impact the generalizability of the extraction models developed using the corpus.

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Appendix A. SNOMED-CT Concepts for Anatomy Normalization

Anatomy Parent	Anatomy Children	Count		
Abdomen (113345001)	Abdominal Wall (83908009), Adrenal Gland (23451007), Mesentery (89679009), Peritoneal Sac (118762006), Retroperitoneal (699600004), Spleen (78961009), Undetermined			
Cardiovascular System (59820001)	Arterial (51114001), Coronary Artery (41801008), Heart (80891009), Pericardial Sac (76848001), Pulmonary Artery (81040000), Venous (119553000), Undetermined	770		
Digestive System (49596003)	Esophagus (32849002), Intestine (113276009), Large Intestine (14742008), Small Intestine (30315005), Stomach (69695003), Undetermined			
Female Reproductive System (27436002) & Obstetric (308762002)	Adnexal (23043003), Breast (76752008), Extra-embryonic (314908006), Female Genital Structure (53065001), Fetus (55460000), Ovary (15497006), Placenta (78067005), Umbilical Cord (29870000), Uterus (35039007), Undetermined	272		
Head & Neck (774007)	Ear (117590005), Eye (371398005), Laryngeal (4596009), Mouth (385294005), Nasal Sinus (2095001), Neck (45048000), Pharynx (54066008), Thyroid (69748006), Undetermined			
Hepato-Biliary System (34707002, 122489005)	Bile Duct (28273000), Gallbladder (28231008), Liver (10200004), Pancreas (15776009), Undetermined	609		
Lymphatic (91688001)	Undetermined	559		
Male Reproductive System (90264002)	Epididymis (87644002), Prostate (119231001), Testis (40689003), Undetermined	49		
Miscellaneous	Adipose Tissue (55603005), Biomedical Device (63653004), Connective Tissue (21793004), Undetermined	59		
Musculoskeletal (312717002)	Bone/Joint, Skeletal and or Muscle (71616004), Undetermined	1811		
Neurological System (25087005)	Brain (12738006), Cerebrospinal Fluid Pathway (280371009), Cerebrovascular System (28661005), Extraaxial (1231004), Nerve (3057000), Pituitary (56329008), Spine Cervical (122494005), Spine Cord (2748008), Spine Lumbar (122496007), Spine Sacral (699698002), Spine Thoracic (122495006), Spine Unspecified (421060004), Undetermined			
Other Body Regions (272625005)	Entire Body (38266002), Lower Limb (61685007), Pelvis (12921003), Upper Limb (53120007), Undetermined	887		
Respiratory System (714323000)	Lung (39607008), Pleural Membrane (3120008), Tracheobronchial (91724006), Undetermined	1200		
Skin (400199006)	Skin and or Mucous Membrane (707861009), Subcutaneous (71966008), Undetermined	58		
Thoracic (51185008)	Mediastinum (72410000), Undetermined	772		
Urinary System (122489005)	Kidney (64033007), Ureter (119220009), Urinary Bladder (89837001), Undetermined	378		

Table A1: Anatomy Parent-Child SNOMED Hierarchy. SNOMED concept names are shortened due to lack of space. There are 16 Parent and 71 Child labels. Undetermined Child labels are catch-all categories. Count represents the number of annotations for Parent labels.