

Multimodal Chart Retrieval: A Comparison of Text, Table and Image Based Approaches

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Abstract

We investigate multimodal chart retrieval, addressing the challenge of retrieving image-based charts using textual queries. We compare four approaches: (a) OCR with text retrieval, (b) chart derendering (DEPLOT) followed by table retrieval, (c) a direct image understanding model (PALI-3), and (d) a combined PALI-3 + DEPLOT approach. As the table retrieval component we introduce TAB-GTR, a text retrieval model augmented with table structure embeddings, achieving state-of-the-art results on the NQ-TABLES benchmark with 48.88% R@1. On in-distribution data, the DEPLOT-based method (b) outperforms PALI-3 (c), while being significantly more efficient (300M vs 3B trainable parameters). However, DEPLOT struggles with complex charts, indicating a need for improvements in chart derendering - specifically in terms of chart data diversity and the richness of text/table representations. We found no clear winner between methods (b) and (c) in general, with the best performance achieved by the combined approach (d), and further show that it benefits the most from multi-task training.

1 Introduction

Multimodal retrieval is the task of retrieving a relevant piece of information from a multimodal dataset, given a query. This task has been extensively studied in the context of text and image retrieval (Yu et al., 2022) or text and table retrieval (Herzig et al., 2021; Kostić et al., 2021), but has received relatively little attention in the context of visually grounded images such as charts and scientific figures.

Charts are an important source of information within scientific and technical domains. They are often used to summarize multifaceted data, conveying insights (Hsu et al., 2021; Obeid and Hoque, 2020) as well as facilitating the interpretation of intricate domains encompassing

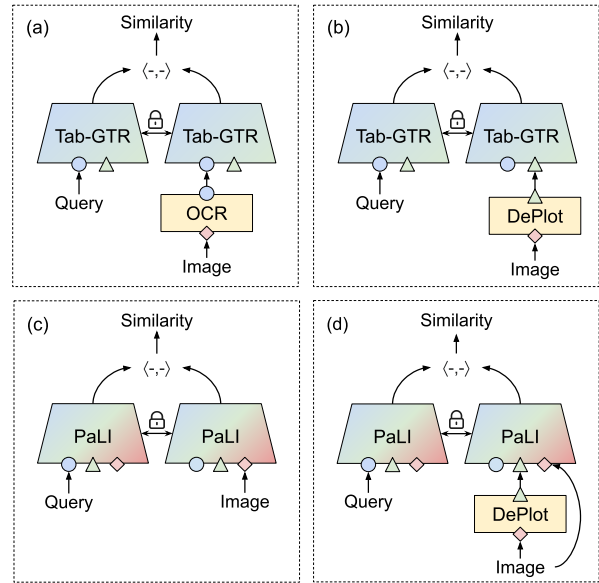


Figure 1: A graphical overview of the four text to chart retrieval approaches evaluated in this work. All models are symmetric dual encoder (with weights shared between the left and right towers). We train the models to optimize in-batch contrastive loss, without using hard negatives, and evaluate four different approaches: (a) OCR \rightarrow Text Retrieval; (b) Chart DeRendering \rightarrow Table Retrieval; (c) VLM Retrieval; (d) Chart DeRendering \rightarrow VLM Retrieval. The small shapes represent different modalities: blue circle for text, green triangle for tables and red rhombus for images. The components in yellow are black-box modules converting the image into text or table, and they are not trained or back-propagated through.

financial data analysis, news reporting, and scientific disciplines (Siegel et al., 2016). In this context, chart retrieval assumes a pivotal role as a potent tool addressing diverse real-world applications. Within the scholarly research domain (Wang et al., 2023), chart retrieval can enable the exploration and analysis of scientific literature that incorporates visual elements. Furthermore, in the realm of fact verification (Lo et al., 2022; Akhtar et al., 2023), chart retrieval offers distinct advantages, par-

ticularly in domains such as financial and climate data, where visual representations provide valuable insights and patterns.

To the best of our knowledge, this work is the first to investigate multimodal retrieval on chart images, addressing the limited research in this domain. We begin by establishing a powerful table retrieval model that serves as a backbone for subsequent experiments, starting from the assumption that images of charts are a visual representation of an underlying table. To this end, we propose extending text retrieval models with row and column embeddings modeling the table structure, borrowing the main ideas from [Herzig et al. \(2020\)](#); [Andrejczuk et al. \(2022\)](#). Our proposed model, TAB-GTR, achieves state-of-the-art results on the NQ-TABLES dataset ([Herzig et al., 2021](#)), resulting in an improvement of 4.4 absolute points in R@1. For chart retrieval, we compare four approaches, leveraging existing findings in the literature, as also graphically summarized in [Figure 1](#):

- (a) **OCR → Text Retrieval.** An OCR model, namely Tesseract ([Smith, 2007](#)), converts the chart image into a textual representation. The text is then processed by a text retrieval model, that is TAB-GTR.
- (b) **Chart DeRendering → Table Retrieval.** A chart de-rendering model, namely DEPLOT ([Liu et al., 2023a](#)), converts the chart image into a table representation. The table is then processed by a table retrieval model, that is TAB-GTR.
- (c) **VLM Retrieval.** A vision language model (VLM), such as PALI-3 ([Chen et al., 2023](#)) is used for chart retrieval, directly leveraging the content of the chart image.
- (d) **Chart DeRendering → VLM Retrieval.** We make use of the output of DEPLOT ([Liu et al., 2023a](#)) as an additional table input to PALI-3 along the image data. The model was additionally augmented with table structure embeddings ([Herzig et al., 2020](#); [Andrejczuk et al., 2022](#)).

We evaluate the four approaches on a dataset of charts. Due to the lack of available chart retrieval data, we adapt the CHARTQA, SCICAP, and CHART2TEXT datasets for retrieval. Our extensive experimentation shows that a chart derendering

pipeline coupled with a table retrieval model outperforms the VLM setup, when applied in-distribution data (e.g. CHARTQA). However, DEPLOT fails to generalize to more complicated charts (e.g. SCICAP), where it falls behind an OCR baseline.

We conclude analyzing the shortcomings of the chart derendering model suggesting that future work in this area should focus on developing more robust chart derendering pipelines that are able to handle a wider range of chart types and annotations. If realized these improvements can enable (a) more efficient resource utilization, as DEPLOT + TAB-GTR pipeline is significantly more efficient, with 300M trainable parameters compared to 3B of the PALI-3 encoder; (b) flexible applications of 0-shot chart derendering with large language prompting/retriever models, as done in ([Liu et al., 2023b](#)).

2 Related work

Text / Table Retrieval. Text retrieval has been extensively studied in the literature ([Karpukhin et al., 2020](#); [Ni et al., 2022](#)). In this work, we build upon existing work and repurpose a generalizable text retriever model to work on table inputs, following the same ideas of [Herzig et al. \(2020\)](#) and [Andrejczuk et al. \(2022\)](#). By building on top of a pre-trained text retrieval model ([Ni et al., 2022](#)) we achieve better performance than ([Herzig et al., 2021](#)) and ([Kostić et al., 2021](#)), without the need for hard-negative mining or more complex tri-encoder setup. Although the task of table retrieval is not new ([Liu et al., 2007](#)), to the best of our knowledge, there is no method that adapts the methodology for the task of chart retrieval.

Chart Retrieval. Existing academic chart retrieval approaches only use metadata about figures, such as the caption text or mentions in the body text, to respond to queries ([Xu et al., 2008](#); [Choudhury et al., 2013](#); [Li et al., 2013](#)). Other more recent works, focus on chart to chart retrieval. [Xiao et al. \(2023\)](#) propose a user intent-aware framework for retrieving charts that considers both explicit visual attributes and implicit user intents. However, in this scheme the query is a chart rather than a textual query, limiting the usefulness of the task. Similarly, [Ye et al. \(2022\)](#) use neural image embedding to facilitate exploration and retrieval of visualization collections based on visual appearance. To the best of our knowledge, our work is the first to investigate text query to chart retrieval, focusing on

understanding the content of figures.

3 Problem setup

We consider multimodal retrieval problems where a textual query is used to retrieve a document that can be a table, an image (specifically of a chart) or a combination of both.

3.1 Datasets

Due to lack of table and chart retrieval datasets we re-purpose datasets meant for question answering (QA), captioning or summarization. We use the following datasets, whereas general dataset statistics are summarized in Table 1.

NQ-TABLES (Herzig et al., 2021) A table question answering dataset created by filtering Natural Questions (Kwiatkowski et al., 2019) to only include questions for which the answer is contained in a table.

CHARTQA (Masry et al., 2022) A chart question answering dataset with charts gathered from Statista (statista.com), Pew (pewresearch.org), OWID (ourworldindata.org) and OECD (oecd.org). This dataset has two splits: “human” with human-written question-answer pairs and “augmented” with generated question-answer pairs.

CHART2TEXT (Obeid and Hoque, 2020) A chart summarization dataset of charts extracted from Statista and Pew with human-annotated textual summaries of the chart.

SCICAP (Hsu et al., 2021) A chart captioning dataset consisting of figures and figure captions extracted from scientific papers.

Some datasets (CHARTQA and the Statista subset of CHART2TEXT) include human-annotated **gold tables** representing the data on the chart. For each dataset we use the text (i.e. question, transcript or caption) as the **query** and the image plus when available the table as the retrieval **candidate**.

For training we treat each original training set example as a positive query-candidate pair. For evaluation we need a set of queries, a set of candidates and an assignment of the gold candidate to each query. For all datasets we use the evaluation set (dev or test) as the source of queries and gold candidates. Queries and candidates are deduplicated by exact match.

On NQ-TABLES we use all tables (train, dev and test) as evaluation candidates, following (Herzig

et al., 2021). These tables are deduplicated by string similarity as in (Herzig et al., 2021).

3.2 Evaluation

We use standard retrieval metrics, reporting recall at k ($R@k$), mean average precision (MAP) and the highest F1 score over any classification threshold (picked separately for each dataset). We report single run numbers as we have not seen significant variance between runs. We report the final numbers on the test sets, with the exception of NQ-TABLES for which we report dev set numbers in accordance with previous literature. We have used the dev sets for development and model selection.

3.3 Contextual queries.

QA datasets may include contextual queries, that is, queries formulated in the context of the chart. These queries are highly ambiguous and including them in the dataset adds noise to the training and evaluation metrics. To overcome this issue in a text passage setup, Choi et al. (2021) propose the use of decontextualizer model. To evaluate the scope of the problem and feasibility of this solution we have manually classified 50 examples from each split of CHARTQA into one of a few categories:

1. **Not contextual**, e.g. “How many people from the age group 80 years and above have died due to COVID in Italy as of June 8, 2021?”.
2. **Decontextualisable from text**, e.g. “When does the gap between the two countries reach the smallest?”. These can be decontextualized based on the text appearing on the chart and deplotted table data.
3. **Decontextualisable visually**, e.g. “What’s the peak value of dark brown graph?”. These can be decontextualized but require additional visual information from the chart, i.e. colors.
4. **Missing context**, e.g. “What is the ratio of yes to no?” with a chart that does not include specific labels for the “Yes”/“No” categories.
5. **Inherently contextual**, which include queries that ask for specific visual or mathematical reasoning on the chart and cannot be decontextualized, e.g. “What category does the red color indicate?” or “Are there any two bars having the same value?”.

Dataset	Table data	Image data	Type	Train examples	Eval queries	Eval candidates
NQ-TABLES	✓	×	QA	9594	1068	169 898
CHARTQA (human)	✓	✓	QA	7398	1228	625
CHARTQA (augmented)	✓	✓	QA	20 901	1235	987
CHART2TEXT (Statista)	✓	✓	Summarization	24 368	5222	5222
CHART2TEXT (Pew)	×	✓	Summarization	6500	1393	1393
SCICAP	×	✓	Captioning	333 442	41 410	41 682

Table 1: Datasets used in the paper. NQ-TABLES is used for assessing the quality of TAB-GTR, whereas the other datasets are used for benchmarking chart retrieval.

The results in Table 2 show that in CHARTQA (human) 70% of queries are contextual and text-only decontextualisation would only partially address this problem, leaving out 42% of all queries. Given the lack of a comprehensive solution, and to avoid further complexity, we have kept the data as-is.

We have not found this to be a problem in the other datasets: the CHARTQA (augmented) split is mostly non-contextual. NQ-TABLES queries are Google search queries from Natural Questions (Kwiatkowski et al., 2019), stated without context. Captions and summaries are highly informative about the content of the chart and do not present the same ambiguity problems.

	CHARTQA (h)	CHARTQA (a)
Not contextual	30%	94%
Decontextualisable from text	28%	0%
Decontextualisable visually	12%	0%
Missing context	4%	0%
Inherently contextual	26%	6%

Table 2: Analysis of query contextuality on CHARTQA. We have manually labeled 50 examples from each dataset. The augmented split queries are mostly non-contextual. In the human split 30% are non-contextual, 40% could be decontextualised based on textual or visual information from the chart and 30% cannot be decontextualised or are missing necessary context.

Other datasets. We decided against using PlotQA (Methani et al., 2020) because of its synthetic/template-based nature and focus on reasoning over a specific chart and high percentage of contextual queries (estimated by us to be around 70%). However the data might still be useful after filtering and decontextualisation, or as noisy chart retrieval pre-training data.

4 Table Retrieval with TAB-GTR

We present TAB-GTR, a multimodal extension of the GTR (Ni et al., 2022) model that handles both text and tabular data. We extend the T5 encoder architecture following the approach of (Herzig et al., 2021; Andrejczuk et al., 2022) by adding two-dimensional positional embeddings that encode the table structure. The overview of the model architecture is shown in Figure 2.

Given an input text t and input table with n columns and m rows and text $c_{i,j}$ in cell at column $1 \leq i \leq n$ and row $1 \leq j \leq m$ we tokenize each piece of text and concatenate them all into a single sequence. For each token we add two additional discrete features **text_col** and **text_row**:

- For tokens in the text t we set both **text_col** = **text_row** = 0.
- For tokens in a table cell $c_{i,j}$ we set **text_col** = i and **text_row** = j .

Columns and rows are embedded into feature vectors and the embeddings added to the token embeddings before being fed to the transformer encoder. This provides the network with absolute positional embeddings of the table row and column corresponding to the tokens. We also use relative positional attention bias inherited from the T5 architecture, which is based on the linearized token sequence and not aware of the table structure.

4.1 Model details

The only new parameters added to GTR are the column and row embeddings. We set the maximum row and column numbers to be 128, which for the large model results in $2 \times 128 \times 768 \simeq 197\text{K}$ new parameters, which is negligible compared to the total 334M parameters. We initialize these embeddings from scratch and learn them entirely during fine-tuning on the final task. All the other parameters are initialized from a pre-trained GTR checkpoint. We use a symmetric retrieval model, i.e. the

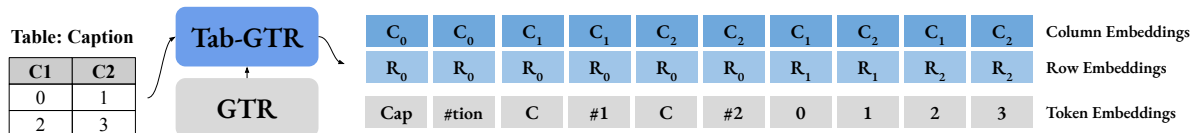


Figure 2: TAB-GTR leverages a GTR checkpoint (Ni et al., 2022) as a backbone model (represented in grey) and adds two dimensional positional embeddings (represented in blue) to represent table structure (i.e. row and columns), as done by Herzig et al. (2020); Andrejczuk et al. (2022). This is a minimal addition in terms of #params, on top of GTR, as the structural embeddings represent $< 0.06\%$ of the total.

left and right tower share weights. We have not tried an asymmetric setup as the added complexity and memory requirements.

4.2 Evaluation on NQ-TABLES

We evaluate the performance of the TAB-GTR model, as well as vanilla GTR without the extra table structure embeddings, on the dataset NQ-TABLES. We train the models to optimize in-batch contrastive loss, without using hard negatives.

We have tuned the hyperparameters for the GTR model and used the same values for TAB-GTR, as the models are extremely similar. We trained both for 1000 steps with batch size 1024, using the Adafactor optimizer (Shazeer and Stern, 2018) with constant learning rate 0.0003. The dropout rate is set to 0.1 during training.

The evaluation results are in Table 3. The TAB-GTR model achieves state of the art results and significant improvement over GTR, with 89.42% recall at 10 compared to 87.64% of GTR and 86.40% of the best previously published result Kostić et al. (2021).

Model	NQ-TABLES (dev)			
	R@1	R@10	R@50	R@100
TAPAS, large	35.90	75.90	91.40	N/A
+ hard negatives (Herzig et al., 2020)	44.20	81.80	92.30	N/A
Tri-encoder BERT (Kostić et al., 2021)	N/A	86.40	N/A	96.7
GTR, large (Ni et al., 2022)	44.48	87.64	96.63	97.57
TAB-GTR, large	48.88	89.42	97.85	98.60

Table 3: Comparison of table retrieval models on NQ-TABLES (dev split). TAB-GTR is the simplest and best performing model.

4.3 Conclusions

The addition of table positional embeddings to a text model achieves a significant improvement at a

negligible cost, adding only 0.06% extra model parameters, makes no difference on training times and does not require additional pretraining. According to (Herzig et al., 2020) table positional embeddings also improve performance of models specifically pretrained on table data. That makes this method an obvious inclusion to maximize model performance on table data. Given its strongest performance we will use TAB-GTR as the base text/table model for experiments on chart retrieval.

5 Chart Retrieval

5.1 Models

We compare a direct image understanding approach to approaches using an intermediate text or table representation.

5.1.1 Direct image understanding

For direct image understanding we use PALI-3 (Chen et al., 2023), a 5B parameter vision-language model. We discard the decoder and only use the encoder part of the model, consisting of a ViT vision encoder and a text transformer encoder. PALI-3 achieves very strong results on chart understanding tasks such as CHARTQA (Masry et al., 2022), outperforming Matcha (Liu et al., 2023b) and state of the art results on the cross-modal retrieval task XM3600 (Thapliyal et al., 2022).

We use PALI-3 as a symmetric multimodal dual encoder model, keeping both the ViT component and text encoder. We extend the model with table positional embeddings for table inputs (in the same way we did with GTR). Both towers are able to encode text, table and image data. If a modality is not present we simply do not include any tokens corresponding to that modality. Images are padded to a square shape and resized to resolution 448×448 pixels.

5.1.2 Text / Table representation

All text/table-based approaches use TAB-GTR as the base retrieval model. We compare different

ways of converting the chart to text or table data.

DEPLOT (Liu et al., 2023a) is a zero-shot image-to-table model trained to recover tabular data underlying a chart. The architecture is based on Pix2Struct (Lee et al., 2023), a ViT model with 282M parameters. We use the v2 checkpoint ¹.

OCR. We use Tesseract OCR engine (Smith, 2007) for its ease of use, widespread utilization, and satisfactory performance, despite the availability of more advanced OCR models (Kim et al., 2022; Li et al., 2023; Blecher et al., 2023). The decision was made considering that the chart images do not necessitate a more sophisticated OCR detection, as they primarily consist of clear and undistorted text (as opposed to for example pictures of handwriting). We feed to the model only the linearized OCR text output, without any bounding box information.

Gold tables. To establish a performance ceiling for the chart representation we use human-annotated table information present in the CHARTQA and CHART2TEXT (Statista) datasets.

5.2 Training

All models use the AdaFactor optimizer (Shazeer and Stern, 2018) with constant learning rate 0.0003 and bidirectional in-batch softmax cross entropy, as in CLIP (Radford et al., 2021).

Dual encoder training with in-batch negatives is highly sensitive to batch size as the quality of the approximation depends on the sample size. We use the same batch size of 256 for all experiments, as we have found that increasing it further does not give significant improvements.

For each experiment we pick the number of training steps by cross-validation on the dev set: we train the model until the dev set softmax accuracy (i.e. R@1 when viewed from the retrieval angle) stops improving and pick the checkpoint with the highest dev set accuracy.

We start from a **single-task setup** where we train a separate model for each of the tasks. Later we introduce a **multi-task setup** where the model is trained on a mixture of data from all the datasets. Multi-task training poses additional difficulties:

1. The loss depends on the mixture in a complicated way as it changes the distribution of

¹<https://huggingface.co/eisenjulian/matcha-deplot-v2>

negative samples. In the multi-task setup we consider negative pairs where the query and candidate come from different datasets.

2. The datasets have different sizes and levels of noise and require different early stopping schedule to avoid overfitting.

We propose to design a data mixture by picking sampling weights proportional to the best number of training steps on a given dataset in the single-task setup. To simplify the setup we use only a single set of weights: calculated as the average between the DEPLOT, OCR and PALI-3 models and rounded, shown in Table 4. We note that the weights are roughly proportional to dataset size, except for CHARTQA (human) which overfits quickly and was assigned a lower weight. We think that the overfitting is caused by the high proportion of contextual queries in this dataset. Our mixture design improves robustness to noisy data by lowering their weight in the mixture.

Mixture dataset	Weight	Fraction
CHARTQA (human)	0.75	1.3%
CHARTQA (augmented)	4.0	7%
SCICAP	40.0	69.9%
CHART2TEXT (Statista)	7.5	13.1%
CHART2TEXT (Pew)	5.0	8.7%

Table 4: Mixture weights and fraction of the batch sampled from the given dataset.

6 Experiments

6.1 Chart retrieval approaches

We compare the results of our chart retrieval approaches on the single-task setup in Table 5.

The first row shows the TAB-GTR model using human-annotated table data. As expected it generally outperforms other models, showing potential room for improvement in the chart representation on the CHARTQA (human) and CHART2TEXT (Statista) tasks. On the simpler template-generated CHARTQA (augmented) dataset DEPLOT achieves matching performance, showing the need for more realistic data.

We make the following observations:

1. The combined PALI-3 + DEPLOT achieves overall the strongest results. Compared to the image-only PALI-3 model adding DEPLOT

Model	CHARTQA (human)			CHARTQA (augmented)			SCICAP			CHART2TEXT (Statista)			CHART2TEXT (Pew)			AVG	
	R@10	MAP	F1	R@10	MAP	F1	R@10	MAP	F1	R@10	MAP	F1	R@10	MAP	F1	MAP	F1
TAB-GTR (gold table)	64.33	52.09	52.11	97.33	82.82	59.03	N/A	N/A	N/A	99.10	95.45	78.48	N/A	N/A	N/A	N/A	N/A
TAB-GTR + DePlot	62.95	48.77	45.70	96.76	81.25	60.59	56.55	44.48	46.53	98.76	93.88	69.04	95.12	82.85	68.81	70.25	58.13
TAB-GTR + OCR	60.10	45.86	44.57	84.94	63.27	46.88	61.42	48.64	47.55	93.74	81.11	62.00	98.78	92.15	79.12	66.21	56.02
PALI-3	58.88	42.90	37.00	95.14	75.36	49.83	76.92	64.06	54.49	98.12	90.40	71.32	99.35	92.17	75.59	72.98	57.65
PALI-3 + DePlot	60.75	45.17	41.35	97.57	78.97	57.26	77.05	63.50	55.08	98.77	93.11	71.94	99.35	91.90	75.30	74.53	60.19

Table 5: Comparison of the different approaches to chart retrieval in the single-task setup (last four rows), as graphically depicted in Figure 1. The first row is the oracle setup where the gold table is used instead. The last columns show the average over datasets.

tables increases the overall performance without significant regression on any single task. Results are generally inline with previous literature research, where adding additional information in addition to image inputs (e.g. OCR text) provide significant improvements (Chen et al., 2022).

2. The TAB-GTR + DEPLOT model performs well on CHARTQA (both splits) and CHART2TEXT (Statista), lagging behind on the other two datasets. We analyzed the deplotting accuracy in isolation (shown in Table 8). This supports that the low performance is caused by DEPLOT rather than TAB-GTR.
3. On CHARTQA (both splits) the TAB-GTR + DEPLOT model outperforms other models, including the PALI-3 + DEPLOT model. We argue this is because of strong DEPLOT performance on these datasets and TAB-GTR being a better text retriever than PALI-3 (as it was pre-trained for that tasks specifically).
4. On SCICAP the image-based models are clear winners. Charts in SCICAP are complex scientific plots, often with multiple subplots. This is a large deviation from the training distribution of DEPLOT which only includes single charts. We analysed some typical error patterns for this dataset (found in Figures 6 and 7); in particular visual elements play a more important role in this dataset compared to the others.
5. The OCR model generally performs the worst, except on CHART2TEXT (Pew) where it outperforms other models. In particular TAB-GTR + DEPLOT falls behind on this dataset. This situation does not happen on the Statista split on CHART2TEXT. We have found that charts in both datasets follow a fixed format (we show typical examples in Figure 3). Pew

examples are different from the other datasets in that they contain a long title, subtitle and additional notes, with much higher textual overlap between the OCR’d text and the query (shown in Table 6). This benefits the OCR model, while on the other hand DEPLOT often fails to capture this text (chosen examples shown in Figure 8), resulting in very low deplotting accuracy of 35% (Table 8).

Dataset	Query (# unique words)	OCR	Jaccard index	Query cov.
CHARTQA (h)	11	65	.03	.17
CHARTQA (a)	12	67	.02	.09
SCICAP	31	84	.04	.14
CHART2TEXT (S)	38	57	.05	.13
CHART2TEXT (P)	69	62	.14	.25

Table 6: For each dataset we compute the average number of unique words for the Query and text outputted by the OCR model, after a lower case normalization and using whitespace splitting. We report the Jaccard index between Query and OCR, and query coverage defined as percentage of unique words in the query that are covered by the OCR text.

6.2 Multi-task training

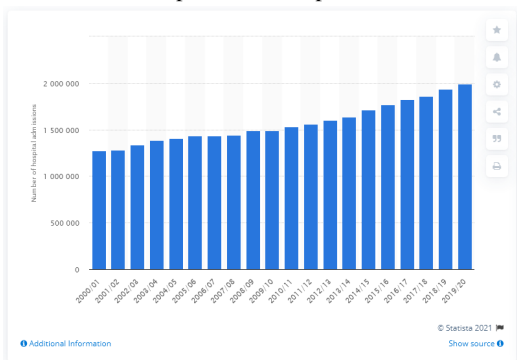
We investigate the impact of multi-task training on the model performance, showing the results and difference with respect to the single-task setup in Table 7. We have trained these models on the mixture described in Section 5.2.

We see that the image-based PALI-3 models overall benefit from multi-task training, while the text-based TAB-GTR models perform worse. A possible explanation is that all models experience loss from task interference, but the PALI-3 models benefit from increased data size for learning a common image representation. Indeed we see that on both CHARTQA splits the improvement is larger for the image-only model; supporting that the multi-task image representation is stronger as it

Model	CHARTQA (human)			CHARTQA (augmented)			SCICAP			CHART2TEXT (Statista)			CHART2TEXT (Pew)			AVG	
	R@10	MAP	F1	R@10	MAP	F1	R@10	MAP	F1	R@10	MAP	F1	R@10	MAP	F1	MAP	F1
TAB-GTR	61.24	47.75	44.43	97.73	81.42	56.00	57.01	44.99	46.42	98.66	92.25	64.05	93.83	79.34	64.43	69.15	55.07
+ DePlot	(-1.71)	(-1.02)	(-1.27)	(+0.97)	(+0.17)	(-4.59)	(+0.46)	(+0.51)	(-0.11)	(-0.10)	(-1.63)	(-4.99)	(-1.29)	(-3.51)	(-4.38)	(-1.1)	(-3.06)
TAB-GTR	59.61	45.61	43.86	86.88	65.09	47.34	61.42	48.47	48.04	93.57	79.19	54.07	98.06	91.44	78.34	65.96	54.33
+ OCR	(-0.49)	(-0.25)	(-0.71)	(+1.94)	(+1.82)	(+0.46)	(+0.00)	(-0.17)	(+0.49)	(-0.17)	(-1.92)	(-7.93)	(-0.72)	(-0.71)	(-0.78)	(-0.25)	(-1.69)
PALI-3	63.93	49.01	42.95	97.00	79.32	54.27	77.69	63.89	54.12	98.18	88.08	59.86	99.64	92.17	76.53	74.49	57.54
+ PALI-3	(+5.05)	(+6.11)	(+5.95)	(+1.86)	(+3.96)	(+4.44)	(+0.77)	(-0.17)	(-0.37)	(+0.06)	(-2.32)	(-11.46)	(+0.29)	(+0.00)	(+0.94)	(+1.51)	(-0.11)
PALI-3	61.97	48.52	45.28	97.65	82.91	57.66	76.96	63.30	53.96	98.77	93.13	70.71	99.78	93.15	77.94	76.20	61.1
+ DePlot	(+1.22)	(+3.35)	(+3.93)	(+0.08)	(+3.94)	(+0.40)	(-0.09)	(-0.20)	(-1.12)	(+0.00)	(+0.02)	(-1.23)	(+0.43)	(+1.25)	(+2.64)	(+1.67)	(+0.91)

Table 7: Results on chart retrieval in the multi-task setup. The numbers in the parentheses show the difference between the multi-task and single-task results.

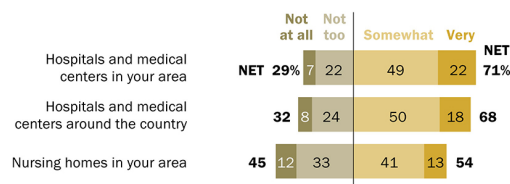
QUERY: In 2000/01 there were approximately 1.28 million adults admitted to hospital in England due to an illness caused by smoking. By 2019/20 the number of hospital admissions as a result of smoking had increased to approximately 1.99 million, the largest number during the provided time period.



QUERY: Health care providers at hospitals and medical centers around the country are on the front line of care for those ill with the virus. As Americans take stock of early efforts to control the outbreak, 71% are very or somewhat confident that hospitals and medical centers in their local area can handle patient needs.

Around seven-in-ten Americans are confident that hospitals can treat seriously ill people during COVID-19 outbreak

% of U.S. adults who are _____ confident in each to handle the medical needs of people who are seriously ill during the coronavirus outbreak



Note: Don't know responses not shown. Subtotals may not add to net totals due to rounding.

Source: Survey of U.S. adults conducted March 19-24, 2020.

*"Worries About Coronavirus Surge, as Most Americans Expect a Recession - or Worse"

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Figure 3: Typical Statista (top) and Pew (bottom) examples from CHART2TEXT. DEPLOT performs well on data-heavy examples from Statista but underperforms on text-heavy examples from Pew.

benefits less from added DEPLOT tables. Another reason could be that the model capacity of TAB-GTR (which is an order of magnitude lower than PALI-3) is too small to utilize the larger data.

Dataset	Precision	Recall	F1
<i>(DePlot prediction)</i>			
CHARTQA (human)	65.24	69.94	67.17
CHARTQA (augmented)	89.09	97.59	92.82
CHART2TEXT (Statista)	87.63	94.55	90.00
Dataset	Accuracy [†] (manually evaluated)		
SCICAP	15		
CHART2TEXT (Pew)	35		

Table 8: DEPLOT performance on the various datasets. For the datasets that provide gold tables as the target, we use the Relative Mapping Similarity (RMS) proposed in (Liu et al., 2023a) to assess the similarity between tables. As gold tables are not available for SCICAP and CHART2TEXT (Pew), we instead report Accuracy[†] as a proxy metric, manually evaluated on a randomly sampled set of 20 examples.

7 Conclusions

In this paper, we tackled the problem of chart retrieval, which, to the best of our knowledge, has not been explored before, at least in the context of text query to chart retrieval.

From the assumption that chart images are visual representations of an underlying table, we established a SOTA table retrieval backbone, TAB-GTR, combining the findings of Ni et al. (2022); Herzig et al. (2020); Andrejczuk et al. (2022). We found that when a good (e.g. human-annotated) table representation is available the TAB-GTR model outperforms other chart retrieval methods.

In the situation that only image data is available, our experiments on 5 datasets show that:

1. A derendering approach based on DEPLOT (Liu et al., 2023a) performs the best as long as the chart data does not deviate too

much from its training distribution. We show that DEPLOTT struggles with complex scientific charts in SCICAP, which often contain multiple subplots; and with text-heavy charts in Pew. These issues might be resolved by a more flexible representation that allows text and multiple tables and more diverse chart training data.

2. The image understanding approach based on (Chen et al., 2023) delivers the most flexibility, outperforming DEPLOTT outside its domain, however at the cost of 10x the model size. We show that PALI-3 benefits from multi-task training and argue that the improvements are likely coming from the model still learning the image representation for charts. This suggests that PALI-3 model might benefit from more chart pre-training data.
3. A pipeline approach combining DEPLOTT followed by PALI-3 achieves the best performance overall. Moreover the addition of DEPLOTT tables in the multi-task setting makes the model more robust to task interference, resulting in our strongest model.
4. The OCR baseline overall performs the worst, however can achieve very high performance on text-heavy charts.

We conclude that the approaches provide complementary benefits: a VLM can be extended with deplotter input to achieve both higher performance on chart data well represented by the deplotter and better flexibility.

Limitations

The following are the shortcomings of our work, which are presented in a transparent manner to encourage future research.

First, the chart retrieval datasets were not originally created for the retrieval task. Instead, they were adapted for this purpose. Additionally, the chart domains we tested were limited to a few domains (e.g. scientific figures and general statistics). This limitation is inherited from the existing academic chart QA datasets, which only cover a limited number of domains. Therefore, in order to fully assess retrieval performance, it may be beneficial to expand the scope of the work to include other domains (e.g. finance, news, etc.).

Related to the limitation above, we used a deplotter model, specifically DEPLOTT (Liu et al., 2023a), which, as we see in Table 5, does not seem to generalize to other domains. Indeed, OCR baselines, for very out-of-domain datasets, seem to generally perform better. This suggests that future work could focus on improving the robustness of the deplotter module.

Third, we only focused on the English language. We believe that this is an interesting area for future exploration. Datasets such as TATA (Gehrmann et al., 2023), could be used for follow-up work (unfortunately images are not part of the dataset release).

Despite these limitations, our work represents the first work to explore the problem of chart retrieval. We hope that future research will be able to build upon this foundation.

Ethics Statement

All the data we use is publicly available on the web with appropriate permissive licenses. The chart data has been obtained from publicly available, curated data sources and contains no personally identifiable information (PII) or offensive content. User query data in NQ-TABLES has been properly anonymized in (Kwiatkowski et al., 2019). Queries for other datasets have been either written by human annotators or automatically generated and contain no PII or offensive content. The risk is very low as retrieval models have no capability to output novel content, however it might reflect biases present in the datasets.

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A Experiment details

All the experiments used bidirectional cross entropy loss with in-batch negatives, batch size of 256, learning rate of 0.0003 and dropout rate of 0.1. Tables 9 and 11 show the number of training steps for each of our models. We stopped training when the validation metric stopped improving. The validation metric used is the average in-batch classification accuracy calculated on the dev set, with batch size 256 and up to 50 batches. For multi-task runs we use the average of per-task accuracy weighted by the mixture weights. We show the model size and computational requirements in tables 10 and 12. We estimate that the experiments in this paper cost around 4.9k TPU-hours.

B Error examples

In this section we show examples to illustrate the kind of errors the models make. We compare two models side-by-side and show examples where one model returns the correct answer in top k results and the other does not. We use $k = 5$ through this section. A limitation of this method is that it often finds spurious win/loss examples caused by model training stochasticity. To work around that we have manually chosen examples that we think show some error patterns.

B.1 TAB-GTR + DEPLOT vs TAB-GTR + gold tables

We look at examples where TAB-GTR + DEPLOT loses to TAB-GTR + gold tables. For this section we only consider datasets with gold tables available. We have found that the two models are very close in performance, however one consistent pattern shown in Figure 4 is that DEPLOT sometimes omits the title or axis labels.

B.2 PALI-3 vs TAB-GTR + DEPLOT

We have found following error patterns for DEPLOT (shown in Figures 5 to 7):

1. Failing to capture text on the chart, such as plot titles or axis labels. This is the same pattern as found in appendix B.1. Examples shown in figs. 5a and 6a.
2. Not capturing visual elements of the chart. On CHARTQA these are usually plot type (e.g. bar, pie) and line colors and we note that these wins are not relevant for retrieval because the

queries are highly contextual (fig. 5b). However on SCICAP (figs. 6b, 7a and 7b) PALI-3 is able to recognise more interesting visual information such as semantic content of the chart (e.g. "sigmoid function", "geodesic triangle") or visual placement of the subplots ("left: ..., right: ...").

3. Failing on complex charts with multiple subplots (figs. 6b and 7b). This is a limitation of the training data which only includes single-plot charts.
4. Failures on charts with a very large amount of data points (Figure 7b) where DEPLOT tries to capture all individual data points instead of more semantically relevant summary of the chart.

We have not found any specific error patterns for PALI-3. Rather we see that on data that does not trigger the above failure modes TAB-GTR + DEPLOT generally outperforms PALI-3.

Model	Training steps				
	CHARTQA (h)	CHARTQA (a)	SCICAP	CHART2TEXT (S)	CHART2TEXT (P)
TAB-GTR (gold table)	200	4500	N/A	4000	N/A
TAB-GTR + DePlot	900	7500	40 000	1500	5000
TAB-GTR + OCR	300	2500	40 000	8000	1000
PALI-3	1000	2500	40 000	15 000	2000
PALI-3 + DePlot	1100	2000	40 000	2000	1000

Table 9: Number of training steps selected by cross validation for single-task training. We stopped SCICAP at 40k steps because the progress become extremely slow. For model selection we used in-batch accuracy on the dev set.

Model	Batch size	# of TPU chips	TPU-h per 1k steps
TAB-GTR	1024	64	15.20
TAB-GTR	256	16	3.80
PALI-3	256	64	19.00
PALI-3 + DEPLOT	256	128	23.18

Table 10: Model computational requirements. We train our models on the Google Cloud TPU v4. Batch size 1024 is only used for NQ-TABLES and all other experiments use batch size 256. All TAB-GTR models (gold, +DEPLOT, + OCR) use the same sequence length and have the same memory requirements.

Model	Training steps
TAB-GTR + DePlot	76 000
TAB-GTR + OCR	65 000
PALI-3	68 000
PALI-3 + DePlot	64 000

Table 11: Number of training steps selected by cross validation for multi-task training. For model selection we used in-batch accuracy on the dev sets aggregated by the mixture weights.

Model	# of weights
DePlot	282M
TAB-GTR	335M
PALI-3	3 289M

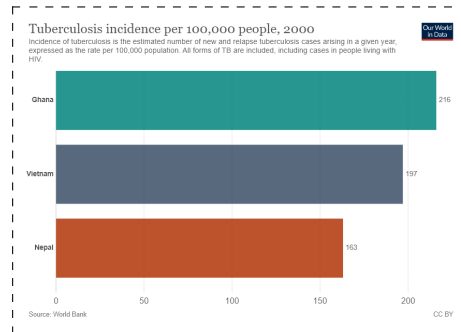
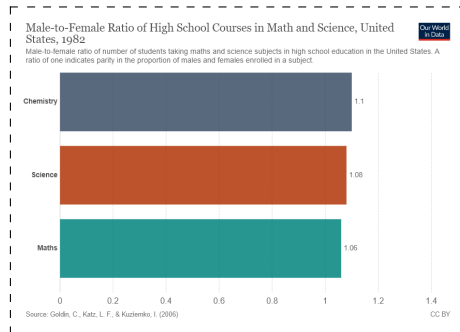
Table 12: Model size. Note that we only use the encoder of PALI-3 which is why the number of parameters is not 5B.

Query

Which subject has the highest male-to-female ratio of High School Courses?

Which place shows the lowest value of Tuberculosis rate?

Chart



Gold Table

Country	Male-to-Female Ratio of High School Courses in Math and Science, United States, 1982
Chemistry	1.1
Science	1.08
Maths	1.06

Country	Tuberculosis incidence per 100,000 people, 2000
Ghana	216
Vietnam	197
Nepal	163

DePlot Table

Characteristic	Value
Chemistry	1.1
Science	1.08
Maths	1.06

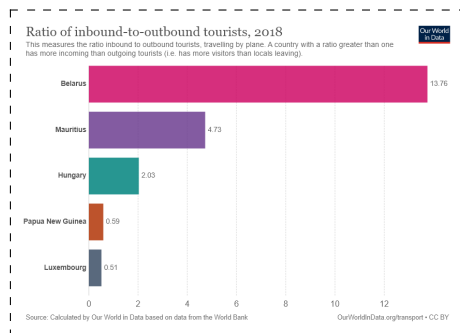
Characteristic	Value
Ghana	216
Vietnam	197
Nepal	163

Figure 4: Select examples from CHARTQA (human) where DEPLOT underperforms with respect to the gold tables. DEPLOT fails to capture the title of the plot.

Query

Which place has the highest ratio of tourists ?

Chart



Gold Table

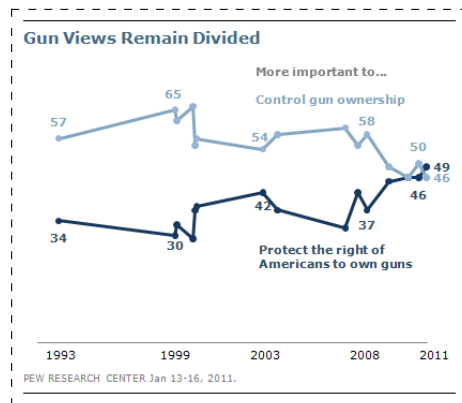
Country	Ratio of inbound-to-outbound tourists, 2018
Belarus	13.76
Mauritius	4.73
Hungary	2.03
Papua New Guinea	0.59
Luxembourg	0.51

DePlot Table

Characteristic	Value
Belarus	13.76
Mauritius	4.73
Hungary	2.03
Papua New Guinea	0.59
Luxembourg	0.51

(a) DEPLOT fails to capture the title of the plot.

Is there a value 30 in the dark blue line?



Year	Control gun ownership	Protect the right of Americans to own guns
1993	0	0
1999	0	0
2003	0	0
2008	58	0
2011	50	49

Year	Control gun ownership	Protect the right of Americans to own guns
1993	0	0
1999	0	0
2003	0	0
2008	58	0
2011	49	50

(b) The query references the color of the bar, which is not captured by the table. However the query is highly contextual.

Figure 5: Select examples from CHARTQA (human) where DEPLOT underperforms with respect to PALI-3.

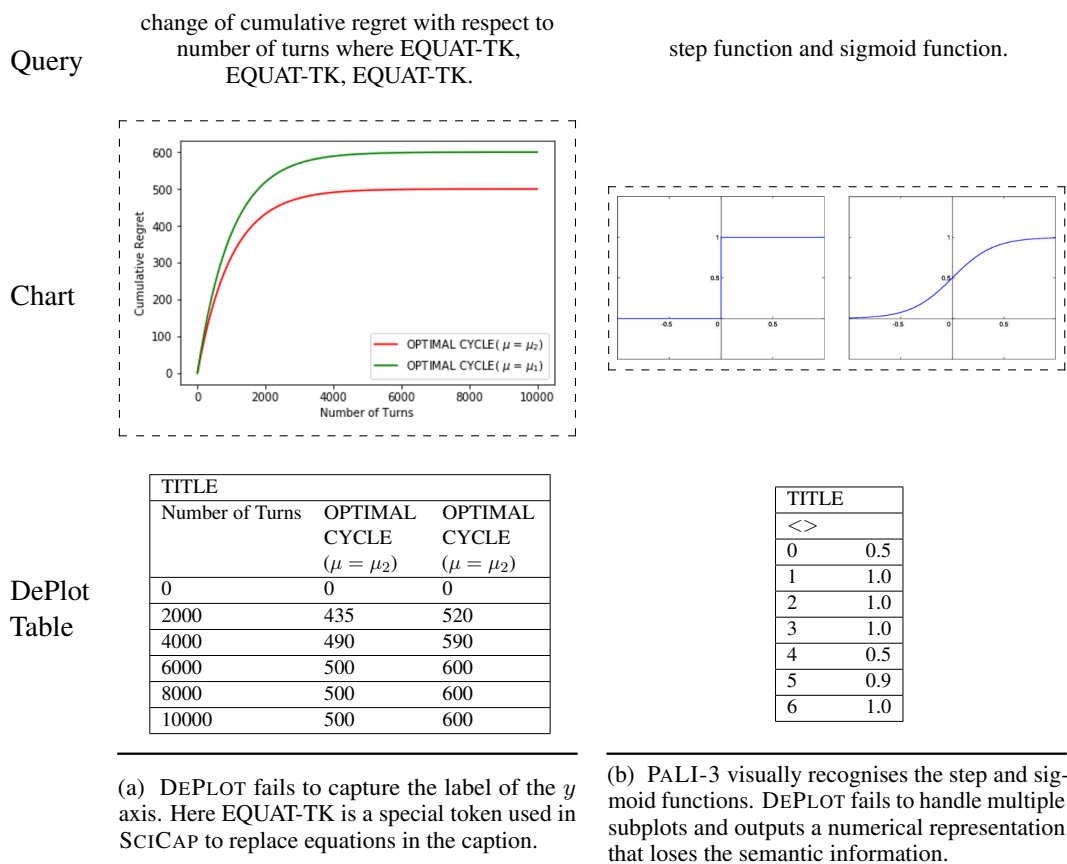
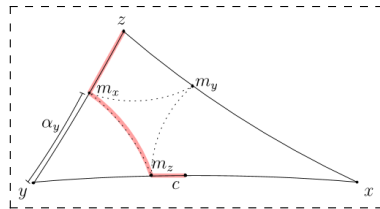


Figure 6: Select examples from SCICAP where DEPLOT underperforms with respect to PALI-3.

Query a geodesic triangle Δ (with internal points m_x, m_y, m_z and c labelled as in the proof of theorem NUM dashed lines indicate a distance $\leq \delta$ and the red line indicates the upper estimate for d).

Chart

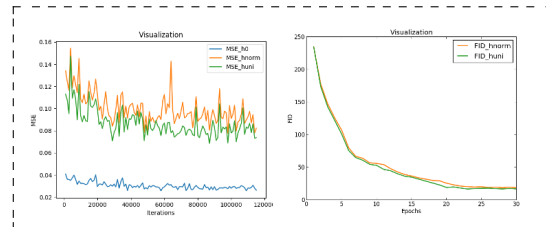


DePlot Table

TITLE	m^3	m_a	m_e	m_e	m_e	m_e
m^3	m_a	0	0	0	0	0
m_a	0	0	0	0	0	0
m_a	0	0	0	0	0	0
m_a	0	0	0	0	0	0
x	0	0	0	0	0	0
m_a	0	0	0	0	0	0
<i>last row repeating 19 times...</i>						

(a) PALI-3 recognises a geodesic triangle. DEPLOT fails to output anything useful as the chart has no underlying table data.

illustration of the training process on celeba. left: mean squared errors of the input images and the reconstructions conditioned on different latent codes. right: the fid scores of random generations after each training epoch.



TITLE	Visualization		
Iterations	MSE_h0	MSE_hnorm	MSE_huni
2000	0.04	0.134	0.113
2000	0.036	0.155	0.096
2000	0.032	0.129	0.09
2000	0.031	0.126	0.101
<i>15 more rows with continuing pattern...</i>			

(b) PALI-3 correctly answers a query that refers to visual placement of subplots (left: MSE, right: FID). DEPLOT misses the second subplot completely and spends its output token budget on irrelevant datapoints for the first subplot.

Figure 7: Select examples from SCICAP where DEPLOT underperforms with respect to PALI-3.

Query

In many countries, a majority or plurality believes relations will remain about the same. However, in most regions of the world, the share of the public that believes things will worsen outweighs the share that thinks relations will improve by a ratio of two-to-one. While relatively few say they expect relations to improve, more than half hold this view in Russia and Israel.

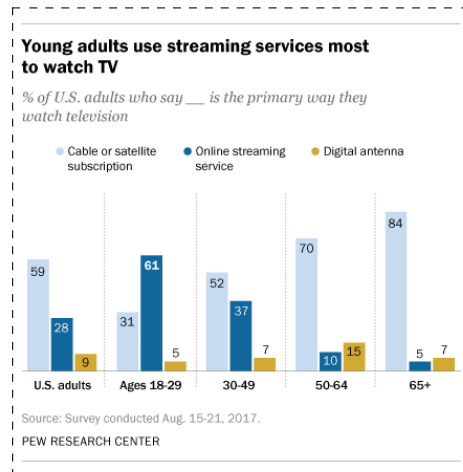
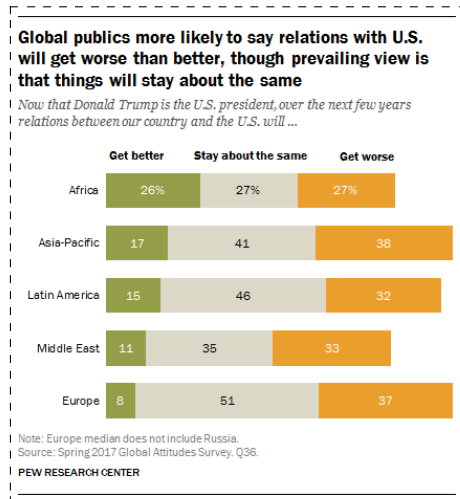
About six-in-ten Americans ages 18 to 29 say the primary way they watch television now is with streaming services on the internet. Much smaller shares of older Americans cite online streaming services as their primary way of watching TV; older Americans tend to rely on cable connections. Overall, just 28% of Americans cite streaming services as the primary way they watch TV.

OCR

Global publics more likely to say relations with U.S. will get worse than better, though prevailing view is that things will stay about the same Now that Donald Trump is the U.S. president, over the next few years relations between our country and the U.S. will ... Get better Stay about the same Get worse Mudle EGSt E Furpe = Note: Europe median does not include Russia. Source: Spring 2017 Global Attitudes Survey. Q36. PEW RESEARCH CENTER

Young adults use streaming services most to watch TV % of U.S. adults who say__ is the primary way they watch television Cable orsatelite @ Online streaming subscription servico 84 7 @ 61 52 I) I 5 7 15 5 7 e e QR e US.aduts Ages1829 3049 5064 65+ Source: Survey conducted Aug. 15-21, 2017. PEW RESEARCH CENTER

Chart



DePlot Table

Entity	Get better	Stay about the same	Get worse
Europe	nan	51.0	37
Middle East	nan	35.0	33
Latin America	15.0	46.0	32
Asia-Pacific	nan	nan	38
Africa	26.0	27.0	27

Year	Cable or satellite subscription	Online streaming service	Digital antenna
U.S. adults	59	28	9
Ages 18-29	31	61	5
30-49	52	37	7
50-64	70	10	15
65+	84	5	7

Figure 8: Select examples from CHART2TEXT (Pew) where TAB-GTR + DEPLOT underperforms with respect to TAB-GTR + OCR. In both cases DEPLOT does not output the caption.