Facilitating Opinion Diversity through Hybrid NLP Approaches
Thesis Proposal

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Abstract

Modern democracies face a critical issue of declining citizen participation in decision-making. Online discussion forums are an important avenue for enhancing citizen participation. This thesis proposal (1) identifies the challenges involved in facilitating large-scale online discussions with Natural Language Processing (NLP), (2) suggests solutions to these challenges by incorporating hybrid human–AI technologies, and (3) investigates what these technologies can reveal about individual perspectives in online discussions. We propose a three-layered hierarchy for representing perspectives that can be obtained by a mixture of human intelligence and large language models. We illustrate how these representations can draw insights into the diversity of perspectives and allow us to investigate interactions in online discussions.

1 Introduction

Addressing societal problems, such as climate change, pandemics, and resource scarcity, requires citizen engagement. One way to enhance citizen participation is by engaging with the public directly in society-wide conversations on online platforms (Smith, 2009; Friess and Eilders, 2015). Online discussions help identify the problem areas and possible solutions that fit the diverse needs of those affected (Surowiecki, 2004; Dryzek et al., 2019).

Online discussions generate vast amounts of content, which is challenging to manage and navigate (Dahlberg, 2001). Further, the content is scattered across time and threads, and it contains frequently repeating arguments and abundant unconnected ideas. This makes it difficult for users to know where to add new contributions, resulting in low-quality content (Klein, 2012). These issues can be addressed by employing moderators or facilitators, e.g., to structure the content of a discussion or to steer user interactions (Trénel, 2009). However, given the amount of data, manually facilitating online discussions is not feasible.

Instead, we turn to NLP for interpreting text-based opinions at scale (Sun et al., 2017), powered by the recent surge of Large Language Models (LLMs) (Min et al., 2023; Argyle et al., 2023). Central to our approach to facilitation is extracting structured *perspectives* from users in a discussion. The perspectives provide high-level insights into the arguments employed by citizens (Vecchi et al., 2021) or the motivations underlying the opinions in a community (Weld et al., 2022). These representations may, in turn, influence the facilitation strategies (Falk et al., 2021) or shape policies following the discussion (Mouter et al., 2021).

Using NLP for analyzing opinions sourced from online platforms comes with its own set of challenges. For instance, online platforms have been centered on managing large volumes of information, e.g., through personalized recommendations (Adomavicius and Tuzhilin, 2005) or argument structuring (Iandoli et al., 2014) but have neglected inclusive design aspects (Shortall et al., 2022). This can cause majority opinions to be heard while suppressing dissent voices (Neubaum and Krämer, 2017). Similarly, we see that LLMs capture majority opinions well, but do not distill all voices equally (e.g., Mustafaraj et al., 2011; van der Meer et al., 2024c). Further, LLMs lack deep social reasoning (Liang et al., 2021), may be biased (Hartmann et al., 2023; Santurkar et al., 2023), and make mistakes in ways humans cannot anticipate (Huang et al., 2023). Finally, straightforward automated discussion analysis runs the danger of ignoring diverse opinions, which undermines the wisdom of the crowd effect (Lorenz et al., 2011). In light of these challenges, we ask our first research question:

Q1 *What fundamental issues arise in using NLP to analyze perspectives in online discussions?*

Next, our goal is to obtain structured information

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from online societal discussions that provide insights into the opinions involved. However, we see that NLP-based methods for analyzing online deliberation are limited in the degree to which **diverse** perspectives can be obtained. To combat these limitations, we develop an approach that adopts a "hybrid" mindset, i.e., incorporates humans-in-the-loop to address diversity directly. We leverage LLMs and humans jointly, with their different capacities for interpreting opinions from text. This leads to our second research question:

Q2 *How to combine human intelligence and NLP to effectively capture diverse perspectives?*

Finally, analyzing opinions, in practice, is modeled by different tasks. We propose a **perspective hierarchy** that incorporates *stance, arguments, and personal values* to represent perspectives at different levels of abstraction. We base our model on the complementary skills of humans and NLP methods. Higher-order abstractions, such as personal values, deeply motivate choices and the attitudes of individuals but are difficult to estimate automatically. Conversely, surface-level stance recognition tasks are more widely applicable but uncover little information about an individual's opinion. Each task has been investigated separately, but little is known about their interaction in online discussions. We, therefore, ask our third research question:

Q3 *How to combine different tasks for representing diverse opinions in online discussions?*

Sections 2, 3, and 4 describe our progress on the three questions. Section 5 concludes the paper.

2 Use of NLP in Societal Discussions

Q1 What fundamental issues arise in using NLP to analyze perspectives in online discussions?

NLP research regarding the facilitation of online societal discussions has seen recent interest (e.g., Crossley et al., 2016; Jelodar et al., 2020; Xia et al., 2020). Research is focused on (1) using NLP tools, in particular few-shot prompted LLMs, to analyze the discussions (e.g., Xia et al., 2020; Syed et al., 2023), and (2) using discussion data to benchmark the capabilities of NLP tools (e.g., Feng et al., 2023). In the next two sections, we outline related work in these directions, highlighting fundamental issues that cross-cut techniques and applications.

2.1 Discussion Analysis

Online social interaction through text is common, and the use of NLP for analyzing large amounts of such data is mainstream (Liu, 2012). Discussions happen in various specific contexts, e.g., reviews (Jo and Oh, 2011) or e-learning (Davies and Graff, 2005), but also broader contemporary topics such as climate change (Lörcher and Taddicken, 2017). Their scale, combined with their pertinence makes analyzing such discussions interesting.

Analyzing how humans express themselves through text is the core task in many NLP areas, e.g., Opinion Summarization (Liu, 2012), Argument Mining (Lawrence and Reed, 2020), Sentiment Analysis (Wankhade et al., 2022), and Value Classification (Hoover et al., 2020). These tasks lie at the heart of creating insights into online (political) discourse and may be used e.g. for estimating the quality of discussions (Steenbergen et al., 2003), extracting the arguments involved (Lapesa et al., 2023), or reasoning over inconsistencies between choices and their justifications (Liscio et al., 2024). In the age of LLMs, these tasks have seen considerable performance improvements (Jiang et al., 2023), though new challenges such as dealing with shortcut learning (Geirhos et al., 2020) or mitigating social biases (Liang et al., 2021) arise.

Extracting diverse views from online discussions is challenging for three reasons. First, data sourced from social media platforms inherits biases that are present on these platforms, including fake news, trolling, and polarization (Cinelli et al., 2021). This impacts how opinions are shaped (Hocevar et al., 2014) and the distribution of opinions (Xiong and Liu, 2014). Second, when analyzing the opinions about societal issues, it is necessary to realize that not all citizens have equal access due to the digital divide (Cullen, 2001) or differences in tech-illiteracy (Knobel and Lankshear, 2008). This makes the users in online discussions biased and less diverse. Third, since users are free to join in discussions of their choosing, there may be undesired echo chambers or self-selection effects among the messages seen by users (Song et al., 2020).

Despite these challenges, we can use NLP to investigate questions about human behavior at scale (Lazer et al., 2009). Analyses about behavior may lead to insights on both individual and group levels. This can be useful for improving democratic processes (Collins and Nerlich, 2019), but also applies in other areas, such as faithfully interpreting

product feedback (Bar-Haim et al., 2021), service improvement (Skiera et al., 2022), or course management (Lin et al., 2009).

2.2 Benchmarking

We can employ discussion analysis to benchmark how well NLP approaches understand opinionated text. In benchmarking, we test the analysis procedure, and models used, for possible mistakes and biases. Representing subjectivity is difficult since LLMs do not faithfully capture the full range of opinions (Durmus et al., 2024; Hendrycks et al., 2021; van der Meer et al., 2024c). Whether LLMs can learn to represent them in the future remains unclear (Wei et al., 2022; Schaeffer et al., 2023), but research suggests that they cannot (Feng et al., 2023; Argyle et al., 2023), in part due to the limitations mentioned in Section 2.1. Therefore, we work with the assumption that this is a fundamental limitation of LLMs, and we have to find other approaches to improving diversity.[1]

Creating diversity-enhancing techniques is gaining traction in NLP, but there are several aspects of diversity. For instance, creating more diverse news recommender systems is a common goal (Laban et al., 2022; Wu et al., 2020) for shaping an individual's perspective (Bakshy et al., 2015). Others strive to make LLMs better represent a diverse group of annotators based on their labeling behavior and demographics (Bakker et al., 2022; Lahoti et al., 2023). In such approaches, models have a large reliance on annotated data. Labels are obtained from a few human annotators per instance, and often aggregated by majority voting, painting an incomplete picture of the true range of interpretations for a potentially controversial text (Plank, 2022). The role of subjectivity in these tasks remains unclear (Aroyo and Welty, 2015; Cabitza et al., 2023). This holds for traditional supervised learning, but also for the latest trends in instruction-tuning (Uma et al., 2021; Wang et al., 2023).

In the rest of this proposal, we argue that the aforementioned challenges can be overcome by using LLMs to **assist humans** in mining opinionated text data rather than replacing them, and we provide an example of how hybrid approaches can uncover perspectives of the opinion holders.

[1]Although linguistic diversity generally refers to diversity of language proficiencies (Joshi et al., 2020; Dingemanse and Liesenfeld, 2022), we are specifically interested in diversity in arguments, communication styles, and values in online discussions.

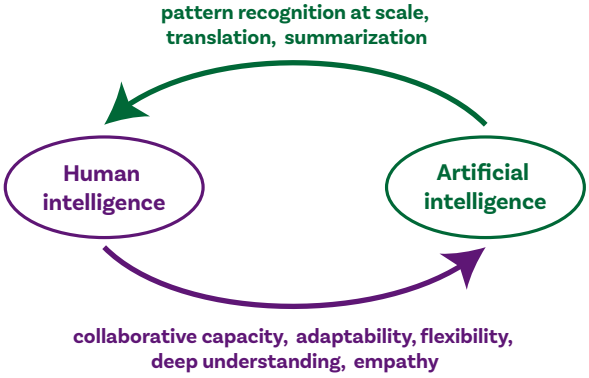

Figure 1: Feedback loops in Hybrid Intelligence.

3 Hybrid Intelligence

Q2 How to combine human intelligence and NLP to effectively capture diverse perspectives?

Central to our proposal on facilitating deliberation is the notion of *hybrid intelligence* (Dellermann et al., 2019; Akata et al., 2020; Dell'Anna et al., 2024). In Hybrid Intelligent Systems (HISs), artificial intelligence is a collaborator that enhances human abilities such as reasoning, decision-making, and problem-solving (Tiddi et al., 2023). Hybrid intelligence aims to augment intellect, creating a synergy between humans and NLP. For supporting online discussions, we combine the strengths of human intelligence with LLMs, highlighting bidirectional gains, as shown in Figure 1.

3.1 Related Work

NLP has had a profound impact on how researchers analyze human behavior at scale. To do so responsibly, we must ensure that these methods do so effectively while upholding democratic values. Previous work on hybrid approaches for NLP includes user adaptation (Lynn et al., 2017), human-in-the-loop computing (Wang et al., 2021), human-AI interaction (Heer, 2019) and others (e.g., Ding et al., 2023; Team et al., 2022). Recent interest in explainable AI has focused on human understanding of NLP models (Lertvittayakumjorn and Toni, 2021). Specifically for NLP, much focus is on approaches that mix crowd, expert, and automated decision-making, which have been applied to analyzing discussion content (Kong et al., 2022; Pacheco et al., 2023). However, these approaches have a one-way interaction between the NLP model and humans, as we will describe in the next section.

3.2 Approach

We observe that LLMs still have many challenges to overcome in representing diverse perspectives (Section 2). Discussions are deeply human, who can adapt to incomplete and informal argumentation, behave flexibly, and provide empathic responses to foster collaboration. Thus, humans and NLP can benefit from each other. In the next paragraphs, we examine each benefit in either direction (humans aiding NLP or NLP aiding humans) separately, and lastly illustrate how both can be incorporated into an overall hybrid method.

Humans aiding NLP Humans provide the data that the NLP tools perform their analysis on, as gathered from interactions between different stakeholders, including casual and power users, moderators, or even site admins (Saxena and Reddy, 2022). They provide text and behavioral data, such as post-voting, which we in turn can use to analyze their attitude. Furthermore, NLP approaches learn from labeled data, obtained from annotators who observe a given text and draw labels from a predefined set of classes. Much room for making these procedures more informative exist, such as expanding the label set (van de Ven et al., 2022), including free-form text response (Ouyang et al., 2023), asking a crowd of annotators rather than individuals (Nie et al., 2020), and more (e.g., Plank, 2022; Santy et al., 2023).

NLP aiding humans NLP aids humans in online discussions in multiple ways. While we have mostly discussed the analysis of large-scale discussion data, there is a broader potential impact of NLP technologies in online deliberations (Tomašev et al., 2020). First, NLP may enable, rather than restrict, access to certain services, for example by using automatic translation to account for different language proficiencies. Second, since humans suffer from cognitive biases, NLP models may offer an alternative interpretation of the content. Machines do not get bored and consider each sample identically. Third, NLP models mirror biases captured in the data, which allows for obtaining synthetic opinion data or exposing biases in discussions. Lastly, since their scale, speed, and accessibility to researchers are advancing quickly, we can experiment with them rapidly.

Combination Existing work mostly offers one-directional benefits, either machine- or human-oriented. We see that NLP methods are biased, leading to questions about the soundness of the analysis. Humans can repair biases and provide deeper interpretations, contexts, and explanations. Furthermore, we see that there are many opportunities for NLP to aid humans. Completing the loop allows bootstrapping: traversing the two feedback loops shown in Fig. 1, iteratively refining the analysis procedure while performing discussion analyses. By building on the bidirectional contributions, we allow for continual improvement.

Our work involves discussion analysis approaches that involve (1) selecting samples for human inspection that are interesting to annotate, (2) accounting for diversity (e.g., leveraging contextualized embeddings (Reimers and Gurevych, 2019)), (3) seeking labels from multiple annotators. We find that a hybrid approach can capture more diverse interpretations of the arguments in a discussion than a purely manual or purely automatic approach (van der Meer et al., 2022, 2024b). When extracting arguments from online comments, human annotators are more precise than NLP methods. At the same time, we use sampling based on the maximum embedding distance to ensure diverse content is observed (Basu et al., 2004) and automatically merge similar arguments (Chai et al., 2016). In this setup, we obtain labels from a crowd over diverse samples that promote perspective-taking. After the annotation, our method outputs a summary of the high-level argument involved, while annotators were able to develop their understanding of controversial discussions. Moreover, we can also actively diversify which annotator we query an annotation from. We observe that an active selection of diverse annotators can inform a model more quickly of the label distribution underlying subjective tasks in cases where the annotator pool is large (van der Meer et al., 2024a).

Developing hybrid approaches requires a new evaluation paradigm. We need to compare our method's effectiveness with human-only and machine-only baselines. In NLP, test sets are usually collected manually. This may make the upper bound on performance unfair, though performance gaps between hybrid and manual approaches can be addressed (Xu et al., 2023; Fluri et al., 2023).

4 Perspective Hierarchy

Q3 How to combine different tasks for representing diverse opinions in online discussions?

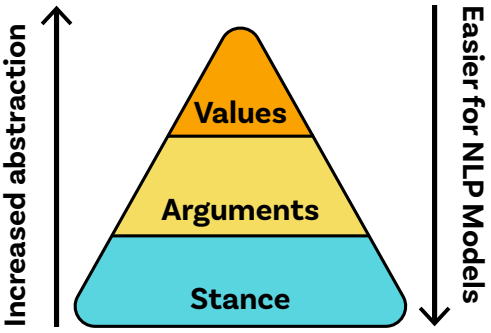

Figure 2: The perspective hierarchy. The higher the level of abstraction, the more human intelligence is required for interpreting the component.

Given that NLP can process large amounts of discussion data, but is limited in its capabilities (Section 2), and that we may construct hybrid procedures to account for these limits (Section 3), we address the challenge on how to capture perspectives. Uncovering them from online societal discussions requires a representation for identifying how people feel about potential decisions, how this is communicated in the discussions, and what their underlying motivations are.

4.1 Related Work

Few attempts to represent perspectives holistically exist (Chen et al., 2019; van Son et al., 2016). These works focus on annotating utterances for low-level claim information (Morante et al., 2020), or investigating some of the reasoning behind the views held in discussions (Draws et al., 2022). Stances and arguments are inherently linked in argumentation models (Toulmin, 2003; Van Eemeren et al., 2015), and form the basis of frameworks for representing perspectives (Wiebe et al., 2005; Chen et al., 2022).

However, neither stance nor arguments aim to represent opinions on a deeper personal level. A fundamental concept for explaining the motivations underlying opinions and actions is personal values (Schwartz, 2012). There are various theories of personal values (e.g., Rokeach, 1967; Schwartz, 2012; Graham et al., 2013). Preferences among values describe the attitude of individuals and groups (Ponizovskiy et al., 2020), and can be extracted from behavioral cues to investigate political affiliation (Roy et al., 2021), perform moral reasoning (Mooijman et al., 2018), or positively influence lifestyle (de Boer et al., 2023). Values are abstract and need to be interpreted inside their context, making it difficult for both humans and NLP methods to reliably measure them (Liscio et al., 2023). One way to contextualize them is to connect values to argumentation, focusing on how choices are justified and reasoned over (Kiesel et al., 2022). Using this insight, we incorporate personal values into our perspective representation and aim to obtain them using a hybrid approach.

4.2 Approach

We propose a perspective hierarchy to represent a person's perspective at different levels of abstraction, shown in Figure 2. Our perspective hierarchy is composed of stances, arguments, and values.

Stance Whether, or how much, support or opposition is expressed to a claim. Stance detection has been studied extensively and remains a popular task for investigating opinions on claims (Küçük and Can, 2020).

Arguments The reasons given for adopting a stance towards a claim. In real-world contexts, argumentation manifests in many forms and is predominantly informal (Groarke, 2024). Mining arguments from text works well within known contexts (Ein-Dor et al., 2020), but suffers from implicit reasoning (Habernal et al., 2018). Hence, we require more human guidance to correct for possible mistakes in automated methods.

Values The motivations underlying opinions and actions (Schwartz, 2012). Values are communicated implicitly through actions or written motivations. Estimating values automatically remains difficult even within their context (Kiesel et al., 2023). Only through iterative hybrid procedures can we accurately reason about preferences among human values.

Mining Perspective Hierarchies We illustrate how we used data from large online social media platforms to investigate perspective hierarchies for individuals (van der Meer et al., 2023). Our main objective is to investigate whether we can connect stances and values directly, omitting arguments, to challenge their inclusion in the hierarchy.

Given a societal discussion on an online platform (Pougué-Biyong et al., 2021), we first identify relevant controversial topics and apply our automated methods for obtaining stances and value preferences. Because of the aforementioned limitations, we utilize the human-in-the-loop approach to uncover possible mistakes from the extraction pipeline. In particular, we compare human-provided self-reported value preferences to those

estimated from behavioral data. Using this data, we can (1) compare how well the automated approaches work versus manual ones, (2) mix information from self-reported and behavior-based value preferences, and (3) investigate the relationship between components of the perspective hierarchy to answer questions about human behavior.

We probed the relationship between disagreements in stance and deeper conflicts in values. Our experiments show that when values are diverse, conflicts in values can correlate to stance disagreement. Based on purely automated estimations, this evidence is weak. When we incorporate human-provided self-reports, the evidence becomes stronger, showing that the hybrid approach is crucial to performing a meaningful analysis. On the other hand, when strong value diversity is absent, we cannot correlate disagreement and value conflict directly. Thus, we require a more complete picture, and should therefore incorporate the arguments to complete the perspective hierarchy.

5 Conclusions

We identified the strengths and weaknesses of using NLP to represent diverse perspectives in online societal discussions. NLP techniques, in particular few-shot prompting with LLMs, allow us to analyze discussion data for perspectives at a large scale. However, open challenges include (1) a difficulty in acquiring opinions from diverse opinion holders, and (2) limitations of LLMs to represent minority opinions. Our approach combines the complementary abilities of humans and LLMs into hybrid intelligence methods to obtain better analyses than automated or manual analysis alone. We propose a perspective hierarchy to guide the investigation of human behavior in online societal discussions at scale. We find that this hierarchy is useful for uncovering perspectives, for instance, in observing that diversity in opinions can be signaled by differences among value preferences.

Future Directions

First, integrating human and artificial work requires careful task balancing. In some cases, obtaining an automated judgment from an LLM is sufficient, but in others, we need to query a pool of diverse human annotators. We can use frameworks like learning to defer (Madras et al., 2018) or other active learning approaches (Baumler et al., 2023) to directly obtain diverse opinions (Waterschoot et al., 2022).

Second, evaluation of hybrid intelligence systems requires novel benchmarking paradigms. Existing benchmarks are usually annotated manually and composed out of many individual existing datasets, and therefore lack a faithful representation of the dynamic context of real-world applications (Chang et al., 2024). Alternative approaches can instead incorporate interactive crowd-sourced benchmarks that develop over time (Kiela et al., 2021), or turn to use-case-specific evaluation, leveraging objective behavioral cues to assess our methods, e.g., in measuring interaction structure to reveal the quality of a conversation (Santamaría et al., 2022).

Lastly, our proposed hybrid human-AI approach engages with citizens to learn their perspectives. We represent the cares, incentives, and preferences of those involved in societal discussions. In the long run, we may be able to adopt components in the perspective hierarchy for not only facilitating discussions but supporting negotiations (Renting et al., 2022) among societal stakeholders, e.g., on which portfolio of choices to make to combat a pandemic (Mouter et al., 2021).

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