UncertaiNLP 2024

Workshop on Uncertainty-Aware NLP (UncertaiNLP 2024)

Proceedings of the Workshop

March 22, 2024

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Introduction

Human languages are inherently ambiguous and understanding language input is subject to interpretation and complex contextual dependencies. Nevertheless, the main body of research in NLP is still based on the assumption that ambiguities and other types of underspecification can and have to be resolved. The UncertaiNLP workshop (workshop on uncertainty-aware NLP) aims to provide a platform for research that embraces variability in human language and aims to represent and evaluate the uncertainty that arises from it, and from modeling tools themselves.

This volume contains the proceedings of the first edition of the UncertaiNLP workshop hosted on March 22nd 2024, co-located with the 18th Conference of the European Chapter of the European Chapter of the Association for Computational Linguistics in the Radisson Blu and Corinthia St George's Bay hotel in St Julian's, Malta. We invited paper submissions on a wide variety of topics, including representing, documenting or modeling uncertainty, parameter estimation, probabilistic inference, decision making and evaluation. We received a total of 28 submissions, of which we accepted 8 long and 7 short papers, amounting to an acceptance rate of 65.22

We are also grateful to our invited keynote speakers: Kristin Lennox (Exponent) discussed TODO, Mohit Bansal (UNC Chapel Hill) contributed a talk on confidence-based rephrasing, while Clara Meister (ETH Zürich) presented TODO and Chrysoula Zerva (Instituto Superior Tecnico) provided insights on TODO. Lastly, we want to express our gratitude to Raul Vasquez for helping to compile these proceedings.

We would also like to thank the Research Council of Finland for their support of the workshop through the project Uncertainty-Aware Neural Language Models and the EU's Horizon Europe research and innovation program for support through the Unified Transcription and Translation (UTTER) project. The UncertaiNLP organizers,

Wilker Aziz, Joris Baan, Hande Celikkanat, Marie-Catherine de Marneffe, Barbara Plank, Swabha Swayamdipta, Jörg Tiedemann, Dennis Ulmer, Raúl Vázquez

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Keynote Talk: Uncertainty in NLP: Quantification, interpretation and evaluation

Chrysoula Zerva Instituto Superior Tecnico, Portugal 2024-03-22 09:10:00 – Room: Corinthia, Bastion 2

Abstract: As the availability (and size) of language models keeps increasing, so do their applications to different tasks, rendering them ubiquitous in modern society. This in turn, brings forward the question of reliability. We know models don't always know what they don't knowand hence being able to quantify the uncertainty over their predictions is a key step in the path towards reliability. But how can we estimate uncertainty when we have multiple sources of it, and frequently no or limited access to the parameters of the models? And how do we know if we can trust our uncertainty estimations? In this talk I will discuss uncertainty quantification in NLP, emphasising its interpretation and evaluation. I will focus on generation and evaluation tasks, using machine translation as the main paradigm.

Bio: Chrysoula (Chryssa) Zerva is an Assistant Professor in Artificial Intelligence at the Instituto Superior Tecnico in Lisbon, Portugal. She is also a member of LUMLIS, the Lisbon ELLIS unit. She obtained her Ph.D. in 2019 from the University of Manchester working on "Automated identification of textual uncertainty" under the supervision of Prof. Sophia Ananiadou. She was subsequently awarded the EP-SRC doctoral prize fellowship to study (mis)information propagation in health and science. In 2021, she joined the Instituto de Telecomunicações in Lisbon as a post-doc for the DeepSPIN project under the supervision of Prof. André Martins and worked on a range of machine learning and NLP related topics including uncertainty quantification, machine translation and quality estimation.

Keynote Talk: A Quantification of Semantic Uncertainty in Language Models

Clara Meister ETH Zürich, Switzerland 2024-03-22 13:15:00 – Room: Corinthia, Bastion 2

Abstract: In machine learning, we are often concerned with model-related (i.e., epistemic) uncertainty. In natural language tasks specifically though, there exists quite a bit of inherent data-centric uncertainty, coming from characteristics of natural language such as ambiguity in meaning and the ability to express the same idea via multiple surface forms. In a field where standard evaluation largely assumes that there is a single correct answer, knowledge of the "semantic uncertainty" of a situation can prove useful. For example, it can provide insights into when there are several interpretations of a source sentence in machine translation or when there are multiple plausible answers to a question in question answering. We propose a simple method for quantifying uncertainty in standard, embedding-based language models (LM) that does not require fine-tuning or external models. We use the LM's embedding space to approximate the underlying distribution over semantic meanings of continuations, then analyzing this distribution to get a quantification of semantic uncertainty. We show some empirical use cases for this quantification of semantic uncertainty.

Bio: Clara Meister is a PhD student in Computer Science with Prof. Ryan Cotterell at ETH Zürich, supported by a Google PhD Fellowship. She is passionate about the general application of statistics and information theory to natural language processing. A large portion of her research in the last years has been on natural language generation—specifically, on decoding methods for probabilistic models. Her additional interests within the field of natural language generation include evaluation metrics and the incorporation of uncertainty into decoding methods.

Keynote Talk: TBA

Kristin Lennox

Exponent, US 2024-03-22 16:30:00 – Room: Corinthia, Bastion 2

Abstract: TBA

Bio: Kristin Lennox is a consultant at Exponent with more than ten years of experience applying statistics, machine learning, and operations research techniques to scientific and engineering problems. Dr. Lennox received her Ph.D. in statistics from Texas A&M University in 2010. She then joined Lawrence Livermore National Laboratory, where she cofounded and served as the first director of their internal statistical consulting service. After leaving the laboratory she spent several years in the software industry with a focus on AI in industrial settings, and she currently serves as a consultant regarding statistics and AI implementation for applications in many areas, including environmental science, automotive and consumer product risk, and software. Her expertise includes experimental design, analysis of computer experiments, and risk assessment in high consequence environments. Dr. Lennox's recent professional experience has focused on methods to characterize safety benefits of advanced driver assistance systems (ADAS) and automated driving. Dr. Lennox is passionate about statistics and AI education and has created a series of videos for technical and lay audiences on these topics.

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Program

Friday, March 22, 2024

- 09:00 09:10 Opening Remarks
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- 09:55 10:15 Presentation Session 1

Aligning Uncertainty: Leveraging LLMs to Analyze Uncertainty Transfer in Text Summarization Zahra Kolagar and Alessandra Zarcone

10:15 - 10:30 Poster Spotlights

Context Tuning for Retrieval Augmented Generation Raviteja Anantha and Danil Vodianik

Linguistic Obfuscation Attacks and Large Language Model Uncertainty Sebastian Steindl, Ulrich Schäfer, Bernd Ludwig and Patrick Levi

Order Effects in Annotation Tasks: Further Evidence of Annotation Sensitivity Jacob Beck, Stephanie Eckman, Bolei Ma, Rob Chew and Frauke Kreuter

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Linguistically Communicating Uncertainty in Patient-Facing Risk Prediction Models

Adarsa Sivaprasad and Ehud Reiter

Friday, March 22, 2024 (continued)

- 10:30 11:00 Coffee Break
- 11:00 12:15 In-Person Poster Session
- 12:15 13:15 Lunch Break
- 13:15 14:00 Keynote Talk - Clara Meister
- 14:00 15:30 **Presentation Session 2**

Optimizing Relation Extraction in Medical Texts through Active Learning: A Comparative Analysis of Trade-offs Siting Liang, Pablo Valdunciel Sánchez and Daniel Sonntag

Efficiently Acquiring Human Feedback with Bayesian Deep Learning Haishuo Fang, Jeet Gor and Edwin Simpson

Don't Blame the Data, Blame the Model: Understanding Noise and Bias When Learning from Subjective Annotations

Abhishek Anand, Negar Mokhberian, Prathyusha Naresh Kumar, Anweasha Saha, Zihao He, Ashwin Rao, Fred Morstatter and Kristina Lerman

Teaching Probabilistic Logical Reasoning to Transformers Aliakbar Nafar, K. Brent Venable and Parisa Kordjamshidi

Calibration-Tuning: Teaching Large Language Models to Know What They Don't Know

Sanyam Kapoor, Nate Gruver, Manley Roberts, Arka Pal, Samuel Dooley, Micah Goldblum and Andrew Gordon Wilson

- 15:30 16:00 Coffee Break
- 16:00 16:30 **Presentation Session 3**

How Does Beam Search improve Span-Level Confidence Estimation in Generative Sequence Labeling?

Kazuma Hashimoto, Iftekhar Naim and Karthik Raman

Friday, March 22, 2024 (continued)

Consistent Joint Decision-Making with Heterogeneous Learning Models Hossein Rajaby Faghihi and Parisa Kordjamshidi

- 16:30 17:15 Keynote Talk Kristin Lennox
- 17:15 17:30 Closing Remarks