Contextual ASR Error Handling with LLMs Augmentation for Goal-Oriented Conversational AI

Yuya Asano¹, Sabit Hassan¹, Paras Sharma¹, Anthony Sicilia², Katherine Atwell², Diane Litman¹, and Malihe Alikhani²

¹University of Pittsburgh ²Northeastern University {yua17, sabit.hassan, pas252, dlitman}@pitt.edu {sicilia.a, atwell.ka, m.alikhani}@northeastern.edu

Abstract

General-purpose automatic speech recognition (ASR) systems do not always perform well in goal-oriented dialogue. Existing ASR correction methods rely on prior user data or named entities. We extend correction to tasks that have no prior user data and exhibit linguistic flexibility such as lexical and syntactic variations. We propose a novel context augmentation with a large language model and a ranking strategy that incorporates contextual information from the dialogue states of a goal-oriented conversational AI and its tasks. Our method ranks (1) *n*-best ASR hypotheses by their lexical and semantic similarity with context and (2) context by phonetic correspondence with ASR hypotheses. Evaluated in home improvement and cooking domains with real-world users, our method improves recall and F1 of correction by 34% and 16%, respectively, while maintaining precision and false positive rate. Users rated .8-1 point (out of 5) higher when our correction method worked properly, with no decrease due to false positives.

1 Introduction and Related Work

Although domain-agnostic automatic speech recognition (ASR) models are improving, advanced models still make errors, hindering fluent dialogues with conversational AI (Radford et al., 2023). Most prior work on context-based ASR error correction needs prior user interaction data to model errors statistically (Sarma and Palmer, 2004; Jonson, 2006; Shivakumar et al., 2019; Liu et al., 2019; Ponnusamy et al., 2022; López-Cózar and Callejas, 2008; Weng et al., 2020; Zhou et al., 2023), make natural language understanding robust to errors (Gupta et al., 2019), or learn user preferences (Raghuvanshi et al., 2019; Cho et al., 2021; Biś et al., 2022). These data are not always available, especially when creating AI in a new domain.

One proposed solution is to use tasks that goaloriented conversational AI can accomplish as a

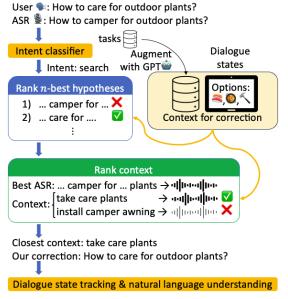


Figure 1: The overview of our ASR correction pipeline. After intent classification, we re-rank n-best ASR hypotheses by the lexical and semantic similarity of context retrieved from the dialogue states and the GPT-augmented task list. If none of the hypotheses seems plausible, we find a task or option that sounds phonetically similar to the best hypothesis.

primary source of context. It includes restricting ASR hypotheses to the vocabularies that a natural language understanding module can parse (He and Young, 2003; Whittaker and Attwater, 1995) and remembering named entities and retrieving the most probable one based on textual and phonetic similarities and *n*-best ASR hypotheses (Georgila et al., 2003; Raghuvanshi et al., 2019; Wang et al., 2021; Bekal et al., 2021). However, it overlooks three types of flexibility in natural language in Table 1: lexical and syntactic variations for the same goal, non-essential modifiers inserted in the middle of task phrases, and the omission of words that are not needed to specify a goal given a context. An ASR system could also omit some words in error.

To tackle these challenges, we extend the work

Types	Original	Variations
Lexical & syn-	How can I make a	How can a wood fence be built?
tactic variations	wood fence?	
Inserting modi-	Make a wood fence	Make a wood privacy fence (this should not be mapped
fiers		to "make privacy in a college dorm.")
Omitting un-	I want to build a	I want to build a fence. (options are "build a wood
needed words	wood fence.	fence," "paint a wood fence," and "clean a wood fence.")

Table 1: Examples of the variations of task queries. These examples and all other examples in this paper are not real-user conversations but resemble them.

on the use of tasks as context with

- 1. better ranking strategies robust to insertions and omissions of tokens,
- 2. reduction of the size of the context using the dialogue state and augmentation with partial matches (for token omissions), and
- 3. offline augmentation of tasks with a large language model (LLM) (for lexical and syntactic varieties).

These ranking and augmentation strategies are system-agnostic and generalizable to any tasks if a list of the supported tasks is available. They are lightweight and are not affected by the latency of LLMs. The overview of our method is in Figure 1.

We deploy our method on Amazon Alexa and test its effectiveness with real-world users. It improved recall and F1 of correction from a baseline while keeping fair precision and false positive rate (FPR) in offline evaluation. In online evaluation, our ratings have increased by .8-1 point out of 5 when our method corrected errors properly and did not decrease even with false positives.

2 Problem Description and Data

We define the goal of ASR correction for goaloriented conversational AI as to *pass the corrected information from erroneous ASR transcripts to the following modules* (in our case, dialogue state tracking and natural language understanding in Figure 1) *so that AI can take the correct action as requested by a user.* This definition is similar to call routing, which "is concerned with determining a caller's task" (Williams and Witt, 2004).

We implemented our system through Alexa Skills,¹ which allows third-party developers to create conversational AI on Alexa. Our Alexa Skill assists with cooking and home improvement tasks. After our system's introduction (cf. Appendix A), users typically start with searching for a task (e.g., "How to fix a faucet?") as illustrated in Figure 2. The system replies with search results from an internal dataset of Whole Foods recipes and WikiHow articles. Users select an option and complete tasks step-by-step using voice commands (e.g., "next," "go back"), instructions from our system (e.g., our system says, "Say 'start cooking' when you're ready for the recipe steps."), or Alexa's touch screen. An example dialogue can be found in Appendix B. Alexa's ASR system provides up to 5-best hypotheses.

For evaluation, we sampled thousands of dialogues between March 28, 2023, and August 5, 2023, as part of the Alexa Prize TaskBot Challenge 2 (Agichtein et al., 2023). One author manually annotated ASR errors and provided correct transcripts. 4.1% of the utterances had ASR errors and 18.2% of dialogues experienced at least one error. Of these, 51% happened during search (the left most dialogue state in Figure 2), 17% during selection (the second left state in Figure 2), and 13% during task execution (the second right state in Figure 2).²

3 Proposed Method

Our method starts with identifying likely user responses that can be used as context for correction and deciding when to trigger the correction based on dialogue states (Section 3.1). Next, we re-rank *n*-best ASR hypotheses using this context (Section 3.2). If none is plausible, we rank the context using phonetic information to fix errors in the best ASR hypothesis (Section 3.3). To handle lexical and syntactic varieties and word omissions, we augment the context and task list for correction (Section 3.4). An overview of our pipeline is in Figure 1.

¹https://developer.amazon.com/en-US/alexa/ alexa-skills-kit

²The numbers do not add up to 100% because some errors happened when intents fell into none of these three intents.

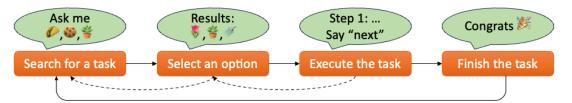


Figure 2: The flow of dialogues in our dataset. The most probable flows are in solid arrows. A dashed arrow indicates that the path is possible but unlikely compared to the solid arrows.

3.1 Dialogue states as context

The first step of our method is identifying a context to correct ASR errors. Dialogue states help predict what a user might say next. For example, users often select an option after seeing search results (cf. Figure 2) or pick a system-suggested query at the start (cf. Appendix A). Therefore, we define a *narrow context* as a list of likely user responses based on the current dialogue state. Our ranking method matches a user's utterance to this context. In our case, a narrow context is the options presented by the system in the previous turn or the voice commands to execute tasks.

However, users may not always respond within the scope of a narrow context, making it difficult for the ranking methods in sections 3.2 and 3.3 to find matches, especially when starting a new task. In this case, we retrieve context from all tasks using an indexed search for the ASR hypotheses to get lexically overlapping tasks. We measure the cosine similarity between the hypotheses and the search results using Sentence-BERT (Reimers and Gurevych, 2019) embeddings and retain only highly similar results (see sections 3.2 and 3.3 for the actual thresholds) as context. Then, we rerun the methods with the new context.

To reduce false positives, our correction is triggered only in specific dialogue states. It activates when a narrow context exists (the two middle states in Figure 2 and at the beginning of a conversation) or the system's intent classifier predicts that the user intends to search for or select a task and such intent is probable in the current state (the two left states in Figure 2). Our method is not triggered when, for example, the user tries to ask a question about the task they are executing, or the system asks a question to them. Appendix C provides details on deriving a narrow context and determining when to trigger the method.

3.2 Re-ranking *n*-best ASR hypotheses

We re-rank ASR hypotheses by the lexical and semantic similarity to the context. If a narrow context exists, we score hypotheses from best to worst by fuzzy matching (Chaudhuri et al., 2003) with the context. We stop if we find one exceeding a threshold.³ If no match is found, we run an indexed search⁴ for each ASR hypothesis and assign the largest cosine similarity as the score of the hypothesis. The highest-scored hypothesis is chosen as a correction. If the original best hypothesis is picked, we interpret this as no correction needed.

Re-ranking *n*-best hypotheses handles modifier insertions. Suppose "how to care for outdoor plants" is transcribed as "how to camper for outdoor plants" and that *n*-best hypotheses have the correct transcription. "How to camper for outdoor plants" does not return a good search result since there is no such task. However, even if "how to care for outdoor plants" is not on the list, our method can propose it as a correction because its search result, "take care plant," exceeds the threshold.

3.3 Ranking context

Since *n*-best ASR hypotheses don't always include the correct transcripts, re-ranking them can fail. We solve this by ranking context based on phonetic similarity to the best ASR hypothesis measured by the longest common subsequences (LCSs) between the phoneme sequences of the context and the best ASR hypothesis⁵. We choose the option from the context whose LCS covers a certain portion of the option and is not too scattered as a candidate for correction. Then, we replace the tokens in the best hypothesis covered by the LCS with those in the

³We set the threshold to 96% to allow small mistakes such as articles ("a" vs "the") and singular vs plural.

⁴We tuned the threshold for the indexed search using some examples from the post-hoc analysis and set it to 0.8.

⁵Phoneme sequences are obtained from g2pE (Park and Kim, 2019), which looks up the CMU Pronouncing Dictionary (Lenzo et al., 2007) or predicts the pronunciation using a neural network model if a word is not in the dictionary.

candidate and accept the new hypothesis as the correction. The full algorithm is in Appendix D.

LCSs help handle phrases inserted or removed in the middle of a task. Suppose there is a task called "how to fix a bathroom faucet" and that ASR transcribes "how can I fix a leaky bathroom faucet" as "how can I fix a leaky bathroom for sit." The LCS between the best ASR hypothesis and the context comes from "fix a bathroom for sit" for the hypothesis. Therefore, we can skip the word "leaky" in the original hypothesis to replace "for sit" with "faucet" from the context to get the correct transcript. The same mechanism works when there is a task called "how to fix a leaky bathroom faucet" and ASR transcribes "how can I fix a bathroom faucet" as "how can I fix a bathroom for sit."

3.4 Augmenting context

Ranking n-best ASR hypotheses and context depends on the richness of the context to better deal with erroneous hypotheses and lexical and syntactic variety of user utterances. Therefore, we augment the context used for ranking in two ways.

First, we expand the search space by distilling lexical and syntactic variations from GPT to create an offline dataset, allowing ASR correction to consider phrases not in the list of available tasks. To avoid high costs and repetitive data, we cluster the task list and generate variations only for cluster centroids. This allows for a collection of the most representative yet diverse samples (Hassan and Alikhani, 2023). Then, we index the augmented dataset for an indexed search. The details of the implementation can be found in Appendix E, and the evaluation is in Appendix F.

Second, we add partial matches to a narrow context if they uniquely identify one option. For example, if the search results are "how to care for indoor plants," "how to water indoor plants," and "how to fertilize indoor plants," we suggest "how to water indoor plants" as long as ASR correctly transcribes "water." Partial matches also handle the omission of unneeded words.

4 Experiments

4.1 Post-hoc analysis for search & selection

We did a post-hoc analysis on the user utterances to search or select home improvement (wikiHow) tasks because they have more linguistic variations than recipes. We extracted these utterances from the annotated dataset in section 2. 91.6% of this subset had the search intent. Since the goal of our ASR correction is to identify the desired intent (cf. Section 2), a proposed correction was considered correct if it matched the manually corrected transcript or the correct option. We evaluated the methods with Precision@1, Recall@1, and F1-score@1 since our method does not rank beyond the first option, and the scores of our two ranking strategies have different meanings.

4.1.1 Baseline

We use the method by Raghuvanshi et al. (2019) as a baseline because it does not require prior user interactions and its source code is publicly available. This method matches potentially erroneous ASR output with named entities and their synonyms stored in a database, based on textual and phonetic similarities aided by *n*-best ASR hypotheses. We treated each wikiHow article title in the private dataset as a named entity. We also experimented with adding alternative titles from GPT (the same as section 3.4) as synonyms of named entities.

4.1.2 Results

We examined the search and selection intents separately and combined. The results are summarized in Table 2. Our method has 36% higher recall and 17% higher F1 than the baseline overall. Although its overall precision was 19% lower and its FPR was 3% higher, our method *outperformed the baseline in every metric except for FPR in the search intent* when broken down by intent. This is because over 80% of the utterances our method made corrections had the search intent, while the baseline had fewer than 50%. Thus, combined precision favored the search intent (harder) for our method and the selection intent (easier) for the baseline. GPT augmentation worsened the baseline's precision and recall for the search intent.

Table 3 shows examples where our method corrected distorted transcripts (the first two rows), while the baseline only fixed small errors such as particles (the third row). About 93% of the baseline's corrections were also made by our method. The last row is a case where the baseline falsely chose an alternative with one or two words overlapping the original transcript.

We also compared the word error rate (WER) in Table 4. Our method showed a marginal .5% increase compared to the original ASR transcript (p < .1), but no significant difference from the baseline (p > .6) with a t-test and adjusted p-values

Intent	S	earch (91.6%	6)	Se	election	n (8.49	%)		Comb	oined	
	Prec	Rec	F1	FPR	Prec	Rec	F1	FPR	Prec	Rec	F1	FPR
Baseline	.17	.04	.06	.01	.92	.47	.62	.01	.56	.18	.27	.01
Baseline + GPT	.14	.03	.05	.01	.92	.47	.62	.01	.55	.17	.26	.01
Ours	.22	.38	.28	.05	.98	.86	.91	.01	.37	.54	.44	.04

Table 2: The precision (Prec), recall (Rec), F1 score, and false-positive rate (FPR) of corrections from the baseline (cf. section 4.1.1) and our method, broken down by search and selection intents, as well as both intents combined. The best results are in bold. Our method outperformed the baseline in both intents, except for FPR in search. The baseline has the highest precision for the two intents combined due to low recall and FPR in the search intent.

Original ASR	Correct transcript	Baseline	Ours		
Alexa how do I choose	Alexa how do I shine	Alexa how do I choose	Alexa how do I shine		
without polish?	shoes without polish?	without polish?	shoes without polish?		
Cartoon electric guitar	How to tune electric guitar	Cartoon electric guitar	Tune an electric guitar		
How to make a	How to make a snowflake	How to make a	How to make a		
snowflake of paper	out of paper	snowflake out of paper	snowflake out of paper		
Start another task	Start another task	Stay on task while	Start another task		
		working on a computer			

Table 3: The correct and original ASR transcripts and the correction made by the baseline and our method.

WER	Μ	SD
No correction method	.015	.085
Baseline	.021	.123
Ours	.020	.079

Table 4: The means (M) and standard deviations (SD) of word error rate (WER) with no correction method, the baseline, and ours. An increase in WER is due to false positives, but the overall identification rate of tasks requested by users is vastly improved (cf. Table 2).

using the Holm method. This increase does not contradict the higher precision, recall, and F1 scores because about 97% of the utterances with an increased WER are false positives.

We did ablation studies to assess the contribution of the two ranking strategies. Table 5 shows that ranking *n*-best is better for the search intent while ranking context by phonemes is better for the selection intent. Combining the two boosts precision and recall for selection by 6-23%, as phonemes catch cases missed by *n*-best re-ranking when the hypotheses do not contain the exact match with narrow context. However, combining the two does not improve recall and worsens precision, F1, and FPR for the search intent.

We further performed an error analysis to understand failure cases, with examples in Appendix G. **Errors our method could not correct** Our method failed for the search intent primarily for five reasons. 41% of the failures were due to errors in key phrases in all hypotheses. In this case, the correct parts were not sufficient to bring up good search results. 14% were due to missing proper nouns not in the augmented dataset. 12% were due to errors in prepositions not captured by cosine similarity. 12% were caused by incorrect choices from *n*-best ASR hypotheses when the correct one did not return a good search result during ranking. 12% occurred when incorrect hypotheses scored high during ranking.

For the selection intent, our method missed errors only when the correct transcripts were absent or when the errors were large (e.g., "automatic writing" misheard as "ornamented lightning").

False positives due to a narrow context 51% of false positives were caused by prioritizing a narrow context: (1) users requesting to exit our system by opening another app on Alexa (39%), (2) users making similar queries to be more specific or correct ASR by themselves (36%), (3) ASR hypotheses including numbers (our method suggested it as correction because our system allows users to select an option by number like "option three" (8%), and (4) users asking for a chit-chat (5%). Better intent classification could address (1) and (4).

False positives not caused by a narrow context Bad index search results gave high scores to incorrect hypotheses (40%) and ungrammatical hypotheses (9%) or did not give high scores to any hypotheses (24%). Removing (sub) words was prevalent as well (27%) but the main intents were mostly preserved.

Intent	S	Search	(91.6%)	S	electio	n (8.4%	6)		Com	bined	
	Prec	Rec	F1	FPR	Prec	Rec	F1	FPR	Prec	Rec	F1	FPR
n-best + augment	+.07	.00	+.05	02	10	23	18	01	+.04	08	.00	01
Phoneme + augment	11	15	16	01	06	13	10	.00	08	21	13	.00

Table 5: The results of the ablation studies (the numbers are relative to our full method at the bottom of Table 2).

4.2 Real-world deployment

We incrementally deployed our method for matching the system's suggestions (part of the search), selection, and keywords for task execution for recipes and home improvement with real-world users. To prevent dialogue disruption by false positives, our system asked "Did you mean <correction>?" when suggesting a correction. Users rated the quality of the conversations from 1 (worst) to 5 (best). We compared the ratings of users who experienced proper correction by our method (true positive), improper correction (false positive), no ASR errors and no correction (true negative), and some ASR errors not handled by our method (false negative) in Table 6.⁶ We ran one-way ANCOVA to control for the number of turns and the timestamps of the conversations since we also deployed other new features and bug fixes incrementally. We adjusted p-values with Holm's method. There was a significant difference between true positive and false negative (p < .05) but not between false positive and false negative (p > .1) or between all positives and all negatives (p > .1). In the latter comparisons, the difference was attributed to the number of turns. The results imply we maintained or even improved the score even with false positives.

5 Discussion and Conclusion

Our approach corrects ASR errors in general terms in goal-oriented dialogues by leveraging dialogue context more flexibly than existing methods, which focus on named entities. Context is taken from tasks and narrowed down by the dialogue state. We augment a narrow context via partial matches and tasks with GPT to handle linguistic variability. We re-rank n-best ASR hypotheses based on the semantic similarity with context and rank context by phonetic correspondence with ASR hypotheses. Unlike existing methods, our method requires no prior user interaction data. Experiments show it improves recall and F1 from the baseline while keeping reasonable precision and FPR. In a real-

	Turns		Rat	ing
ASR errors	М	SD	М	SD
True positive (TP)	12.6	9.32	3.98	1.40
False positive (FP)	8.29	2.75	2.29	1.38
TP + FP	11.5	8.30	3.54	1.56
True negative (TN)	7.70	18.6	3.13	1.58
False negative (FN)	13.4	17.0	2.89	1.56
TN + FN	8.45	10.1	3.10	1.64

Table 6: The mean (M) and standard deviations (SD) of the user turns and rating of the conversations that experienced proper correction (true positive), improper correction (false positive), no ASR errors and no correction (true negative), and ASR errors not corrected (false negative). Note that true and false negatives may receive correction by our full method but did not at the time of the interactions due to incremental deployment.

world deployment, it improved user ratings when correct and had minimal impact when incorrect.

Though evaluated in home improvement and cooking, our approach can be applied to any domain or voice commands, provided there is a comprehensive task list for LLM augmentation. Although it assumes correct intent recognition, it can also enhance intent recognition if textual cues for intents can be extracted from training data. Moreover, although designed for goal-oriented dialogues where user speech is often constrained by their goals, our method can also be adapted to open chat by, for example, generating a narrow context through simulations with an LLM. Future work can evaluate it in more domains and dialogue states.

The drawback of our method is an increase in FPR when correcting errors using all tasks. To reduce it, we could consider phoneme similarities. Suppose ASR transcribed "house" as "horse" when available options include "house" and "hence." g2pE converts "house" to ["HH," "AW1," "S"], "horse" to ["HH," "AO1," "R," "S"], and "hence" to ["HH," "EH1," "N," "S"]. Since LCSs do not consider similarities of phonemes, both "house" and "hence" have the same length of LCS as "horse." However, intuitively, "AO1" should sound closer to "AW1" than "EH1," so "horse" should be corrected to "house." This could be potentially solved

⁶A user may experience two or more categories in one interaction. We removed such users from our analysis.

by using phoneme embedding to weigh the differences among phonemes (Fang et al., 2020) and solving the heaviest LCS problem (Jacobson and Vo, 1992). This could be also addressed by an audio tokenizer and the subsequent embedding in a pre-trained speech LLM (Borsos et al., 2023).

Acknowledgements

This project was completed as part of and received funding from the Alexa Prize TaskBot Challenge 2. We would like to thank the Alexa Prize team, especially Lavina Vaz and Michael Johnston, for supporting us throughout the competition and for giving us the resources to develop and deploy our system to a large audience. We would also like to thank our team members who are not in the authors list for making our research possible: Mert Inan, Qi Cheng, Dipunj Gupta, and Jennifer Nwogu. We would like to thank members in PETAL lab and FACET lab at the University of Pittsburgh for giving us valuable feedback.

Limitations

We acknowledge the limitations of the evaluation of our method. First, while public benchmarks focusing on ASR errors exist, we evaluate our method only on our private data instead because those public benchmarks typically do not contain the broader context of functional conversational AI. We argue that not evaluating our method against public benchmarks does not affect the validity of our approach in practical applications, as the primary objective of ASR error correction lies in enhancing the performance of downstream conversational AI. Although Amazon's policy prevents this paper from reporting some statistics in our dataset (e.g., the number of sampled dialogues), listing real examples (we modify the user examples listed in this paper but preserve actual errors from Alexa's ASR), and releasing our code, we provide the necessary details so that the industry community can easily benchmark our method in various domains, including novel areas that do not have any prior user interaction data, when developing a conversational AI. This paper should serve as a cornerstone of the advancement of ASR correction in the presence of linguistic flexibility in practical real-world conversational AI applications.

Second, we assess our algorithm's performance using precision, recall, and F1-score only at rank one. As our algorithm stops ranking upon identifying a suitable candidate to prevent over-correction, it restricts the calculation of Precision@N, Recall@N, and F1-score@N for $N \ge 2$. In addition, Precision@N, Recall@N, and F1-score@N will be always 1 for the selection intent and for $N \ge 3$ because we present only three options to users. That being said, we intend to update the algorithm by adding multiple candidate considerations and integrating varied selection criteria for candidate options in future iterations.

We are also aware of a more advanced graphemeto-phoneme conversion model based on a transformer.⁷ We decided not to use it due to the restriction on latency imposed on Alexa applications. The discussion of the performance and latency of our method compared to existing deep learning approaches such as Bekal et al. (2021) and Mai and Carson-Berndsen (2024) and the online use of LLMs (Chen et al., 2023) is left for future work.

Ethical Considerations

ASR is known to be biased against dialects, females, and racial minorities (Tatman, 2017; Tatman and Kasten, 2017), possibly due to a lack of their representation in training datasets. ASR struggles with transcribing low-resource languages, too (Zellou and Lahrouchi, 2024). Our work could potentially aid the experience of marginalized populations with conversational AI as it does not rely on any data other than a list of tasks/commands. However, this might not be the case because the effectiveness of our method depends on the quality of ASR, namely n-best hypotheses and phonetic information, and augmentation by LLMs, which also have poor performance on low-resource languages (Hangya et al., 2022). A closer investigation of how well our method fills a gap between marginalized populations and white males speaking general English is needed.

References

Eugene Agichtein, Michael Johnston, Anna Gottardi, Lavina Vaz, Cris Flagg, Yao Lu, Shaohua Liu, Sattvik Sahai, Giuseppe Castellucci, Jason Ingyu Choi, Prasoon Goyal, Di Jin, Saar Kuzi, Nikhita Vedula, Lucy Hu, Samyuth Sagi, Luke Dai, Hangjie Shi, Zhejia Yang, Desheng Zhang, Chao Zhang, Daniel Pressel, Heather Rocker, Leslie Ball, Osman Ipek, Kate Bland, James Jeun, Oleg Rokhlenko, Akshaya Iyengar, Arindam Mandal, Yoelle Maarek, and Reza

⁷https://github.com/cmusphinx/g2p-seq2seq

Ghanadan. 2023. Advancing conversational task assistance: the second alexa prize taskbot challenge. In *Alexa Prize TaskBot Challenge 2 Proceedings*.

- Dhanush Bekal, Ashish Shenoy, Monica Sunkara, Sravan Bodapati, and Katrin Kirchhoff. 2021. Remember the context! asr slot error correction through memorization. In 2021 IEEE Automatic Speech Recognition and Understanding Workshop (ASRU), pages 236–243. IEEE.
- Daniel Biś, Saurabh Gupta, Jie Hao, Xing Fan, and Chenlei Guo. 2022. PAIGE: Personalized adaptive interactions graph encoder for query rewriting in dialogue systems. In *Proceedings of the 2022 Conference on Empirical Methods in Natural Language Processing: Industry Track*, pages 398–408, Abu Dhabi, UAE. Association for Computational Linguistics.
- Zalán Borsos, Raphaël Marinier, Damien Vincent, Eugene Kharitonov, Olivier Pietquin, Matt Sharifi, Dominik Roblek, Olivier Teboul, David Grangier, Marco Tagliasacchi, et al. 2023. Audiolm: a language modeling approach to audio generation. *IEEE/ACM Transactions on Audio, Speech, and Language Processing.*
- Surajit Chaudhuri, Kris Ganjam, Venkatesh Ganti, and Rajeev Motwani. 2003. Robust and efficient fuzzy match for online data cleaning. In *Proceedings of* the 2003 ACM SIGMOD international conference on Management of data, pages 313–324.
- Chen Chen, Yuchen Hu, Chao-Han Huck Yang, Sabato Marco Siniscalchi, Pin-Yu Chen, and Eng Siong Chng. 2023. Hyporadise: an open baseline for generative speech recognition with large language models. In *Proceedings of the 37th International Conference on Neural Information Processing Systems*, pages 31665–31688.
- Eunah Cho, Ziyan Jiang, Jie Hao, Zheng Chen, Saurabh Gupta, Xing Fan, and Chenlei Guo. 2021. Personalized search-based query rewrite system for conversational AI. In *Proceedings of the 3rd Workshop on Natural Language Processing for Conversational AI*, pages 179–188, Online. Association for Computational Linguistics.
- Anjie Fang, Simone Filice, Nut Limsopatham, and Oleg Rokhlenko. 2020. Using phoneme representations to build predictive models robust to asr errors. In Proceedings of the 43rd International ACM SIGIR Conference on Research and Development in Information Retrieval, pages 699–708.
- Kallirroi Georgila, Kyrakos Sgarbas, Anastasios Tsopanoglou, Nikos Fakotakis, and George Kokkinakis. 2003. A speech-based human-computer interaction system for automating directory assistance services. *International Journal of Speech Technol*ogy, 6:145–159.
- Arshit Gupta, Peng Zhang, Garima Lalwani, and Mona Diab. 2019. CASA-NLU: Context-aware selfattentive natural language understanding for task-

oriented chatbots. In Proceedings of the 2019 Conference on Empirical Methods in Natural Language Processing and the 9th International Joint Conference on Natural Language Processing (EMNLP-IJCNLP), pages 1285–1290, Hong Kong, China. Association for Computational Linguistics.

- Viktor Hangya, Hossain Shaikh Saadi, and Alexander Fraser. 2022. Improving low-resource languages in pre-trained multilingual language models. In Proceedings of the 2022 Conference on Empirical Methods in Natural Language Processing, pages 11993– 12006, Abu Dhabi, United Arab Emirates. Association for Computational Linguistics.
- Sabit Hassan and Malihe Alikhani. 2023. D-CALM: A dynamic clustering-based active learning approach for mitigating bias. In *Findings of the Association for Computational Linguistics: ACL 2023*, pages 5540–5553, Toronto, Canada. Association for Computational Linguistics.
- Yulan He and S. Young. 2003. A data-driven spoken language understanding system. In 2003 IEEE Workshop on Automatic Speech Recognition and Understanding (IEEE Cat. No.03EX721), pages 583–588.
- Guy Jacobson and Kiem-Phong Vo. 1992. Heaviest increasing/common subsequence problems. In *Combinatorial Pattern Matching*, pages 52–66, Berlin, Heidelberg. Springer Berlin Heidelberg.
- Rebecca Jonson. 2006. Dialogue context-based reranking of asr hypotheses. In 2006 IEEE Spoken Language Technology Workshop, pages 174–177. IEEE.
- Mahnaz Koupaee and William Yang Wang. 2018. Wikihow: A large scale text summarization dataset. *CoRR*, abs/1810.09305.
- Kevin Lenzo et al. 2007. The cmu pronouncing dictionary. *Carnegie Melon University*, 313:74.
- Chang Liu, Pengyuan Zhang, Ta Li, and Yonghong Yan. 2019. Semantic features based n-best rescoring methods for automatic speech recognition. *Applied Sciences*, 9(23):5053.
- Ramón López-Cózar and Zoraida Callejas. 2008. Asr post-correction for spoken dialogue systems based on semantic, syntactic, lexical and contextual information. *Speech Communication*, 50(8-9):745–766.
- Long Mai and Julie Carson-Berndsen. 2024. Enhancing conversation smoothness in language learning chatbots: An evaluation of gpt4 for asr error correction. In ICASSP 2024-2024 IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP), pages 11001–11005. IEEE.
- Kyubyong Park and Jongseok Kim. 2019. g2pe. https: //github.com/Kyubyong/g2p.
- Pragaash Ponnusamy, Alireza Ghias, Yi Yi, Benjamin Yao, Chenlei Guo, and Ruhi Sarikaya. 2022. Feedback-based self-learning in large-scale conversational ai agents. *AI magazine*, 42(4):43–56.

- Alec Radford, Jong Wook Kim, Tao Xu, Greg Brockman, Christine Mcleavey, and Ilya Sutskever. 2023. Robust speech recognition via large-scale weak supervision. In Proceedings of the 40th International Conference on Machine Learning, volume 202 of Proceedings of Machine Learning Research, pages 28492–28518. PMLR.
- Arushi Raghuvanshi, Vijay Ramakrishnan, Varsha Embar, Lucien Carroll, and Karthik Raghunathan. 2019. Entity resolution for noisy ASR transcripts. In Proceedings of the 2019 Conference on Empirical Methods in Natural Language Processing and the 9th International Joint Conference on Natural Language Processing (EMNLP-IJCNLP): System Demonstrations, pages 61–66, Hong Kong, China. Association for Computational Linguistics.
- Nils Reimers and Iryna Gurevych. 2019. Sentence-BERT: Sentence embeddings using Siamese BERTnetworks. In Proceedings of the 2019 Conference on Empirical Methods in Natural Language Processing and the 9th International Joint Conference on Natural Language Processing (EMNLP-IJCNLP), pages 3982–3992, Hong Kong, China. Association for Computational Linguistics.
- Arup Sarma and David D. Palmer. 2004. Context-based speech recognition error detection and correction. In *Proceedings of HLT-NAACL 2004: Short Papers*, pages 85–88, Boston, Massachusetts, USA. Association for Computational Linguistics.
- Prashanth Gurunath Shivakumar, Haoqi Li, Kevin Knight, and Panayiotis Georgiou. 2019. Learning from past mistakes: improving automatic speech recognition output via noisy-clean phrase context modeling. *APSIPA Transactions on Signal and Information Processing*, 8:e8.
- Rachael Tatman. 2017. Gender and dialect bias in YouTube's automatic captions. In *Proceedings of the First ACL Workshop on Ethics in Natural Language Processing*, pages 53–59, Valencia, Spain. Association for Computational Linguistics.
- Rachael Tatman and Conner Kasten. 2017. Effects of talker dialect, gender & race on accuracy of bing speech and youtube automatic captions. In *Interspeech*, pages 934–938.
- Haoyu Wang, John Chen, Majid Laali, Kevin Durda, Jeff King, William Campbell, and Yang Liu. 2021. Leveraging ASR N-Best in Deep Entity Retrieval. In *Proc. Interspeech 2021*, pages 261–265.
- Wenhui Wang, Furu Wei, Li Dong, Hangbo Bao, Nan Yang, and Ming Zhou. 2020. Minilm: Deep self-attention distillation for task-agnostic compression of pre-trained transformers. *Preprint*, arXiv:2002.10957.
- Yue Weng, Sai Sumanth Miryala, Chandra Khatri, Runze Wang, Huaixiu Zheng, Piero Molino, Mahdi Namazifar, Alexandros Papangelis, Hugh Williams,

Franziska Bell, et al. 2020. Joint contextual modeling for asr correction and language understanding. In *ICASSP 2020-2020 IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP)*, pages 6349–6353. IEEE.

- SJ Whittaker and DJ Attwater. 1995. Advanced speech applications-the integration of speech technology into complex services. In *ESCA workshop on Spoken Dialogue Systems–Theory and Application*, pages 113–116.
- Jason D Williams and Silke M Witt. 2004. A comparison of dialog strategies for call routing. *International Journal of Speech Technology*, 7(1):9–24.
- Georgia Zellou and Mohamed Lahrouchi. 2024. Linguistic disparities in cross-language automatic speech recognition transfer from arabic to tashlhiyt. *Scientific Reports*, 14(1):313.
- Yingxue Zhou, Jie Hao, Mukund Rungta, Yang Liu, Eunah Cho, Xing Fan, Yanbin Lu, Vishal Vasudevan, Kellen Gillespie, and Zeynab Raeesy. 2023. Unified contextual query rewriting. In Proceedings of the 61st Annual Meeting of the Association for Computational Linguistics (Volume 5: Industry Track), pages 608–615, Toronto, Canada. Association for Computational Linguistics.

A Our system's preamble

When a user launches our system, it says something like the following:

Hi, this is <our system's name>. Welcome! I can help with a task you choose. You can ask me for things like recipes for cookies, how to fix a faucet, or how to make origami.

In this example, the suggestions from the system are "cookies," "how to fix a faucet," and "how to make origami."

B Example dialogue

Table 7 shows an example of a dialogue between a user and our system.

C Details of our dialogue state tracking

To track the current dialogue state, we store numerous values from the current and previous turn(s). These include whether a question is just asked by the system, whether there exists an unanswered question from the previous turn, search results (if there are any) from the previous turn, the task (if any) the user is currently working on, and values extracted from our natural language understanding module indicating the user's intent and dialogue slots for the current turn. These variables allow the system to, at any given turn, track the current dialogue stage (cf. Figure 2) and access any relevant contextual information.

We also implemented intent recognition and slot filling enhanced by the rich contextual information coming from our state tracking. For example, it was used to interpret the results of lightweight classifiers (e.g., rule-based classifiers for the intent to end the conversation and for fine-grained intents that occur at specific points within a task, such as the intent to go to the next step of a task). Databasespecific information, including frequently used verbs in our datasets, was collected and used to further inform our slot-filling algorithm.

D Pseudocode for ranking context

Algorithm 1 Ranking context

 $H \leftarrow \text{best ASR hypothesis}$ $\alpha \leftarrow \text{index search threshold}$ $r \leftarrow$ range threshold, $s \leftarrow$ coverage threshold $L \leftarrow [], S \leftarrow [], R \leftarrow []$ $C \leftarrow index \ search(H, \alpha)$ $H^p \leftarrow grapheme_to_phoneme(H)$ for C_i in C do $C_i^p \leftarrow grapheme_to_phoneme(C_i)$ $LCS_i \leftarrow LCS(H^p, C_i^p)$ $L_i \leftarrow len(LCS_i)$ $S_i \leftarrow \frac{L_i}{len(C_i^p)}$ $R_i \leftarrow \text{range of } LCS_i \text{ in } H^p$ end for $L_{MAX} \leftarrow max(L)$ $j \leftarrow index(L, L_{MAX})$ if $S_j \ge s$ and $\frac{R_j}{len(C_j^p)} \le r$ then $candidate = C_j$ else $S_{MAX} \leftarrow max(S)$ $j \leftarrow index(S, S_{MAX})$ if $S_j \ge s$ and $\frac{R_j}{len(C_j^p)} \le r$ then $candidate = C_j$ end if end if if candidate not assigned then

return no correction proposed

end if

 $N \leftarrow$ rewrite of H by matching its tokens with the ones in *candidate* covered by LCS_j **return** N

Algorithm 1 shows the implementation of rank-

ing context. We used $\alpha = 0.5$, r = 1.5, and s = 0.8. We tuned r and s based on several ASR errors in real user dialogues initially and a handful of false positives in real user dialogues after deployment. α was determined by manually inspecting several examples during post-hoc analysis.

E Detailed implementation of GPT augmentation

Algorithm 2 summarizes the process of our index creation. We prepared a *public* wikiHow dataset (Koupaee and Wang, 2018), which contains 230K instances of related tasks for augmentation, in addition to our private list of 50K available wiki-How tasks to broaden the range of potential correction scenarios and created a mapping between these instances ((X, Y) in Algorithm 2). We used MiniLM (Wang et al., 2020) for obtaining embeddings, Kmeans with default scikit-learn⁸ parameters for clustering, and GPT-3.5-turbo⁹ for generating variations. We used 20K clusters with k=8, resulting in 160K additional variations.

Algorithm 2 Context-augmented Index Creation $M \leftarrow \{\}, Q \leftarrow$ query $X \leftarrow$ public dataset, $Y \leftarrow$ private dataset

 $F_X \leftarrow \text{public dataset}, T \leftarrow \text{pirvate dataset}$ $E_X \leftarrow \text{embed. (X)}, E_Y \leftarrow \text{embed. (Y)}$ $\alpha \leftarrow \text{similarity threshold}$ for x_i, y_i in (X,Y) do $S \leftarrow cosine_sim(E_Y(y_i), E_X(x_i))$ if $S > \alpha$ then $M[x_i] = y_i$ end if
end for $X' \leftarrow \{x \in M\}, E_{X'} \leftarrow \text{Embed. (X')}$ $C_1, C_2, ...C_n \leftarrow Centroids(Cluster(E_{X'}))$ for i = 1, ...i = n do $C_{i1}, ...C_{ik} \leftarrow \text{generate k variations}$ for j = 1, ...j = k do $M[C_{ij}] = M[C_i]$ end for
end for

F Evaluation of GPT augmentation

Table 8 shows examples of variations generated by GPT. A manual inspection of 100 samples showed that **94%** of the variations generated by GPT were

⁸https://scikit-learn.org/stable/modules/ generated/sklearn.cluster.KMeans.html

⁹https://platform.openai.com/docs/models/ gpt-3-5

accurate variations of the original title. In a few cases (6%), we observed that the variations were not accurate.

We manually evaluated the differences between our retrieval results and the default retrieval system on 32 queries and observed that in **24%** of cases, our approach resulted in slight or significant improvement, while in 3.5% of cases, this resulted in slightly worse performance. Before returning the search results to the user, our top result was injected as the third option in the retrieval list from the default search API (containing 10 results), after removing any duplicates. This was done to minimize the effect of any bad search results returned by the indexed search. Examples of retrievals by our method are provided in Table 9.

G Examples of failure cases

Table 10 shows the errors in the search intent that were not corrected by our method. Table 11 and Table 12 demonstrate false positives because of and not because of a narrow context, respectively.

Speaker	Utterance
System	Hi, this is <our name="" system's="">. Wel-</our>
	come! I can help with a task you
	choose. You can ask me for things
	like recipes for cookies, how to fix a
	faucet, or how to make origami.
User	How can I make salsa?
System	Let's spice things up in the kitchen
	with these tasty Whole Foods recipes!
	Here's what I found for your search on
	salsa: The top results are Salsa, Pico
	de Gallo (Fresh Salsa), and Home-
	made Salsa. Other users have rated
	the first one pretty high, and for a
	quick recipe, check out the second one.
	Don't forget to select an option, sum-
	marize it, or search for something else.
User	Fresh salsa.
System	Great! Before we get started, please be
	careful when using any tools or equip-
	ment. Remember, safety first! OK,
	here are the ingredients for Pico de
	Gallo (Fresh Salsa). The first ingredi-
	ent is: 2 cups chopped tomatoes. Say
	"next" when you're ready for another.
	Or say "start cooking" when you're
	ready for the recipe steps.
User	Start cooking.
System	Okay, here's the first step. Combine
	all ingredients in a bowl, toss well and
	serve chilled or at room temperature.
User	Next.
System	There are no more steps left. Would
Lloon	you like to finish this recipe? Yes.
User	
System	Congratulations! We completed the
	task! If you want help with another
Haar	task, just ask me.
User	Alexa, cancel.
	(End of conversation)

Table 7: An example of an interaction between a user and our system.

Original	GPT variations		
learn quickly when	absorb information faster		
reading	while reading		
start a computer	boot up computer		
kill mosquitoes	exterminate mosquitos		
make cinnamon	bake cookies with cinna-		
sugar	mon honey and sugar		

Table 8: Examples of GPT-augmented data for indexed search. Variations allow for a wider semantic search. The text in red shows possible deviations GPT may make.

User query	Context-aware retrieval	Default API retrieval (top 3)	
clean my carpet		how to spot clean carpet	
	how to alcon corrects	how to clean carpet without a carpet	
	how to clean carpets	cleaner	
		how to steam clean carpet	
workout plans		how to cancel plans with a friend	
	how to make a workout plan	how to compare drug plans	
		how to do a tabata workout	

Table 9: Our proposed approach retrieves more relevant results compared to the default search API

Reason	Best ASR (wrong)	Correct transcript	Our correction		
Errors in key phrases and	how can i die my hair	how can i dye my hair	(no correction pro-		
correct transcript not in n-			posed)		
best (41%)					
Errors in proper nouns not	help me win monkey	help me win mon-	(no correction pro-		
in the dataset (14%)	metal in blue tower de-	key meadow in bloons	posed)		
	fense six	tower defense six			
Errors with prepositions	how to get rid on	how to get rid of	(no correction pro-		
(12%)	ground bees	ground bees	posed)		
The correct transcript in <i>n</i> -	how to stretch press	how to stretch breast	(no correction pro-		
best does not have a good	muscle	muscle	posed)		
search result (12%)					
The Wrong hypothesis	how do i determine cor-	how do i determine cor-	how do i determine cor-		
scored high (12%)	rect height for a white	rect height for a white	rect height for a cane		
	kane	cane			

Table 10: Examples of ASR errors in the search intent that were not corrected by our method.

Reason	Best ASR (correct)	Our correction
A user wanted to leave our system	go to youtube	how to get youtube (from the search
(39%)		results)
More specific queries or self-correction	turn on an alarm on a	how to test a security alarm (after our
of ASR errors after searching (36%)	smartphone	system searched only for "alarm")
Number in one of the ASR hypotheses	let's do cycling	let's two cycling (our system selects the
after search results are presented (8%)		second option)
Chit chat (5%)	tell me a dad joke	how to tell a dad joke (from the search
		results)

Reason	Best ASR (correct)	Our correction
High score for an incorrect hypothesis	how to create an app	how to create an apple
(40%)		
Removal of (sub) words (27%)	how to grow grass in	how to grow grass in my yard
	my backyard	
Bad search results for all hypotheses	how do you take apart	how do you start a macbook
(24%)	a macbook	
High score for an ungrammatical hy-	how to do bubble	how to you do bubble braids
pothesis (9%)	braids	

Table 12: Examples of false positives when a narrow context does not exist or is not the cause.