Evaluating Sampling Strategies for Similarity-Based Short Answer Scoring: a Case Study in Thailand

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Abstract

Automatic short answer scoring is a task whose aim is to help grade written works by learners of some subject matter. In niche subject domains with small examples, existing methods primarily utilized similarity-based scoring, relying on predefined reference answers to grade each student's answer based on the similarity to the reference. However, these reference answers are often generated from a randomly selected set of graded student answer, which may fail to represent the full range of scoring variations. We propose a semi-automatic scoring framework that enhances the selective sampling strategy for defining the reference answers through a K-center-based and a K-meansbased sampling method. Our results demonstrate that our framework outperforms previous similarity-based scoring methods on a dataset with Thai and English. Moreover, it achieves competitive performance compared to human reference performance and LLMs.

1 Introduction

Automatic short answer scoring is a task that focuses on the development of a system or model capable of grading students' responses to question prompts in educational settings, such as short answers or other text responses [\(Burrows et al.,](#page-4-0) [2015\)](#page-4-0). This can help reduce the workload for teachers and teaching assistants, particularly when grading homework in large courses.

Machine learning models can be trained to predict the score of a given answer. Researchers have used SVM [\(Hou et al.,](#page-4-1) [2010\)](#page-4-1), LSTM [\(Dasgupta](#page-4-2) [et al.,](#page-4-2) [2018\)](#page-4-2), and BERT [\(Sung et al.,](#page-5-0) [2019\)](#page-5-0) to create such models. However, these require preexisting training data for each questions, which limits the applicability of such methods. Large Language Models (LLMs) have also been explored to score students answers [\(Lee and Song,](#page-4-3) [2024\)](#page-4-3). Since LLMs have been trained on a wide range of domains, they can be potentially useful for evaluating student answers in zero-shot and fewshot settings [\(Chamieh et al.,](#page-4-4) [2024\)](#page-4-4). However, some university-level homework requires specialized technical knowledge, which may fall into domains for which no dedicated LLM has been trained. Fine-tuning an LLM for specific courses presents further challenges, as universities offer many different subjects, making it a significant workload to prepare the necessary datasets for each course. Additionally, LLMs are limited by high resource demands and the cost of API usage [\(Shekhar](#page-5-1) [et al.,](#page-5-1) [2024\)](#page-5-1).

Another approach is similarity-based scoring [\(Horbach and Zesch,](#page-4-5) [2019\)](#page-4-5), where students' answers are compared with a set of reference answers and given the score of the reference answer most similar to their own. [Bexte et al.](#page-4-6) [\(2023\)](#page-4-6) explored this idea, sampling answers to be manually graded and use as reference with two methods: random sampling and balanced sampling. While the latter showed better performance, it is not applicable in a real grading scenario, since we cannot predetermine the score of each answer to create a balanced reference set for each class. While this could be simulated by having educators create their own reference answer for each score, it becomes quite challenging in higher educations, where more complex and diverse answers are expected.

In this work, we present a semi-automatic, similarity-based scoring framework that eliminates the need for educators to create a separate reference answer set. Instead, educators grade a subset of student answers selected through K-means-based sampling and K-center-based sampling without prior labeling, and the system uses these graded answers as the reference set. Then, we evaluate our similaritybased scoring framework on real data collected from a university in Thailand, which includes Thai, English, and code-switched answers. Our results

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Figure 1: Overview of our semi-automatic, similaritybased scoring framework.

show that this framework outperforms random sampling baseline and achieves performance comparable to human. Our contributions are as follows:

- We propose a semi-automatic, similaritybased scoring framework that uses K-meansbased sampling and K-center-based sampling to retrieve diverse reference answers.
- We conduct a comparative study of our similarity-based framework against LLM and human graders by evaluating each method on a bilingual dataset. Besides the typical accuracy-based metrics examined in previous studies, we also proposed the use of consistency-based metrics that measure how consistent a technique would be if performed on the exact same answers.

2 Method

To score a set of student answers, our method consists of two main steps. First, a subset of answers is selected and graded manually to serve as *reference answers*. Then, we assign scores to the rest of the answers by finding the most similar graded answer. An overview of our method is shown in Figure [1.](#page-1-0)

In order to find the best representative subset of the answers, we can perform some kind of sampling in the text embedding space of the answers. We consider two sampling strategies that aim to maintain the diversity of the sampled subset: a K-means clustering-based strategy and a K-centerbased strategy.

2.1 K-means-based Sampling

K-means clustering is a well-known unsupervised method used to classify data by dividing it into a specified number of clusters [\(MacQueen,](#page-4-7) [1967\)](#page-4-7), based on Euclidean distance. We utilize this technique to select K representative data points for our reference set. Specifically, for each cluster, we choose the data point closest to the centroid to serve as the reference data.

2.2 K-center-based Sampling

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With K-means, the level of similarity in each cluster might vary due to the nature of its algorithm. To ensure that all data point maintain comparably high level of similarity with at least one of the selected reference answer, we instead minimize the maximum distance between any data point and its closest reference answer. This is equivalent to the K-center problem [\(Hochbaum and Shmoys,](#page-4-8) [1985\)](#page-4-8), which can be described with the following mixed integer program (MIP).

$$
\min_{i_i, y_{ij}, r} \quad r
$$
\n
$$
\text{s.t.} \quad \sum_i x_i = K, \qquad x_i \ge y_{ij} \quad \forall i \forall j
$$
\n
$$
\sum_i y_{ij} \ge 1 \quad \forall j, \quad r \ge d_{ij} y_{ij} \quad \forall i \forall j
$$
\n
$$
(1)
$$

where x_i is 1 if data point i is used as reference and 0 otherwise, y_{ij} is 1 if the closest reference point from data point j is i and 0 otherwise, r is the maximum cosine distance between any of the points and its closest reference, K is the desired number of reference points, and d_{ij} is the cosine distance between point i and point j . The MIP from eq[.1](#page-1-1) is computationally prohibitive and various alternatives have been explored [\(Rana and](#page-5-2) [Garg,](#page-5-2) [2011\)](#page-5-2). We use an algorithm based on binary search in our experiment, detailed in Appendix [D.](#page-6-0)

After the reference answers are graded, the rest of the answers are scored by selecting the most similar graded answer in the embedding space using cosine similarity.

Table 1: Number of answers in the dataset.

3 Experimental setup

3.1 Dataset and Human Baseline

We created the dataset by collecting assignment answers from a Computer Architecture course and

Table 2: Comparisons of human baseline, similarity-based methods, and LLM approaches. An asterisk (*) indicates that the MAE of that method is significantly better than random sampling using paired t-test ($p < 0.05$). The best results overall are bolded, and the best in each section are underlined.

a Statistics course at a university in Thailand. The dataset contains student responses to nine prompts and their respective official scores, graded by a teaching assistant who was well-acquainted with the topics while following written grading criteria. For any prompt, the students can answer in Thai, English, or a mixture of both. Scores range from 0 to 5, and may include decimal values. These official scores will be used as ground-truth throughout this experiment. Table [1](#page-1-2) provides an overview of the number of answers per prompt. The average answer lengths for Statistics and Computer Architecture are 67.79 and 55.92 words, respectively.

Additionally, to simulate the scoring discrepancies that can occurs in a real grading scenario, we had another teaching assistant with similar qualifications grade the responses based on the same criteria. We then compare it with the official score to use as the human baseline for our experiment.

3.2 Evaluation metrics

The main metrics in our experiment are Quadratic Weighted Kappa (QWK) [\(Cohen,](#page-4-9) [1968\)](#page-4-9) and Mean Absolute Error (MAE) [\(Willmott and Matsuura,](#page-5-3) [2005\)](#page-5-3), which we use to assess the correlation and error between the predicted scores and the ground truth. Note that both metrics are computed on the entire set of answers including the reference answers selected.

All data sampling techniques can give different or multiple possible outcomes. For evaluation, we report the average across different 10 runs.

We also evaluated the consistency of each

method by comparing predictions from different runs^{[1](#page-2-0)}. Consistency_{acc} measures the accuracy between predictions. Two predictions are considered consistent if their absolute difference is under 0.25 (5%). Consistency_{err} is equal to the mean absolute error (MAE) between the two predictions.

In addition, to show that our sampling strategy leads to a more diverse representative subset of data, we define a metric called Representative Score Coverage (RSC) which is equal to the number of unique scores among the representative samples divided by the total number of unique scores in the dataset. We measured and compared the RSC of each sampling method.

3.3 Experimental Design

We evaluated our framework using three sampling methods: (1) K-means-based sampling, (2) Kcenter-based sampling and (3) random sampling (baseline), on data encoded using different encoders: (1) Multilingual Universal Sentence Encoder (MUSE) [\(Yang et al.,](#page-5-4) [2020\)](#page-5-4), (2) gte-Qwen2- 7B-instruct [\(Li et al.,](#page-4-10) [2023\)](#page-4-10), and (3) BGE-M3 [\(Chen et al.,](#page-4-11) [2024\)](#page-4-11). To simulate workload reduction, we sampled 30% of the data to serve as reference answers and evaluated the performance of each sampling method-encoder combination.

We also assessed the performance of our method in comparison to prompting two LLMs: Qwen2.5- 7B-Instruct [\(Qwen Team,](#page-5-5) [2024\)](#page-5-5) and GPT-4o mini^{[2](#page-2-1)},

¹ consistency metrics for the human baseline is measured using the difference between the two human graders.

²gpt-4o-mini-2024-07-18

in both zero-shot and few-shot settings. In the fewshot setup, we randomly selected 5% and 30% of the data as example answers within the prompt.

Furthermore, we also conducted a study to determine the percentage of reference data needed for our framework to surpass the human baseline for each sampling method.

4 Result and Analysis

4.1 Main Results

Table [2](#page-2-2) presents the experimental results, with similarity-based methods performance shown being measured on data encoded with MUSE. Both K-means and K-center sampling outperform the random sampling baseline and are comparable to human, showing better performance in MAE but slightly worse in QWK. In the LLM few-shot approach, both LLMs show poor performance for lower number of shots (5%), which is in line with the result presented by [Chamieh et al.](#page-4-4) [\(2024\)](#page-4-4). After increasing the amount of reference answers to 30% of the data, GPT 4o-mini achieves a performance on par with both our framework and the human baseline. However, our K-center approach shows the best consistency scores overall which is more preferable from a reliability standpoint. We also calculate the RSC for three sampling methods encoded with MUSE. Random sampling achieves an RSC of 0.784, while K-center-based and K-meansbased sampling show higher diversity with RSCs of 0.861 and 0.867, respectively.

Method	MUSE	gte-Qwen2 BGE-M3	
Random	31.9%	35.4%	35.4%
K-means	27.0%	30.0%	30.3%
K-center	25.7%	32.1%	32.6%

Table 3: Percentage of reference answer needed to achieve MAE lower than human baseline.

Method		MUSE gte-Qwen2 BGE-M3	
Random	47.8%	51.3%	51.3%
K-means	36.4%	41.8%	40.7%
K-center	35.4%	41.1%	40.7%

Table 4: Percentage of reference answer needed to achieve QWK higher than human baseline.

4.2 Additional Results

We also would like to know how many reference answers are needed in order to reach the human baseline. Tables [3](#page-3-0) and [4](#page-3-1) illustrate the results, showing that the MUSE encoder outperforms the others.

On average, K-means sampling achieves the best results in reducing MAE, while K-center sampling performs better in terms of QWK. Figures [2](#page-3-2) and [3](#page-3-3) show the MAE and QWK scores in relation to the percentage of reference answers for each sampling method, using MUSE as the text encoder.

We also evaluate the performance when the the data is separated by language of answer and by course, the result is presented in Appendix [G.](#page-7-0)

Figure 2: MAE by percentage of reference answers.

Figure 3: QWK by percentage of reference answers.

5 Conclusion

We propose a semi-automatic, similarity-based scoring framework that employs K-means clustering and K-center sampling to create a reference answer set and conduct a comparative study of our framework against LLM inference and a human baseline. The results demonstrate that our framework outperforms similarity-based scoring methods that use random sampling to create a reference answer set and is comparable to both LLM and human performance.

6 Ethical Considerations

The data contains no personal information, and the graders were compensated fairly for their work.

We would like to note that automatic scoring should be utilized with caution, as it could influence the outcome of the student's grade. Despite the promising MAE, we found that some grading errors could be large. In practice, the automatic grader might be used as a second opinion. The traceable nature of the similarity-based scoring can also be used for spotting errors in human scoring.

7 Limitation

The findings from this study might not be applicable to all subjects and question format. This study is based on two subjects (statistics and computer architecture) which are technical in nature. The answers are around a couple sentences to a paragraph in length. For large language models (LLMs), using a larger set of reference answers might not be feasible with models with limited context. There are certain aspects of this study that might be examined further such as making better use of the reference answers, sampling and grading one answer at a time (active learning), and finetuning the embedding models. MUSE supports Thai, yielding the best results in this study. However, this might not be applicable to other Southeast Asian languages.

Several parts of our framework can be further improved, such as the reference answer selection method, and score assignment. We selected the points closest to the centroids as reference answers based on cosine similarity. However, methods to select the reference answer can also be applied. We also experimented with Euclidean distance which did not significantly affect the results.

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A Visualization of the grading Framework

Figure [4](#page-5-6) illustrates how our framework selects reference answers and assigns scores to other answers. After encoding all answers into dense vectors, sampling strategies were employed to select a diverse subset of answers for human grading. Subsequently, all other answers were assigned the same score as their most similar reference answer.

B Additional Dataset Information

The data was taken from homework assignments in two courses namely, Statistics and Computer Architecture. Both courses were held at a university in Thailand during 2023. Students completed the assignments by filling out the provided text boxes in the university's learning management platform. All answers were marked by hand in accordance with predetermined rubrics.

Figure 4: Visualization of how our similarity-based framework operates.

C Question Information

C.1 Statistics Course

These questions covers the topic of Statistics and A/B Testing. In this question set, a situation is described, followed by 4 questions which are based on it. The questions are given in Thai, but students are allowed to answer in either English or Thai. The situation and one example question is shown in Table [5,](#page-8-0) along with translation. Table [6](#page-8-1) shows the corresponding rubric.

The rubric for each question is defined based on the topics which a full-score answer should cover. And for each topic the answer covers, a partial score will be given if the answer expresses that topic correctly in accordance with the rubric. The partial scores in each rubric are then summed into the final score. Figure [6](#page-9-0) shows the score distribution for each question.

To demonstrate how the answers are marked, Tables [7](#page-9-1) and [8](#page-10-0) show answers from 2 students with translations, along with how the answers perform in each rubric, and the score received.

C.2 Computer Architecture Course

These questions cover the general knowledge about computer architecture and the changes in computer architecture throughout the ages.

In this homework, students are required to read a short article and answer questions regarding the article, mainly asking for explanations to certain topics. The article is "A New Golden Age for Computer Architecture" by John L. Hennessy and David A. Patterson. One of the questions is shown in Table [9](#page-10-1) as an example.

The answers to all questions can be found in the article, and we expect the students to read it in order to be able to answer the questions. Therefore, a good answer in this question set should address all the sub-questions along with sufficient supporting evidence from the article. The questions are designed to be self-contained within the article, and no extra scores are given should the student include information from other sources.

To grade the question in Table [9,](#page-10-1) the rubrics in Table [10](#page-11-0) are used. Table [11](#page-11-1) and [12](#page-12-0) show examples of students' answers and example grading logic. The score distribution for each question is shown in Figure [7.](#page-10-2)

D Algorithm for Solving K-center

We can use binary search to find the optimal r by testing the feasibility of the following integer program.

$$
\text{Feasible}(d_{ij}, K, r) : \sum_{i} x_i = K,
$$
\n
$$
\sum_{l \in C_i} x_l \ge 1, \forall i \tag{2}
$$
\n
$$
C_i = \{j \mid r > d_{ij}\}
$$

where x_i is 1 if data point i is used as reference and 0 otherwise, k is the desired number of reference points, d_{ij} is the cosine distance between point i and point j , and r is the maximum cosine distance allowed between any of the points and its closest reference.

Since the infeasibility of this integer program implies that r is too small for the given K , we can use binary search to iteratively find the minimum r.

The resulting r can be used to determine the optimal reference points. If there are multiple possible solutions, we randomly select one. We denote this technique, mixed integer linear program with binary search K-center algorithm (MBK-Center) which is detailed in Algorithm [1.](#page-6-1)

E LLMs inference

Figures $8 - 9$ $8 - 9$ $8 - 9$ present the prompt templates used for the inference of GPT-4o-mini and Qwen2.5- 7B-Instruct in both zero-shot and few-shot settings, correspondingly.

Algorithm 1 Mixed integer linear program with Binary search K-center (MBK-Center)

F Cluster Homogeneity Analysis

Figure [5](#page-6-3) shows example distributions of the actual scores of answers assigned to different reference solutions in the clustering process. Most groups contain similar scores. The differences to the reference answer scores are typically less than one. This supports the validity of similarity-based scoring. However, some groups exhibit high variance in true scores. In many cases, these discrepancies are due to: 1) the answer being difficult to grade, resulting in significantly different scores even when graded by humans, 2) grading errors leading to incorrect true scores. We believe that identifying and addressing such cases will be crucial in improving automatic answer scoring systems.

Figure 5: Example of a histogram showing frequency of scores in each cluster using K-means-based sampling on MUSE-encoded data.

G Additional Experimental Results

Tables [13](#page-14-0) – [15](#page-14-1) present a performance comparison between different input settings, using different sampling methods (K-means and K-center), with data encoded using MUSE, gte-Qwen2-7B-instruct, and BGE-M3. The QWK and MAE are measured when using data from each course in two settings: (1) inputting all answers, (2) inputting only answers in a single language. The percentage of reference answers used is 30%. Note that the performance on English answers for the Statistics course is not measured due to the low number of answers.

Table 5: Situation and example question from the Statistics course with translation.

Table 6: Rubric for the example question in Table [5](#page-8-0) with translation.

Table 7: First example answer for the question in Table [5](#page-8-0) with its grading comments and translation.

Proportion of students' score by question (Statistics Course)

Table 8: Second example answer for the question in Table [5](#page-8-0) with its grading comments and translation.

Content
Question Explain why DSAs can achieve higher performance and greater energy efficiency.

Table 9: Example question from the Computer Architecture course.

Proportion of students' score by question (Computer Architecture Course)

Figure 7: Proportion of students' score by question in Computer Architecture Course.

Table 10: Rubric for the example question in Table [9.](#page-10-1)

Table 11: First example answer for the question in Table [9](#page-10-1) with its grading comments.

Table 12: Second example answer for the question in Table [9](#page-10-1) with its grading comments.

Grade the student's answer based on the criteria, and return a final score as a single number between 0 and {max_score}**. Make sure to provide only the numerical score without any additional explanation. Question:** {question} **Criteria:** {criteria} **Max score:** {max_score} **Student answer:** {answer} **Final score:**

Figure 8: Zero-Shot grading prompt template.

Grade the student's answer based on the criteria, and return a final score as a single number between 0 and {max_score}**. Make sure to provide only the numerical score without any additional explanation.**

Question: {question}

Criteria: {criteria}

Max score: {max_score}

Example answer: Student answer: {ref_answer_1} **Final score:** {label 1} … **Student answer:** {ref_answer_n} **Final score:** {label n}

Student answer: {answer}

Final score:

Figure 9: Few-Shot grading prompt template.

Course/Method	OWK		MAE	
	K-means	K-center	K-means	K-center
Statistics				
All answers	0.641	0.587	0.722	0.830
Thai Answers	0.634	0.616	0.731	0.792
Computer Architecture				
All answers	0.706	0.748	0.573	0.518
English Answers	0.724	0.749	0.541	0.525
Thai answers	0.354	0.350	0.866	0.843

Table 13: Performance comparison between different input settings, on MUSE-encoded data.

Table 14: Performance comparison between different input settings, on gte-Qwen2-7B-instruct-encoded data.

Course/Method	OWK		MAE	
	K-means	K-center	K-means	K-center
Statistics				
All answers	0.570	0.529	0.816	0.876
Thai Answers	0.562	0.535	0.826	0.870
Computer Architecture				
All answers	0.703	0.682	0.583	0.634
English Answers	0.723	0.677	0.558	0.666
Thai answers	0.472	0.480	0.735	0.762

Table 15: Performance comparison between different input settings, on BGE-M3-encoded data.