

# State of the Art in Translation Memory Technology

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*Commercial Translation Memory systems (TM) have been available on the market for over two decades now. They have become the major language technology to support the translation and localization industries. The following paper will provide an overview of the state of the art in TM technology, explaining the major concepts and looking at recent trends in both commercial systems and research. The paper will start with a short overview of the history of TM systems and a description of their main components and types. It will then discuss the relation between TM and machine translation (MT) as well as ways of integrating the two types of translation technologies. After taking a closer look at data exchange standards relevant to TM environments the focus of the paper then shifts towards approaches to enhance the retrieval performance of TM systems looking at both non-linguistic and linguistic approaches.*

## 1 Introduction

Translation Memory (TM) systems are the most widely used software applications in the localization of digital information, i.e. the translation and cultural adaptation of electronic content for local markets. The idea behind its core element, the actual “memory” or translation archive, is to store the originals and their human translations of e-content in a computer system, broken down into manageable units, generally one sentence long. Over time, enormous collections of sentences and their corresponding translations are built up in the systems. TMs allow translators to recycle these translated segments by automatically proposing a relevant translation from the memory as a complete (“exact match”) or partial solution (“fuzzy match”) whenever the same or a similar sentence occurs again in their work. This increases the translator’s productivity and helps ensure that the same terminology and expressions are consistently used across translations. Thus, TMs facilitate and speed-up the translation of a rapidly growing amount of specialised texts.

No other technology has changed the general conditions of translation as a professional service as radically as TM systems have done over the past 20 years. This might be due to the fact that TMs mainly support professional translators in their routine work without radically influencing cognitive translation processes in those situations that require the creativity and knowledge of the human translator.

Today most professional translators use TM technology on a regular basis (Massion 2005; Lagoudaki 2006). The most well-known commercial systems are *Across*, *Déjà Vu*, *memoQ*, *MultiTrans*, *SDL Trados*, *Similis*, *Transit* and *Wordfast*.<sup>1</sup>

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<sup>1</sup> For a brief overview on TM technology see also Somers (2003) and Reinke (2006). Comprehensive investigations can be found in Reinke (2004).

## 2 Translation Memory systems

### 2.1 History

The basic idea of computer-assisted reuse of human translations can be traced back to the 1960s, when the European Coal and Steel Community (ECSC) developed and used a computer system to retrieve terms and their contexts from stored human translations by identifying those sentences whose lexical items most closely matched the lexical items of a sentence to be translated:

The translation of the sentence [i.e., the sentence stored in the data base; U.R.] is not done by the computer, but by a human translator. However, since the data produced by each query are added to the data base, the more the system is in use, the greater is the probability of finding sentences that have the desired term in the proper context. (ALPAC 1966, 27; emphasis in original)

Yet, modern TM systems differ considerably from the former ECSC application. As the quote from the ALPAC report shows, the latter was rather something like a bilingual keyword in context (KWIC) retrieval tool that mainly served the purpose of showing source language terms and their target language equivalents in their respective contexts. Retrieving previous translation units for reuse was, if at all, a secondary goal:

The system utilized at CECA is one of automatic dictionary look-up with context included. [...] [T]he translator indicates, by underlining, the words with which he desires help. The entire sentence is then keypunched and fed into a computer. The computer goes through a search routine and prints out the sentence or sentences that most nearly match (in lexical items) the sentences in question. The translator then receives the desired items printed out with their context and in the order in which they occur in the source. (ALPAC 1966, 27)

A much broader reuse of existing machine-readable human translations with a clear focus on facilitating and accelerating revision processing by identifying unchanged passages was envisaged in a model developed by the translation service of the German Federal Army in the early 1970s (cf. Krollmann 1971). Apart from several lexical databases this model also envisaged subsystems for storing and analysing text corpora and translation archives stored on magnetic tape:

[...] via descriptors or keywords, large batches of text could automatically be searched for particular passages and then be displayed on video screens as an aid to the translator; [...] For revised new editions of translations only the changed passages would have to be retyped. Insertion of changes and corrections into the old text would automatically be done by computer [...]. (Krollmann 1971)

At the end of the 1970s EC translator Peter Arthern (1979) proposed even more far reaching computer-assisted support for the translator. His suggestions have to be seen in the context of a discussion led at that time within the European Commission about the use of terminology databases and the feasibility of introducing the MT system *Systran*. While Krollmann's model only seemed to include the reuse of identical text fragments (today known as "exact matches"), Arthern suggests a system that can also retrieve from the reference material similar source language sentences and their translations (today known as "fuzzy matches"):

This would mean that, simply by entering the final version of a text for printing, as prepared on the screen at the keyboard terminal, and indicating in which languages to compare the new text, probably sentence by sentence, with all the previously recorded texts prepared in the organization in that language, and to print out *the nearest available equivalent for each sentence* in all the target languages, on different printers.

The result would be a complete text in the original language, plus at least partial translations in as many languages as were required, all grammatically correct as far as they went and all available simultaneously. Depending on how much of the new original was already in store, the subsequent work on the target language texts would range from the insertion of names and dates in standard letters, through light welding at the seams between discrete passages, to the translation of large passages of new text with the aid of a term bank based on the organization's past usage. (Arthern 1979, 94f.; my emphasis)

While Arthern did not tackle the issue of "the nearest available equivalent" – or "similarity" – in more detail, he even envisaged the possibility of integrating TM and machine translation (MT):

Since this form of machine-assisted translation would operate in the context of a complete text-processing system, it could very conveniently be supplemented by 'genuine' machine translation, perhaps to translate the missing areas in texts retrieved from the text memory. (Arthern 1979, 95)

Yet, it took another decade before the ideas sketched by Krollmann and Arthern became part of real applications and market-ready systems. The notion of automatically retrieving "exact matches" was first implemented in the early 1980s by ALPS Inc. (later ALPNET Corporation) in a simple component called "Repetitions Processing" as part of the company's commercial MT system called *Translation Support System* (TSS) (cf. Seal 1992). The reuse of similar sentences ("fuzzy matching") was supported by the first commercial TM systems like *IBM Translation Manager*, and *Trados Translator's Workbench II* that did not appear on the market before the early 1990s.<sup>2</sup>

## 2.2 Components

Apart from the "memory" or translation archive as its core element, a typical TM system consists of an array of tools and functionalities to assist the human translator. These usually include:

- a **multilingual editor** for reading source texts and writing translations in all relevant file formats of different word processing programs, DTP systems, etc., protecting the layout tags of these formats against accidentally being deleted or overwritten
- a **terminology management program** for maintaining termbases to store, retrieve, and update subject-, customer-, and project-specific terminology
- an **automatic term recognition feature** for automatically looking up in the termbase all terms that occur in the source text segment the translator is currently working on

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<sup>2</sup> Hutchins (1998) and Reinke (2004, 36-41) provide further information on the history of TM systems.

- a **concordance tool** allowing users to retrieve all instances of a specific search string (single words, word groups, phrases, etc.) from a TM and view these occurrences in their immediate context
- a **statistics feature** providing a rough overview of the amount of text that can be reused from a TM for translating a new source document
- an **alignment tool** to create TM databases from previously translated documents that are only available as separate source and target text files by comparing a source text and its translation, matching the corresponding segments, and binding them together as units in a TM.

In addition, a few TM systems offer terminology extraction as an optional or an integrated feature to assist in populating termbases and setting up the terminology for an e-content localization project by extracting mono- or bilingual lists of potential terms from a selection of electronic (source and/or target) texts. Today, many TM suites also include support for machine translation, either by offering interfaces with MT systems or even by integrating their own MT component. Finally, some kind of project management (PM) support is built into most TM systems. These PM features may support:

- file handling and management (specification of all source language files, project-relevant termbases and TM databases, assistance in defining folder structures)
- management of client and translator data (addresses, contact persons, translators' skills, equipment, availability, etc. )
- workflow management (deadlines, project progress, etc.).

Figure 1 provides an overview of how the major components of a standard TM environment interact, while Figure 2 gives an example for a typical user interface of a commercial TM system.

Although professional translators often stress the need to constantly adjust to rapid technological changes in the field (some complaining about this constant pressure, others rather regarding it as a professional necessity and a challenge), it must be said that all in all the core functionalities of commercial TM systems have remained very much the same since the first – mostly still MS-DOS-based - applications became available at the beginning of the 1990s. Even the first versions contained a translation memory, a terminology management system and a (multilingual) editor, providing features like exact and fuzzy matching, pre-translation<sup>3</sup>, concordance lookup, terminology recognition, etc. (cf. Figure 3). Of course, the matching algorithms – although still being based on simple character matching procedures – have been altered and modified to a considerable extent, and many additional features and functionalities have been added, so that a growing number of scholars, professionals and application providers now prefer to call TM systems “translation environments” or “translation environment tools (TEnt)” (cf. CERTT 2012, 8).

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<sup>3</sup> Pre-translation refers to the batch process “of comparing a complete source text to a Translation Memory database and automatically inserting the translations of all exact matches found in the database. The result is a hybrid text containing pre-translated and untranslated segments.” (eCoLoRe 2012)

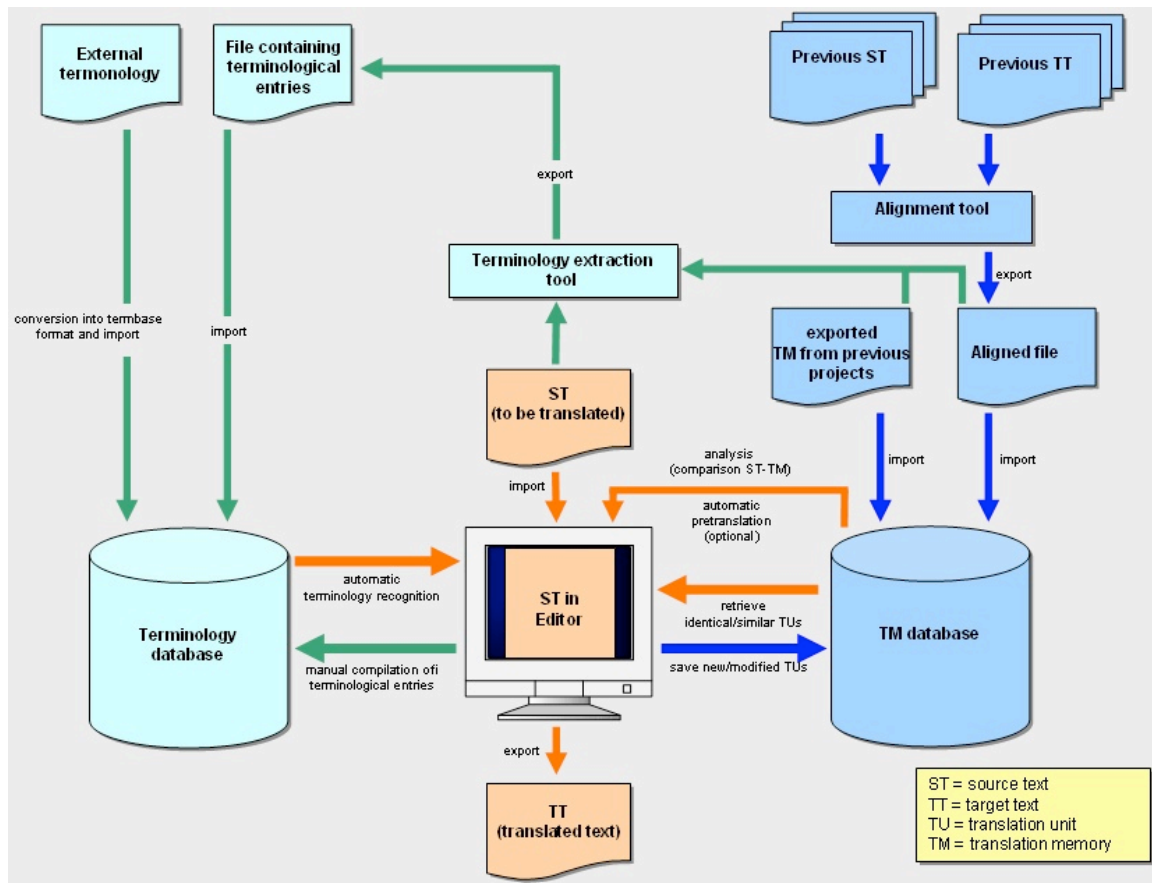


Figure 1: Components and processes in a translation memory (TM) system (excluding project management and machine translation functionalities)

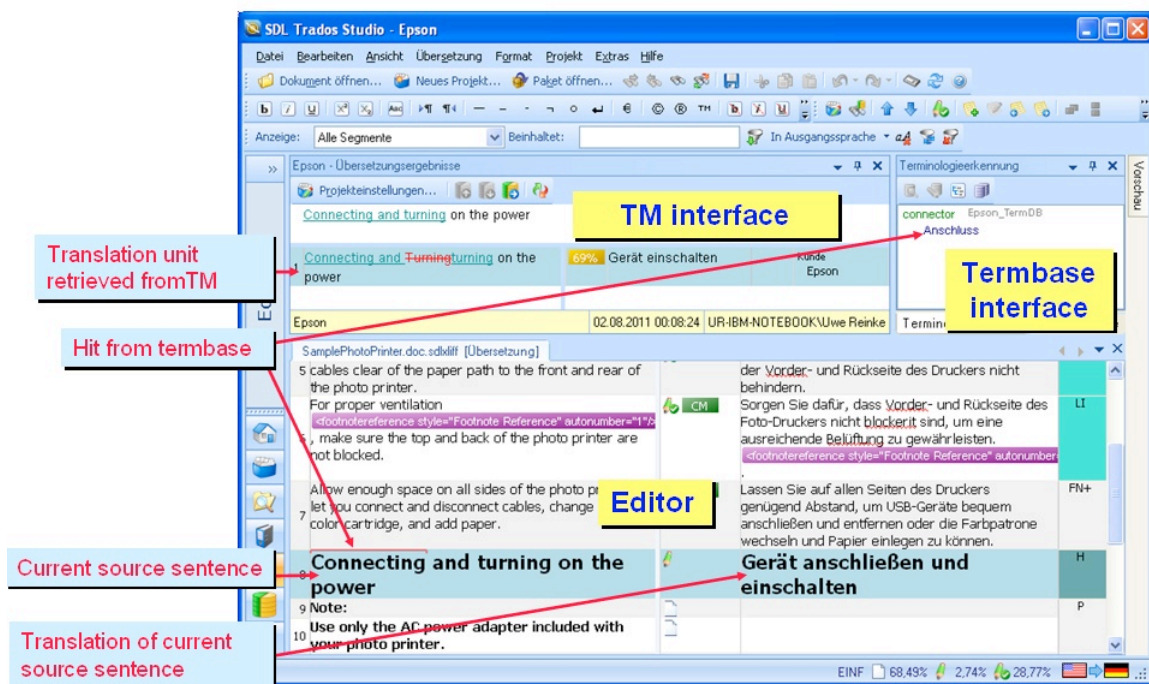


Figure 2: User interface of SDL Trados Studio

The screenshot shows the TRADOS Translator's Workbench II interface. It displays a source sentence in English: "New: Read the license agreement carefully, then fill in and return the software registration card at the bottom of the license agreement." A translation unit retrieved from the TM is shown below it, with a 78% match. The target sentence in German is: "den bestmöglichen Schutz für Ihre Geräte zu bieten. Benutzen Sie sie beim Transport oder Versenden Ihres Systems. Zum Lieferumfang gehört außerdem ein Pakekt mit EL3/33-Disketten. Die MS-DOS-Systemsoftware ist in diesem Paket versiegelt. Füllen Sie die Software-Registrations-Karte unter dem Lizenzvertrag aus, und senden Sie diese zurück." Below this, a hit from the termbase is shown for the word "monitor", with a match for "Bildschirm, Monitor". The interface also shows a status bar with line and column numbers, and a menu bar with options like Help, Save, Close, Save&Close, Trans, Next, Undo, and Menu.

Figure 3: Fuzzy matching and terminology recognition in TRADOS Translator's Workbench II

What has changed dramatically indeed during the last two decades is the translation workflow, i.e., the way the translation processes are organized and the way the parties involved in these processes interact and collaborate. The introduction of client/server solutions after the turn of the millennium enabled new ways of real-time collaboration among distributed teams but led to even more controversial discussions about property rights on TM data collections and liability issues. The near future will reveal to which extent new buzzword technologies and forms of collaboration like "cloud computing" and "crowd sourcing" will actually affect translation workflows and work situations.

### 2.3 Types of TM systems

In most systems available on the market the TM is a database. Each record in a TM database contains a translation unit (TU) consisting of a pair of source and target text segments.<sup>4</sup> In addition to the TU there may be further information on the creation

<sup>4</sup> In most TMs translation units consist of source language sentences and their target language equivalents. Apart from 1:1 equivalences, where a sentence from the source text is transferred into one sentence in the target text, this can also include 1:n and n:1 relations, depending on the decisions taken by the individual translator. Moreover, smaller TUs having the size of clauses or phrases, larger units based on paragraphs, or nested units starting at paragraph level and then assigning further relations at sentence level may also occur.

and modification dates, the person who created or modified the entry, the project(s) or customer(s) the TU is used for, etc.

A major feature of a typical TM database is the fact that it grows incrementally. The database is 'dynamic' because new TUs – no matter whether they are created from scratch or by adjusting the translation of a similar TU retrieved from the TM – are added during the translation process.

Basically, there are three ways of feeding a TM:

- While translating: When translating a text using a TM database each segment from the source text will be automatically stored in the database along with its translation.
- By importing another TM database: This can either be a TM created with the same TM system or a TM available in the Translation Memory eXchange format (TMX), which is supported by all commercial systems.
- By aligning existing translations and their original texts: With the help of an alignment tool it is possible to create TM databases from the source and target text files of previous translation projects.

Some TM systems do not make use of the database approach but store entire source and target text pairs in their proprietary formats as reference material for future reuse in related translation projects. While TM databases constitute an amalgamation of translation units that isolates each segment from its context, the reference text approach makes it easier to take context into account during the matching process. On the other hand, this approach is rather static, i.e., it is not possible immediately to reuse TUs that have just been created. Therefore, systems based on the reference text approach also create a so called temporary "fuzzy index", which is a kind of temporary database providing access to recently created TUs as well as fuzzy-match functionality. In turn, TM systems following the database approach have tried to overcome the complete decontextualisation of their TUs by adding so-called "context matches" or "perfect matches", where an exact match is preceded and/or followed by another exact match, i.e., the segment to be translated and the match retrieved from the TM have the same textual environment. This is achieved by simply storing in the TM database the relevant context segments together with the actual TUs and sometimes by additionally taking into account information obtained from style sheets, document templates or structural document markup (cf. Chama 2010). Some database-oriented TM systems have also included the reference text approach as an additional option to retrieve translation units for reuse by allowing to specify bilingual files from previous translation projects and combining them with TM databases. In general, it seems that the developers of commercial TM systems more and more try to combine the advantages of both the database-oriented and the reference text-oriented approaches.

Another major issue in TM technology is the retrieval of fragments below sentence level. Most commercial TM systems now offer some kind of subsegment matching. The simplest form of subsegment matching is to look for complete TM database and termbase units that are part of the current source language segment and automatically insert their target language sections, thus usually creating suggestions that form a mix of source and target language fragments and require further adaptation ("fragment assembly"). A more complex way of finding subsegments is to retrieve longest common substrings (LSCs) from TM database units (Figure 4). Finally, a third – and probably the most productive – way of subsegment matching that can be found in commercial TM systems is to automatically suggest target language fragments while typing a translation (auto-completion; Figure 5). These fragments are retrieved from bilingual lexicons that were statistically generated from TM databases (cf. Chama 2010).

The screenshot shows the 'Ergebnisse' (Results) window in Kilgray MemoQ. It displays a list of matches between source and target segments. The source sentence is: "Even when football players have a shorter career and the odds of making it as a professional football player are 6/250.000, kids are more attracted to playing football, because it is the most popular sport in the USA, which is why many kids dream of making it as a professional football player." The target sentence is: "Auch wenn Footballspieler eine kürzere Karriere haben und die Chancen, es zu ...". The matches are numbered 1 through 12. A red box highlights matches 8 through 12, which represent subsegment matches. Labels on the left side of the screenshot identify the source sentence, the selected match from TM, and the subsegment match assembled from fragments.

Match ID	Source Segment	Target Segment
1	Even when football players have a shorter career and the odds of making ...	Auch wenn Footballspieler eine kürzere Karriere haben und die Chancen, es zu ...
2	Even when football players have a shorter career, kids are more attracted ...	Auch wenn Footballspieler eine kürzere Karriere haben, spielen Kinder lieber Fo ...
3	Football is the most popular sport in the USA, which is why many kids dream of ...	Football ist der populärste Sport in den USA, weshalb viele Kinder davon träum ...
4	The odds of making it as a professional football player are 6/250.000	Die Chancen, es zum Profi-Footballspieler zu schaffen sind 6/250,000.
5	Football is the most popular sport in the USA, however, football players have a ...	Football ist der populärste Sport in den USA, aber Footballspieler haben eine k ...
6	player	Spieler
7	play	spielen
8	Even when football players have a shorter career and the odds of making ...	Even when Footballspieler haben eine kürzere Karriere and Die Chancen, es z ...
9	football	Fußball
10	football players	Fußballspieler
11	football players have a shorter career	Footballspieler haben eine kürzere Karriere.
12	the odds of making it as a professional football player are 6/250.000	Die Chancen, es zum Profi-Footballspieler zu schaffen sind 6/250,000.

Figure 4: Subsegment matching in Kilgray MemoQ...

The screenshot shows a translation memory entry in SDL Trados Studio. The source text is in German: "Dieses Abkommen - abgefasst in zwei Urschriften in dänischer, deutscher, englischer, französischer, griechischer, italienischer, 24 niederländischer, portugiesischer und spanischer Sprache, wobei jeder Wortlaut gleichermaßen verbindlich ist - tritt am Tag seiner Unterzeichnung in Kraft." The target text is in English: "This Agreement is drawn up in duplicate". The target text is highlighted in blue.

Figure 5: ... and SDL Trados Studio

## 2.4 Translation Memory and Machine Translation

### 2.4.1 Distinction between TM and MT

TM technology is not to be confused with machine translation. Whereas MT translates without human intervention, TM systems provide features and tools to store and retrieve segments translated by a human translator. Despite this essential distinction between TM and MT, TM technology shares certain commonalities with both "example-based machine translation" (EBMT), an approach first suggested in Japan in the early 1980s (Nagao 1984), and "statistical machine translation" (SMT), an approach developed at IBM in the late 1980s (cf. Brown et. al. 1988) that did not have its breakthrough before the turn of the millenium and today has to be considered the state-of-the art paradigm in MT (cf. Koehn 2010, 17f.). Both TM and EBMT/SMT try to retrieve "best matches" for the sentences of the text to be translated from a bilingual text archive or database containing sentence-level



alignments of existing translations and their original texts.<sup>5</sup> Yet, there are fundamental differences between the purposes of EBMT/SMT and TM systems. A TM is mainly an information retrieval system that leaves decisions about whether and how to reuse and adjust the retrieved results – and thus the actual translation task – to the human translator. EBMT and SMT aim at producing translations by automatically selecting suitable fragments from the source language side of the retrieved TUs and building the translation from the corresponding elements of the target language side. Due to the complexity of this recombination task, not every TU contained in a translation archive is equally suited for reuse in TM systems and EBMT or SMT environments.

#### 2.4.2 Integration of TM and MT

For good reason MT has so far been used very little in high quality e-content localization. MT is only suited for a very limited range of text types, and source texts have to be carefully tailored to the capabilities and restrictions of an MT system to minimize the amount of time and effort needed for post-editing.

Nevertheless, TM suites increasingly offer support for MT. Basically, there are two possible ways of combining MT and TM:

1. Batch processing (usually during project preparation):

In a batch scenario, all segments of the source text that do not produce an exact or high percentage “fuzzy match” when being compared with the TM database may be exported for processing by MT. After the unknown segments have been translated by the MT application, the new translation units can be merged into the TM database. When the translator works on the text, the units generated by the MT system will be presented as candidate translations, possibly with a pre-defined matching penalty.

2. Interactive processing (during the translation stage proper):

In an interactive scenario translators can invoke the MT system each time there is no match with the TM database. If the result from the MT system proves helpful, it can be edited as necessary. The resulting translation unit will then be stored in the TM database for future reuse.

Commercial TM systems like *Across* or *SDL Trados Studio* offer interfaces to both RBMT and SMT systems. Large IT companies like *Sybase* report productivity gains by combining SMT and TM, provided that the MT system has been trained with a large-enough company-specific bilingual corpus (cf. Bier 2012). Like other large companies *Sybase* has carried out experiments using the freely-available SMT system *Moses* (cf. Koehn et al. 2007) interactively together with a TM system. Bier (2012) mentions faster turnaround (delivery time decreased by an average of 50%), 20-30% cost reductions for updates, stable translation quality (no visible impact on style with full post-editing, less content errors, slight increase in minor linguistic errors) and a rise

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<sup>5</sup> Both EBMT and SMT are corpus-based approaches, so that the term corpus-based MT (CBMT) is used as an umbrella for both as opposed to rule-based MT (RBMT) (Carl and Way 2003, xviii). The major difference between EBMT and SMT is that SMT considers translation as a “statistical optimization problem” (Koehn 2010, 17) and is based on probability calculations over large bilingual corpora, while EBMT tries to find analogies between an input sentence and examples from a bilingual corpus applying more “traditional” linguistic means like (morpho-)syntactic analysis and thesauri. For an extensive overview on EBMT see Carl and Way (2003) and Somers (2001). A comprehensive introduction to SMT can be found in Koehn (2010).

in productivity between 5 and 70% (depending on the kind of source texts, the terminology used and the performance of individual translators).<sup>6</sup>

## 2.5 Data exchange standards for TM systems

### 2.5.1 Overview

A versatile TM system must be able to handle the full range of proprietary and standard file formats in which e-content can be produced and exchanged. One of the major meta-standards that play a central role in technical documentation is the eXtensible Markup Language (XML) (W3C 2008). XML provides a framework to create markup languages for all kinds of individual document types, and there is a growing number of XML-based standards and formats to support various aspects of the documentation and localization process. While standards like DocBook (OASIS 2006), DITA (OASIS 2007), and XLIFF (OASIS 2008) are related to the creation and exchange of localizable content, TMX (LISA 2005), SRX (LISA 2008) and TBX (ISO 2008) serve the purpose of facilitating the exchange of reference material (TM databases and termbases).

Current efforts like Linport (Language Interoperability Portfolio, (Linport 2012)) and TIPP (Translation Interoperability Protocol Package, (Interoperability Now! 2012)) focus on the development of a standard for the exchange of complete translation projects between different translation environments.

### 2.5.2 Supporting standards for the exchange of localizable e-content

For public XML-based standards like DocBook, DITA und XLIFF TM systems should include import routines that provide an automatic distinction between so-called “external” XML markup elements that need not be modified during the translation process and “internal” elements, which the translator may need to move, add or delete. Translatable and non-translatable attribute values should be distinguished automatically as well.

For proprietary XML-based formats, TM systems should provide a feature to create import routines from a combination of various sources, i.e., XML document type definitions (DTDs), XML schema definition files (XSDs) and localizable XML content files, keeping the effort for manually correcting translation-related settings for the individual XML elements and attributes as small as possible.

Content in formats like XLIFF, which mainly serve the purpose of exchanging bilingual files during the localization process, must be displayed correctly in the TM system’s multilingual editor, i.e., for editors using separate windows or table columns for source and target languages the <source> and <target> elements of an XLIFF file must be placed into the correct windows or columns (Figure 6). Moreover, metadata like translation comments and information on the processing status of translation units should be adequately imported, displayed and exported without any loss of information (Figure 7).

Finally, it must be taken into account that XLIFF is a kind of hybrid format, because apart from localizable content XLIFF files can also contain bilingual reference material from previous versions or related documents. TM systems must be able to recognize this reference material in an XLIFF file and store it in a TM database together with relevant metadata also contained in the XLIFF file, like information on match values, authors, systems used to create the material, etc. (Figure 8).

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<sup>6</sup> For a comparison of TM and SMT output see also Offersgaard et al. (2008). Offersgaard et al. report high productivity gains of more than 65% for certain domains and for situations in which the TM database does not produce matches for two thirds or more of the sentences to be translated. Guerberof (2009) also reports higher processing speed for post-editing SMT output compared with TM matches, but also points to the fact that deviation between individual subjects is very high.

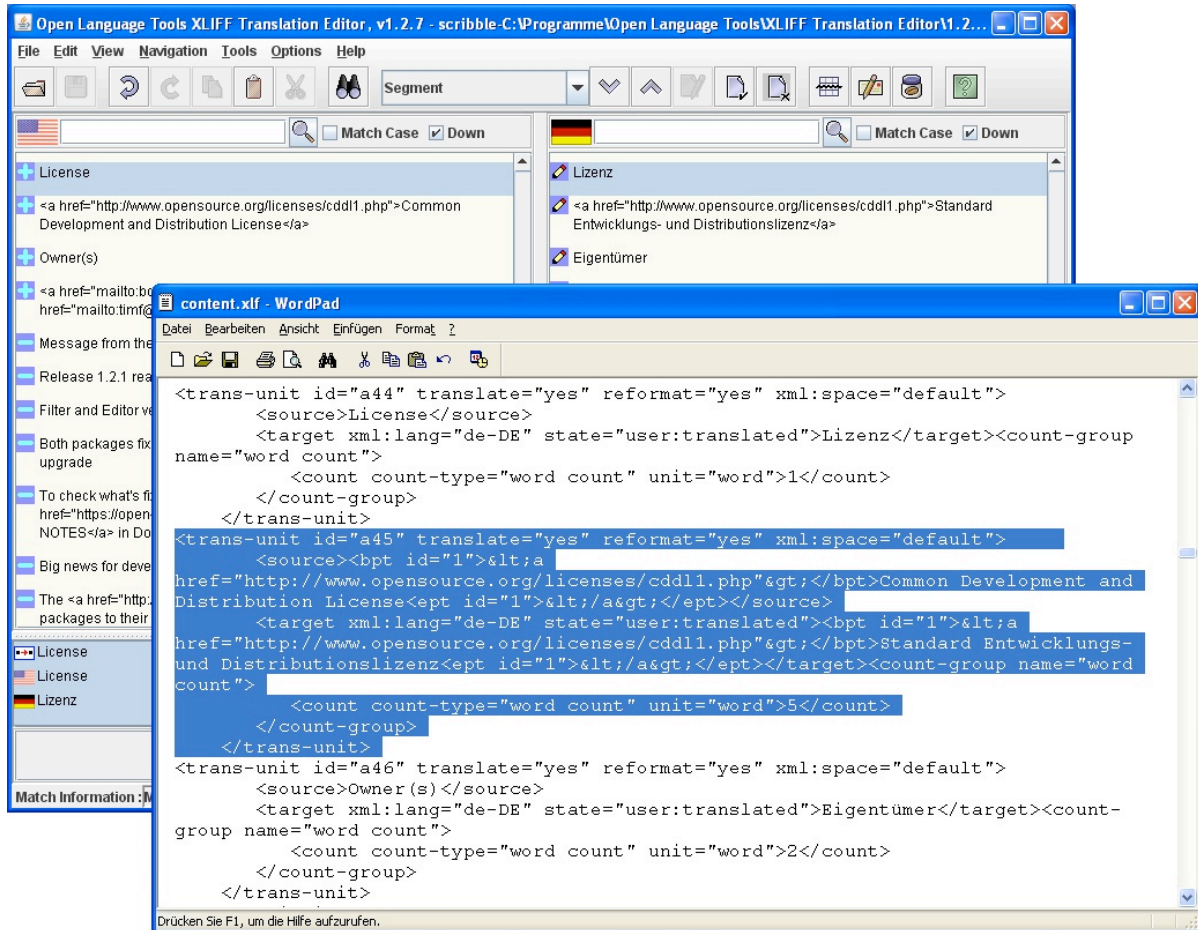


Figure 6: Fragment from an XLIFF file in a text editor and in an XLIFF translation editor

**XLIFF file with <source> and <target> elements**

- + notes
- + translation status information
- + information on non-translatable segments
- + information on segment length restrictions

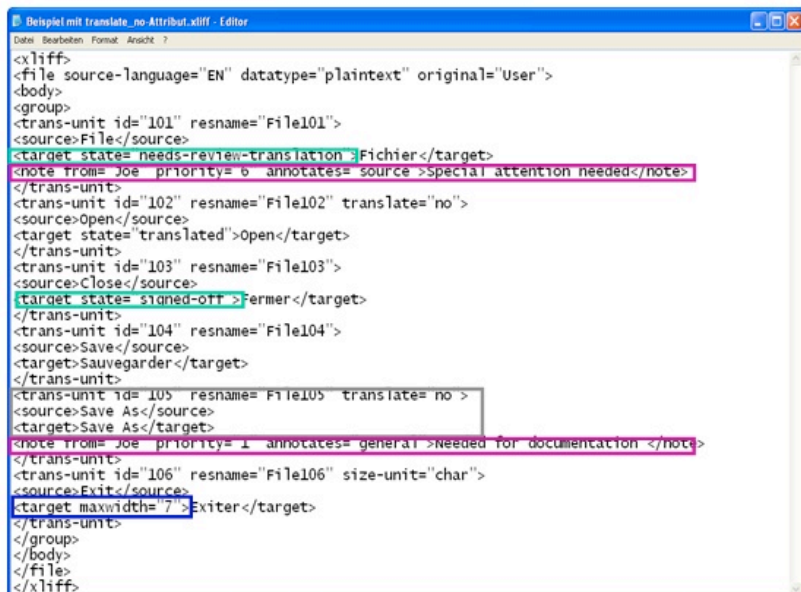


Figure 7: Complex XLIFF file containing various metadata

- XLIFF file with <source> and <target> elements
- + notes
  - + translation status information
  - + information on non-translatable segments
  - + information on segment length restrictions

```

Beispiel mit translate_no Attribut.xliff - Editor
Datei Bearbeiten Format Ansicht ?
<xliff>
<file source-language="EN" datatype="plaintext" original="User">
<body>
<group>
<trans-unit id="101" resname="File101">
<source>File</source>
<target state="needs-review-translation">Fichier</target>
<note from="Joe" priority="0" annotates="source">special attention needed</note>
</trans-unit>
<trans-unit id="102" resname="File102" translate="no">
<source>Open</source>
<target state="translated">Open</target>
</trans-unit>
<trans-unit id="103" resname="File103">
<source>Close</source>
<target state="signed-off">Fermer</target>
</trans-unit>
<trans-unit id="104" resname="File104">
<source>Save</source>
<target>Sauvegarder</target>
</trans-unit>
<trans-unit id="105" resname="File105" translate="no">
<source>Save As</source>
<target>Save As</target>
<note from="Joe" priority="1" annotates="general">needed for documentation</note>
</trans-unit>
<trans-unit id="106" resname="File106" size-unit="char">
<source>Exit</source>
<target maxwidth="7">Exit</target>
</trans-unit>
</group>
</body>
</file>
</xliff>

```

Figure 8: XLIFF file containing various reference material

### 2.5.3 Supporting standards for the exchange of reference material

The exchange of TM databases mainly causes problems with respect to the maintenance of layout information and dynamic fields, i.e., placeholders for embedded objects and automatically adjustable content like cross-references and other variables, contained in TUs and the exchange of information on rules used for the segmentation of text into TUs.

To keep the loss of layout-related information and placeholders for embedded objects and dynamic fields contained in TUs as small as possible when exchanging TMs between different applications most TM systems support TMX Level 2. The TMX standard has been available since 1998. It has been developed by the Localization Industry Standards Association (LISA), which was an interest group of major information technology companies and localization service providers. After LISA became insolvent in 2011 TMX is now being maintained by the Localization Industry Standards (LIS) Industry Specification Group (ISG) of the European Telecommunications Standards Institute (ETSI) (cf. GALA 2012) and the standard is freely available from the website of the Globalization and Localization Association (GALA).<sup>7</sup>

Breaking up text into smaller TUs requires segmentation rules that may differ between languages as well as text types and file formats. Examples include individual punctuation characters like the quotation mark in Spanish or the different treatment of colons, semi-colons and other characters depending on language and text type. In order to overcome a loss in reusability of TUs due to different segmentation rules applied in different TMs the Segmentation Rules eXchange (SRX) standard was introduced in 2004. The segmentation rules contained in an SRX file (Figure 9) must be applied when exporting and importing TMs as well as during the actual translation process when the current source text has to be split up into TUs.

Like TMX SRX was developed by LISA and is now being maintained by ETSI. It can also be downloaded from the GALA website.

<sup>7</sup> GALA is a non-profit organization of localization and translation service providers, language technology developers and other companies involved in language services or technology. The former LISA standards can be found at <http://www.gala-global.org/lisa-oscar-standards>.

```

<?xml version="1.0"?>
<srx version="2.0"
  xmlns="http://www.lisa.org/srx20"
  xsi:schemaLocation="http://www.lisa.org/srx20 srx20.xsd"
  xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance">
  <header segmentsubflows="yes" cascade="yes">
    <formathandle type="start" include="no"/>
    <formathandle type="end" include="yes"/>
    <formathandle type="isolated" include="yes"/>
  </header>
  <body>
    <language rules>
      <language rule language rule name="Default">
        <!-- Common rules for most languages -->
        <rule break="no">
          <beforebreak>^\s*[0-9]+\./</beforebreak>
          <afterbreak>\s</afterbreak>
        </rule>
        <rule break="yes">
          <afterbreak>\n</afterbreak>
        </rule>
        <rule break="yes">
          <beforebreak>[\.\?!]+\./</beforebreak>
          <afterbreak>\s</afterbreak>
        </rule>
      </language rule>
      <language rule language rule name="English">
        <!-- Some English abbreviations -->
        <rule break="no">
          <beforebreak>\s[Ee][Tt][Cc]\./</beforebreak>
          <afterbreak>\s[a-z]</afterbreak>
        </rule>
        <rule break="no">
          <beforebreak>\sMr\./</beforebreak>
          <afterbreak>\s</afterbreak>
        </rule>
        <rule break="no">
          <beforebreak>\sU\.\K\./</beforebreak>
          <afterbreak>\s</afterbreak>
        </rule>
      </language rule>
    </body>
  </srx>

```

Figure 9: Section from an SRX file

Exchanging data between the terminology management components of various TM systems can be much more difficult than sharing TMs among various applications. This is due to the fact that the structure and complexity of termbases may differ severely from system to system and – in the case of user-definable entry structures – even among termbases created with the same application. It has taken a long time since the efforts to define a universal exchange format for terminological data have led to the Termbase eXchange Standard (TBX). Although TBX has become an ISO standard in 2008 (cf. ISO 2008) the format is still not properly supported by all TM systems.

## 2.6 Advantages and limitations of TM systems

The advantages of using TM systems are fairly obvious: they increase the translator's productivity and enhance translation quality by ensuring that terminology and expressions are used consistently within and across translations. Users in industry and international organizations usually claim a 25 to 60 per cent rise in productivity (cf. Reinke 2004, 113f.). However, at least in some industries productivity gains seem to come to an end after a certain time. Thus, at Sybase "[t]raditional TM technology [is] almost fully exploited" with "ca. 80% of costs spent on 'new' words" and "only 20% spent on recycling" (Bier 2012). Bier also states that there are "[n]o more improvements in turnaround times" as the average productivity of translators has remained at a maximum level of 2.400 words per day for years.

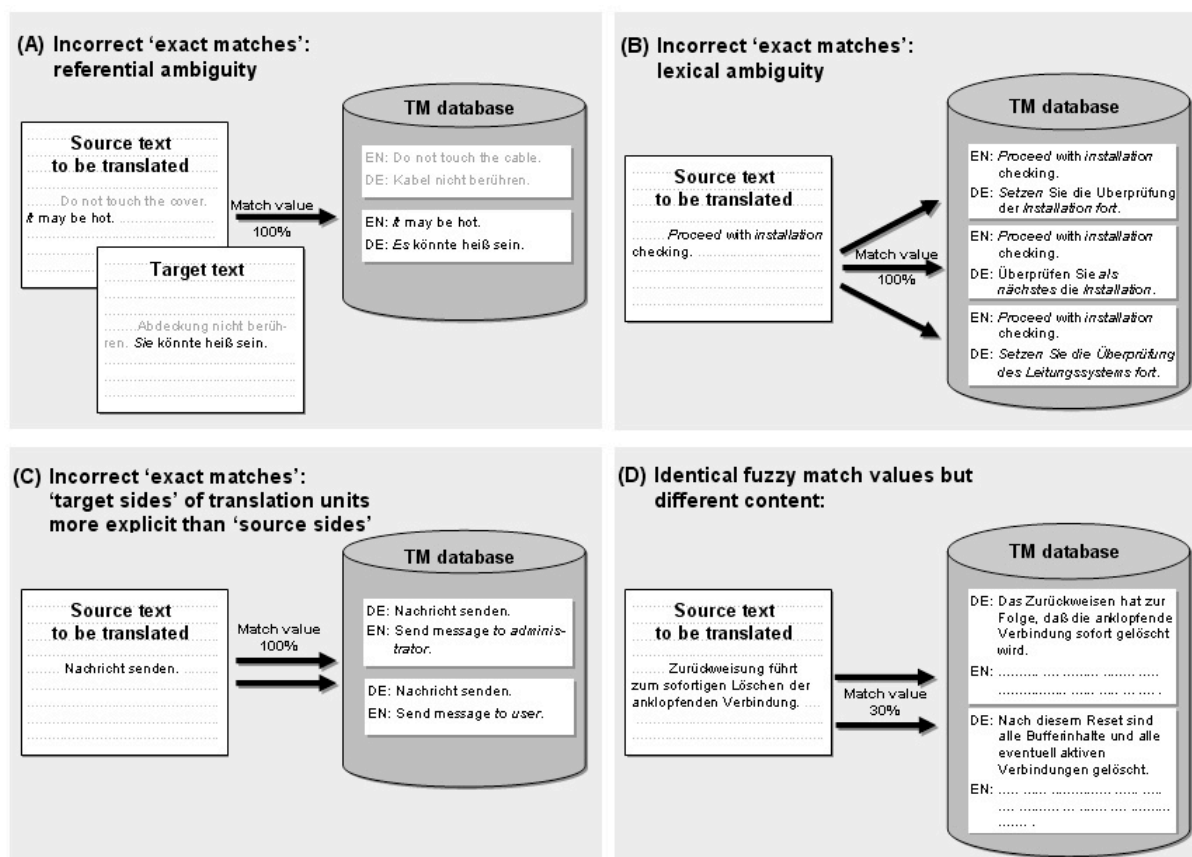


Figure 10: Examples in English (EN) and German (DE), demonstrating shortcomings of fuzzy match algorithms (Reinke 2006, 64)

Furthermore, it must be stated that the use of TM systems may also have negative effects on translation quality. One of the major disadvantages of TM systems is that they usually operate at sentence level. Thus, there is a serious danger that the translator will focus too much on isolated sentences, possibly disregarding the contexts they are embedded in (cf. Reinke 2004, 136ff.).

Examples (A) and (B) in Figure 10 exemplify this problem with respect to referential and lexical ambiguity. In example (A) the pronoun *it* is an anaphoric reference to the noun phrase *the cover* in the previous sentence. As the German translation *die Abdeckung* is female, the pronoun should be female as well (i.e., *sie*). In the same English sentence in the TM the pronoun *it* refers to a different noun phrase with a German translation using a neuter noun like *das Kabel*, so that *it* has to become *es*. Thus, an exact match for *It can be hot* yields a translation that does not fit the current context. In example (B) terms like *installation* or general language words like *proceed* are lexically ambiguous. *Installation* could, for instance, refer the installation of a piece of software or to a piping system, while *to proceed with s.th.* might mean *to continue a process that has been interrupted* or *to go on with the next step of a process*. These different meanings require different translations. Therefore, an exact match from the TM might produce an incorrect translation.

The matching algorithms of TM systems are based on very simple formal criteria like the similarity of character strings. Thus, the human translator's notion of the degree of similarity between a segment to be translated and a segment retrieved from the database may differ considerably from the degree of similarity calculated by the TM system. This may lead to situations where "exact matches" yield wrong translations (examples (A) to (C) in Figure 10) or one translation of a "fuzzy match" requires little or no adjustment, while another "fuzzy match" with the same

similarity value is not useful at all, e.g., because the content belongs to a different (sub-)domain (example (D) in Figure 10).

Despite these drawbacks, it should be noted that TM systems generally integrate into the translation workflow comparatively smoothly. As opposed to MT, they leave human translators in control of the actual translation process, while relieving them from routine work and maintaining translation as a creative act whenever the linguistic resourcefulness of a human being is required.

### 3 Approaches to enhance the information retrieval performance of TM systems

#### 3.1 Approaches not applying “linguistic knowledge”

Although commercial TM systems have been available for over two decades, their retrieval performance has not improved considerably in terms of quality and quantity. Of course, the matching algorithms have been altered and modified over the time, but they still rely on simple character- or token-based matching procedures without taking into account linguistic aspects like morphosyntactic, syntactic or semantic features that may determine the “similarity” of translation units.<sup>8</sup> Even rather straightforward approaches that do not rely on “linguistic knowledge” but could, for instance, easily improve the retrieval performance for TUs containing so-called placeable and localizable elements<sup>9</sup> are not yet a matter of course in commercial TM systems.

Azzano (2011) presents a detailed analysis of the question in how far the occurrence of placeable and localizable elements influence the retrieval performance of commercial TM systems. He found that placeable elements sometimes lead to comparatively low fuzzy match values because some systems treat them like standard text when comparing the lengths of source language segments (SegSL) to be translated and source language segments from a TM (SegSL<sub>TM</sub>). Instead, it would be more reasonable to use a fixed penalty when SegSL and SegSL<sub>TM</sub> only differ with respect to the placeable elements they contain while the remaining standard text is identical.

Azzano (2011) also reports that some systems yield exact matches when SegSL and SegSL<sub>TM</sub> contain both identical text and identical placeable elements and just differ in the order or position of the placeable elements. This is a serious mistake because in most cases these modifications will also be relevant to the new translation if the target language segment from the TM (SegTL<sub>TM</sub>) will be reused.

Comparatively simple methods could also be applied to improve the retrieval of TM segments containing localizable elements. Instead of treating them like plain text they should be seen as special elements that follow certain patterns. These patterns can be recognized with the help of regular expressions. For the calculation of match values the same principles already suggested for placeable elements could be applied (i.e., using a fixed penalty if SegSL and SegSL<sub>TM</sub> differ in terms of localizable elements). Azzano (2011) found that to a certain extent commercial TM systems do apply regular expressions to identify localizable elements, but for some elements like

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<sup>8</sup> For a brief overview on similarity measures relevant to TM systems see Trujillo (1999, 61-68), Reinke (2004, 193-198), Sikes 2007.

<sup>9</sup> Placeable elements like tags, inline graphics and dynamic fields usually do not contain translatable text. They can often be copied (“placed”) into the target text without any need for further modifications. Tags are markup elements in HTML and XML files; inline graphics and dynamic fields typically occur in DTP formats and Microsoft Word files. Localizable elements like numbers, dates, URLs or e-mail addresses, in turn, consist of plain text following a certain pattern, so that they can be identified without any “linguistic knowledge”. The localization of these elements follows given rules and often does not influence the remaining parts of a TU.

complex numerical patterns they still show severe weaknesses, while other elements are not recognized at all. Although there are useful and well-known regular expressions, e.g. for identifying URLs in plain text (cf. Goyvaerts and Levithan 2009), these are hardly implemented in commercial TM systems. Azzano (2011) suggests a number of regular expressions to improve the recognition of various localizable elements.

## 3.2 Approaches applying “linguistic knowledge”

### 3.2.1 Current approaches in commercial and research systems

Linguistics-driven efforts on enhancing retrieval in TM systems are basically motivated by two different goals:

- (1) improving recall and precision of (monolingual) retrieval, i.e. enhancing quantity, quality and ranking of matches, at segment level and at subsegment level (retrieval of “chunks”, (complex) phrases, clauses) by enriching the retrieval algorithms of TM systems with “linguistic knowledge”
- (2) automatized adjustment of fuzzy matches to enhance reusability and reduce post-editing efforts by integrating SMT technology into TM systems.

With *Similis* the French company *Lingua et Machina* produces one of the very few commercial TM systems that do not only rely on character-based matching algorithms but try to integrate linguistic methods by using morphosyntactic analysis and shallow parsing to identify fragments below segment level (cf. Planas, 2005). Planas (2005) describes his system as “second generation translation memory software”. Of course, this kind of linguistically enhanced application is only available for a restricted number of language pairs.<sup>10</sup> Investigations indicate that at least for certain language combinations like English-German the system only identifies rather short phrases like simple NPs but cannot retrieve larger syntactical units, which would be desirable for the support of professional computer-assisted human translation (cf. Kriele 2006; Macken 2009). Figures 11 and 12 illustrate these findings for an English-German example shown the *Similis* translation and alignment editors.

Linguistically enhanced TM systems have mainly been developed and tested as research systems (cf. Gotti, Fabrizio et al., 2005; Hodász and Pohl, 2005; Mitkov and Corpas 2008). Like *Similis* they mostly integrate morphosyntactic analysis and shallow syntactic parsing. However, there are even efforts to include semantic information to improve the retrieval of sentence-level paraphrases that differ lexically and syntactically (cf. Mitkov and Corpas 2008). Due to the rather restricted availability of semantic data in relevant subject areas, the relevance of these approaches for commercial implementations is still rather small.

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<sup>10</sup> Currently *Similis* supports combinations between English, German, French, Italian, Spanish, Portugese and Dutch (<http://similis.org/linguaetmachina.www/index.php?afficher=10&sel=40&info=Spezifikationen>).



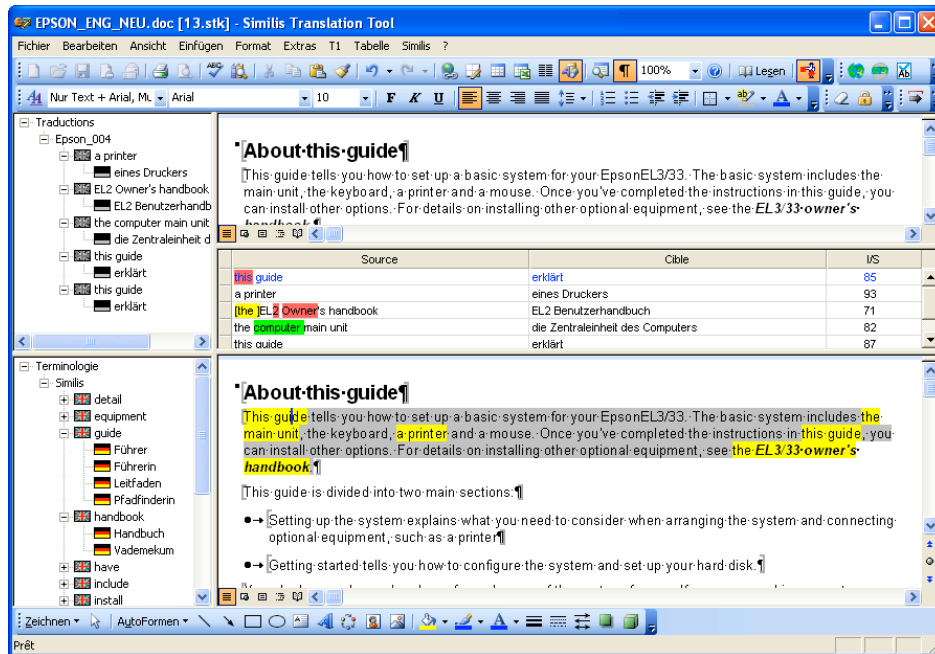


Figure 11: English-German example for subsegment retrieval in Similis

Ursegmente (136)	Stelle	Ergebnis	Stelle	Zielssegmente (138)
Then read the section called Getting started.	5(1)	65	5(1)	Lesen Sie danach das Kapitel mit der Überschrift Inbetriebnahme des Systems.
It contains details of how to turn on the computer and the procedure for installing DOS 3.3.	5(2)	100	5(2)	Es enthält Einzelheiten über das Einschalten des Computers und über das Vorgehen bei der Installation von DOS 3.3.
If you encounter words that are unfamiliar, you can find a glossary in EL2. Owner's Handbook.	6(1)	100	6(1)	Wenn Sie auf Ausdrücke stoßen, die Ihnen unbekannt sind, finden Sie ein Glossar im EL2 Benutzerhandbuch.
You can find a list of conventions used in this guide on the following page.	7(1)	75	7(1)	Eine Liste von in diesem Handbuch verwendeten Schreibweisen finden Sie auf der folgenden Seite.
Conventions used in this guide	8(1)	60	8(1)	In diesem Handbuch verwendete Schreibweisen
This table explains the symbols and abbreviations used in this guide.	9(1)	60	9(1)	In dieser Tabelle werden die in diesem Handbuch
<b>Urchunks</b>	<b>Stelle</b>	<b>Ergebnis</b>	<b>Stelle</b>	<b>Zielchunks</b>
you encounter	2	75	2	Sie auf Ausdrücke
that are unfamiliar	4	75	6	unbekannt sind
you can find	6	75	8	finden Sie
a glossary	7	75	9	ein Glossar

Figure 12: Subsegment alignment in Similis

More recent research on enhancing retrieval in TM systems mainly seems to focus on improving the reusability of fuzzy matches by applying methods from SMT (cf. Biçici and Dymetman 2008; Zhechev and van Genabith 2010; Koehn and Senellart 2010). The aim is to identify those fragments that make the difference between a segment to be translated and a fuzzy match retrieved from a TM database and adjust their translations automatically using SMT procedures. Ideally, for the human translator there would be no additional post-editing effort for these matches. However, one should have a careful “empirical look” at the question how this “fusion” of human translation and machine translation at segment level actually affects the post-editing of fuzzy matches and in how far it really enhances the productivity of human translators as well as text quality.

### 3.2.2 Integrating robust linguistic procedures into existing commercial systems

Ways of integrating standard methods and procedures known from computational linguistics into commercial TM systems are currently analyzed at Cologne University of Applied Sciences in a research project supported by the German Federal Ministry of Education and Research (BMBF) (cf. Azzano, Reinke and Sauer 2011). The focus of the project lies on enhancing the performance of commercial TM systems with respect to the retrieval of paraphrase patterns and subsegment fragments as well as on improving term recognition and validation with the help of robust procedures for morphosyntactic and sentence syntactic analysis. The goal is to develop interface models and prototypical interfaces between commercial TM systems and “lingware” using SDL Trados Studio 2009 and the morphosyntactic analysis tool MPRO (Maas, Rösener and Theofilidis 2009) as a prototypical environment and German and English as prototypical languages to gain experiences for the development of further language modules and for applying the results to other TM systems.

In the first phase relevant similarity patterns were identified and classified using authentic multilingual technical documentation (user manuals and operating instructions from various areas). For this purpose, TM databases were created and compared with “related” texts (updates, texts on closely related items of communication, texts belonging to related text types and dealing with the same item of communication). Currently the master TM database contains 51.000 segments. Both the segments from the TM databases and the texts “related” to the TM material were morphosyntactically annotated with MPRO. To identify relevant similarity patterns the “related” texts were automatically matched with the TM databases using the pre-translate function. In many cases the resulting match values and the similarity judgments of human translators differed considerably. In a further step, the linguistic differences between the segments of the new, “related” texts and the matches from the TM were described and categorized in order to identify linguistic features that may help to enhance the retrieval performance of commercial TM systems.

To integrate morphosyntactical information into the commercial TM a stand-alone SQL database was developed. This “linguistic TM” is built from the morphosyntactically annotated segments of the commercial TM and – apart from the tokens of the text surface – mainly contains information obtained from lemmatization, compound analysis and word class recognition. The segments of the “linguistic TM” are linked to the “originals” in the commercial TM via unique IDs. To accelerate the retrieval of relevant TUs from the SQL database the data is stored in the form of suffix arrays (cf. Aluru 2004).

When looking up TUs in the “linguistic TM” during the translation process each SLSeg first need to be morphosyntactically analyzed and annotated. The actual retrieval process then consists of two steps. First, the tokens found in the SLSeg to be translated are compared with the tokens in the  $SLSeg_{TMling}$  to determine whether one or more  $SLSeg_{TMling}$  completely or partially contain SLSeg. A second query searches the “linguistic TM” for all  $SLSeg_{TMling}$  with morphosyntactic patterns similar to those of the  $SL_{Seg}$  to be translated. For all results of both queries the Longest Common Substrings (LCS) between  $SL_{Seg}$  and  $SLSeg_{TMling}$  are calculated using Generalized Suffix Arrays (GSA) (cf. Rieck, Laskov and Sonnenburg 2007). In order to rank the results a formula will be developed that combines the matches obtained from the two queries taking into consideration the number and the length of LCS as well as their position in  $SL_{Seg}$  and  $SLSeg_{TMling}$  (cf. Hawkins and Giraud-Carrier 2009).

## 4 Conclusions and outlook

This paper has tried to give an overview of the state of the art in TM technology, explaining the major concepts and looking at recent trends in both commercial systems and research. As TM and MT “have been developed very much in isolation” because “different communities played a role in each technology’s development” (Koehn and Senellart 2010) and computational linguistics has long ignored the relevance of TM as the major language technology used in professional translation, there is still ample scope for further research as well as for closer collaboration between academia and the language industry.

An important field that could not be touched upon in this paper for reasons of space and time is empirical research on how TM and MT and the combination of both actually integrate into the translation workflow and how they influence the work of the translator. Christensen and Schjoldager (2010, 99) identify three different areas of empirical TM research, namely “technology-oriented”, “workflow-oriented” and “translation-theoretical”, and conclude that

Empirically documented knowledge about the nature and applications of TM systems and translators’ interaction with them is both scarce and fragmented. In particular, more research is needed on how translators interact with TM technology and on how it influences translators’ cognitive processes. The translation profession itself will also welcome more knowledge about the translators’ perspective on TM technology. (Christensen and Schjoldager 2010, 99)

Research into these areas has only begun and it is to be hoped that in the near future more funding will be made available in this direction, because language technology for a multilingual society must, like any technology, serve the needs of its users.

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