

# Improved Discriminative Bilingual Word Alignment

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## Abstract

For many years, statistical machine translation relied on generative models to provide bilingual word alignments. In 2005, several independent efforts showed that discriminative models could be used to enhance or replace the standard generative approach. Building on this work, we demonstrate substantial improvement in word-alignment accuracy, partly through improved training methods, but predominantly through selection of more and better features. Our best model produces the lowest alignment error rate yet reported on Canadian Hansards bilingual data.

## 1 Introduction

Until recently, almost all work in statistical machine translation was based on word alignments obtained from combinations of generative probabilistic models developed at IBM by Brown et al. (1993), sometimes augmented by an HMM-based model or Och and Ney's "Model 6" (Och and Ney, 2003). In 2005, however, several independent efforts (Liu et al., 2005; Fraser and Marcu, 2005; Ayan et al., 2005; Taskar et al., 2005; Moore, 2005; Ittycheriah and Roukos, 2005) demonstrated that discriminatively trained models can equal or surpass the alignment accuracy of the standard models, if the usual unlabeled bilingual training corpus is supplemented with human-annotated word alignments for only a small subset of the training data.

The work cited above makes use of various training procedures and a wide variety of features. Indeed, whereas it can be difficult to design a factorization of a generative model that incorporates

all the desired information, it is relatively easy to add arbitrary features to a discriminative model. We take advantage of this, building on our existing framework (Moore, 2005), to substantially reduce the alignment error rate (AER) we previously reported, given the same training and test data. Through a careful choice of features, and modest improvements in training procedures, we obtain the lowest error rate yet reported for word alignment of Canadian Hansards data.

## 2 Overall Approach

As in our previous work (Moore, 2005), we train two models we call stage 1 and stage 2, both in the form of a weighted linear combination of feature values extracted from a pair of sentences and a proposed word alignment of them. The possible alignment having the highest overall score is selected for each sentence pair. Thus, for a sentence pair  $(e, f)$  we seek the alignment  $\hat{a}$  such that

$$\hat{a} = \operatorname{argmax}_a \sum_{i=1}^n \lambda_i f_i(a, e, f)$$

where the  $f_i$  are features and the  $\lambda_i$  are weights. The models are trained on a large number of bilingual sentence pairs, a small number of which have hand-created word alignments provided to the training procedure. A set of hand alignments of a different subset of the overall training corpus is used to evaluate the models.

In the stage 1 model, all the features are based on surface statistics of the training data, plus the hypothesized alignment. The entire training corpus is then automatically aligned using this model. The stage 2 model uses features based not only on the parallel sentences themselves but also on statistics of the alignments produced by the stage

1 model. The stage 1 model is discussed in Section 3, and the stage 2 model, in Section 4. After experimenting with many features and combinations of features, we made the final selection based on minimizing training set AER.

For alignment search, we use a method nearly identical to our previous beam search procedure, which we do not discuss in detail. We made two minor modifications to handle the possibility that more than one alignment may have the same score, which we previously did not take into account. First, we modified the beam search so that the beam size dynamically expands if needed to accommodate all the possible alignments that have the same score. Second we implemented a structural tie breaker, so that the same alignment will always be chosen as the one-best from a set of alignments having the same score. Neither of these changes significantly affected the alignment results.

The principal training method is an adaptation of averaged perceptron learning as described by Collins (2002). The differences between our current and earlier training methods mainly address the observation that perceptron training is very sensitive to the order in which data is presented to the learner. We also investigated the large-margin training technique described by Tsochantaridis et al. (2004). The training procedures are described in Sections 5 and 6.

### 3 Stage 1 Model

In our previous stage 1 model, we used five features. The most informative feature was the sum of bilingual word-association scores for all linked word pairs, computed as a log likelihood ratio. We used two features to measure the degree of non-monotonicity of alignments, based on traversing the alignment in the order of the source sentence tokens, and noting the instances where the corresponding target sentence tokens were not in left-to-right order. One feature counted the number of times there was a backwards jump in the order of the target sentence tokens, and the other summed the magnitudes of these jumps. In order to model the trade-off between one-to-one and many-to-one alignments, we included a feature that counted the number of alignment links such that one of the linked words participated in another link. Our fifth feature was the count of the number of words in the sentence pair left unaligned.

In addition to these five features, we employed

two hard constraints. One constraint was that the only alignment patterns allowed were 1–1, 1–2, 1–3, 2–1, and 3–1. Thus, many-to-many link patterns were disallowed, and a single word could be linked to at most three other words. The second constraint was that a possible link was considered only if it involved the strongest degree of association within the sentence pair for at least one of the words to be linked. If both words had stronger associations with other words in the sentence pair, then the link was disallowed.

Our new stage 1 model includes all the features we used previously, plus the constraint on alignment patterns. The constraint involving strongest association is not used. In addition, our new stage 1 model employs the following features:

**association score rank features** We define the rank of an association with respect to a word in a sentence pair to be the number of association types (word-type to word-type) for that word that have higher association scores, such that words of both types occur in the sentence pair. The constraint on strength of association we previously used can be stated as a requirement that no link be considered unless the corresponding association is of rank 0 for at least one of the words. We replace this hard constraint with two features based on association rank. One feature totals the sum of the association ranks with respect to both words involved in each link. The second feature sums the minimum of association ranks with respect to both words involved in each link. For alignments that obey the previous hard constraint, the value of this second feature would always be 0.

**jump distance difference feature** In our original models, the only features relating to word order were those measuring nonmonotonicity. The likelihoods of various forward jump distances were not modeled. If alignments are dense enough, measuring nonmonotonicity gets at this indirectly; if every word is aligned, it is impossible to have large forward jumps without correspondingly large backwards jumps, because something has to link to the words that are jumped over. If word alignments are sparse, however, due to free translation, it is possible to have alignments with very different forward jumps, but the same backwards jumps. To differentiate such alignments, we introduce a feature that sums the differences between the distance between consecutive aligned

source words and the distance between the closest target words they are aligned to.

**many-to-one jump distance features** It seems intuitive that the likelihood of a large forward jump on either the source or target side of an alignment is much less if the jump is between words that are both linked to the same word of the other language. This motivates the distinction between the  $d_1$  and  $d_{>1}$  parameters in IBM Models 4 and 5. We model this by including two features. One feature sums, for each word  $w$ , the number of words not linked to  $w$  that fall between the first and last words linked to  $w$ . The other features counts only such words that are linked to some word other than  $w$ . The intuition here is that it is not so bad to have a function word not linked to anything, between two words linked to the same word.

**exact match feature** We have a feature that sums the number of words linked to identical words. This is motivated by the fact that proper names or specialized terms are often the same in both languages, and we want to take advantage of this to link such words even when they are too rare to have a high association score.

**lexical features** Taskar et al. (2005) gain considerable benefit by including features counting the links between particular high frequency words. They use 25 such features, covering all pairs of the five most frequent non-punctuation words in each language. We adopt this type of feature but do so more aggressively. We include features for all bilingual word pairs that have at least two co-occurrences in the labeled training data. In addition, we include features counting the number of unlinked occurrences of each word having at least two occurrences in the labeled training data.

In training our new stage 1 model, we were concerned that using so many lexical features might result in overfitting to the training data. To try to prevent this, we train the stage 1 model by first optimizing the weights for all other features, then optimizing the weights for the lexical features, with the other weights held fixed to their optimum values without lexical features.

## 4 Stage 2 Model

In our original stage 2 model, we replaced the log-likelihood-based word association statistic with the logarithm of the estimated conditional probability of a cluster of words being linked by the

stage 1 model, given that they co-occur in a pair of aligned sentences, computed over the full (500,000 sentence pairs) training data. We estimated these probabilities using a discounted maximum likelihood estimate, in which a small fixed amount was subtracted from each link count:

$$LP_d(w_1, \dots, w_k) = \frac{links_1(w_1, \dots, w_k) - d}{cooc(w_1, \dots, w_k)}$$

$LP_d(w_1, \dots, w_k)$  represents the estimated conditional link probability for the cluster of words  $w_1, \dots, w_k$ ;  $links_1(w_1, \dots, w_k)$  is the number of times they are linked by the stage 1 model,  $d$  is the discount; and  $cooc(w_1, \dots, w_k)$  is the number of times they co-occur. We found that  $d = 0.4$  seemed to minimize training set AER.

An important difference between our stage 1 and stage 2 models is that the stage 1 model considers each word-to-word link separately, but allows multiple links per word, as long as they lead to an alignment consisting only of one-to-one and one-to-many links (in either direction). The stage 2 model, however, uses conditional probabilities for both one-to-one and one-to-many clusters, but requires all clusters to be disjoint. Our original stage 2 model incorporated the same additional features as our original stage 1 model, except that the feature that counts the number of links involved in non-one-to-one link clusters was omitted.

Our new stage 2 model differs in a number of ways from the original version. First we replace the estimated conditional probability of a cluster of words being linked with the estimated conditional odds of a cluster of words being linked:

$$LO(w_1, \dots, w_k) = \frac{links_1(w_1, \dots, w_k) + 1}{(cooc(w_1, \dots, w_k) - links_1(w_1, \dots, w_k)) + 1}$$

$LO(w_1, \dots, w_k)$  represents the estimated conditional link odds for the cluster of words  $w_1, \dots, w_k$ . Note that we use “add-one” smoothing in place of a discount.

Additional features in our new stage 2 model include the unaligned word feature used previously, plus the following features:

**symmetrized nonmonotonicity feature** We symmetrize the previous nonmonotonicity feature that sums the magnitude of backwards jumps, by averaging the sum of backwards jumps in the target sentence order relative to the source

sentence order, with the sum of the backwards jumps in the source sentence order relative to the target sentence order. We omit the feature that counts the number of backwards jumps.

**multi-link feature** This feature counts the number of link clusters that are not one-to-one. This enables us to model whether the link scores for these clusters are more or less reliable than the link scores for one-to-one clusters.

**empirically parameterized jump distance feature** We take advantage of the stage 1 alignment to incorporate a feature measuring the jump distances between alignment links that are more sophisticated than simply measuring the difference in source and target distances, as in our stage 1 model. We measure the (signed) source and target distances between all pairs of links in the stage 1 alignment of the full training data. From this, we estimate the odds of each possible target distance given the corresponding source distance:

$$JO(d_t|d_s) = \frac{C(\text{target\_dist} = d_t \wedge \text{source\_dist} = d_s) + 1}{C(\text{target\_dist} \neq d_t \wedge \text{source\_dist} = d_s) + 1}$$

We similarly estimate the odds of each possible source distance given the corresponding target distance. The feature values consist of the sum of the scaled log odds of the jumps between consecutive links in a hypothesized alignment, computed in both source sentence and target sentence order. This feature is applied only when both the source and target jump distances are non-zero, so that it applies only to jumps between clusters, not to jumps on the “many” side of a many-to-one cluster. We found it necessary to linearly scale these feature values in order to get good results (in terms of training set AER) when using perceptron training.<sup>1</sup> We found empirically that we could get good results in terms of training set AER by dividing each log odds estimate by the largest absolute value of any such estimate computed.

## 5 Perceptron Training

We optimize feature weights using a modification of averaged perceptron learning as described by Collins (2002). Given an initial set of feature weight values, the algorithm iterates through the

<sup>1</sup>Note that this is purely for effective training, since after training, one could adjust the feature weights according to the scale factor, and use the original feature values.

labeled training data multiple times, comparing, for each sentence pair, the best alignment  $a_{hyp}$  according to the current model with the reference alignment  $a_{ref}$ . At each sentence pair, the weight for each feature is incremented by a multiple of the difference between the value of the feature for the best alignment according to the model and the value of the feature for the reference alignment:

$$\lambda_i \leftarrow \lambda_i + \eta(f_i(a_{ref}, e, f) - f_i(a_{hyp}, e, f))$$

The updated feature weights are used to compute  $a_{hyp}$  for the next sentence pair. The multiplier  $\eta$  is called the learning rate. In the averaged perceptron, the feature weights for the final model are the average of the weight values over all the data rather than simply the values after the final sentence pair of the final iteration.

Differences between our approach and Collins’s include averaging feature weights over each pass through the data, rather than over all passes; randomizing the order of the data for each learning pass; and performing an evaluation pass after each learning pass, with feature weights fixed to their average values for the preceding learning pass, during which training set AER is measured. This procedure is iterated until a local minimum on training set AER is found.

We initialize the weight of the anticipated most-informative feature (word-association scores in stage 1; conditional link probabilities or odds in stage 2) to 1.0, with other feature weights initialized to 0. The weight for the most informative feature is not updated. Allowing all weights to vary allows many equivalent sets of weights that differ only by a constant scale factor. Fixing one weight eliminates a spurious apparent degree of freedom.

Previously, we set the learning rate  $\eta$  differently in training his stage 1 and stage 2 models. For the stage 2 model, we used a single learning rate of 0.01. For the stage 1 model, we used a sequence of learning rates: 1000, 100, 10, and 1.0. At each transition between learning rates, we re-initialized the feature weights to the optimum values found with the previous learning rate.

In our current work, we make a number of modifications to this procedure. We reset the feature weights to the best averaged values we have yet seen at the beginning of each learning pass through the data. Anecdotally, this seems to result in faster convergence to a local AER minimum. We also use multiple learning rates for both the stage 1 and

stage 2 models, setting the learning rates automatically. The initial learning rate is the maximum absolute value (for one word pair/cluster) of the word association, link probability, or link odds feature, divided by the number of labeled training sentence pairs. Since many of the feature values are simple counts, this allows a minimal difference of 1 in the feature value, if repeated in every training example, to permit a count feature to have as large a weighted value as the most informative feature, after a single pass through the data.

After the learning search terminates for a given learning rate, we reduce the learning rate by a factor of 10, and iterate until we judge that we are at a local minimum for this learning rate. We continue with progressively smaller learning rates until an entire pass through the data produces feature weights that differ so little from their values at the beginning of the pass that the training set AER does not change.

Two final modifications are inspired by the realization that the results of perceptron training are very sensitive to the order in which the data is presented. Since we randomize the order of the data on every pass, if we make a pass through the training data, and the training set AER increases, it may be that we simply encountered an unfortunate ordering of the data. Therefore, when training set AER increases, we retry two additional times with the same initial weights, but different random orderings of the data, before giving up and trying a smaller learning rate. Finally, we repeat the entire training process multiple times, and average the feature weights resulting from each of these runs. We currently use 10 runs of each model. This final averaging is inspired by the idea of “Bayes-point machines” (Herbrich and Graepel, 2001).

## 6 SVM Training

After extensive experiments with perceptron training, we wanted to see if we could improve the results obtained with our best stage 2 model by using a more sophisticated training method. Perceptron training has been shown to obtain good results for some problems, but occasionally very poor results are reported, notably by Taskar et al. (2005) for the word-alignment problem. We adopted the support vector machine (SVM) method for structured output spaces of Tsochantaridis et al. (2005), using Joachims’ *SVM<sup>struct</sup>* package.

Like standard SVM learning, this method tries

to find the hyperplane that separates the training examples with the largest margin. Despite a very large number of possible output labels (e.g., all possible alignments of a given pair of sentences), the optimal hyperplane can be efficiently approximated given the desired error rate, using a cutting plane algorithm. In each iteration of the algorithm, it adds the “best” incorrect predictions given the current model as constraints, and optimizes the weight vector subject only to them.

The main advantage of this algorithm is that it does not pose special restrictions on the output structure, as long as “decoding” can be done efficiently. This is crucial to us because several features we found very effective in this task are difficult to incorporate into structured learning methods that require decomposable features. This method also allows a variety of loss functions, but we use only simple 0-1 loss, which in our case means whether or not the alignment of a sentence pair is completely correct, since this worked as well as anything else we tried.

Our SVM method has a number of free parameters, which we tried tuning in two different ways. One way is minimizing training set AER, which is how we chose the stopping points in perceptron training. The other is five-fold cross validation. In this method, we train five times on 80% of the training data and test on the other 20%, with five disjoint subsets used for testing. The parameter values yielding the best averaged AER on the five test subsets of the training set are used to train the final model on the entire training set.

## 7 Evaluation

We used the same training and test data as in our previous work, a subset of the Canadian Hansards bilingual corpus supplied for the bilingual word alignment workshop held at HLT-NAACL 2003 (Mihalcea and Pedersen, 2003). This subset comprised 500,000 English-French sentences pairs, including 224 manually word-aligned sentence pairs for labeled training data, and 223 labeled sentences pairs as test data. Automatic sentence alignment of the training data was provided by Ulrich Germann, and the hand alignments of the labeled data were created by Franz Och and Hermann Ney (Och and Ney, 2003).

For baselines, Table 1 shows the test set results we previously reported, along with results for IBM Model 4, trained with Och’s Giza++ software

Alignment	Recall	Precision	AER
Prev LLR	0.829	0.848	0.160
CLP <sub>1</sub>	0.889	0.934	0.086
CLP <sub>2</sub>	0.898	0.947	0.075
Giza E → F	0.870	0.890	0.118
Giza F → E	0.876	0.907	0.106
Giza union	0.929	0.845	0.124
Giza intersection	0.817	0.981	0.097
Giza refined	0.908	0.929	0.079

Table 1: Baseline Results.

package, using the default configuration file (Och and Ney, 2003).<sup>2</sup> “Prev LLR” is our earlier stage 1 model, and CLP<sub>1</sub> and CLP<sub>2</sub> are two versions of our earlier stage 2 model. For CLP<sub>1</sub>, conditional link probabilities were estimated from the alignments produced by our “Prev LLR” model, and for CLP<sub>2</sub>, they were obtained from a yet earlier, heuristic alignment model. Results for IBM Model 4 are reported for models trained in both directions, English-to-French and French-to-English, and for the union, intersection, and what Och and Ney (2003) call the “refined” combination of the those two alignments.

Results for our new stage 1 model are presented in Table 2. The first line is for the model described in Section 3, optimizing non-lexical features before lexical features. The second line gives results for optimizing all features simultaneously. The next line omits lexical features entirely. The last line is for our original stage 1 model, but trained using our improved perceptron training method.

As we can see, our best stage 1 model reduces the error rate of previous stage 1 model by almost half. Comparing the first two lines shows that two-phase training of non-lexical and lexical features produces a 0.7% reduction in test set error. Although the purpose of the two-phase training was to mitigate overfitting to the training data, we also found training set AER was reduced (7.3% vs. 8.8%). Taken all together, the results show a 7.9% total reduction in error rate: 4.0% from new non-lexical features, 3.3% from lexical features with two-phase training, and 0.6% from other improvements in perceptron training.

Table 3 presents results for perceptron training of our new stage 2 model. The first line is for the model as described in Section 4. Since the use of log odds is somewhat unusual, in the second line

<sup>2</sup>Thanks to Chris Quirk for providing Giza++ alignments.

Alignment	Recall	Precision	AER
Two-phase train	0.907	0.928	0.081
One-phase train	0.911	0.912	0.088
No lex feats	0.889	0.885	0.114
Prev LLR (new train)	0.834	0.855	0.154

Table 2: Stage 1 Model Results.

Alignment	Recall	Precision	AER
Log odds	0.935	0.964	0.049
Log probs	0.934	0.962	0.051
CLP <sub>1</sub> (new A & T)	0.925	0.952	0.060
CLP <sub>1</sub> (new A)	0.917	0.955	0.063

Table 3: Stage 2 Model Results.

we show results for a similar model, but using log probabilities instead of log odds for both the link model and the jump model. This result is 0.2% worse than the log-odds-based model, but the difference is small enough to warrant testing its significance. Comparing the errors on each test sentence pair with a 2-tailed paired *t* test, the results were suggestive, but not significant ( $p = 0.28$ )

The third line of Table 3 shows results for our earlier CLP<sub>1</sub> model with probabilities estimated from our new stage 1 model alignments (“new A”), using our recent modifications to perceptron training (“new T”). These results are significantly worse than either of the two preceding models ( $p < 0.0008$ ). The fourth line is for the same model and stage 1 alignments, but with our earlier perceptron training method. While the results are 0.3% worse than with our new training method, the difference is not significant ( $p = 0.62$ ).

Table 4 shows the results of SVM training of the model that was best under perceptron training, tuning free parameters either by minimizing error on the entire training set or by 5-fold cross validation on the training set. The cross-validation method produced slightly lower test-set AER, but both results rounded to 4.7%. While these results are somewhat better than with perceptron training, the differences are not significant ( $p \geq 0.47$ ).

## 8 Comparisons to Other Work

At the time we carried out the experiments described above, our sub-5% AER results were the best we were aware of for word alignment of Canadian Hansards bilingual data, although direct comparisons are problematic due to differences in

Alignment	Recall	Precision	AER
Min train err	0.941	0.962	0.047
5 × CV	0.942	0.962	0.047

Table 4: SVM Training Results.

total training data, labeled training data, and test data. The best previously reported result was by Och and Ney (2003), who obtained 5.2% AER for a combination including all the IBM models except Model 2, plus the HMM model and their Model 6, together with a bilingual dictionary, for the refined alignment combination, trained on three times as much data as we used.

Cherry and Lin’s (2003) method obtained an AER of 5.7% as reported by Mihalcea and Pedersen (2003), the previous lowest reported error rate for a method that makes no use of the IBM models. Cherry and Lin’s method is similar to ours in using explicit estimates of the probability of a link given the co-occurrence of the linked words; but it is generative rather than discriminative, it requires a parser for the English side of the corpus, and it does not model many-to-one links. Taskar et al. (2005) reported 5.4% AER for a discriminative model that includes predictions from the intersection of IBM Model 4 alignments as a feature. Their best result without using information from the IBM models was 10.7% AER.

After completing the experiments described in Section 7, we became aware further developments in the line of research reported by Taskar et al. (Lacoste-Julien et al., 2006). By modifying their previous approach to allow many-to-one alignments and first-order interactions between alignments, Lacoste-Julien et al. have improved their best AER without using information from the more complex IBM models to 6.2%. Their best result, however, is obtained from a model that includes both a feature recording intersected IBM Model 4 predictions, plus a feature whose values are the alignment probabilities obtained from a pair of HMM alignment models trained in both directions in such a way that they agree on the alignment probabilities (Liang et al., 2006). With this model, they obtained a much lower 3.8% AER.

Lacoste-Julien very graciously provided both the IBM Model 4 predictions and the probabilities estimated by the bidirectional HMM models that they had used to compute these additional feature values. We then added features based on this

information to see how much we could improve our best model. We also eliminated one other difference between our results and those of Lacoste-Julien et al., by training on all 1.1 million English-French sentence pairs from the 2003 word alignment workshop, rather than the 500,000 sentence pairs we had been using.

Since all our other feature values derived from probabilities are expressed as log odds, we also converted the HMM probabilities estimated by Liang et al. to log odds. To make this well defined in all cases, we thresholded high probabilities (including 1.0) at 0.999999, and low probabilities (including 0.0) at 0.1 (which we found produced lower training set error than using a very small non-zero probability, although we have not searched systematically for the optimal value).

In our latest experiments, we first established that simply increasing the unlabeled training data to 1.1 million sentence pairs made very little difference, reducing the test-set AER of our stage 2 model under perceptron training only from 4.9% to 4.8%. Combining our stage 2 model features with the HMM log odds feature using SVM training with 5-fold cross validation yielded a substantial reduction in test-set AER to 3.9% (96.9% precision, 95.1% recall). We found it somewhat difficult to improve these results further by including IBM Model 4 intersection feature. We finally obtained our best results, however, for both training-set and test-set AER, by holding the stage 2 model feature weights at the values obtained by SVM training with the HMM log odds feature, and optimizing the HMM log odds feature weight and IBM Model 4 intersection feature weight with perceptron training.<sup>3</sup> This produced a test-set AER of 3.7% (96.9% precision, 95.5% recall).

## 9 Conclusions

For Canadian Hansards data, the test-set AER of 4.7% for our stage 2 model is one of the lowest yet reported for an aligner that makes no use of the expensive IBM models, and our test-set AER of 3.7% for the stage 2 model in combination with the HMM log odds and Model 4 intersection features is the lowest yet reported for any aligner.<sup>4</sup>

Perhaps if any general conclusion is to be drawn from our results, it is that in creating a discrim-

<sup>3</sup>At this writing we have not yet had time to try this with SVM training.

<sup>4</sup>However, the difference between our result and the 3.8% of Lacoste-Julien et al. is almost certainly not significant.

inative word alignment model, the model structure and features matter the most, with the discriminative training method of secondary importance. While we obtained a small improvements by varying the training method, few of the differences were statistically significant. Having better features was much more important.

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